1	Evolution of IFN subgroups in bony fish - 2. Analysis of subgroup
2	appearance and expansion in teleost fish with a focus on salmonids.
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- 32 Abstract
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A relatively large repertoire of type I interferon (IFN) genes is apparent in rainbow 34 trout/Atlantic salmon, that includes six different IFN subgroups (IFNa-IFNf) belonging 35 to the three known type I IFN groups (1-3) in bony fish. Whether this is true for other 36 salmonids, and how the various type I subgroups evolved in teleost fish was studied 37 using the extensive genomic resources available for fish. This confirmed that salmonids, 38 at least the Salmoninae, indeed have a complex (in terms of IFN subgroups present) 39 and large (number of genes) IFN repertoire relative to other teleost fish. This is in part 40 a consequence of the salmonid 4R WGD that duplicated the growth hormone (GH) 41 locus in which type I IFNs are generally located. Divergence of the IFN genes at the 42 two GH loci was apparent but was not seen in common carp, a species that also 43 44 underwent an independent 4R WGD. However, expansion of IFN gene number can be found at the CD79b locus of some perciform fish (both freshwater and marine), with 45 expansion of the IFNd gene repertoire. Curiously the primordial gene order of GH-46 IFNc-IFNb-IFNa-IFNe is largely retained in many teleost lineages and likely reflects 47 the tandem duplications that are taking place to increase IFN gene number. With respect 48 to the evolution of the IFN subgroups, a complex acquisition and/or loss has occurred 49 in different teleost lineages, with complete loss of IFN genes at the GH or CD79b locus 50 in some species, and reduction to a single IFN subgroup in others. It becomes clear that 51 there are many variations to be discovered regarding the mechanisms by which fish 52 elicit protective (antiviral) immune responses. 53 54

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59 1. Introduction

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Interferons (IFN) exist in all extant Gnathostome vertebrates, and function as a key 61 component of the antiviral defences. Three types (I-III) of IFN are broadly recognized, 62 with type III apparently lost in bony fish [1]. Type II IFN have remained present in the 63 64 genomes of all jawed vertebrates but in teleost fish have been expanded, likely as a result of tandem gene duplication at the IFN- γ locus, to include a related gene called 65 IFN- γ -rel [2]. In contrast, type I IFNs are highly diverse in terms of the 66 groups/subgroups and copy number present in different vertebrate groups and species. 67 All of these IFNs have relatedness to the IL-10 family of cytokines (i.e. class II 68 cytokines), and appear to have evolved from a primordial class II cytokine gene that 69 gave rise to the IL-10 cytokines and an IFN type I/III precursor, with the latter 70 71 subsequently diverging into the type I and III IFNs [3].

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Some IFN genes may have separated early from the ancestral type I IFN, giving rise to 73 distinct lineages that have been expanded or lost during vertebrate evolution. For 74 example, three groups (1-3) of type I IFN genes are known in the ray finned fish, but 75 group 3 genes (also called IFNf) appear to have evolved quite early and are also found 76 in cartilaginous fish and amphibians [1]. In the ray finned fish a putative group 1/2 IFN 77 ancestor evolved that had diverged into distinct group 1 and group 2 genes by the 78 appearance of the Chondrostean fish (eg sturgeon). Hence these fish possess 3 groups 79 of type I IFNs; group 1 represented by IFNe, group 2 by IFNb and group 3 by IFNf 80 [4,5]. Diversification of the group 2 IFNs into two subgroups (ie IFNb and IFNc) is 81 apparent in Holosteans (eg gar) [5], whilst further expansion of the group 1 IFNs into 82 additional subgroups (IFNa, IFNd, IFNh) has occurred in teleost fish [6,7]. This further 83 expansion of group 1 genes in the teleost fish lineage could potentially be linked to the 84 teleost specific whole-genome duplication (3R/TS-WGD) event, which generated two 85 IFN loci [8], that are referred to below as linked to growth hormone (GH) or CD79b. 86 However, subsequent expansion or even loss of these subgroups seems to have 87 happened in a lineage-specific fashion within teleosts. In this second of two papers 88 looking at IFN evolution in ray-finned fish, we examine these issues. 89

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Past studies of the IFN groups/subgroups in teleost fish suggest that salmonids (rainbow 91 trout, Atlantic salmon) have the largest IFN repertoire; not only in terms of the 92 groups/subgroups that they possess but also in the number of genes present [6,9]. 93 However, this statement has been based on BAC clone analysis and to date the salmonid 94 genomic loci have not been defined/described. With an increasing number of teleost 95 genomes available to interrogate, in this study we revisit this finding to verify if this is 96 true for other Protacanthopterygian species. We have analysed a variety of salmonid 97 species (i.e.- rainbow trout, Atlantic salmon, chinook salmon, coho salmon and Arctic 98 charr) that have undergone a 4R WGD event, as well as Northern pike that have not, to 99 see if the mechanism(s) by which IFN gene expansion has occurred is influenced by 100 WGD. In addition, we have analysed the type I IFN genes, subgroups and loci present 101 in a variety of other teleost fish groups (Elopomorpha, Osteoglossomorpha, 102

Ostariophysi, Paracanthopterygii, Acanthopterygii), to give a broader view of subgroup 103 expansion in teleosts, especially of the group 1 IFNs since only a single subgroup (IFNe) 104 appears to have been present prior to the emergence of this infraclass [4,5]. This 105 included a species (common carp) that has undergone an independent 4R WGD event. 106 Our findings show that salmonids, at least the Salmoninae (one of three salmonid 107 108 subfamilies), indeed have a complex IFN repertoire relative to other teleost fish. This is in part a consequence of the salmonid WGD that duplicated the growth hormone (GH) 109 locus. However, divergence of the IFN genes at the two GH loci was apparent and was 110 not seen in common carp. Interestingly, expansion of IFN gene number was found at 111 the CD79b locus of some perciform fish, where the IFNd gene repertoire has increased. 112

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2. Materials and Methods

115 *2.1 Teleost fish genomes*

Currently, the genomes or whole genome contigs of many fish species are available at 116 National for Biotechnology Information (NCBI: the Centre 117 https://www.ncbi.nlm.nih.gov/) or Ensembl (https://www.ensembl.org/index.html) 118 databases. They include a good coverage of different teleost superorders, such as the 119 120 Elopomorphs, Osteoglossomorpha, Ostariophysi, Protacanthoptervgii. Paracanthopterygii and the Acanthopterygii. These available genome sequences can 121 facilitate the identification and evolutionary analysis of fish type I IFN. In this study 122 we focused initially on salmonid species, including rainbow trout (Oncorhynchus 123 mykiss), chinook salmon (Oncorhynchus tshawytscha), coho salmon (Oncorhynchus 124 kisutch), Atlantic salmon (Salmo salar) and Arctic charr (Salvelinus alpinus). We then 125 analysed other species within the above mentioned superorders, including species to 126 allow a comparison of the impact of a 4R WGD in relation to 3R relatives within the 127 Ostariophysi and Protacanthopterygii. The species analysed included the Japanese eel 128 129 (Anguilla japonica), Asian bonytongue (Scleropages formosus), Northern pike (Esox lucius), nile tilapia (Oreochromis niloticus), common carp (Cyprinus carpio), cod 130 (Gadus morhua), haddock (Melanogrammus aeglefinus), olive flounder (Paralichthys 131 olivaceus), turbot (Scophthalmus maximus), large yellow croaker (Larimichthys 132 crocea), tetraodon (Tetraodon nigroviridis), medaka (Oryzias latipes), seabass 133 (Dicentrarchus labrax), the white-blooded icefish (Chaenocephalus aceratus) that 134 lacks hemoglobin in its blood and the cold-adapted Antarctic toothfish (Dissosticus 135 mawsoni). Whilst some of the IFN genes present in these species have been published 136 previously (Lutfalla et al. [10] (tetraodon); Casani et al. [11] (sea bass); Kitao et al. [12] 137 (carp); Pereira et al. [13] (turbot); Maekawa et al. [14] (medaka); Hu et al. [15] 138 (flounder); Ding et al. [16] (croaker); Huang et al. [17] (Japanese eel)), the exact 139 number of each subgroup present and their genomic location were not typically 140 available. Data for the IFN loci/genes in zebrafish (Danio rerio) and stickleback 141 (Gasterosteus aculeatus) were already available and included without further analysis 142 143 [8].

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145 2.2 In silico identification of fish IFN genes

146 The fish IFN genes were obtained by tBLASTn against the fish genome database using

previously published IFN sequences (e.g. Zou et al. [6]). The identified IFN sequences 147 were then recorded according to their positions in the genome. The genomic DNA 148 sequences that partially matched the IFN sequences were also recorded and analysed 149 the GenScan Splign by program [18] or by 150 ExPASy-translate (https://www.ncbi.nlm.nih.gov/sutils/splign.cgi). 151 (https://web.expasy.org/translate/) was used to determine whether the predicted 152 sequences could be correctly translated. The predicted transcripts were also confirmed 153 using default parameters on the 154 bv BLASTp search NCBI website (https://blast.ncbi.nlm.nih.gov/Blast.cgi?PAGE=Proteins&). The accession numbers of 155 identified IFN genes are listed in Tables S1-S15, but when no accession number was 156 available we have provided the predicted sequences in supplementary Figures S8-S56. 157 Subsequently, alignment of protein sequences using Clustal Omega was performed to 158 159 sort out any wrongly annotated IFN sequences, which were then re-predicted by GenScan program or by Splign. Due to the low identities of IFN genes between 160 different IFN subgroups and among fish species, the queries used in BLAST search 161 varied a lot, e.g. IFNh of large yellow croaker was used to search the IFNh genes in 162 163 other fish, and 4 published Japanese eel IFN genes [17] were used to predict the additional IFN genes in the genome of Japanese eel. The synteny between the type I 164 IFN loci was predicted using the Genomicus program (database version 96.01) or 165 information extracted from recently released genomes or whole genome contigs at 166 NCBI or Ensembl databases, with a focus on identifying linkage to GH and CD79b, to 167 confirm the evolutionary changes occurring at particular loci. 168

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170 2.3 Phylogenetic tree analysis of fish IFN genes

A series of phylogenetic trees were generated to verify the IFN subgroups present in 171 different fish species and to understand the evolution of fish IFN genes. These included 172 a salmonids IFN phylogenetic tree, salmonid and pike IFN phylogenetic tree, and a 173 teleost fish IFN phylogenetic tree. Phylogenetic trees were constructed by the 174 Neighbour-joining method using the MEGA7.0 program on full-length amino acid (aa) 175 alignments and bootstrapped 1,000 times. The evolutionary distances were computed 176 using the JTT matrix-based method with all ambiguous positions removed for each 177 sequence pair. 178

180 2.4 Terminology

Having identified the IFN gene repertoires, it was clear that a large number of IFN 181 genes are present in some lineages/loci. So we have introduced a terminology to name 182 the genes by IFN subgroup, followed by locus (with the GH locus/loci numbered first) 183 and then gene number for the locus being described (ie IFNa1.1, a1.2, b1.1, etc). In 184 addition, where a gene was fully identified but there was a premature stop codon, it was 185 termed a pseudogene (pIFN), and the subgroup designation was given. If only part of a 186 gene was found (ie several exons), usually due to incomplete sequencing (ie multiple 187 N's), then it was reported in our synteny analysis but the subgroup designation was not 188 always possible to ascribe. 189

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191 *2.5 Sequence analysis*

Protein translation was performed using Virtual Ribosome-version 2.0. Identity and 192 similarity analysis were performed using the matrix BLOSUM62 within the MatGAT 193 program [19], with a gap open penalty of 10 and gap extension penalty of 1. Multiple 194 alignment performed using Clustal 195 aa was Omega (https://www.ebi.ac.uk/Tools/msa/clustalo/) and the conserved aa were shaded using 196

- 197 the BoxShade program (<u>https://embnet.vital-it.ch/software/BOX_form.html</u>).
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2003. Results/Discussion

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Seven type I IFN subgroups are known in teleost fish, that were named as discovered; 202 IFNa-f and IFNh [7,8], with IFNg avoided to prevent confusion with IFN- γ , a type II 203 IFN. Whilst IFNa-f are present in the salmonids (e.g. rainbow trout), only IFNa, c and 204 d have been found in cyprinids [8,12,20], although in black carp IFNc (see Fig. 7) has 205 been described as IFNb [21]. In percomorphs initially IFNd was discovered [11,22,23], 206 followed by the new subgroup IFNh [7], but most recently it has become apparent that 207 three subgroups are present in some perciform species, namely IFNc, IFNd and IFNh 208 [16,24,25]. This may be true in other percomorph orders since olive flounder 209 (Pleuronectiformes) also possess these three subgroups [15] and turbot have an IFNc 210 and IFNh gene [13], so most likely will have IFNd in common with all other 211 Acanthopterygian species studied to date. Whilst medaka are reported to have an IFNa 212 and IFNd gene [14], we found that the IFNa is in fact IFNh (and there are multiple IFNd 213 genes - see Fig. 7), and hence is in line with the above. The discovery of the IFNe and 214 IFNf subgroups in salmonids initially led to the hypothesis that these could be 215 salmonid-specific IFNs. However, this was quickly dispelled with the realization that 216 IFNf is in fact an ancient IFN also present in cartilaginous fish [1], and that IFNe genes 217 were present in Chondrostean and Holostean fish [4,5], and therefore these subgroups 218 were likely lost in particular teleost lineages. Nevertheless, a relatively large repertoire 219 of IFN genes is apparent in rainbow trout/Atlantic salmon. Whether this is true for other 220 221 salmonids, potentially influenced by the 4R WGD event in this lineage, and more generally how the IFN subgroups evolved in teleost fish warrants further analysis. This 222 was undertaken here using the extensive genomic resources available for fish. 223

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3.1 What happened post-genome duplication in salmonid species?

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To understand the impact of the 4R WGD in salmonids on IFN diversity, we have 227 analysed the IFN loci in five salmonid species (rainbow trout, chinook salmon, Atlantic 228 salmon, coho salmon, Arctic charr) and in Northern pike. In pike, as with other 3R 229 teleost species, there are two IFN loci, one linked to GH and one linked to CD79b (Fig. 230 1). A single IFNd gene is present at the CD79b locus, whilst at the GH locus 12 IFN 231 genes were found, with subgroups verified by phylogenetic tree analysis as 3x IFNa, 232 1x IFNb, 5x IFNc, 2x IFNe and 1x IFNf (Figs. 1 and 2). Therefore it is apparent that 233 salmonids are not the only teleost species to possess 6 IFN subgroups, and that the GH 234 locus expanded prior to the 4R WGD. In salmonids two GH-linked IFN loci were found 235 in all species (Figs. 3 and 4). The first GH locus (locus 1) looked quite similar to the 236 pike locus, in that 4 IFN subgroups are present, with multiple IFNc and a single IFNf 237 (Fig. 3). However, only a single IFNa and IFNe exist at this locus in salmonids, where 238 3 or 2 genes are present, respectively, in pike. IFNb is also present at this locus but as 239 one (or two) pseudogene(s), with the exception of charr where no IFNb could be 240

identified. This probably reflects the fact that the genome assembly is not as good in 241 charr. Indeed two different scaffolds were included in the analysis; one linked to GH 242 and a second where the IFN subgroups and gene number (1x IFNa, 1x IFNe and 1x 243 IFNf) suggested it was part of locus 1, especially as the IFNa and IFNe genes clustered 244 with the respective Atlantic salmon genes from this locus. At the second GH locus 245 246 (locus 2) all five subgroups were found (Fig. 4), except for charr which again apparently lacked IFNb, but now with multiple IFNa, IFNb, IFNe and IFNf as well as multiple 247 IFNc present. In comparison to the 12 genes present in pike at the GH locus, the number 248 of IFN genes at this second salmonid GH locus ranged from 15-17 genes in chinook 249 salmon, Atlantic salmon and coho salmon, to 28 genes in rainbow trout. The number of 250 IFNe in particular was greatly expanded in rainbow trout at this locus. Whilst the Arctic 251 charr had relatively few IFN genes (7) at this locus the genome assembly was probably 252 not sufficiently robust to allow detection of all genes present. Three charr scaffolds were 253 included in the analysis, one linked to GH and two where the subgroups/gene number 254 present suggested the IFN genes detected are from locus 2 by comparison to the other 255 salmonids studied (see Fig. 4 legend). Lastly, only a single CD79b locus was identified, 256 that was linked with a single IFNd gene in each species (Fig. 5). 257

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The total number of IFN genes present in chinook, Atlantic and coho salmon is close to 259 double the number present in pike, which might be predicted due to the duplication 260 caused by the salmonid 4R WGD. However, the loci are not identical to pike and in 261 general there is a small reduction of IFN genes at locus 1 and a small expansion at locus 262 2. The number of IFN genes identified at the second GH locus in rainbow trout seems 263 exceptional, but perhaps also reflects a better quality genome being analysed. Only 264 resequencing through this region for the other species will confirm if more IFN genes 265 are present at GH locus 2. Indeed, it should be noted that a large number of IFN 266 pseudogenes and IFN partial sequences were detected at the GH loci in salmonids (Figs 267 3 and 4). This might be as expected for sites of high gene birth and death [1,6] but 268 perhaps some will prove to be transcribed genes in future analysis. 269

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One of the most interesting findings was that the two GH loci do seem to be diverging. 271 This is evidenced by 1) the loss of IFNb genes at locus 1, where only a pseudogene is 272 now present, 2) the major expansion of IFNe genes at locus 2, and 3) the divergence of 273 IFNc genes between locus 1 and locus 2, as seen in the phylogenetic tree analysis (Fig. 274 2) and aa alignments (Suppl Fig. 3). The latter can be seen in trout IFNc1.1, as a 275 representative molecule of the IFNc at locus 1, where aa 19 (F), 34 (T), 93 (T), 99 (M), 276 107 (Y), 171 (E), 175 (K) and 184 (S) are different to the IFNc equivalent aa at locus 277 2. With the other IFN subgroups a high degree of sequence conservation was apparent 278 (Suppl Figs1, 2, 4-6), despite the large increase in gene number in some cases. However, 279 a divergence from the pike sequences was seen, with the N-terminal sequence of IFNc 280 showing the greatest difference. 281

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In relation to the previously published BAC sequence analysis in rainbow trout [6], it was difficult to find exact congruence of the data. However, with the multiple IFNe present in Clones RT282J16 and RT303F02 it is clear they are from GH locus 2, with
regions in the above analysis containing 3x IFNe and an IFNa or 3x IFNe and an IFNf
in agreement with these BAC clones. BAC clone RT292E06 was more difficult to place
but again appeared to be from GH locus 2, since there are more IFNb and IFNc genes
(3 and 4 respectively) than found by genomic analysis of GH locus 1. The region
immediately downstream of GH at this locus also contains IFNa and IFNf genes, as
observed in clone RT292E06.

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Altogether, it is clear from the salmonid IFN loci analysis that the 4R WGD generated 293 two GH loci, although only a single CD79b locus appears to have been retained. So the 294 total number of IFN genes present is approximately double, or has been expanded 295 further in the case of rainbow trout (at GH locus 2). Divergence between the number of 296 genes per subgroup is also apparent, as seen with the two GH loci. The salmonids 297 examined are all members of the Subfamily Salmoninae, and therefore it is not 298 impossible that a different scenario will be found in species belonging to other 299 subfamilies (Coregoninae and Thymalinae). Indeed, future analysis of other species 300 within the Salmoninae, such as the Danube salmon, and Thymalinae (e.g. Grayling) 301 302 may also help confirm whether the large IFN repertoire is associated with anadromy (all the salmonid species examined here are anadromous), or whether it is a subgroup 303 304 or salmonid wide phenomenon.

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3.2 Does genome duplication per se result in IFN gene expansion?

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From the above findings in salmonids, the question remains as to whether WGD has 308 contributed to IFN gene number and loci divergence at other times during teleost 309 evolution. One comparison that can be made to answer this question is to look at the 310 IFN genes in gar [5], a Holostean ray-finned fish, compared to a basal teleost such as 311 Japanese eel [26] that has undergone the teleost wide 3R WGD [27]. In gar we have 312 previously identified an IFN locus linked to both GH and CD79b that contains 7 IFN 313 genes (1x IFNb, 4x IFNc, 2x IFNe), and a separate scaffold (that cannot be linked 314 currently) that contains an IFNf gene [5]. The Japanese eel was studied recently by 315 Huang et al. [17], where five putative IFN genes were found at a single locus linked to 316 GH, with four verified by cDNA sequencing. These genes included 1x IFNa, 1x IFNb, 317 2x IFNc and 1x IFNe. Our analysis of the eel genome discovered an additional IFNb, 318 IFNc and a partial sequence for an IFNa gene at the GH locus, as well as an IFNc and 319 IFNf at the CD79b locus (Figs. 6 and 7). Finally we discovered 2x IFNf on a separate 320 scaffold that is likely linked to one of these loci, but it was not clear which (Fig. 6). 321 This helps confirm that following the 3R WGD two loci were generated in early teleosts, 322 as postulated from studies of zebrafish and stickleback [8], with one linked to GH (with 323 CD79b lost) and one linked to CD79b (with GH lost). It is possible that IFNc and IFNf 324 are present at both, depending on where scaffold 364684 eventually links (Fig. 6). 325 However, IFNa, IFNb and IFNe are present at only the GH locus. Thus the eel GH locus 326 looks quite similar to the single gar IFN locus, in having 7-9 IFN genes (depending on 327 where the IFNf will be located) vs 7-8 genes in gar, with IFNb, IFNc and IFNe genes 328

present in both species. The CD79b locus has a reduced IFN/subgroup number, with 329 only a single IFNc and 1-3 IFNf. Hence, whilst the 3R WGD resulted in two IFN loci, 330 the number of genes and subgroups has only expanded marginally in the Elopomorphs. 331 However, as will be outlined below, this is actually a unique situation in terms of the 332 eel CD79b locus, where in all other studied teleosts IFNd genes are exclusively located 333 334 at this site. To see if any other basal teleosts may have similar IFN loci, we also examined the genome of the Asian bonytongue, as a representative of the 335 Osteoglossomorpha [26]. Again two IFN loci were found linked to GH or CD79b (Fig. 336 6), but with only a single IFNa and IFNb at the GH locus and a single IFNc at the 337 CD79b locus. This suggests that IFNe and IFNf has been lost in these fish as 338 Elopomorphs are considered more ancient, and again shows retention of an IFNc at the 339 340 CD79b locus in basal teleosts.

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Another comparison that can be made is between 3R cyprinids such as zebrafish, with 342 4R cyprinids such as the common carp (Fig. 8). It is known that zebrafish have two loci, 343 with 1x IFNa and 2x IFNc at the GH locus and 1x IFNd at the CD79b locus (Boudinot 344 et al. [8] - see Fig. 8 for reference to phi terminology for these genes). Our analysis of 345 the carp genome has confirmed that these loci are duplicated exactly in carp, giving two 346 GH loci each with 1x IFNa and 2x IFNc, and two CD79b loci with a single IFNd gene 347 (Fig. 8). There has been no gene loss or gain at the loci, but clearly the number of IFN 348 loci and gene number has doubled. However, it should be noted that the 4R WGD in 349 carp was more recent than the salmonid 4R WGD, and was an allotetraploidization 350 event vs the autotetraploidization that occurred in salmonids, and these differences may 351 have impacted the above findings. 352

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Thus it is apparent that genome duplication has indeed increased the number of IFN loci in teleosts. However, gene loss, gene gain or no change can occur at the duplicated loci, with loss of entire loci also possible (as seems to have occurred with one of the salmonid CD79b loci).

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359 *3.3 When did the IFN group 1 subgroups appear?*

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In sturgeon (Chondrostean) and gar (Holostean) only a single type of group 1 IFNs is 361 present, IFNe [4,5]. However, already in eel representing an early teleost group 362 (Elopomorphs) a second group 1 subgroup is apparent, IFNa (Fig. 6), and this is also 363 the case in bonytongues (Osteoglossomorpha). It is found at the GH locus and hence is 364 likely derived from IFNe. IFNa is also found in the cyprinids and salmonids but appears 365 to be lost in the neoteleosts, as is not present in gadoids and percomorphs (see below). 366 IFNe is also lost in these groups, and is even absent in the cyprinids analysed to date, 367 and so could have been lost independently on several occasions. Once more teleost 368 genomes are available to interrogate the timing of these events should become clearer. 369 Similarly, IFNf has been lost alongside IFNe, and from both loci, since IFNf is present 370 at the CD79b locus in Japanese eel (see Fig. 6). However, further group 1 subgroups 371 have appeared in these fish. In all Euteleosts and Otocephala examined to date, IFNd is 372

present at the CD79b locus. It is not clear how it has arisen, since no other group 1 373 genes are present at the CD79b locus in eels and bonytongues, that have only group 374 2/IFNc (in both) and group 3/IFNf (eels) genes. However, IFNe could have been present 375 at both loci following the 3R WGD, and so perhaps IFNd was derived from IFNe later 376 in teleost evolution, but that loss of IFNe occurred at the CD79b locus in Elopomorpha 377 378 and Osteoglossomorpha. Indeed, in the phylogenetic tree of the salmonid and pike IFN molecules (that include the vast majority of the IFNe genes known), it does suggest that 379 IFNe is basal to both IFNa and IFNd, in support of this hypothesis (Fig. 2). 380

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Another group 1 subgroup that has emerged is IFNh, initially discovered in the 382 percomorphs [7]. In our examination of several percomorph species (turbot, tetraodon, 383 large yellow croaker, tilapia, sea bass, stickleback) it is apparent that IFNh is present, 384 385 or as a partial sequence, at the GH locus (Figs. 9 and 10, Suppl Fig. 7). This linkage was not able to be verified in medaka or flounder (Suppl Fig. 7), but it seems likely 386 that the scaffolds/genes shown will eventually be found to be linked. Whether IFNa or 387 IFNe gave rise to IFNh is less clear but this would be the most likely origin. Curiously, 388 we have also found IFNh in gadoids (cod, haddock), confirmed to be at the GH locus 389 390 in cod alongside IFNb (Figs. 7 and 10, Suppl. Fig. 7). This shows that this subgroup emerged earlier, and was present in neoteleosts before the divergence of the 391 Paracanthopterygii and Acanthopterygii. In the case of haddock, two scaffolds were 392 found with 1x IFNh and 1x IFNb respectively, and so in comparison to cod we predict 393 the haddock genes will be linked to GH. 394

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A model of the appearance (and loss) of IFN subgroups during teleost evolution ispresented below.

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3.4 Can expansion of the CD79b locus occur?

401 The CD79b locus seems to have reduced to a single gene quite early in teleost evolution, as a single IFNc in Osteoglossomorpha or a single IFNd in the Otocephala and 402 Euteleosts, as seen in the Ostariophysii (eg cyprinids) and Protacanthopterygii (eg 403 esociformes and salmoniformes). However, there is evidence that the IFN genes at this 404 locus have also been expanded later in teleost evolution, as seen in the Percomorphs. 405 In some species, such as turbot, flounder, stickleback, tetraodon, medaka, ice fish, 406 toothfish and large yellow croaker 2-4 IFNd genes are present (Fig. 9, Suppl Fig. 7), 407 and in some cases (tetraodon, icefish/toothfish) this is the only IFN subgroup present 408 (with no functional IFN genes at the GH locus). However, in species such as tilapia and 409 seabass major expansion of the CD79b locus has occurred with 12-18 IFNd genes 410 present (Fig. 10). In terms of the mode of gene duplication occurring, en bloc 411 duplication seems to be a common theme. For example, three linked blocks are 412 identifiable in tilapia that form a single clade (IFNd2.1-2.6) in the phylogenetic tree, 413 and six continuous blocks (IFN2.7-2.19) are present downstream, such that each block 414 has a gene/genes that belong to two independent clades (Figs. 7 and 10). Similarly, en 415 bloc duplication may have occurred at the salmonid IFN locus 2 (Fig. 5). In contrast to 416

these perciform fish, in cod (that was also examined in this study) the CD79b locus had
no detectable IFN genes present. Similarly, no IFNd genes could be found in haddock,

- suggesting IFNd has been lost in gadoids/ Paracanthopterygii (Fig. 10).
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So precedents exist that show expansion of IFN genes at the CD79b locus, as seen insome perciform species.

424 **4.** Conclusion

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This analysis has confirmed that salmonids, at least the Salmoninae, indeed have a 426 complex (in terms of IFN subgroups present) and large (number of genes) type I IFN 427 repertoire relative to other teleost fish. Whilst 6 IFN subgroups were already present in 428 429 pike, the salmonid WGD gave rise to a second GH locus substantially increasing the number of IFN genes. The IFN genes at these two GH loci are clearly diverging, with 430 expansion of several group 1 genes (IFNa, IFNe) particularly apparent in rainbow trout. 431 In contrast the WGD event in cyprinids has not driven (as yet) a comparable gene loss 432 or gain, although the loci are duplicated, thus effectively increasing IFN gene number. 433 The salmonids have also been shown to have a large number of (IFN induced) Mx genes 434 [28,29], and hence the antiviral defences in these fish is likely augmented at several 435 levels, perhaps reflecting their anadromous life cycle. However, expansion of IFN gene 436 number can be found at the CD79b locus in some perciform fish (both freshwater and 437 marine), with expansion of IFNd genes, which is most intriguing. That these loci are 438 sites of high gene gain and loss is also apparent from the large number of pseudogenes 439 present, independently of whether this occurs at the GH loci in salmonids or the CD79b 440 locus in perciformes. Curiously the primordial gene order of GH-IFNc-IFNb-IFNa-441 IFNe is largely retained in many teleost lineages and likely reflects the tandem 442 duplications that are taking place to increase IFN gene number. 443

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445 With respect to the evolution of the type I IFN subgroups, a complex acquisition and/or loss has occurred in different teleost lineages, as illustrated in Figure 11, with complete 446 loss of IFN genes at the GH or CD79b locus seen in some species, and even reduction 447 to a single IFN subgroup. The evolutionary pressures leading to IFN reduction or 448 expansion will be important to establish, to understand more fully how antiviral 449 defences adapt to different life history traits. For example, gadoids possess a single 450 IFNb and IFNh, but have lost their Mx genes [30] as well as other immune molecules 451 [31,32] yet are able to produce a clear antiviral response following viral infection [33] 452 or stimulation with poly I:C [34]. Some evidence for IFN subgroup functional 453 diversification exists, mainly in the relatively well studied salmonid IFN genes. In 454 rainbow trout, IFNa transcripts can undergo alternative splicing to generate intracellular 455 IFNs that may have a selective advantage [35]. Furthermore, analysis of subgroup 456 induction following viral infection has shown some subgroups are induced rapidly but 457 not substantially, whereas others (especially group 2 genes) can be highly upregulated 458 later in the response [6]. These group 2 IFN genes are apparently highly (co)expressed 459 by a discrete cell population in salmon [36], rather similar to the situation in mammals 460

with IFN production by plasmacytoid dendritic cells [37,38]. There may also be 461 functional divergence between the group 1 and group 2 IFN molecules in terms of 462 receptor signalling, as seen in zebrafish where these two IFN groups have been shown 463 to signal via different receptors [39]. It is interesting to see that group 2 genes (unlike 464 group 3 IFNf) have been retained through to the perciforms, although loss of IFNb or 465 IFNc has happened in different lineages. Nevertheless some perciform species have lost 466 the group 2 genes, and so it is certainly possible to survive without them! As 467 exemplified by the unusual immune system present in gadoids, it is clear there are many 468 variations to be discovered regarding the mechanisms by which fish elicit protective 469 (antiviral) immune responses. 470

471 472

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474

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665	
666	Figure Legends
667	
668	Figure 1. Figure showing the GH and CD79b loci in pike, with the associated IFN genes.
669	with different colours representing the different IFN subgroups present.
670	
671	Figure 2. Phylogenetic tree of all salmonid and pike IFN molecules known to date. The
672	phylogenetic tree was constructed using amino acid multiple alignments of IFN
673	molecules from salmonids and pike, and the neighbour-joining method within the
674	MEGA7.0 program. The evolutionary distances were computed using the JTT matrix-
675	based method with all ambiguous positions removed for each sequence pair. Node
676	values represent percent bootstrap confidence derived from 1,000 replications. Note the
677	subdivision of the IFNc subgroup into two clades that represent molecules at the two
678	GH loci in the salmonid species. The pike molecules are highlighted with a red dot.
679	
680	Figure 3. Figure showing the GH locus 1 in salmonids, with the associated IFN genes.

with different colours representing the different IFN subgroups present. Note the IFNb pseudogenes shown with a solid line and the additional partial IFN genes shown with broken lines. In the case of charr two scaffolds are presented that were considered to be from locus 1. Scaffold 1253 contains GH whilst scaffold 807 has IFN subgroups and gene number (1x IFNa, 1x IFNe and 1x IFNf) that suggest it is part of locus 1, especially as the IFNa and IFNe genes cluster with the respective Atlantic salmon genes from this locus (see **Fig. 2**). Note that the previously published trout IFN2 [40] is IFNa1.1.

688

Figure 4. Figure showing the GH locus 2 in salmonids, with the associated IFN genes, 689 with different colours representing the different IFN subgroups present. Note the 690 pseudogenes shown with a solid line and the additional partial IFN genes shown with 691 broken lines. In the case of charr three scaffolds are presented that were considered to 692 be from locus 2. Scaffold 4096 had an IFNc gene that grouped with other locus 2 IFNc 693 molecules, whilst scaffold 3499 had two IFNe where only a single gene is present at 694 locus 1. In addition, the charr IFNa gene clustered with the respective Atlantic salmon 695 IFN genes from locus 2, and the charr IFNe genes showed similar associations (see Fig. 696 2). Note that the previously published trout IFN1, IFN3 and IFN4 [40, 41] are IFNa2.6, 697 IFNb2.1 and IFNb2.2 respectively. 698

699

Figure 5. Figure showing the CD79b loci in salmonids, with the associated IFNd genes.
Note that the previously published trout IFN5 [41] is IFNd3.1.

702

703

Figure 6. Figure showing the IFN locus of A) gar (associated with GH and CD79b) in
comparison to the two loci in B) Japanese eel and C) bonytongue. Different colours
represent the different IFN subgroups present. Note the two IFNf genes could not be
linked to GH or CD79b. A partial IFNa gene was also found at locus 1.

708

709 Figure 7. Phylogenetic tree of all teleost IFN molecules reported in this study. A) The salmonid and pike IFN subgroup clades are condensed (shown as black triangles), as 710 well as the percomorph IFNd genes (pink triangle). B) the percomorph IFNd genes 711 alone. The phylogenetic tree was constructed using amino acid multiple alignments of 712 the IFN molecules, and the neighbour-joining method within the MEGA7.0 program. 713 The evolutionary distances were computed using the JTT matrix-based method with all 714 ambiguous positions removed for each sequence pair. Node values represent percent 715 716 bootstrap confidence derived from 1,000 replications.

717

Figure 8. Figure showing the GH and CD79b loci and associated IFN genes found in
A) zebrafish and B) common carp. Different colours represent the different IFN
subgroups present. Locus 1 was derived from contigs 26878, 18220 and 2101, locus 2
from contigs 56270 and 4163, locus 3 from contig 13361 and locus 4 from contig 56953.
Note that as the cyprinid type I IFN nomenclature is different from other teleost groups,
a translation has been provided. All genes indicated with IFNa correspond to IFNphi1
in cyprinids, genes indicated with IFNd correspond to IFNphi4. Genes indicated with

IFNcx.1 correspond to IFNphi3, and genes indicated with IFNcx.2 correspond to 725 IFNphi2. 726 727 Figure 9. Figure showing the GH and CD79b loci and associated IFN genes found in 728 A) turbot, B) tetraodon, C) icefish and D) large yellow croaker. Different colours 729 730 represent the different IFN subgroups present. Note the partial IFNh sequence in tetraodon shown with a broken line. Also, note that the previously published turbot 731 IFN1 = IFNc1.1 and IFN2 = IFNh1.1 [12]. 732 733 Figure 10. Figure showing the GH and CD79b loci and associated IFN genes found in 734 A) tilapia, B) seabass and C) Atlantic cod. Different colours represent the different IFN 735 subgroups present. Note partial IFNd sequences in tilapia shown with a broken line, 736 737 and seabass scaffolds 3867 and 1156 (locus 2) were combined following our analysis. Homologous blocks of tilapia IFN genes are underlined with red and green lines, 738 respectively. 739 740 Figure 11. Possible model of type I IFN evolution in teleosts. 741 742 743 744 **Supplementary Figure Legends** 745 SFig. 1. Multiple amino acid alignment of all salmonid IFNa molecules. 746 747 SFig 2. Multiple amino acid alignment of all salmonid IFNb molecules. 748 749 SFig 3. Multiple amino acid alignment of all salmonid IFNc molecules. 750 751 SFig 4. Multiple amino acid alignment of all salmonid IFNd molecules. 752 753 754 SFig 5. Multiple amino acid alignment of all salmonid IFNe molecules. 755 SFig 6. Multiple amino acid alignment of all salmonid IFNf molecules. 756 757 758 SFig 7. Figure showing the GH and CD79b loci and associated IFN genes found in A) toothfish, B) medaka, C) flounder, D) stickleback and E) haddock. Different colours 759 represent the different IFN subgroups present. Note that the medaka IFNh was not 760 proven to be linked to GH and was based on the sequence provided in Maekawa et al. 761

762 [15]. Similarly the two haddock genes have not been shown to be linked.

Figure 1.



Figure 2.



Figure 3.

Salmonid IFN Locus 1



Salmonid IFN Locus 2



Figure 4.

Figure 5.

Salmonid IFN Locus 3



Figure 6.

A. Gar IFN locus



B. Japanese eel IFN loci

C. Bonytongue IFN loci





Figure 8.



Figure 9.

A. Turbot IFN loci

B. Tetraodon IFN loci



C. Icefish IFN loci

D. Large yellow croaker loci



Figure 10.



B. Seabass IFN loci



C. Atlantic cod loci



