

# hMICL and CD123 in combination with a CD45/CD34/CD117 backbone – a universal marker combination for the detection of minimal residual disease in acute myeloid leukaemia

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## Summary

Real-time quantitative polymerase chain reaction (qPCR) has been extensively validated for the detection of minimal residual disease (MRD) in acute myeloid leukaemia (AML). Meanwhile, multicolour flow cytometry (MFC) has received less attention because the so-called leukaemia-associated immunophenotypes (LAIPs) are generally of lower sensitivity and specificity, and prone to change during therapy. To improve MRD assessment by MFC, we here evaluate the combination of human Myeloid Inhibitory C-type Lectin (hMICL, also termed C-type lectin domain family 12, member A, CLEC12A) and CD 123 (also termed interleukin-3 receptor alpha, IL3RA) in combination with CD34 and CD117 (KIT), as an MRD assay in pre-clinical and clinical testing in 69 AML patients. Spiking experiments revealed that the assay could detect MRD down to  $10^{-4}$  in normal bone marrow with sensitivities equalling those of validated qPCR assays. Moreover, it provided at least one MFC MRD marker in 62/69 patients (90%). High levels of hMICL/CD123 LAIPs at the post-induction time-point were a strong prognostic marker for relapse in patients in haematological complete remission ( $P < 0.001$ ). Finally, in post induction samples, hMICL/CD123 LAIPs were strongly correlated ( $r = 0.676$ ,  $P = 0.0008$ ) to applied qPCR targets. We conclude the hMICL/CD123-based MFC assay is a promising MRD tool in AML.

**Keywords:** acute myeloid leukaemia, flow cytometry, minimal residual disease, quantitative polymerase chain reaction, leukaemia-associated immunophenotype.

The majority of curatively treated acute myeloid leukaemia (AML) patients will experience a relapse, although deemed being in complete remission (CR) by standard diagnostic criteria (Estey & Döhner, 2006). This is caused by the presence and outgrowth of sustaining leukaemic stem cells (LCSs) in the bone marrow (BM), termed minimal residual disease (MRD) (Bachas *et al*, 2012). Although the translation of some MRD assays into therapeutic decision-making has been accomplished, especially in acute lymphoblastic leukaemia (ALL), routinely prospective risk stratification in AML based on MRD monitoring is marred by lack of standardized assays and thresholds. Additionally, formal proof supporting pre-emptive intervention of MRD-positive AML patients is still sparse (Paietta, 2012a,b; Vora *et al*, 2013).

The real-time quantitative polymerase chain reaction (qPCR) is the most sensitive and specific molecular MRD

detection method in AML, employing fusion transcripts and over-expressed and mutated genes with sensitivities ranging from  $10^{-2}$  to  $10^{-6}$  and is applicable in approximately 60% of patients (Grimwade *et al*, 2009, 2010a; Hokland *et al*, 2012). By contrast, MRD detection by multicolour flow cytometry (MFC) is faster, determines accurately the number of leukaemic cells, and is applicable to virtually all AML patients with sensitivities ranging from  $10^{-2}$  to  $10^{-4}$  in most cases (Buccisano *et al*, 2012; Dinardo & Luger, 2012). The MFC strategy relies upon detection of so-called leukaemia-associated immunophenotypes (LAIPs), which are absent or only rarely present in normal BM. Thus, advantage is taken of aberrations in antigen expression, such as lack of expression, cross-lineage expression, over-expression, and asynchronous expression, usually in combination with abnormal light scatter patterns of leukaemic cells (Al-Mawali *et al*, 2008; Ossenkoppele *et al*,

2011). This approach, however, requires the availability of a comprehensive panel of antibodies at the initial diagnosis for identification of optimal LAIP combinations. In addition, the heterogeneity of AML cells within each patient sample can cause a selective shift in immunophenotype during cytoreduction, which may yield false negative MRD results (Kern *et al*, 2010a). Moreover, LAIPs are usually identified by the expression of CD34 on leukaemic cells, even though up to 30% of AML cases are CD34- (Bonnet, 2001; Feller *et al*, 2004; Al-Mawali *et al*, 2009). A novel approach within MRD assessment by MFC aims at enumerating LSCs rather than the whole blast population by quantification of aberrant antigen expression on CD34<sup>+</sup> CD38- stem cells (van Rhenen *et al*, 2007a). However, this approach also fails in cases of CD34- AML as the remaining CD34 + CD38- cells in such patients are usually of normal origin (van der Pol *et al*, 2003; Taussig *et al*, 2010).

Recently, we have identified the human Myeloid Inhibitory C type-like Lectin (hMICL) (also known as CLEC12A or CLL-1) as a stable and reliable AML antigen expressed in 90% of diagnostic AML samples (Larsen *et al*, 2012). Additionally, we found that CD123 (also known as interleukin 3 $\alpha$  receptor, IL3RA) is widely, but not exclusively, expressed in AML. Importantly, both hMICL and CD123 had high and conserved antigen expression in 23/23 paired diagnostic/relapse samples, confirming that antigenic shift is, at most, a minor problem with these antigens (Larsen *et al*, 2012). Finally, hMICL and CD123 have been reported to be robust markers for LSCs and thus possible targets in antibody mediated anti-leukaemia treatment (van Rhenen *et al*, 2005, 2007b; Majeti, 2010).

In this study we have evaluated the MRD potential of a single tube MFC experiment employing hMICL and CD123 in combination with CD45 (also known as PTPRC), CD34, CD117 (also known as KIT), and CD14. The rationale for employing CD45, CD34, and CD117 is that these antigens have proven the most frequent backbone markers in AML and consequently included the add on of hMICL and CD123 (Al-Mawali *et al*, 2009; van Dongen *et al*, 2012). We initially performed a series of paralleled MFC and qPCR spiking experiments and then tested the assay in a prospective study encompassing 69 patients. Taken together, this data set shows that the hMICL/CD123 MFC assay is a valuable addition to MRD monitoring with sensitivities approaching those obtained by qPCR assays in a simplified MFC-based setting covering more than 90% of AML patients.

## Materials and methods

### Patient samples

All sampling was conducted with informed patient consent and in accordance with the Declaration of Helsinki. The study was approved by the local Ethics Committee. A total of 69 prospectively accrued diagnostic AML samples were obtained from the Department of Haematology, Aarhus

University Hospital, from June 2009 to June 2011. A total of 40 patients were treated with curative intent. Of these, 21 patients were evaluable for post-induction response by paralleled MFC and qPCR MRD quantification (Table I). Normal BM from healthy volunteers ( $n = 12$ ) and regenerating BM from ALL patients ( $n = 7$ ) obtained at day 29 were used as control samples for sensitivity studies and for generation of internal standard references. The ALL patients included were all diagnosed at our institution and enrolled in the NOPHO 2008 study. In this protocol, patients were systematically subjected to response evaluation post-induction at day 29. Normal donor CD3<sup>+</sup> T-cells for spiking experiments were isolated from peripheral blood from two healthy donors using the immunomagnetic EasySep CD3 Positive Selection Kit (StemCell Technologies Grenoble, France).

### Flow cytometry

Standard four-colour MFC was performed on erythrocyte-lysed whole BM with monoclonal antibody (MoAb) combinations for diagnostic purpose, LAIP identification and monitoring of MRD in follow-up samples. An additional

Table I. Patient characteristics.

| Characteristic  | Value        |
|---|--------------|
| Sex, number of patients (male/female)                 | 69 (41/28)   |
| Age, years (median; range)                            | 65.5 (7–94)  |
| Total leucocytes x 10 <sup>9</sup> /l (median; range) | 43 (0.1–312) |
| Bone marrow blasts at diagnosis% (median; range)      | 58 (20–97)   |
| Karyotype ( $n$ )*                                    |              |
| Favourable  | 4            |
| Unfavourable  | 11           |
| Intermediate  | 47           |
| Not done  | 7            |
| Molecular MRD target                                  |              |
| WT1   | 36           |
| PML-RARA  | 1            |
| RUNX1-RUNX1T1   | 2            |
| CBFB-MYH11  | 1            |
| Negative  | 8            |
| Not done (age > 70 years)                             | 22           |
| CD34 expression**                                     |              |
| CD34-   | 18           |
| CD34+   | 41           |
| Treated with curative intent                          | 40           |
| Available paired MFC/qPCR samples post induction      | 21           |

MRD, minimal residual disease; MFC, multicolour flow cytometry; qPCR, real-time quantitative polymerase chain reaction.

\*'Favourable', cases with t(8;21), t(15;17), or inv(16)/t(16;16); 'unfavourable', cases with complex cytogenetic changes (>3 unrelated abnormalities), -5, add(5q)/del(5q), -7/add(7q), t(6;11), t(10;11), t(9;22), -17, abn(17p) with other changes, 3q abnormalities excluding t(3;5), inv(3)/t(3;3); and 'intermediate', cases with normal karyotype and other noncomplex. (Grimwade *et al*, 2010b).

\*\*Applying a cut off of 1% CD34+ blast cells.

tube with a six-colour combination including MoAbs against hM1CL, CD123, CD45, CD34, CD117 and CD14 was run in all cases. All combinations of MoAbs and their purveyors are given in Table S1. Data acquisition was performed on a Canto II [BD Biosciences, San Jose, CA, USA (BD)] and analysed using BD FACSDiva software (BD). Apoptotic and dead cells were deselected using Annexin V and 7-Aminoactinomycin D (7-AAD; BD). A minimum of 250 000 live CD45<sup>+</sup> events was acquired, resulting in a theoretical maximum sensitivity of at least 0.008% in these experiments taking into account that a cluster of 20 events is needed for clear definition of a cell population (Buccisano *et al*, 2009).

#### *Identification of LAIPs, calculations based on MFC analyses and Gating Strategy*

Leukaemic cells were identified based on low expression of CD45 and low side scatter (SSC) properties (CD45<sup>low</sup>/SSC<sup>low</sup>) (Borowitz *et al*, 1993; Lacombe *et al*, 1997). As differentiated monocytes express both hM1CL and CD123, CD14 de-selection was performed except for cases with CD14<sup>+</sup> AML. Potential LAIP populations were identified by the expression of combinations of hM1CL, CD123, CD34, and CD117 and quantified in normal and leukaemic BM, applying the same stringent gating strategy in all samples. A threshold of at least 5% LAIP positive cells was set for a given LAIP combination to be denoted as positive. All LAIP positive cells were back-gated to FSC/SSC plots to ensure homogeneous scatter properties. Comparisons between AML and control samples allowed for the discovery of an 'empty space', defined as regions devoid of normal BM cells in an hM1CL/CD123 dot plot. The gating strategy used and representative examples of LAIPs are depicted in Fig 1.

In order to estimate the degree of reduction in leukaemic mass detectable by the present approach, the logarithmic difference (LD) between AML and normal BM samples was calculated as the frequency of a LAIP in AML BM divided by that of the LAIP in normal and regenerating BM. The maximal LD observed was used for selection of the most optimal LAIP in a given patient. For comparison of MFC MRD values to qPCR values, these were normalized and diagnostic values were set at 1.

#### *Dilution experiments of in vitro sensitivity of hM1CL/CD123 LAIPs*

Dilution experiments were employed to test the theoretical maximal sensitivity *in vitro*. To this end, BM from two different AML patients were admixed with purified T-lymphocytes from normal donors. Secondly, BM from eight AML patients was admixed with normal BM to approximate the sensitivity of the assay in the clinical setting. In parallel, in five of the patients, in whom at least one molecular marker suitable for quantification by qPCR was present, spiking of RNA from AML cells in normal BM was performed. The

dilution ranged from 1:1 to 1:2<sup>16</sup> (in lymphocyte dilutions 1:1 to 1:2<sup>18</sup>) for each experiment. A minimum of 500,000 events was acquired resulting in a theoretical maximal sensitivity of at least 0.004%.

#### *Real-time quantitative PCR*

RNA purification and cDNA synthesis was performed as previously reported (Beillard *et al*, 2003; Østergaard *et al*, 2004). Levels of *PML-RARA*, *RUNX1-RUNX1T1*, *CBFB-MYH11*, and *WT1* over expression were analysed by TaqMan qPCR on an Mx3000P PCR or Mx3005P PCR thermocycler (Stratagene, La Jolla, CA, USA). All reactions were run on mononuclear cells in triplicates and data normalized to the mean of the two housekeeping genes *c-abl* oncogene 1, non-receptor tyrosine kinase (*ABL1*) and  $\beta$ -2-microglobulin (*B2M*). At diagnosis, normalized expression levels were set at one. Primer pairs and probes are given in Table S2. Sensitivities and frequencies of the respective aberrations are given in (Gabret *et al*, 2003).

#### *Statistics*

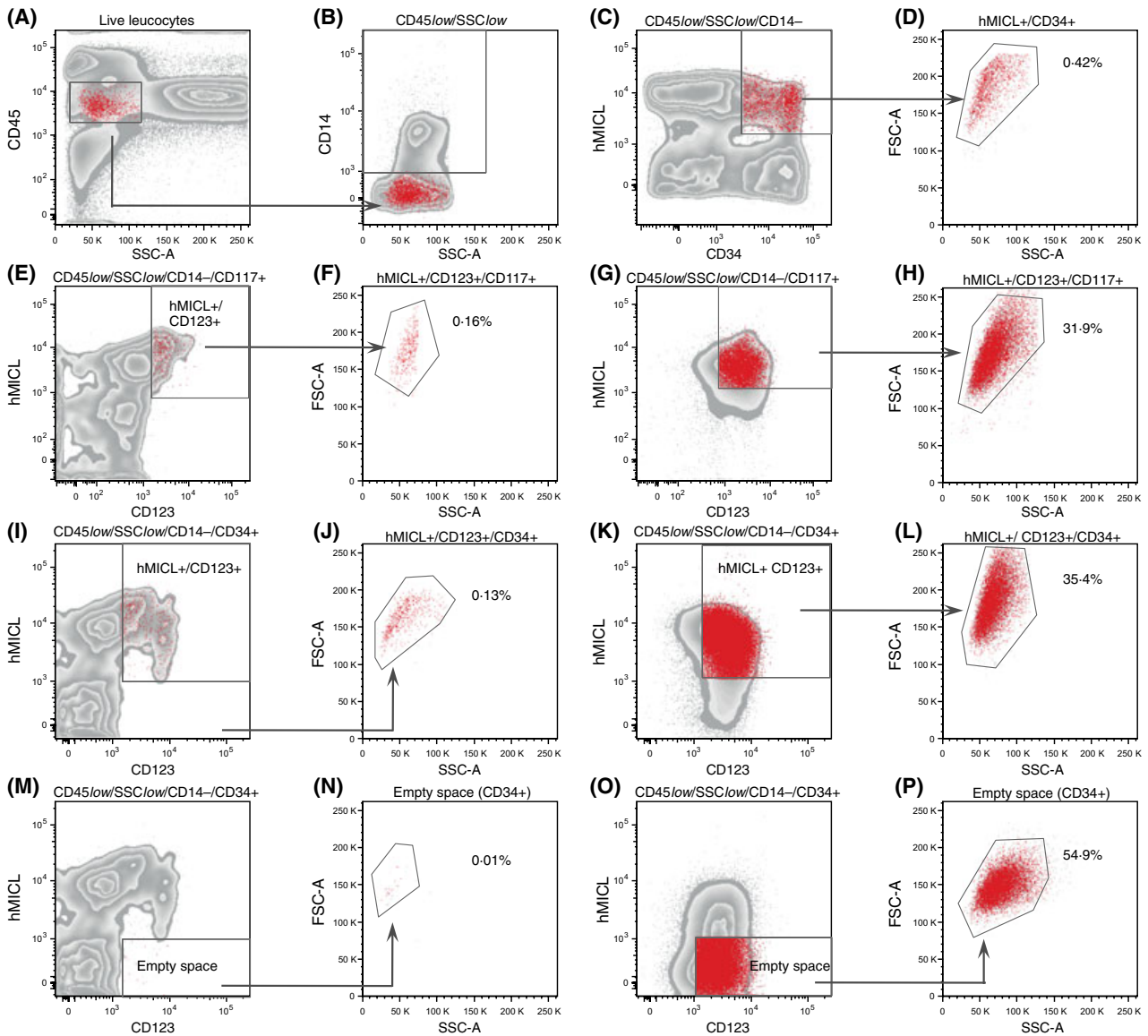
The association between qPCR and MFC values was quantified calculating the Spearman correlation coefficient. The Kaplan-Meier method was used to estimate the distribution of relapse-free survival (RFS), defined as the time between day 1 of treatment and date of relapse, censored at last day of contact. Univariate associations between MRD groups and relapse were tested using log-rank test. *Two-tailed P*-values <0.05 were considered significant.

## **Results**

### *In vitro sensitivity of hM1CL/CD123 LAIPs*

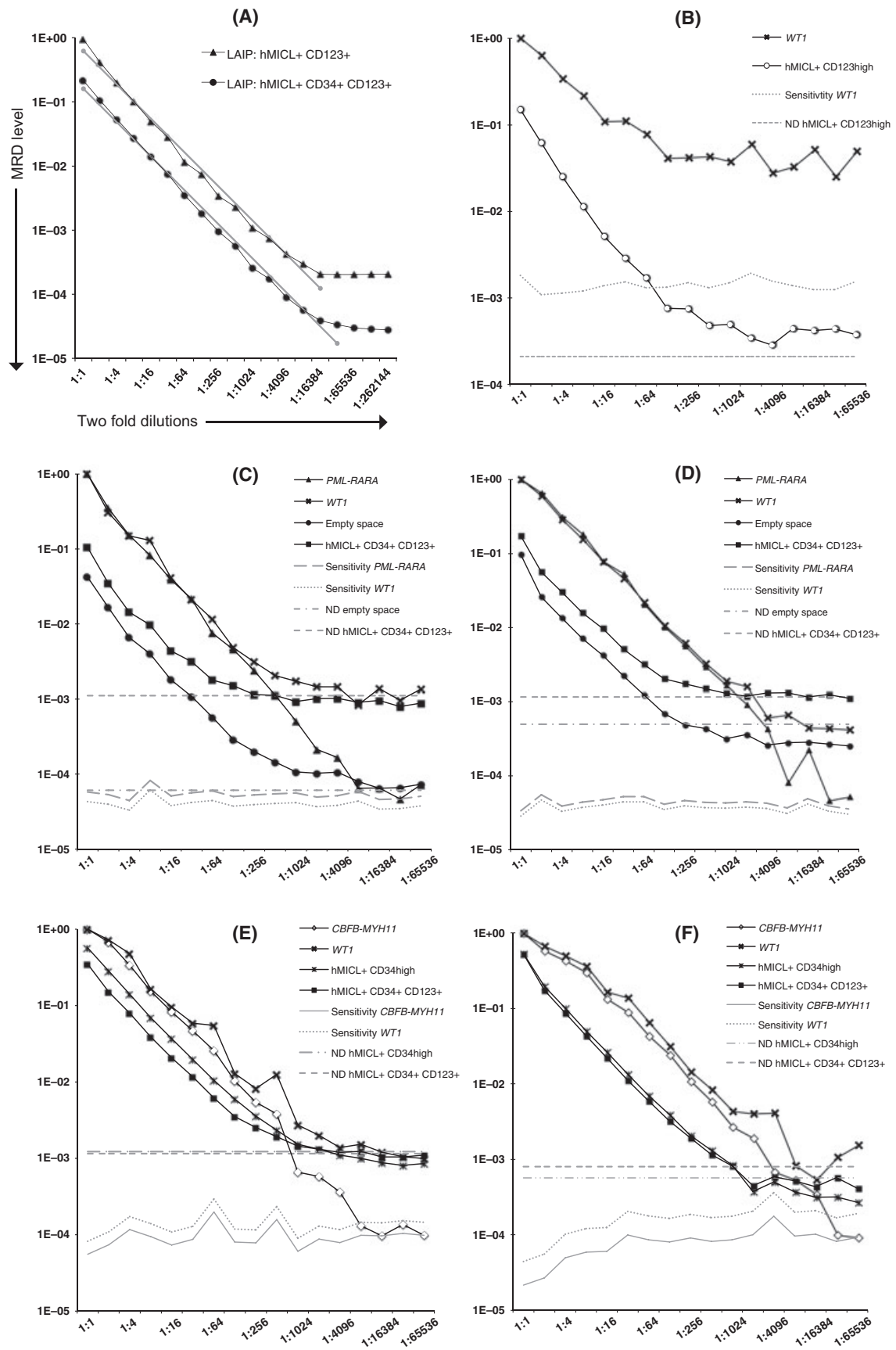
The theoretical sensitivity of the hM1CL/CD123 was determined by performing two-fold dilutions of AML cells in highly purified T-cells, the latter acting as true hM1CL-/CD123-/CD34-/CD117- control cells (Fig 2A). The sensitivity of the assay was calculated from the plateau-phase at of the titration curve and was at least 1:4,500 for the hM1CL+ CD123 + and at least 1:30,000 for CD34+ hM1CL+CD123+ LAIP.

The sensitivity of the hM1CL/CD123 assay in a setting resembling that of clinical testing was evaluated. Dilution experiments for qPCR-positive patients are shown in Fig 2B–F, and the three patients without molecular MRD markers are displayed in Fig S1. The detection limits of MFC ranged from 10<sup>-2</sup> to below 10<sup>-4</sup>. The spiking LAIP assessments with hM1CL/CD123 combinations completely paralleled qPCR quantification for molecularly positive patients. However, for cases with fusion transcript-positive AML the qPCR methods were more sensitive than MFC. On the other hand, in one case (Fig 2B 'empty space') the MFC approach was almost as sensitive as the *PML-RARA* qPCR assay, reaching below the 10<sup>-4</sup> level.



**Fig 1.** Gating strategy and examples of defined hM1CL/CD123 LAIPs. Gating strategy detailed and exemplified in normal bone marrow (BM) and acute myeloid leukaemia (AML) BM samples. The frequencies of leukaemia-associated immunophenotype (LAIP)+ cells within the normal BM are given as median values from all normal samples analysed. Each individual LAIP was analysed in the same way in both AML cases and normal BM. (A) The progenitor population in normal BM and the blast population in AML were characterized by low expression of CD45 and low side scatter ( $CD45_{low}/SSC_{low}$ ). Granulocytes were excluded based on SSC properties. (B) Monocytes in the  $CD45_{low}/SSC_{low}$  were excluded using CD14 staining. (C) hM1CL+/CD34+ cells were back-gated into a forward scatter (FSC)/SSC plot in order to ensure homogeneous scatter properties of the population defined (D). Back-gating into a FSC/SSC plot was performed for all LAIPs defined. The hM1CL+CD123 + CD117 + population in normal BM (E-F) and in a CD34- AML patient (G-H). The hM1CL+CD123 + CD34 + population in normal BM (I+J) and in an AML patient (K-L). The empty space region, defined as CD34+/CD123+/hM1CL- cells, in normal BM (M+N) and in an AML patient (O-P)

**Fig 2.** Two-fold dilution series of hM1CL+ blasts admixed to T-cells and normal bone marrow with paralleled qPCR results. Dotted lines represent sensitivity of real-time quantitative polymerase chain reaction (qPCR) methods and background of hM1CL/CD123 cells from the donor used in each experiment. (A) Titration of hM1CL+ cells on a negative background of purified T-cells. Axes in (A) are representative of all experiments A-F. (B) The hM1CL/CD123-based leukaemia-associated immunophenotype (LAIP) titrated in a donor with high *WT1* background. (C+D) Dilution series of hM1CL/CD123-based LAIPs and paralleled qPCR results of *PML-RARA* and *WT1* from two t(15;17) patients. (E-F) Dilution series of hM1CL/CD123-based LAIPs and paralleled qPCR results for *CBFB-MYH11* + and *WT1* from two inv(16) positive patients. ND, normal donor.



### *Frequencies of hM1CL/CD123-based LAIPs in AML and in normal and regenerating bone marrow*

We delineated the value of hM1CL/CD123 combinations for MRD detection by comparing BM from AML patients with normal and regenerating BM. The LAIP populations defined, the number of LAIPs identified, and the number of LAIP positive patients by application of the hM1CL/CD123 to the CD45/CD34/CD117 backbone are detailed in Table II. A total of 11 populations fulfilling LAIP criteria were identified in 64 of the total cohort of 69 patients (93%) at diagnosis. In fact, because more than one highly sensitive LAIP could be identified in some patients, a total of 150 LAIPs in 62 positive cases were defined. On the other hand, we failed to identify a LAIP in five patients (7%). We successfully applied the 'empty space' concept in 12 patients. The most frequent LAIPs were CD34+hM1CL+, hM1CL+CD34<sup>high</sup> and CD123 + CD34+ (Table II).

### *Quantitation of differences in hM1CL/CD123-based LAIP-positive cells between AML and normal and regenerating BM samples*

A crucial issue in the optimization of a routine MRD marker is the delineation of differences between leukaemic and normal and regenerating BM cells. We approached this issue by employing the LD concept (Table II). As will appear, the maximum LD from the median frequency in both normal and regenerating BM allowed for identification of the three most optimal LAIPs in the series. For normal BM these were: hM1CL+CD123<sup>high</sup>, Empty space (CD34 + AML), and hM1CL+CD34<sup>high</sup> and in regenerating BM: Empty space (CD34 + AML), hM1CL+CD123<sup>high</sup>, and empty space (CD34- AML) in that order of maximal LD.

### *Comparison of MRD as measured by qPCR and by hM1CL/CD123 based MFC post induction*

We directly compared MRD by the hM1CL/CD123 assay and – as gold standard – optimized qPCR assays (Fig 3). Here, 21 molecularly positive patients were evaluable for MRD post-induction, three positive for a fusion transcript and 18 patients had *WT1* over expression. Relative MRD levels of the most sensitive hM1CL/CD123 LAIPs and standard MFC LAIPs were compared separately to relative qPCR MRD levels. The correlation between our standard MFC LAIPs (Table S1) and qPCR was  $r = 0.574$  ( $P = 0.0065$ ) and even stronger for the hM1CL/CD123 based assay  $r = 0.676$  ( $P = 0.008$ ).

### *Level of hM1CL/CD123 as a prognostic test at first CR evaluation*

The level of LAIP post-induction was evaluated as a marker for treatment response. When tabulating the levels of hM1CL/CD123 LAIPs, we found that of 13 patients with

hM1CL/CD123 MRD levels above the median in regenerating BM, 10 patients relapsed. Conversely, only one patient with hM1CL/CD123 MRD below the median in regenerating BM relapsed (Fig 4). With an admittedly short period of observation, a statistically significant difference ( $P < 0.001$ ) in RFS was detected, with patients with hM1CL/CD123 LAIPs levels below the median in regenerating BM less prone to relapse.

### *Validation of longitudinal monitoring of MRD by hM1CL/CD123 LAIPs in comparison to qPCR*

To determine the value of the hM1CL/CD123 MRD marker in the follow-up phase, we monitored 15 patients longitudinally (median number of MRD samplings = 3, range 3–6) by relative hM1CL/CD123-based MRD by MFC and compared these results directly to relative qPCR MRD. We found that these widely different methodologies yielded closely paralleled MRD curves and kinetics (Fig 5).

## **Discussion**

We have previously reported that the hM1CL and CD123 antigens were widely expressed and stable markers in more than 90% of AML samples. This contrasted to other surface proteins routinely employed for AML immunophenotyping, because these were not only less frequent at diagnosis but also prone to change at relapse in up to 35% of cases (Oelschlägel *et al*, 2000; Voskova *et al*, 2004; Larsen *et al*, 2012). Moreover, as both hM1CL and CD123 have been suggested as strong markers for LSCs (Jordan *et al*, 2000; van Rhenen *et al*, 2007b; Jin *et al*, 2009), we have pursued the issue of the hM1CL/CD123 markers in combination with CD45 and the stem cell antigens CD34 and CD117 as an MFC MRD tool. Through spiking experiments and by testing a prospective patient cohort, we showed that this combination of MoAbs constituted a simple, widely applicable MFC MRD tool. Regarding the specificity of the LAIPs, high hM1CL/CD123 MRD levels post-induction were strongly predictive of relapse.

The CD34 antigen is a widely applied backbone in LAIP identification due to its expression on immature cells. However, CD34 negativity of diagnostic samples has been reported as high as 20-30% when employing a cut-off of 1% (Al-Mawali *et al*, 2009; Taussig *et al*, 2010). In our series of patients, 18/69 (26%) were classified as CD34- using this threshold. Among those patients, we only failed to identify a LAIP for MRD detection in one patient when applying the hM1CL/CD123-based approach. Thus, this combination of antibodies paves the way for improved LAIP characterization and MRD quantification by MFC in the otherwise poorly described CD34- AML cases. Moreover, hM1CL/CD123 immunophenotyping may be taken advantage of in cell sorting experiments followed by molecular and cytogenetic analyses and functional studies to better characterize LSCs in CD34-AML. On-going molecular studies of FACS (fluorescent-activated cell sorting) sorted hM1CL/CD123 LAIP-positive cells

**Table II.** Frequencies of hMICL/CD123-based LAIPs in 69 diagnostic AML BM samples, 12 normal BM samples, and 7 regenerating BM samples from ALL patients\*. Log differences were calculated between AML samples and normal and regenerating bone marrow.

| hMICL/CD123 LAIP            | No of cases with AML† | LAIPs in AML (%) |      |      | Positive cells in normal BM (%) |       |       | Log difference |      |      | Positive cells in regenerating BM (%) |       |       | Log difference |      |      |
|-----------------------------|-----------------------|------------------|------|------|---------------------------------|-------|-------|----------------|------|------|---------------------------------------|-------|-------|----------------|------|------|
|                             |                       | Median           | Min  | Max  | Median                          | Min   | Max   | Median         | Min  | Max  | Median                                | Min   | Max   | Median         | Min  | Max  |
|                             |                       |                  |      |      |                                 |       |       |                |      |      |                                       |       |       |                |      |      |
| hMICL+CD34 <sup>high</sup>  | 18                    | 21.3             | 5.5  | 60.2 | 0.031                           | 0.000 | 0.088 | 2.83           | 3.74 | 2.83 | 0.095                                 | 0.000 | 0.132 | 2.35           | 3.74 | 2.66 |
| hMICL+CD123+                | 12                    | 54.4             | 16.8 | 85.7 | 1.334                           | 0.723 | 2.794 | 1.61           | 1.37 | 1.49 | 0.800                                 | 0.671 | 1.289 | 1.83           | 1.40 | 1.82 |
| hMICL+CD123 + CD34+         | 10                    | 18.3             | 7.7  | 62.6 | 0.112                           | 0.064 | 0.174 | 2.21           | 2.08 | 2.56 | 0.068                                 | 0.017 | 0.097 | 2.43           | 2.66 | 2.81 |
| hMICL+CD34 + CD117+         | 10                    | 13.5             | 7.4  | 50.3 | 0.311                           | 0.136 | 0.478 | 1.64           | 1.74 | 2.02 | 0.194                                 | 0.049 | 0.346 | 1.84           | 2.18 | 2.16 |
| hMICL+CD117+                | 6                     | 18.1             | 14.1 | 91.0 | 0.585                           | 0.223 | 0.830 | 1.49           | 1.80 | 2.04 | 0.367                                 | 0.168 | 1.026 | 1.69           | 1.92 | 1.95 |
| hMICL+CD123 + CD117+        | 12                    | 39.1             | 7.4  | 58.1 | 0.257                           | 0.136 | 0.624 | 2.18           | 1.74 | 1.97 | 0.139                                 | 0.046 | 0.611 | 2.45           | 2.21 | 1.47 |
| CD34 + AML Empty space      | 8                     | 22.2             | 5.7  | 54.9 | 0.013                           | 0.000 | 0.049 | 3.23           | 3.76 | 3.05 | 0.000                                 | 0.000 | 0.020 | 4.35           | 3.76 | 3.43 |
| CD34- AML Empty space       | 10                    | 24.1             | 11.6 | 54.9 | 0.077                           | 0.051 | 0.195 | 2.50           | 2.36 | 2.45 | 0.038                                 | 0.016 | 0.125 | 2.80           | 2.86 | 2.64 |
| hMICL+CD123 <sup>high</sup> | 5                     | 20.9             | 5.3  | 21.1 | 0.012                           | 0.000 | 0.024 | 3.24           | 3.72 | 2.94 | 0.000                                 | 0.000 | 0.013 | 4.32           | 3.72 | 3.21 |
| CD123 + CD34+               | 18                    | 23.0             | 7.9  | 83.3 | 0.115                           | 0.048 | 0.172 | 2.30           | 2.22 | 2.69 | 0.307                                 | 0.211 | 0.597 | 1.87           | 1.57 | 2.14 |
| hMICL+CD34+‡                | 41                    | 15.7             | 5.8  | 72.4 | 0.251                           | 0.133 | 0.437 | 1.80           | 1.64 | 2.22 | 0.346                                 | 0.211 | 0.552 | 1.66           | 1.43 | 2.12 |

AML, acute myeloid leukaemia; BM, bone marrow; LAIP, leukaemia-associated immunophenotype; Max, maximum; Min, minimum.

\*LAIPs were in all cases defined on the CD45<sup>low</sup>/SSC<sup>low</sup>/CD14-population. CD14 de-selection was not performed in acute myelomonocytic leukaemia staining positive for CD14. No hMICL/CD123 LAIPs were found at diagnosis in 5 AML samples.

†Some cases had more than 1 LAIP.

‡Calculated on all CD34+ AML cases defined as having >1%CD34 + blasts.

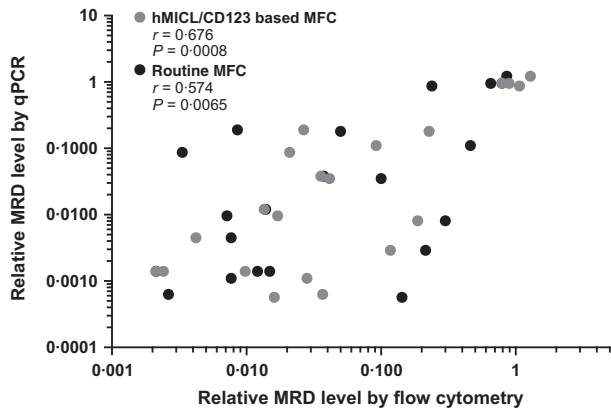


Fig 3. Comparison of relative minimal residual disease (MRD) values as measured by real-time quantitative polymerase chain reaction (qPCR) and multicolour flow cytometry (MFC) at post-induction time points.

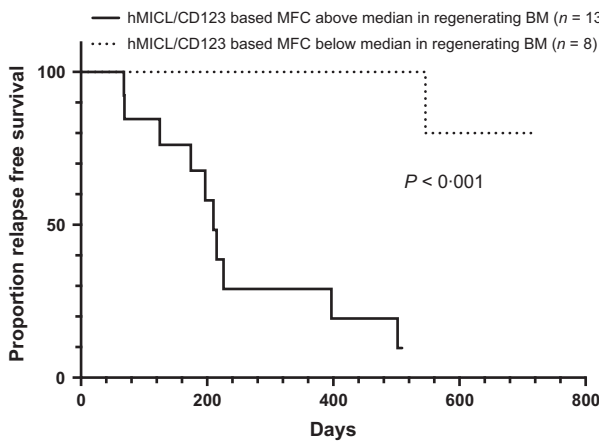


Fig 4. Kaplan–Meier plot of relapse-free survival of patients stratified at post-induction evaluation according to hMICL/CD123 expression. Patients were divided according to hMICL/CD123; patients with high levels display an unfavourable outcome ( $P < 0.001$ , Log rank test). MFC, multicolour flow cytometry.

in our laboratory have confirmed that these populations are, in fact, highly enriched for AML-related molecular aberrations (data not shown).

In total, the search for LAIPs was positive in 93% of diagnostic AML samples when using the hMICL/CD123-based combinations, with sensitivities down to  $10^{-4}$ . By inference, the addition of more colours should undoubtedly allow for further increased sensitivity and applicability, and reduce the amount of BM necessary, as well as costs incurred and time spent. At least four things should be kept in mind: Firstly, the amount of labour and reagents using the present approach are much less than the traditional one, which involves multiple antibody combinations (Kern *et al*, 2010b; Béné *et al*, 2011; van Dongen *et al*, 2012). Secondly, in routine MFC MRD assessment, it is recommended to determine

at least two independent LAIPs to circumvent the problem of false negative results due to immunophenotypic shifts (Kern *et al*, 2010a). As both hMICL and CD123 can be detected with conserved antigen densities at relapse, this may well allow for reducing the number of MoAbs and tubes used (Langebrake *et al*, 2005; Larsen *et al*, 2012). Thirdly, our data have pinpointed the potential importance of applying the empty space – also termed the ‘different-from-normal’ – concept (Lucio *et al*, 2001; Paietta, 2012a). While highly sensitive in some of our patients, more are, nevertheless, needed to conclusively determine the impact of empty space hMICL/CD123 LAIPs in AML. Finally, the hMICL/CD123 assessment is based on the detection of antigens associated with LSCs (Majeti, 2010; Estey, 2012). This could lend an added bonus of the approach due to its possible marking of cells thought to selectively survive anti-leukaemia treatment. In fact, standard MFC panels may fail to detect persisting LSCs post-therapy, which may explain treatment failure in otherwise MFC MRD-negative patients (Bachas *et al*, 2012; Buccisano *et al*, 2012).

It might be argued that, because LSC-directed MRD quantification by MFC does not include all malignant cells, this could lead to a lowering of sensitivity in terms of using LD calculations in the search for the most optimal LAIP. This was evidenced in our longitudinally tabulated log changes of MRD in paralleled relative qPCR and MFC analyses. While we did observe a striking parallelism, qPCR performed better than MFC in this setting. However, this does not in any way diminish the importance of the LSC MFC assays, as the one presented here. In fact, the LSC assays’ higher specificity and improved sensitivity due to low background expression may offer a significant advantage (van Rhenen *et al*, 2005; ten Cate *et al*, 2010). Different MRD approaches are compared based on their sensitivity, specificity and applicability, and results are reported as continuous variables that may not be directly comparable (e.g. log changes versus percentages of LAIP+ cells) (Paietta, 2012a). Irrespective of this, tandem performance and comparison of these methodologies will ensure better MRD coverage and facilitate the establishment of method-specific thresholds and the translation of MRD results into clinical decision making.

The assessment of MRD is a surrogate marker of treatment efficacy that has prognostic impact, although formal implementation of MRD assessment in the management of AML patients is still in its infancy (Hourigan & Karp, 2013). In addition, the preferred platform for the measurement of MRD is still a matter for debate. We have recently suggested a context-driven application of MRD-methods (Hokland *et al*, 2012). The MFC assays have the advantages of wide applicability and short turnover time, and enable the exact enumeration of LAIP-positive cells. On the other hand, the fusion transcripts and somatically mutated genes are the most sensitive and specific MRD targets and can, together with overexpressed genes, be applied for



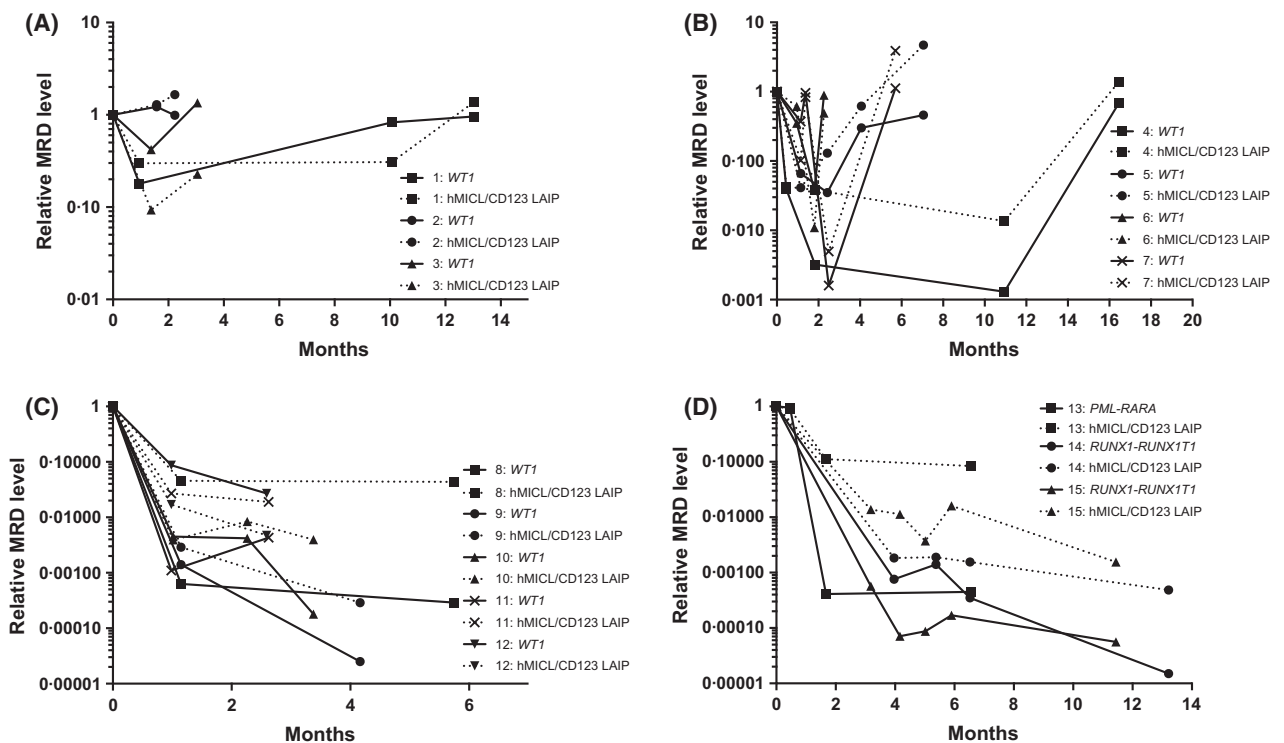


Fig 5. Longitudinal registered log changes in MRD in paralleled MFC and qPCR evaluation. Paralleled log changes of *WT1* over expression measured by real-time quantitative polymerase chain reaction (qPCR) and of multicolour flow cytometry (MFC) hM1CL/CD123 leukaemia-associated immunophenotypes (LAIPs) in (A) patients not attaining haematological complete remission (CR), (B) patients achieving haematological CR after first induction followed by a later relapse, (C) patients in haematological CR at the time of reporting, and (D) paralleled qPCR and MFC minimal residual disease (MRD) results in three fusion transcript-positive patients in haematological CR.

monitoring MRD by qPCR. Additionally, new methods, such as next generation sequencing, are fast gaining ground (Kohlmann *et al*, 2013). How then to implement the hM1CL/CD123-based assay in a routine clinical setting? At the present time this assay should not replace standard LAIP marking. Although the sensitivity and applicability of the assay is established, prospective evaluation in larger patient cohorts are needed to further solidify its performance, and establish clinical cut-off values and recommendations on sampling frequencies.

In conclusion, we have defined an MFC assay employing hM1CL/CD123 in combination with CD34 and CD117, which is comparable to qPCR. It should allow for simpler, faster and more standardized MRD determination by MFC than hitherto described assays due to LAIP coverage of more than 90% of the patients with sensitivities down to  $10^{-4}$  and improve MRD assessment in CD34- AML.

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### Author contributions

ASR, HØL and PH designed the study. ASR, LN, CGN, and HBO analysed the data. ASR wrote first draft of the manuscript. GB and TJ contributed reagents. All authors made significant contributions to, reviewed, and approved the final version of the manuscript.

### Conflict-of-interest disclosure

TJ is director at Dako. GB receives royalty payments from the sale of the anti-hM1CL antibody by eBioscience. All other authors declare no conflicts of interest.

### Supporting Information

Additional Supporting Information may be found in the online version of this article:

**Fig S1.** Spiking experiments of three AML samples without molecular qPCR handle for MRD quantification.

**Table S1.** Monoclonal antibodies used for standard immunostaining of diagnostic AML samples and for MRD evaluation.

**Table S2.** Primer and probe sequences for qPCR amplification found in the core binding factor leukemias and of *WT1*.

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