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- 3
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- 5
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- 7 Thyroid cancer; epidemiology; incidence; medullary thyroid cancer; anaplastic thyroid cancer; cancer registries
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## 10 List of abbreviations:

- 11 ASR, Age-Standardised Rate
- 12 ATA, American Thyroid Association
- 13 ATC, Anaplastic Thyroid Cancer

# 14 CR, Cancer Registry

- 15 DTC, Differentiated Thyroid Cancer
- 16 ERN, European Reference Network
- 17 EU, European Union
- 18 EURACAN, European Reference Network on Rare Cancers
- 19 FTC, Follicular Thyroid Cancer
- 20 ICD, International Classification of Diseases
- 21 MTC, Medullary Thyroid Cancer
- 22 NGS, Next Generation Sequencing
- 23 PDTC, Poorly Differentiated Thyroid Cancer
- 24 PTC, Papillary Thyroid Cancer
- 25 RARECARENET, Information Network on Rare Cancers
- 26 TC, Thyroid Cancer

- 27 UK, United Kingdom
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29 Article category: Research article, cancer epidemiology

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- 31
- 32 Abstract

*Objective.* Limited information is available on the incidence of rare thyroid cancer (TC) subtypes: anaplastic (ATC) and medullary (MTC). The aim of this study was to describe incidence variations and trends across European countries of all TC subtypes.

*Materials and Methods.* We used the RARECAREnet database including 80,721 TC incident cases in the period 2000-2007 from 77 population-based cancer registries (CRs) in Europe. In the trend analyses, we included 68,890 TC cases from 53 CRs with at least 6 years of incidence data in the years 2000-2007.

*Results.* In Europe age-standardised incidence rates (ASR) in women were <0.3/100,000 for MTC and ATC whereas ASR were 5.3/100,000 for papillary thyroid cancer (PTC) and 1.1/100,000 for follicular TC (FTC). Corresponding ASRs in men were <0.2/100,000 for MTC and ATC, 1.5 for PTC and 0.4 for FTC. Across countries and in both sexes the incidence of FTC and MTC was moderately correlated (r~0.5) with that of PTC, while a less marked correlation (r<0.4) emerged for ATC ASRs. The changes of the PTC ASRs across countries and time were weakly (r<0.3) or moderately (r~0.5) correlated to the changes of the other subtypes for both sexes.

46 Conclusion. The huge increase and heterogeneity between countries of PTC incidence has a small influence 47 on the trends and variations of MTC and ATC in Europe. Large-scale epidemiological and clinical registry-48 based studies are warranted to increase knowledge about the rarest TC subtypes. This information would be 49 fundamental for the design of new clinical trials and for inference.

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53 Introduction

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Thyroid cancers (TCs) include follicular cell-derived carcinomas, classically defined as differentiated thyroid cancer (DTC) (i.e. papillary thyroid cancer (PTC); follicular thyroid cancer (FTC); Hürtle cell carcinomas and poorly differentiated thyroid cancer (PDTC)) and medullary thyroid cancer (MTC) which arises from the neuroendocrine parafollicular C cells. Anaplastic thyroid cancer (ATC) is a very rare entity supposed to derive from undifferentiated epithelial cells <sup>1</sup>.

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Worldwide trends in TC incidence have been largely driven by an increase in PTC as opposed to other histological types <sup>2 3 4 5</sup>. Thus, much attention has been given to PTC with controversy surrounding the likely reasons for the observed epidemic <sup>6</sup>. Increased medical surveillance and widespread use of ultrasound <sup>7 5</sup> are likely explanations <sup>8</sup>.

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lonising radiation exposure, mainly in childhood and adolescence <sup>5</sup>, represents the most accepted risk factor associated with DTC, especially PTC <sup>9</sup> <sup>10</sup>. Among eating habits, iodine intake represents one of the most discussed elements, because its deficiency is associated with FTCs, while there is some weak evidence that observed increases in dietary iodine intake may be responsible for the increasing incidence of PTC<sup>11</sup>. Evidence for other risk factors such as low intake of fruits and vegetables; cruciferous vegetables intake; alcohol consumption, sex hormones <sup>12</sup> and obesity <sup>13</sup> <sup>14</sup> remains controversial <sup>5</sup> <sup>15</sup> <sup>16</sup>.

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In contrast, limited information is available on the incidence, trends and risk factors of the rarest TC subtypes: anaplastic thyroid cancer (ATC) and medullary thyroid cancer (MTC) <sup>17</sup> <sup>18</sup> <sup>19</sup>. It is not clear whether the widespread use of ultrasound and fine needle biopsies on thyroid nodules is affecting the incidence of FTC, MTC <sup>20</sup> and ATC. Against this background, our aim was to describe the overall incidence and changes in incidence over time of all TC subtypes in Europe and across European countries.

#### 79 Material and methods

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We used the database of the RARECAREnet (www.rarecarenet.eu) project, which includes incidence and 81 follow-up data provided by 94 European population-based cancer registries (CRs) for patients with cancer 82 diagnosed between Jan 1, 1978, and Dec 31, 2007. Our analyses refer to the period 2000-2007. To analyse 83 incidence, we used 77 CRs with data for at least 3 years of incidence between 2000 and 2007, including all 84 85 the 24 countries contributing to RARECAREnet (Austria, Belgium, Bulgaria, Croatia, Czech Republic, Estonia, Finland, France, Germany, Iceland, Ireland, Italy, Latvia, Lithuania, Malta, Norway, Poland, Portugal, Slovakia, 86 Slovenia, Spain, Switzerland, The Netherlands and United Kingdom) and 45% of EU28 population (excluding 87 Norway, Switzerland, and Iceland, which are not EU members) (Supplementary Table 1). We excluded 11 88 anatomical-site-specific CRs to avoid any incomplete coverage of some cancers affecting multiple sites and 89 six CRs because the percentage of unspecified morphologies (ICD-O3 codes: 8000-8010) was >15%. To 90 91 analyse incidence trends over time, we used 53 CRs, with data available for at least 6 years of incidence in the study period (2000-2007) (Supplementary Table 1). 92

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Incident TC cases were defined according to ICD-O-3 topography code C73. Morphologies were grouped into major similar histological types <sup>21</sup> including papillary (8050,8052,8260,8263,8340-8344,8350,8450), follicular (8290,8330-8335), medullary (8246,8345-8347,8510) anaplastic including poorly differentiated (8012,8020-8035,8190,8337) and unspecified (8000-8010). All the remaining morphologies were combined into the group "all other morphologies". Cases first discovered at autopsy or by death certificate only were excluded (n=1,235 from the incidence analyses and n=1,134 from the incidence trends analyses), leaving 80,721 TC cases in the incidence analyses and 68,890 in the incidence trend analyses.

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Age-standardised incidence rates (ASR) per 100,000 person-years were standardised to the European population (1960). Analysis of incidence trends was performed for Europe as a whole and by country, according to three time intervals (2000-2002; 2003-2004; 2005-2007). The statistical significance of incidence

differences (ASR variation % in 2005-2007 versus 2000-2002) was tested with the Z-test <sup>22</sup>. Pearson correlation coefficients (r) of PTC versus each of the other subtypes were estimated across countries, in the overall period of the study (years 2000-2007) and per each time interval included in the trend analysis (2000-2002; 2003-2004; 2005-2007).

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113 <u>TC incidence</u>

In Europe, in 2000-2007, the TC incidence rate was 7.1/100,000 in females and 2.4/100,000 in males with a female to male ratio of 2.97 (Table 1). PTC was the most common subtype constituting 73% and 63% of all TCs in females and males, respectively. FTC was the second most common subtype; however, its incidence rate was 5 times lower than that of PTC in females (1/100,000) and about 4 times lower in males (0.4/100,000). ASRs  $\leq$ 0.3/100,000 in both females and males emerged for MTC (0.3 and 0.2/100,000, respectively) and ATC (0.1 and 0.1/100,000, respectively) (Table 1). The female to male ratio differed for MTC and ATC with female incidence rates 1.4 times that of males.

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Figure 1 reports TC incidence rates by age group and subtype in both females and males. The incidence peak differed across subtypes and by sex; PTC was most common in females aged 50-54 years and males aged 55-59 years, FTC in females aged 70-74 years and males aged 75-79 years, MTC in females aged 65-69 years and males aged 70-74 years and ATC in both females and males aged 80-84 years.

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PTC demonstrated the widest variability across Europe with an approximately 8-fold difference in ASRs across countries (Figure 2). In females, PTC ASRs ranged from 2/100,000 in the UK, Ireland and The Netherlands to >10/100,000 in Italy, Iceland, Portugal and France. In males, PTC ASRs ranged from 0.7 in Bulgaria, Northern Ireland and Wales to 4 in Italy and Iceland (7-fold higher).

FTC ASRs across countries varied by 3 times in females; ranging from <0.6/100,000 in The Netherlands, Bulgaria and Malta to >1.5/100,000 in Austria, Latvia and, Lithuania (Figure 2). In males, FTC ASRs ranged from 0.2/100,000 in Norway, Poland and Bulgaria to 0.7 and 0.8/100,000 in Switzerland and Austria, respectively (an almost 5-fold difference).

MTC and ATC ASRs were low (≤0.5/100.000) with limited differences across countries in both sexes. In females, MTC ASRs ranged from 0.1/100,000 in Bulgaria and Malta to 0.5/100,000 in Croatia and Austria (Figure 2). In males, MTC ASRs were 0.3-0.4/100,000 in Austria, Italy and France. ATC ASRs were <0.3/100,000 in women and <0.2/100,000 in men in all countries and <0.1/100,000 in both sexes in Croatia, Scotland, England, Latvia, and Bulgaria and Finland (Figure 2). Note: In Finland coding problems could not be ruled out completely.

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Across countries and in both sexes the incidence of FTC and MTC was moderately correlated (r~0.5) with that of PTC, while a less marked correlation (r<0.4) emerged for ATC ASRs (Figure 2).

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#### 145 <u>TC incidence trends</u>

In Europe, TC ASRs increased in the years 2000-2007 in both females and males (variations +25% and +26%, respectively; p<0.01 for both) (Table 1). PTC was the subtype with the highest and most significant ASR increase (+33% and +39% in females and males, respectively). Significant but smaller increases were observed for FTC in females (ASR from 0.9/100,000 to 1/100,000) and for MTC in males (ASR from 0.17/100,000 to 0.19/100,000). No significant changes over time in ASRs were observed for the other subtypes in females or males.

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In females (Figure 3), the PTC ASR significantly increased in most countries but with different magnitudes. The variation of ASRs between 2000-2002 and 2005-2007 was >50% in Portugal, Lithuania, Slovenia, Czech Republic, Latvia, Belgium and Ireland and, about 40% in Italy, Croatia, Austria, Switzerland, Bulgaria, England and Netherlands, and <30% in Slovakia, Germany, Scotland and Poland (Supplementary Table 2). In some</p>

other countries (e.g. France, Spain), the PTC ASR did not significantly increase over the study period. The FTC ASRs increased in Ireland, England and Austria (77%, 32% and 25%, respectively), while they decreased in Latvia (-45%), Germany, Slovakia and Poland (-30%) (Supplementary Table 2). The ASRs of the MTC and ATC did not significantly change over time across countries. Moreover, the changes of the PTC ASRs across countries and time were weakly (r<0.3) or moderately (r~0.5) correlated to the changes of other TC subtypes (Figure 3).

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In males (Figure 4), the PTC ASR significantly increased in most countries with variations >100% in Latvia, 164 Portugal, Switzerland, and Malta, and about 40-50% in most of the other countries investigated 165 (Supplementary Table 2). Variations <25% were observed in Netherlands, Germany and Finland, while a 166 significant decrease was observed only in Spain (ASR from 2.3 to 1.3; -45%). FTC ASRs significantly 167 increased only in Ireland (147%), Wales (107%), Portugal (92%) and Austria (28%), while they decreased in 168 Slovenia (-63%) and Germany (-28%). MTC ASRs increased in Croatia and Belgium (213% and 70%, 169 170 respectively) and decreased in Norway and Austria (-49% and -31%, respectively) (Supplementary Table 2). ATC ASRs increased only in England (97%) and decreased in Scotland and Germany (-68% and -49%, 171 respectively) (Supplementary Table 2). The changes of the PTC ASRs across countries and time were weakly 172 (r<0.3) or moderately (r~0.5) correlated to the changes of the other subtypes (Figure 4). 173

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### 176 Discussion

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This is the first study, to our knowledge, to report incidence and incidence trends of rare thyroid histotypes in Europe and in each EU country. ATC and MTC ASRs have limited geographical variations across European countries in both sexes and incidence trends are stable. ATC trends in Europe are coherent with those observed in the USA <sup>23, 24</sup> and in the Republic of Korea <sup>25</sup>. Regarding MTC, in the USA an increase of MTC was observed <sup>26</sup> whereas in Europe, we observed a significant increase of MTC only in males in Belgium and Croatia. The present study confirms a large variation of PTC and FTC incidence across European countries and in both sexes. Finally, the moderate correlation (r<0.5) of PTC ASRs with the ASRs for other TC subtypes across countries, supports the hypothesis that recent PTC epidemic is not affecting the incidence of rarest TC subtypes.

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The study confirms that in Europe PTC and FTC were much more frequent compared to MTC and ATC, were diagnosed at younger ages, and were more common in females than in males. These differences have been largely attributed to the age related differences in the use of health services <sup>27</sup>. Intense surveillance of thyroid nodules and thyroid function tend to occur in young and middle-age women due to events related to reproduction and perimenopausal and postmenopausal symptoms. The role of female hormones has also been suggested in the aetiology of DTC, however, conclusive studies are still lacking <sup>28</sup>. Conversely, men make frequent medical visits after middle age because of various chronic conditions <sup>29</sup>.

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196 Differences across countries in incidence and trends were almost exclusively limited to PTC. Substantial 197 evidence suggests that overdiagnosis is a likely culprit for the different TC incidence across countries, almost exclusively limited to PTC 8. Diagnostic changes accounted for 60% of TC cases diagnosed in 2003-2007 in 198 women aged under 80 years in France, Italy, the United States, Australia, and the Republic of Korea, and 199 200 approximately 50% in other countries, except Japan (30%) <sup>30 8</sup>. Overdiagnosis has led to an increase of treatment procedures such as surgery and radioactive iodine treatment during the recent years <sup>5 31</sup>, leading 201 202 scientific societies to update guidelines in order to avoid any unnecessary diagnostic procedures on incidental thyroid nodules <sup>32</sup> <sup>33</sup> <sup>24</sup>. The American Thyroid Association (ATA) guidelines <sup>34</sup> and the American College of 203 Radiology <sup>35</sup> have recently stressed the importance of the sonographic pattern of the thyroid nodules for 204 patient risk stratification. 205

While the epidemiological studies on DTC are numerous <sup>5</sup>, limited information are available on risk factors for 207 208 the rarest TC subtypes, as MTC and ATC. MTC may exist as a hereditary tumour (about 25% of cases) within the complex of multiple endocrine neoplasia type 2A (MEN 2A) and type 2B (MEN 2B) and, more commonly, 209 as a sporadic form (70% of cases). For this latter type, aetiological factors are not fully known except for 210 somatic RET or RAS mutations <sup>36</sup>. An association between sporadic MTC (sMTC) and history of thyroid 211 nodules or adenomas (12-fold risk) was reported in the past <sup>17</sup>. More recently, Kalezic et al. <sup>18</sup> confirmed that 212 history of goitre and thyroid nodules (OR 11.29, 95% CI 1.16 - 73.45, p<0.001) were independent risk factors 213 for sMTC. Smoking history was associated with a reduced risk for sMTC in both papers <sup>17</sup> <sup>18</sup>. Authors 214 postulated an ability to decrease thyroid-stimulating hormone secretion and an innate anti estrogenic effect of 215 smoking <sup>18</sup>. Additionally, other risk factors such as menarche after 14 years <sup>18</sup>, height (higher risk in taller 216 subjects), hypertension, gallbladder disease and allergies have been reported <sup>17</sup>. Interestingly, an average 217 prevalence of 0.14% of occult MTC has been reported in a series 7897 autopsies in patients without known 218 219 thyroid disease and authors demonstrated that routine calcitonin screening applied to general population with nodular thyroid disease would be not cost effective <sup>20</sup>. 220

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ATC is one of the most aggressive malignant tumours in humans, with a median overall survival of no more than 6 months <sup>21</sup>. A next generation sequencing (NGS) analysis on a large series of ATC recently demonstrated that ATC can be divided into 3 clusters according to the genetic features from which ATC derives <sup>37</sup> supporting the hypothesis of ATC as the final event of a pre-existing PTC or FTC rather than a *de novo* tumour. Not surprisingly, ATC is typically diagnosed in elderly patients with 67% of ATC patients diagnosed at age >70 years. A history of goitre, low educational level and type B blood group have been recognized as independent prognostic factors in a large case-control study <sup>19</sup>.

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The major strengths of this study are the population based design and the availability of the largest well documented TC series (70,000 cases in 8 years) in Europe. The main limitation is the period analysed. Indeed, we report on patients diagnosed from 2000 to 2007. However, these are the only and most recent
available data that allow studying TC incidence by subtype across Europe.

In conclusion, MTC and ATC incidence trends in Europe are stable and not correlated to the incidence increase of PTC. Epidemiological data on MTC and ATC are currently still scant. Thus, collaboration with clinical registries will be essential to enrich population-based data with information on risk factors, tumour dimension, stage, pathological and molecular features and treatment especially for MTC and ATC for which knowledge is still limited. To this end we call the European Reference Network (ERN) on rare cancers (EURACAN) to prioritise the development of clinical registries on the rarest TC subtypes.

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## **Tables and Figures legends**

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- **Table 1.** Number of incident cases and age-standardised incidence rates (ASR)\* together with incidence
- trends in Europe by thyroid cancer histological type in females and males. Europe, 2000-2007
- \* Per 100,000, age-standardised to the European population (1960)
- 379 \*\* ASR in 2005-2007 versus 2000-2002
- 380 \*\*\* including poorly

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**Figure 1.** Incidence rate by age group by thyroid cancer histologycal type in females (A) and males (B)

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- Figure 2. Age-standardised incidence rates (ASR)\* by country and thyroid cancers histological type§ in
- 385 females and males with correlation coefficients with PTC ASR
- \* Per 100,000, age-standardised to the European
- 387 § Note: a different scale has been used for ASR in TC subtypes

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- **Figure 3.** Thyroid cancers age-standardised incidence rates (x 100,000) in females, by subtype, country\*,
- 390 period of diagnosis of PTC versus each of the other subtype
- \* Countries are ranked by incidence rate in 2005-2007. Arrow pointing upwards identify significant increase;
- 392 arrow pointing downwards identify significant decrease

- **Figure 4.** Thyroid cancers age-standardised incidence rates (x 100,000) in males, by subtype, country\*, period
- of diagnosis of PTC versus each of the other subtype
- \* Countries are ranked by incidence rate in 2005-2007. Arrow pointing upwards identify significant increase;
- 397 arrow pointing downwards identify significant decrease

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- **Supplementary Table 1.** Cancer registry (CR) included in the incidence and trends analysis together with the mean population and the proportion (%) of national population covered abd the years included in the analyses
- 401 Supplementary Table 2. Age-standardised incidence rates (ASR)\* and number of incident cases for thyroid
- 402 cancer by histoligical type, country and period in females and males with Pearson correlation coefficients (r)
- between papillary with the other histological type per each time period (2000-2002; 2003-2004; 2005-2007).
- 404 Countries are ranked by incidence rate in the last period in decreasing order

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- 407 **Data availability statement**
- 408 Data available on request from the authors.