

1 A systems model describing the impact of organic
2 resource use on farming households in low to middle
3 income countries

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33 **ABSTRACT**

34 We present a new systems model that encompasses both environmental and socioeconomic
35 outcomes to simulate impacts of organic resource use on livelihoods of smallholder farmers
36 in low to middle income countries. It includes impacts on soils, which in many countries are
37 degrading with long term loss of organic matter. Many farmers have easy access to animal
38 manures that could be used to increase soil organic matter, but this precious resource is often
39 diverted to other purposes, such as fuels, also resulting in loss of the nutrients needed for crop
40 production. This model simulates impacts of different management options on soil organic
41 matter turnover, availability of water and nutrients, crop and animal production, water and
42 energy use, labour requirements and household income and expenditure. An evaluation and
43 example application from India are presented and used to illustrate the importance of
44 considering the whole farm system when developing recommendations to help farmers
45 improve their soils.

47 **Keywords**

48 Whole farm system modelling; organic resource use; farm livelihoods, nitrogen use
49 efficiency; soil water; carbon sequestration

51 **Software availability**

52 The ORATOR model is written in Microsoft Excel to increase transparency (size 6.5 MB). It
53 can be made available, on request, for genuine collaborative work. More information
54 available from Jo Smith, School of Biological Science, University of Aberdeen, Aberdeen,
55 UK, tel: +44 (0)1224 272702, fax: +44 (0)1224 272703, email: jo.smith@abdn.ac.uk.

57 **Abbreviations**

BIO = soil biomass

C = carbon

CO₂ = carbon dioxide

DPM = decomposable plant material

EC = electrical conductivity

HUM = soil humus

INR = Indian Rupees

IOM = inert soil organic matter

LPG = liquefied petroleum gas

N = nitrogen

RPM = resistant plant material

SOC = soil organic carbon

59 **1. Introduction**

60

61 The organic matter content of soils in many low to middle income countries is
62 decreasing, causing long term degradation of the global soil resource (Smith et al., 2016).
63 Soil organic matter is essential for crop production; it supports root growth, improves soil
64 structure, retains water and provides nutrients to the crops (Celestina et al., 2019; Chen et al.,
65 2018; Loveland and Webb, 2003; Murphy, 2015). The amount of organic matter held in the
66 soil is a balance between the inputs and losses; it can be increased by increasing organic
67 inputs or by reducing losses (Smith et al., 2016). Increased inputs can be achieved by
68 incorporating organic manures (Ren et al., 2018; Zavattaro et al., 2017) or crop residues (Ruis
69 and Blanco-Canqui, 2017), by growing crops for soil incorporation (green manures / cover
70 crops) (Ruis and Blanco-Canqui, 2017), by increasing the production of the crops themselves
71 (Rao et al., 2017), or by planting agroforestry systems (Shrestha et al., 2018). Losses can be
72 decreased by protecting soils from high temperatures and heavy rainfall to reduce
73 decomposition and runoff (Prosdocimi et al., 2016; Scopel et al., 2013), by using soil and
74 water conservation measures to reduce erosion (Guerra et al., 2017; Haregeweyn et al., 2015;
75 Li, 2000), or by reducing disturbance of the soils, such as by reduced tillage (Wolka et al.,
76 2018).

77 Although management practices needed to decrease soil organic matter degradation
78 are well-known, soils continue to degrade (FAO, 2015; UNCCD 2016, 2019). There are
79 perceived or actual costs associated with improved management through organic matter
80 incorporation, the construction of soil water conservation structures or changes to soil
81 cultivation (De Barros et al., 2017). A resource conflict occurs between increased application
82 of organic manures to soils and the amount of manure available for other important purposes,
83 such as for use as household fuels (Smith et al., 2015). Installing soil water conservation

84 structures requires significant labour and uses part of the land area available to grow crops
85 (Gedefaw et al., 2018). Altering soil management to reduced tillage to decrease soil organic
86 matter mineralisation can result in decreased yields due to weeds or soil compaction
87 (Adimassu et al., 2019). In resource limited households, these perceived or actual costs may
88 prevent farmers from following recommendations to improve their soils (Iiyama et al., 2018).
89 In order to reduce soil degradation, recommendations provided to farmers should aim for a
90 more comprehensive account of costs relevant to the household and ensure that the
91 quantifiable benefits demonstrably outweigh any costs; this is what the systems model
92 presented here attempts to do.

93 While many models exist that consider the different parts of the system individually,
94 models that account for all management decisions taken by a farming household, including
95 biophysical, agricultural and socioeconomic aspects, are lacking. Holzworth et al. (2015)
96 charted the expansion of crop models into agricultural production systems models that
97 provide information on climate change and adaptation, food security, policy assessment and
98 applications, management impacts, resource use and efficiency, plant breeding, bioenergy,
99 livestock and mixed crop-livestock systems, and yield gap analysis. This has involved the
100 development of a number of modelling frameworks that link together multiple and often
101 complex modules from different authors to describe many components of the farming system;
102 these include the Australian APSIM initiative (www.apsim.info), the European projects,
103 SEAMLESS (van Ittersum et al., 2008) and MACSUR (www.macsur.eu), the International
104 Food Policy Research Institute system, DSSAT, which can be linked to the IMPACT model
105 to provide policy analysis (www.dssat.net), and the Global Environment Facility Soil Organic
106 Carbon (GEFSOC) project, which focusses on changes in soil organic matter (e.g.
107 Bhattacharyya et al., 2007). These systems remain focussed on crop and livestock production,
108 and do not yet fully account for the trade-offs between household level food, energy and

109 water provision that occur in low to middle income countries, tending to lack interlinkages
110 between household decision making and cross sector consequences (Matthews et al. (2013).
111 Bakhshianlamouki et al. (2020) presented a model that describes the interlinkages between
112 the water, food and energy sectors and the trade-offs between natural resource use and socio-
113 economic interests associated with lake basin restoration, but this focussed on water balance
114 and the electricity sector, while agricultural benefits used only simplistic representations of
115 net economic benefit and did not consider the underlying processes affecting food
116 production. This missing link between specific farm household management of soils, crops
117 and livestock, and the impacts on food production, energy provision, water use, labour and
118 income is the basis of the new model presented in this paper.

119 The model is a comprehensive, systems model, aiming to quantify the costs and
120 benefits to low income farmers of implementing measures to reduce loss of soil organic
121 matter. The model accounts for crop and animal production, household energy and water use,
122 on-farm and off-farm labour, and changes in household revenue. The simulations aim to
123 confer improved understanding of the costs and benefits to the household over different
124 timescales, in terms of both labour and finances of different approaches to reducing soil
125 organic matter degradation. From this, it is intended that economically attractive
126 recommendations that also increase the organic matter content of the soil can be developed
127 for rural households, so increasing the likelihood that they will be adopted by farmers.

128 The model uses generic and easily parameterised components, that include simple but
129 complete descriptions of the underlying processes controlling changes in household resource
130 use. Therefore, it should be easily transferrable to a wide range of different environments and
131 different management practices. Here we describe the model structure and demonstrate its
132 overall functionality for predicting impacts of different management measures on soil organic
133 matter degradation, specifically focussing on the use of inorganic and organic fertilisers. This

134 is done using data for evaluation from a semi-arid site in Maharashtra, India. The
135 measurements at this site provide a rare opportunity to evaluate the model against data from a
136 well-controlled long-term experiment in a semi-arid environment.

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138 **2. Materials and methods**

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140 ***2.1. Model overview***

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142 The model, known by the acronym ORATOR, “Operational Research Assessment
143 Tool for Organic Resources”, is designed to account for the impact of different uses of farm
144 resources on soil organic matter (section 2.2), crop production (section 2.3), animal
145 production (section 2.4), water use (section 2.5), fuel availability (section 2.6), on- and off-
146 farm labour (section 2.7), and farm income and expenditure (section 2.8) (Fig. 1). It is
147 designed to be quick and simple to use, only requiring input data that are readily available on-
148 farm, but also achieving predictions that are sufficiently accurate to robustly demonstrate
149 options for improved resource use. Different inputs of organic resources to the soil affect
150 resource use in the whole system. Increased inputs of carbon (C) to the soil lead to increases
151 in the soil organic matter, which impacts the water holding capacity and nutrients available to
152 the crop. This affects crop production, which has an impact on animal production using on-
153 farm feeds. The water holding capacity of the soil and growth of crops and animals all affect
154 the requirement for water. Crop production determines the amount of crop residues available
155 to feed to animals and for use as a fuel, and so determines fuel availability (both as crop
156 residues and as dung) and the labour required to collect additional fuel (such as wood). Water
157 use, crops grown and the animals on the farm also impact labour used in farming operations.
158 This then affects labour and time available for off-farm activities. The income and

159 expenditure of the farm are a function of the purchases made by the household (e.g. food,
160 feed, fuel & fertilisers) and the labour and products available within the household (e.g. grain,
161 milk & animals for sale).

162 The soil component of the model is the most detailed, providing a dynamic simulation
163 of the changes in soil organic matter, water and nutrients (only nitrogen (N) described here)
164 on a monthly time-step. It uses a “pool” type approach, as described by Smith et al. (2010),
165 and follows approaches used in RothC (Coleman and Jenkinson, 1996) and ECOSSE (Smith
166 et al., 2010). The change in crop production is estimated using annual relationships, driven by
167 climatic and nutrient conditions (Leith, 1972; Reid, 2002; Zaks et al., 2007). This is
168 subdivided into monthly values to allow monthly plant inputs to the soil to be calculated.
169 Change in animal production is estimated using production data tables for the chosen region,
170 adjusted according to change in crop production. Available energy, water, labour and
171 finances are then estimated using standard accounting methods.

186 typically much slower than aerobic decomposition, but is important for estimation of
187 greenhouse gas emissions in wet conditions, such as paddy rice, so will be described in later
188 papers. The model is a simple five-pool model that represents soil organic matter as
189 decomposable plant material (DPM), resistant plant material (RPM), actively decomposing
190 “biomass” (BIO), slowly decomposing “humus” (HUM) and inert organic matter (IOM). The
191 model runs on a monthly time-step. Plant material enters the soil as DPM and RPM, in
192 proportions dependent on the land-use type (Coleman and Jenkinson, 1996). The quality of
193 different types of organic waste is described by the proportion of DPM and HUM (Smith et
194 al., 2014). The DPM and RPM decompose to produce BIO, HUM and carbon dioxide (CO₂),
195 in proportions dependent on the clay content of the soil (Coleman and Jenkinson, 1996). The
196 BIO and HUM pools then further decompose to produce more BIO, HUM and CO₂ using the
197 same proportions as for DPM and RPM decomposition. The rate of decomposition is
198 simulated using first order equations, with the rate constants modified by air temperature and
199 soil moisture as described by Coleman and Jenkinson (1996), and by soil acidity as described
200 by Parton et al. (1996) and salinity as described by Setia et al. (2012).

201 ORATOR uses the assumption of approximate steady state to estimate the activity of
202 the organic matter and the size of plant and organic waste inputs to the soil. The different
203 proportions of the soil organic C (SOC) pools and their respective decomposition rate
204 constants provide a representation of the overall decomposability of SOC. In a soil where
205 SOC is at steady state, the relative proportions of the SOC pools can be determined by
206 running the model until no further changes in SOC content are observed (steady state),
207 adjusting the plant inputs according to the ratio of measured to simulated SOC, re-running to
208 steady state, and continuing this process until the simulated SOC content matches the
209 measured values (Smith et al., 2005). This then allows the impact of changes in land-use,
210 weather and management on SOC turnover to be simulated by running the model forward

211 from steady state with any changes to the steady state conditions imposed (e.g. Smith et al.,
 212 1997). Using local measurements or soil database values of SOC to determine SOC pools and
 213 plant inputs in this way increases the accuracy with which the model can simulate SOC
 214 turnover for a wide range of conditions around the world.

215

216 **Table 1**

217 Simulation of soil organic matter turnover. Note, C = carbon; DPM = decomposable plant
 218 material; RPM = resistant plant material; BIO = rapidly decomposing organic matter,
 219 “biomass”; HUM = slowly decomposing organic matter, “humus”; IOM = inert organic
 220 matter. Input data are shown in shaded cells.

Symbol	Variable	Value, source or formula	Units	Reference
<u>Carbon content of soil pools, $C_{pool,t}$</u>				
$C_{pool,t}$	Amount of C in the pool at time t	$C_{pool,t-1} + C_{in} - C_{loss}$	t ha ⁻¹	(1)
$C_{pool,t-1}$	Amount of C in the pool in the last time-step	From initialisation at steady state and any changes occurring in previous time-steps	t ha ⁻¹	(2)
<u>Carbon loss, C_{loss}</u>				
C_{loss}	Amount of C lost from specified pool in a given time-step	$C_{pool,t-1} (1 - \exp(-k_{pool} \times r_{mod}))$	t ha ⁻¹	(1)
k_{pool}	Rate constant for decomposition of specified C pool	$k_{DPM} = 0.8333$; $k_{RPM} = 0.025$; $k_{BIO} = 0.055$; $k_{HUM} = 0.0017$.	month ⁻¹	(1)
r_{mod}	Overall rate modifier	$r_{temp} \times r_{wat} \times r_{pH} \times r_{sal}$		(1)
<u>Temperature rate modifier</u>				
r_{temp}	Temperature rate modifier	$\frac{47.91}{(1 \mp \exp(106.06/(T_a + 18.27)))}$		(1)
T_a	Air temperature	Recorded weather data	°C	
<u>Moisture rate modifier</u>				
r_{wat}	Soil moisture rate modifier	$\min\left(1, r_{wat,0} - (1 - r_{wat,0}) \left(\frac{V_{wat} - V_{PWP}}{V_{FC} - V_{PWP} - D_{-100kPa}}\right)\right)$		(1)
V_{FC}	Water content at field capacity	Table 2	mm	
V_{wat}	Water content of the soil in the given time-step	Table 2	mm	
V_{PWP}	Water content at permanent wilting point	Table 2	mm	
$D_{-100kPa}$	Deficit in soil water at -100 kPa	$0.444 \times (V_{FC} - V_{PWP})$	mm	(1)
<u>Acidity rate modifier</u>				

Symbol	Variable	Value, source or formula	Units	Reference
r_{pH}	Soil pH rate modifier	$0.56 + \left(\frac{\tan^{-1} \left(3.14 \times 0.45 \times (S_{pH} - 5) \right)}{3.14} \right)$		(4)
S_{pH}	Soil pH	Measured in 0.01M CaCl ₂ from field measurements or soil database		
Salinity rate modifier				
r_{sal}	Salinity rate modifier	$\exp(-0.09 \times S_{sal})$		(5)
S_{sal}	Soil salinity	Measured as electrical conductivity in a 1:5 soil/water suspension from field measurements or soil database		
Carbon inputs, C_{in}				
C_{in}	Carbon inputs to pool1	$\sum_{pool2} (p_{pool1} C_{loss,pool2}) + C_{PI,pool1} + C_{OW,pool1}$		(1)
Fate of soil organic carbon after decomposition, p_{pool1}				
p_{HUM}	Proportion of humus produced	$\frac{(1/x)}{(1 + 0.85)}$ $x = 1 + 1.67 \times (1.85 + 1.6 \times \exp(-0.0786 \times P_{clay}))$		(1)
p_{BIO}	Proportion of biomass produced	$(1/x) - p_{HUM}$		(1)
p_{CO2}		$p_{CO2} = 1 - p_{BIO} - p_{HUM}$		(1)
Inputs of carbon to the soil from plant inputs, $C_{PI,pool1}$				
$C_{PI,DPM}$	Plant inputs of C to DPM	$C_{PI,mon} \times \frac{p_{D:R,PI}}{(1 + p_{D:R,PI})}$	t ha ⁻¹	(1)
$p_{D:R,PI}$	Proportion of DPM to RPM in plant input	Arable = 1.44; grassland = 0.67; forest and scrub = 0.25		(1)
$C_{PI,mon}$	Plant input of C in given month	$C_{PI} \left(\frac{\exp(-k_{PI,C} \times (t_{harv} - t_{mon}))}{\sum_i \exp(-k_{PI,C}(t_{harv} - t_{mon})) / p_{IH,i}} \right)$ i = sowing to harvest month		Modified from (3)
$p_{IH,i}$	Proportional harvest index of crop i	$I_{H,i} / I_{H,max}$		
$I_{H,i}$	Harvest index of crop i	Literature values		
$I_{H,max}$	Maximum harvest index of crops in the rotation	Literature values		
C_{PI}	Plant input over the whole growing season	$C_{PI} \times p_{PI:NPP} \times C_{npp,atyp} / C_{npp,typ}$	t ha ⁻¹	(2)
$p_{PI:NPP}$	Proportion of net primary production incorporated in the soil	Literature values		
$C_{npp,atyp}$	Carbon net primary production in an atypical year	Table 3	t ha ⁻¹	
$C_{npp,typ}$	Carbon net primary production in a typical year	Table 3	t ha ⁻¹	

Symbol	Variable	Value, source or formula	Units	Reference
$k_{PI,C}$	Constant describing the shape of the exponential curve for C input	Arable = 0.6; grassland, forest and scrub = 0.	month ⁻¹	(3)
t_{harv}	Harvest month	Input data	month	
t_{mon}	Current month	Time-step counter	month	
Inputs of carbon to the soil from organic wastes, $C_{OW,pool1}$				
$C_{OW,DPM}$	Amount of organic waste passed to the DPM pool this month	$C_{OW} \times \frac{p_{D:H,OW}(1 - p_{IOM,OW})}{(1 + p_{D:H,OW})}$	t ha ⁻¹	(6)
C_{OW}	Amount of C added in organic waste inputs this month	$p_{D:H,OW} \times M_{OW}$	t ha ⁻¹	
$p_{C,OW}$	Proportion of C in organic waste	Local measurements or literature values		
M_{OW}	Amount of organic waste input this month	Input data or animal production model (Table 5)	t ha ⁻¹	
$p_{D:H,OW}$	Ratio of DPM:HUM in the active organic waste added	Fresh waste = 31.45, compost = 0.07; bioslurry = 0.14; biochar = 0.05		(6)
$p_{IOM,OW}$	Proportion of IOM in organic waste	Biochar = 0.5; fresh waste, compost and bioslurry = 0.		(6)
$C_{OW,HUM}$	Amount of organic waste passed to the HUM pool this month	$C_{OW} \times \frac{(1 - p_{IOM,OW})}{(1 + p_{D:H,OW})}$		(6)
Inert organic matter				
C_{IOM}	C in IOM pool	$C_{IOM,start} + C_{OW,IOM}$		
$C_{IOM,start}$	C in IOM pool at start of the simulation	$0.049 \times (C_{meas}^{1.139})$	t ha ⁻¹	(7)
C_{meas}	Measured C content of the soil	Local measurements or soil database	t ha ⁻¹	
$C_{OW,IOM}$	Amount of organic waste passed to the IOM pool this month	$p_{IOM,OW} \times C_{OW}$	t ha ⁻¹	(6)
$p_{IOM,OW}$	Proportion of IOM in organic waste	Biochar = 0.5; Farmyard manure, compost and bioslurry = 0.		(6)
Note: (1) Coleman and Jenkinson (1996); (2) Smith et al. (2005); (3) Bradbury et al. (1993); (4) Parton et al. (1996); (5) Setia et al. (2012); (6) Smith et al. (2014); (7) Falloon et al. (1998)				

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2.2.2. Soil water

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225 Soil water is simulated using a simple piston flow approach, as described by Bradbury
226 et al. (1993) (Table 2). For simplicity in ORATOR and because the monthly time-step is
227 longer than would usually be required for water to move through the profile, a single layer is
228 used to simulate water movement to the depth of available measurements of soil properties,

229 rather than dividing the profile up into layers as is done in some models with shorter time
230 steps (e.g. Bradbury et al., 1993). The soil water content is initialised to the average value
231 between field capacity and permanent wilting point. The water balance is tracked each month
232 during the steady state run; once steady state is achieved, this gives an estimate of soil water
233 content at the start of the dynamic simulation. The soil water in any month is given by the
234 balance of soil water at the start of the month, and any inputs from rainfall and irrigation less
235 any losses by runoff, drainage and evapotranspiration. The soil is allowed to fill up to the
236 water content at field capacity before it runs off or drains; no description is currently included
237 of saturation of the soil. The water available to the plant is calculated as the difference
238 between the volumetric water contents at any given time and the water held in the soil at
239 permanent wilting point, and is allowed to drain, evaporate or be transpired by the plant down
240 to the permanent wilting point. Potential evapotranspiration is calculated as described by
241 Thornthwaite (1948), proportioned according to the depth of simulations and the maximum
242 rooting depth of the plant. Field capacity and permanent wilting point are determined using
243 either standard equations (Tóth et al., 2015), or can be estimated using locally derived
244 pedotransfer functions from laboratory analysis of intact cores; these are recalculated at each
245 time-step of the simulation to account for the impact of changes in SOC on soil water.

246

247 **Table 2**

248 Simulation of soil water. Note: Input data are shown in shaded cells.

Symbol	Variable	Value, source or formula	Units	Reference
Available water in the given depth of soil, $V_{\text{wat},t}$				
$V_{\text{wat},t}$	Water content of the soil at time t	$\max \left(\min \left((V_{\text{wat},t-1} + V_{\text{rain}} + V_{\text{irrig}} - V_{\text{PET}}), V_{\text{FC}} \right) V_{\text{PWP}} \right)$	mm	(1)
$V_{\text{wat},t-1}$	Water content of the soil in the previous time-step to time t	Water content at the start of the simulation from initialisation plus the balance of any inputs and losses in earlier months of the simulation	mm	
V_{rain}	Rainfall	Recorded weather data	mm	
V_{irrig}	Irrigation	Recorded input data	mm	
Potential evapotranspiration, V_{PET}				
V_{PET}	Potential evapotranspiration	$16 \left(\frac{n_{\text{days,mon}}}{30} \right) \left(\frac{L}{12} \right) \left(\frac{10 \times T_{a,mon}}{I_{\text{heat}}} \right)^\epsilon$	mm month ⁻¹	(2)

Symbol	Variable	Value, source or formula	Units	Reference
$n_{\text{days,mon}}$	Number of days in the month			
L	Day length	$= \left(\frac{24}{\pi}\right) \cos^{-1}(-\tan(\phi) \tan(\delta))$	hrs	(3)
ϕ	Latitude	Local measurements	rad	
δ	Declination of the sun	$0.006918 - 0.399912 \cos(\theta_d)$ $+ 0.070257 \sin(\theta_d)$ $- 0.006758 \cos(2\theta_d)$ $+ 0.000907 \sin(2\theta_d)$ $- 0.002697 \cos(3\theta_d)$ $+ 0.001480 \sin(3\theta_d)$		
θ_d	Date in Julian days expressed as an angle	$2\pi \left(\frac{n_{\text{today}}}{365}\right)$	rad	
n_{today}	Number of Julian days since 01/01	Date		
$T_{a,\text{mon}}$	Average monthly temperature	Local measurements or weather database	°C	
ε	Dimensionless exponent function	$6.75 \times 10^{-7} I_{\text{heat}}^3 - 7.71 \times 10^{-3} I_{\text{heat}}^2$ $+ 1.792 \times 10^{-2} I_{\text{heat}}$ $+ 4.0239 \times 10^{-1}$		(2)
I_{heat}	Heat index	$\sum_{\text{mon}=1}^{12} \left(\frac{T_{a,\text{mon}}}{5}\right)^{1.514}$		(2)
$V_{\text{PET},d}$	Potential evapotranspiration from the selected soil depth	$\min\left(V_{\text{PET}}, V_{\text{PET}}\left(\frac{d}{d_{\text{max}}}\right)\right)$		
d	Simulation depth	Soil measurements	cm	
d_{max}	Maximum rooting depth	Field observations for crops	cm	
Water content at field capacity, V_{FC}				
V_{FC}	Water content at field capacity	$\frac{\theta_{\text{FC}} \times d}{10}$	mm	
θ_{FC}	Volumetric water content at field capacity	$24.49 - 18.87 \left(\frac{1}{1 + P_{\text{C}}}\right) + 0.4527(P_{\text{clay}})$ $+ 0.1535(P_{\text{silt}})$ $+ 0.1442(P_{\text{silt}}) \left(\frac{1}{1 + P_{\text{C}}}\right)$ $- 0.00511(P_{\text{silt}})(P_{\text{clay}})$ $+ 0.08676(P_{\text{clay}}) \left(\frac{1}{1 + P_{\text{C}}}\right)$	%	(4)
P_{C}	Carbon content	Local measurements or soil database	%	
P_{clay}	Clay content	Local measurements or soil database	%	
P_{silt}	Silt content	Local measurements or soil database	%	
d	Simulated soil depth	Local measurements of soil database	cm	
Water content at permanent wilting point, V_{PWP}				
V_{PWP}	Water content at permanent wilting point	$\frac{\theta_{\text{PWP}} \times d}{10}$	mm	
θ_{PWP}	Volumetric water content at permanent wilting point	$9.878 + 0.2127(P_{\text{clay}}) - 0.08366(P_{\text{silt}})$ $- 7.67 \left(\frac{1}{1 + P_{\text{C}}}\right)$ $+ 0.003853(P_{\text{silt}})(P_{\text{clay}})$ $+ 0.233(P_{\text{clay}}) \left(\frac{1}{1 + P_{\text{C}}}\right)$ $+ 0.09498(P_{\text{silt}}) \left(\frac{1}{1 + P_{\text{C}}}\right)$	%	(4)

Note: (1) Bradbury et al. (1993); (2) Thornthwaite (1948); (3) Kirk (2011); (4) Tóth et al. (2015)

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2.2.3. *Soil nutrients*

Only soil N is included in this study and is described in detail below. The simulation of other nutrients (phosphorus and potassium) was excluded for brevity and will be published elsewhere. Following the approach outlined by Smith et al. (2010), N is assumed to be held in 6 pools in the soil; mineral N (nitrate and ammonium) and organic N (DPM, RPM, BIO and HUM-N). The soil organic matter pools (BIO and HUM-N) are assumed to have a constant C:N ratio (8.5 after Bradbury et al., 1993). The C:N ratio of the undecomposed plant matter pools (DPM and RPM) is dependent on the C:N ratios of the plant debris, crop residues or organic manure added to the soil. The amount of ammonium is recalculated each month according to inputs from the atmosphere, fertilisers and mineralisation, and losses by immobilisation, nitrification, volatilisation and crop uptake. The amount of nitrate in the soil is recalculated according to inputs from the atmosphere, fertilisers and nitrification, and losses by immobilisation, leaching, denitrification and crop uptake. Because the time step of the model is long (1 month), if the loss processes were calculated sequentially, as is often done in models with a shorter time step (e.g. Bradbury et al., 1993; Smith et al., 2010), most N would be available for the loss process that was applied first. This would result in an unrealistically high rate of loss for the processes applied first, and an erroneously low rate of loss for the process applied last. Therefore, the potential loss by each process is initially calculated assuming no other loss processes occur, and then the loss from each is adjusted using a “loss adjustment ratio” to account for the demands from other processes. The loss adjustment ratio is given by the proportion of the potential loss by this process to the potential loss from all processes. In this way, all processes are assumed to occur simultaneously and are proportioned according to the size of their demand (Table 3).

275 The inputs of ammonium from the atmosphere are estimated from a constant annual N
276 deposition determined according to location, assuming that 50% of the deposited N is in the
277 form of ammonium. Inputs from fertilisers are dependent on the amount and type of fertiliser
278 applied. Mineralisation and immobilisation are calculated from the release or uptake of N by
279 decomposing organic matter (Bradbury et al., 1993). Using the equations given in Table 3,
280 release or uptake of N on organic matter decomposition is calculated from the amount needed
281 to maintain a stable C:N ratio in the soil organic matter pools. The released N is assumed to
282 be mineralised to ammonium. The uptake of N is assumed to be immobilised from the
283 ammonium pool first with any additional N requirement being immobilised from the nitrate
284 pool; if there is remaining requirement for N uptake after all mineral N has been taken up, the
285 rate of decomposition is reduced to account for this limitation as described in Table 1.
286 Nitrification of ammonium is calculated using a first order equation that is dependent on the
287 ammonium content of the soil, modified according to temperature, moisture, pH and salinity
288 in the same way as for aerobic decomposition (Table 3). Volatilisation is calculated as a
289 proportion of the ammonium N applied in fertiliser or manure in that month when rainfall is
290 less than a given level (Bradbury et al., 1993). Ammonium is taken up by the crop according
291 to crop N demand and the proportion of the soil mineral N that is in the form of ammonium.

292 Inputs of nitrate from the atmosphere and fertilisers are estimated in the same way as
293 the inputs of ammonium, and inputs by nitrification are assumed to be equivalent to the losses
294 of ammonium to nitrification. The amount of nitrate immobilised is given by the remaining N
295 needed to maintain a stable C:N ratio in the soil organic matter after ammonium has been
296 immobilised. Leaching losses are calculated according to the concentration of nitrate in the
297 soil solution and the drainage of water as given in Table 2. After Bell et al. (2012),
298 denitrification losses are estimated from a maximum potential rate of denitrification, adjusted
299 according to the amount of nitrate in the soil, the water content of the soil and the biological

300 activity; CO₂ produced by soil organic matter decomposition that month is used as a
 301 surrogate for biological activity. The denitrified N is then partitioned into di-nitrogen gas and
 302 nitrous oxide according to the moisture and nitrate content of the soil. Similarly to
 303 ammonium crop uptake, nitrate is taken up by the crop according to crop N demand and the
 304 proportion of the soil mineral N that is in the form of nitrate.

305

306 **Table 3**

307 Simulation of soil nitrogen. Note, N = nitrogen; C = carbon; DPM = decomposable plant
 308 material; RPM = resistant plant material; BIO = rapidly decomposing organic matter,
 309 “biomass”; HUM = slowly decomposing organic matter, “humus”; IOM = inert organic
 310 matter. Input data are shown in shaded cells.

Symbol	Variable	Value, source or formula	Units	Reference
<u>Amount of nitrate-N in the soil, N_{NO_3}</u>				
N_{NO_3}	Amount of nitrate-N in the soil	$N_{NO_3,start} + N_{NO_3,in} - (f_{NO_3,loss} \times N_{NO_3,loss})$	kg ha ⁻¹	(1)
$N_{NO_3,start}$	Amount of nitrate-N at the start of the time-step	Initialised to $N_{NO_3,min}$ and then the balance of any changes occurring in previous time-steps	kg ha ⁻¹	(1)
$N_{NO_3,min}$	Minimum possible amount of nitrate-N	Minimum level of nitrate N, as observed in the field for that experiment, or set to 0 kg ha ⁻¹	kg ha ⁻¹	(1)
$f_{NO_3,loss}$	Nitrate loss adjustment factor	$\frac{(N_{NO_3,start} + N_{NO_3,in})}{N_{NO_3,loss}}$ if $(N_{NO_3,loss} \leq (N_{NO_3,start} + N_{NO_3,in}))$, $f_{NO_3,loss} = 1$		
<u>Inputs of nitrate-N, $N_{NO_3,in}$</u>				
$N_{NO_3,in}$	Input of nitrate-N	$N_{NO_3,atm} + N_{NO_3,fert} + N_{NO_3,nitrif}$	kg ha ⁻¹	(1)
<u>Atmospheric inputs</u>				
$N_{NO_3,atm}$	Atmospheric deposition of nitrate-N	$p_{NO_3,atm} \times N_{atm}$	kg ha ⁻¹	(1)
$p_{NO_3,atm}$	Prop. nitrate-N in atmospheric deposition	Assumed 0.5		
N_{atm}	Total atmospheric N deposition in the time-step	Input data	kg ha ⁻¹	
<u>Fertiliser inputs</u>				
$N_{NO_3,fert}$	Fertiliser inputs to the nitrate-N pool	Input data	kg ha ⁻¹	
<u>Nitrification inputs</u>				
$N_{NO_3,nitrif}$	Input of nitrified-N to the nitrate pool	Assumed equivalent to nitrification of ammonium-N	kg ha ⁻¹	
<u>Losses of nitrate-N, $N_{NO_3,loss}$</u>				
$N_{NO_3,loss}$	Loss of nitrate-N	$N_{NO_3,immob} + N_{NO_3,leach,t} + N_{denit} + N_{NO_3,crop}$	kg ha ⁻¹	(1)
<u>Immobilisation losses</u>				
$N_{NO_3,immot}$	Immobilisation of nitrate-N	$\min(-\min((N_{soil} - N_{NH_4,immob}), 0), N_{NO_3,min})$	kg ha ⁻¹	(1)

Symbol	Variable	Value, source or formula	Units	Reference
N_{soil}	Soil N supply	See below	kg ha ⁻¹	
$N_{\text{NH4,immot}}$	Immobilisation of ammonium-N	See below	kg ha ⁻¹	
<u>Leaching losses</u>				
$N_{\text{NO3,leach}}$	Leaching of nitrate-N	$\frac{(N_{\text{NO3,start}} + N_{\text{NO3,in}} - N_{\text{NO3,min}})}{(V_{\text{wat,t-1}} + V_{\text{rain}} - V_{\text{PET,d}}) \times V_{\text{wat,drained}}}$	kg ha ⁻¹	(1)
$V_{\text{wat,t-1}}$	Water content of the soil in the previous time-step to time t	Table 2	mm	
V_{rain}	Rainfall	Table 2	mm	
$V_{\text{PET,d}}$	Potential evapotranspiration from the selected soil depth	Table 2	mm	
$V_{\text{wat,drained}}$	Water drained from the soil	$\max\left(\left((V_{\text{rain}} - V_{\text{PET,d}}) - (V_{\text{FC}} - V_{\text{wat,t-1}})\right), 0\right)$	mm	(1)
<u>Denitrification losses</u>				
N_{denit}	Losses of nitrate-N by denitrification	$N_{\text{denit,max}} \times m'_{\text{NO3}} \times m'_{\text{wat}} \times m'_{\text{bio}}$	kg ha ⁻¹	(2)
$N_{\text{denit,max}}$	Maximum potential rate of denitrification in a month	$\min(N_{\text{NO3}}, 0.2 \times d \times n_{\text{days,mon}})$	kg ha ⁻¹	(3)
$n_{\text{days,mon}}$	Number of days in the month			
m'_{NO3}	Nitrate rate modifier	$\frac{N_{\text{NO3}}}{(N_{\text{d50}} + N_{\text{NO3}})}$		
N_{d50}	Nitrate-N at which denitrification is 50% of full potential	$3.3 \times d$	kg ha ⁻¹	(3)
d	Simulation depth	Soil measurements	cm	
m'_{wat}	Soil moisture rate modifier	$\min\left(1, \left(\frac{\max(0, (V_{\text{wat}} - V_{\text{PWP}}) / (V_{\text{FC}} - V_{\text{PWP}})) - 0.62}{0.38}\right)^{1.74}\right)$		(4)
V_{wat}	Water content of the soil in time-step t	Table 2	mm	
V_{FC}	Water content at field capacity	Table 2	mm	
V_{PWP}	Water content at permanent wilting point	Table 2	mm	
m'_{bio}	Biological activity rate modifier	$\min(1, C_{\text{CO2}} \times 0.1)$		(1)
C_{CO2}	CO ₂ -C produced by aerobic decomposition	Table 1		
$N_{\text{denit,N2O}}$	Nitrous oxide-N produced on denitrification	$(1 - (p_{\text{w}} \times p_{\text{NO3}})) \times N_{\text{denit}}$	kg ha ⁻¹	(2)
p_{w}	Prop.N ₂ produced according to soil water	$0.5 \times (V_{\text{wat}} - V_{\text{PWP}}) / (V_{\text{FC}} - V_{\text{PWP}})$		(2)
p_{NO3}	Prop.N ₂ produced according to nitrate	$1 - \left(\frac{N_{\text{NO3}}}{(40d) + N_{\text{NO3}}}\right)$		(2)
<u>Crop uptake</u>				
$N_{\text{NO3,crop}}$	Crop N demand from the nitrate pool	$N_{\text{crop}} \times \left(N_{\text{NO3}} / (N_{\text{NO3}} + N_{\text{NH4}})\right)$	kg ha ⁻¹	

Symbol	Variable	Value, source or formula	Units	Reference
N_{crop}	Crop N demand this month	$p_{\text{N:opt}} \times N_{\text{opt}} / t_{\text{grow}}$	kg ha ⁻¹	
$p_{\text{N:opt}}$	Proportion of the optimum supply of N in the soil	Table 4		
N_{opt}	N supply required for the optimum yield	Table 4	kg ha ⁻¹	
t_{grow}	Months in the growing season	Input data		
Amount of ammonium-N in the soil, N_{NH_4}				
N_{NH_4}	Amount of ammonium-N in the soil	$N_{\text{NH}_4,\text{start}} + N_{\text{NH}_4,\text{in}} - (f_{\text{NH}_4,\text{loss}} \times N_{\text{NH}_4,\text{loss}})$	kg ha ⁻¹	(1)
$N_{\text{NH}_4,\text{start}}$	Amount of ammonium-N at the start of the time-step	Initialised to $N_{\text{NH}_4,\text{min}}$ and then the balance of any changes occurring in previous time-steps	kg ha ⁻¹	(1)
$N_{\text{NH}_4,\text{min}}$	Minimum possible amount of ammonium-N	Minimum level of ammonium N, as observed in the field for that experiment, or set to 0 kg ha ⁻¹	kg ha ⁻¹	(1)
$f_{\text{NH}_4,\text{loss}}$	Ammonium loss adjustment factor	$\frac{(N_{\text{NH}_4,\text{start}} + N_{\text{NH}_4,\text{in}})}{N_{\text{NH}_4,\text{loss}}}$ if $(N_{\text{NH}_4,\text{loss}} \leq (N_{\text{NH}_4,\text{start}} + N_{\text{NH}_4,\text{in}}))$, $f_{\text{NH}_4,\text{loss}} = 1$		
Inputs of ammonium-N, $N_{\text{NH}_4,\text{in}}$				
$N_{\text{NH}_4,\text{in}}$	Input of ammonium-N	$N_{\text{NH}_4,\text{atm}} + N_{\text{NH}_4,\text{fert}} + N_{\text{NH}_4,\text{OW}} + N_{\text{NH}_4,\text{miner}}$	kg ha ⁻¹	(1)
Atmospheric inputs				
$N_{\text{NH}_4,\text{atm}}$	Atmospheric deposition of ammonium-N	$N_{\text{NH}_4,\text{atm}} = p_{\text{NH}_4,\text{atm}} \times N_{\text{atm}}$	kg ha ⁻¹	
$p_{\text{NH}_4,\text{atm}}$	Prop.ammonium in the atmospheric deposition	Assumed 0.5		
Fertiliser inputs				
$N_{\text{NH}_4,\text{fert}}$	Fertiliser inputs to the ammonium-N pool	Input data	kg ha ⁻¹	
Organic waste inputs				
$N_{\text{NH}_4,\text{OW}}$	Organic waste inputs to the ammonium-N pool	$(1000 \times p_{\text{NH}_4:\text{N},\text{OW}} \times p_{\text{C},\text{OW}} \times M_{\text{OW}}) / p_{\text{C}:\text{N},\text{OW}}$	kg ha ⁻¹	
$p_{\text{NH}_4:\text{N},\text{OW}}$	Proportion of N in organic waste that is ammonium or urea	Local experiments or literature values		
$p_{\text{C},\text{OW}}$	Proportion of C in organic waste	Local experiments or literature values		
$p_{\text{C}:\text{N},\text{OW}}$	Average C:N ratio of organic waste	Local experiments or literature values		
M_{OW}	Amount of organic waste input this month	Input data or animal production model (Table 5)	t ha ⁻¹	
Soil supply				
$N_{\text{NH}_4,\text{miner}}$	Input of mineralised-N to the ammonium pool	$\max(N_{\text{soil}}, 0)$	kg ha ⁻¹	
Losses of ammonium-N, $N_{\text{NH}_4,\text{loss}}$				
$N_{\text{NH}_4,\text{loss}}$	Loss of ammonium-N	$N_{\text{NH}_4,\text{immob}} + N_{\text{NH}_4,\text{nitrif}} + N_{\text{NH}_4,\text{volat}} + N_{\text{NH}_4,\text{crop}}$	kg ha ⁻¹	(1)

Symbol	Variable	Value, source or formula	Units	Reference
Immobilisation losses				
$N_{\text{NH4,immot}}$	Immobilisation of ammonium-N	$\min(-\min(N_{\text{soil}}, 0), N_{\text{NH4,min}})$	kg ha ⁻¹	(1)
N_{soil}	Soil N supply	$N_{\text{release}} - N_{\text{adjust}}$	kg ha ⁻¹	(1)
N_{release}	Release of nutrient associated with CO ₂ -C loss	$p_{\text{CO2}} \left(\left(\frac{1000}{p_{\text{C:N,DPM}}} \right) C_{\text{loss,DPM}} + \left(\frac{1000}{p_{\text{C:N,RPM}}} \right) C_{\text{loss,RPM}} + \left(\frac{1000}{p_{\text{C:N,soil}}} \right) C_{\text{loss,BIO}} + \left(\frac{1000}{p_{\text{C:N,HUM}}} \right) C_{\text{loss,HUM}} \right)$	kg ha ⁻¹	(1)
p_{CO2}	Proportion of CO ₂ produced on decomposition	Table 1		
$C_{\text{loss,pool}}$	C loss from pool	Table 1	t ha ⁻¹	
$p_{\text{C:X,DPM}}$	C:X ratio of pool (X = nutrient = N)	$\frac{(C_{\text{DPM,last}} + C_{\text{PI,DPM}} + C_{\text{OW,DPM}})}{\left(\left(C_{\text{DPM,last}} / p_{\text{C:X,DPM,last}} \right) + \left(C_{\text{PI,DPM}} / p_{\text{C:X,plant}} \right) + \left(C_{\text{OW,DPM}} / p_{\text{C:X,OW}} \right) \right)}$		
$C_{\text{DPM,last}}$	Stock of C in the DPM pool in the last time step	Table 1	t ha ⁻¹	
$C_{\text{PI,DPM}}$	Inputs of C to the DPM pool from plant inputs	Table 1	t ha ⁻¹	
$C_{\text{OW,DPM}}$	Inputs of C to the DPM pool from organic wastes	Table 1	t ha ⁻¹	
$p_{\text{C:X,DPM,last}}$	C:nutrient ratio of the DPM pool in the last time-step	Previous time-step		
$p_{\text{C:X,plant}}$	C:nutrient ratio of plant inputs (X = nutrient = N)	¡Error! No se encuentra el origen de la referencia. Parameter measured for specific crop type		
$p_{\text{C:X,OW}}$	C:nutrient ratio of organic waste inputs (X = nutrient = N)	Parameter measured for specific organic waste type		

Symbol	Variable	Value, source or formula	Units	Reference
N_{adjust}	Adjustment of N content	$p_{\text{BIO}} \left(\left(\left(\frac{1000}{p_{\text{C:N,soil}}} \right) - \left(\frac{1000}{p_{\text{C:N,DPM}}} \right) \right) C_{\text{loss,DPM}} \right. \\ + \left(\frac{1000}{p_{\text{C:N,soil}}} \right) \\ \left. - \left(\frac{1000}{p_{\text{C:N,RPM}}} \right) C_{\text{loss,RPM}} \right) \\ + p_{\text{HUM}} \left(\left(\left(\frac{1000}{p_{\text{C:N,HUM}}} \right) \right. \right. \\ \left. \left. - \left(\frac{1000}{p_{\text{C:N,DPM}}} \right) \right) C_{\text{loss,DPM}} \right) \\ + \left(\frac{1000}{p_{\text{C:N,HUM}}} \right) \\ \left. - \left(\frac{1000}{p_{\text{C:N,RPM}}} \right) C_{\text{loss,RPM}} \right)$	kg ha ⁻¹	(1)
$p_{\text{C:N,soil}}$	C:N ratio of stable soil	8.5		(1)
<u>Nitrification losses</u>				
$N_{\text{NH4,nitrif}}$	Nitrified ammonium-N	$\min(N_{\text{NH4}}(1 - \exp(-k_{\text{nitrif}}r_{\text{mod}}r_{\text{inhibit}})), N_{\text{min,NH4}})$	kg ha ⁻¹	(1)
k_{nitrif}	Rate constant for nitrification	2.6	month ⁻¹	(1)
r_{mod}	Rate modifying factor	Table 1		
r_{inhibit}	Inhibition rate modifier	Accounts for application of nitrification inhibitors		
$N_{\text{nitrif,N2O}}$	Nitrified N lost as N ₂ O	$N_{\text{NH4,nitrif}} \times \left(\left(p_{\text{N2O,FC}} \times \frac{V_{\text{wat}}}{V_{\text{FC}}} \right) \right. \\ \left. + (p_{\text{nitrif,gas}} \times (1 - p_{\text{NO}})) \right)$	kg ha ⁻¹	(2)
$p_{\text{N2O,FC}}$	Proportion of N ₂ O produced due to partial nitrification at field capacity	0.02		(2)
$p_{\text{nitrif,gas}}$	Proportion of full nitrification lost as gas	0.02		(2)
p_{NO}	Proportion of full nitrification gaseous loss that is NO	0.4		(2)
$N_{\text{nitrif,NO}}$	Nitrified N lost as NO	$N_{\text{NH4,nitrif}} \times \left(\left(p_{\text{N2O,FC}} \times \frac{V_{\text{wat}}}{V_{\text{FC}}} \right) \right. \\ \left. + (p_{\text{nitrif,gas}} \times p_{\text{NO}}) \right)$	kg ha ⁻¹	(2)
<u>Volatilisation losses</u>				
$N_{\text{NH4,volat}}$		if ($V_{\text{rain}} < V_{\text{rain,crit}}$), $p_{\text{volat}} \times (N_{\text{NH4,manure}} + N_{\text{NH4,fert}})$	kg ha ⁻¹	(1)
$V_{\text{rain,crit}}$	Volatilisation occurs below this rainfall	21	mm	(1)
p_{volat}	Proportion of applied	0.15		(1)

Symbol	Variable	Value, source or formula	Units	Reference
	ammonium or urea-N volatilised			
$N_{\text{NH}_4, \text{manur}}$	Amount of ammonium or urea-N in manure	Input data	kg ha ⁻¹	
$N_{\text{NH}_4, \text{fert}}$	Amount of ammonium or urea-N in fertiliser	Input data	kg ha ⁻¹	
<u>Crop uptake</u>				
$N_{\text{NH}_4, \text{crop}}$	Crop uptake of ammonium-N	$N_{\text{crop}} \times \left(\frac{N_{\text{NH}_4}}{N_{\text{NO}_3} + N_{\text{NH}_4}} \right)$	kg ha ⁻¹	(1)
Note: (1) Bradbury et al. (1993); (2) Bell et al. (2012); (3) Henault and Germon (2000); (4) Grundmann and Rolston (1987)				

311

312

313 **2.3. Crop production**

314

315 Accurate simulation of crop yield is notoriously difficult and requires a considerable
316 amount of data due to the wide range of factors that can inhibit crop growth, such as diseases,
317 pests, nutrients and water. Therefore, ORATOR instead simulates *change* in crop production
318 using a ratio approach driven by only the variables that are expected to change as a result of
319 the environmental and management factors that are the focus of this model. These are
320 growing degree days, water stress and nutrient availability, which are affected by both
321 climatic conditions and the soil properties influenced by organic matter inputs (Table 4). This
322 approach aims to increase the accuracy possible when only limited input data are available to
323 predict yield by scaling the results using input values of “typical yield” for the specific farm
324 or area being simulated. The typical yield is multiplied by the ratio of plant production
325 estimated for the simulation year (the “atypical” year) to plant production estimated for the
326 steady state conditions (the “typical” year). It is assumed that the factors not explicitly
327 described in the model have similar impacts on yield in both typical and atypical conditions.
328 This assumption will break down if unusual or catastrophic conditions for crop growth occur
329 in the year of simulation, such as a disease outbreak or atypical pest attack.

330 The change in net primary production according to weather conditions, expressed as
331 growing degree days and the water stress (given by the ratio of actual to potential
332 evapotranspiration), is calculated by the equations given in Table 4 (Zaks et al., 2007). The
333 yield according to nutrient limitation (only N presented here) is calculated according to the
334 proportion of the optimum nutrient requirement that is available, and the yield response to
335 available nutrient as described by Reid (2002). The parameters needed to describe optimum
336 nutrient requirement and yield response are easily obtained from standard crop response field
337 trials (Table 4). The adjustments to crop production that would occur due to weather and
338 nutrient limitations are then combined to provide a single adjustment to crop production as
339 outlined in Table 4.

340

341 **Table 4**

342 Simulation of changes in crop production. Note, N = nitrogen; P = phosphorus; K =
343 potassium; C = carbon. Input data are shown in shaded cells.

Symbol	Variable	Value, source or formula	Units	Reference
Yield in an atypical year, $M_{yld,atyp}$				
$M_{yld,atyp}$	Yield in an atypical year	$C_{yld,typ} \times p_{plant,atyp}$	t ha ⁻¹	
$M_{yld,typ}$	Yield in a typical year	Input data	t ha ⁻¹	
Ratio of plant production in an atypical year compared to a typical year, $p_{plant,atyp}$				
$p_{plant,atyp}$	Ratio of plant production in an atypical year compared to a typical year	$C_{npp,atyp}/C_{npp,typ}; \left(p_{plant,atyp} < M_{yld,max}/M_{yld,typ} \right)$		
$M_{yld,max}$	Maximum potential crop yield	Derived from nutrient response curves	t ha ⁻¹	(1)
Net primary production according to nutrient, growing degrees and water stress, C_{npp}				
C_{npp}	Net primary production of C (typ = typical year; atyp = atypical year; mon = this month)	$\min_X [C_{npp,X}^*]$	t ha ⁻¹	(1)
$C_{npp,X}^*$	Net primary production calculated according to limitation of nutrient X	$p_{yld,opt} \times C_{npp}^{**}; (0 \leq p_{yld,opt} \leq 1)$	t ha ⁻¹	(1)
$p_{yld,opt}$	Prop. of optimum yield achieved	$(1 + c_X)p_{X,opt}^{c_X} - (c_X \cdot p_{X,opt}^{(1+c_X)})$		(1)
c_X	Nutrient response coefficient	Calculated by fitting to nutrient response curves for the particular crop		(1)

Symbol	Variable	Value, source or formula	Units	Reference
$p_{X:opt}$	Prop. of nutrient (N, P or K) available compared to the optimum amount of nutrient	$\frac{(X_{soil} + X_{fert} - X_{min})}{(X_{opt} - X_{min})}$; $(0 \leq p_{X:opt} \leq 1)$		(1)
X_{soil}	Soil supply of the nutrient	Table 3	kg ha ⁻¹	
X_{fert}	Fertiliser input of the nutrient	$p_{eff,fertX} \times X_{fert,in}$	kg ha ⁻¹	
$p_{eff,fertX}$		0.33 for broadcast application; 0.61 for band application of N; 1.0 for P and K		(1)
$X_{fert,in}$	Fertiliser added to the soil	Input data	kg ha ⁻¹	
X_{min}	Minimum amount of nutrient that results in a harvestable yield	Calculated by fitting to nutrient response curves for the particular crop	kg ha ⁻¹	(1)
X_{opt}	Amount of nutrient required to achieve maximum yield	Calculated by fitting to nutrient response curves for the particular crop	kg ha ⁻¹	(1)

Net primary production according to growing degree days and water stress during the growing season, C^{**}_{npp}

C^{**}_{npp}	Net primary production of C (typ = typical year; atyp = atypical year; mon = this month)	$27.20 \max \left(0, \left(\frac{0.0396}{\left(1 + \exp \left(6.33 - 1.5 \left(\frac{T_{GDD}}{11500} \right) \right) \right)} \right) \times (39.58 I_{ws} - 14.52) \right)$	t ha ⁻¹	(2)
T_{GDD}	Growing degree days	$\max(0, n_{days} \times (T_a - 5))$	°C day	(2)
n_{days}	Number of days in growing season	Input data		
T_a	Average air temperature	Local measurements or weather database	°C	
I_{ws}	Water stress index	V_{AET}/V_{PET}		(2)
V_{AET}	Actual evapotranspiration from rooting zone	$\min(V_{PET}, 5 \times n_{days,mon}, (V_{wat} - V_{PWP}))$	mm	(2)
V_{PET}	Potential evapotranspiration from rooting zone	Table 2	mm	
$n_{days,mon}$	Number of days in the month			
V_{wat}	Water content of the soil	Table 2	mm	
V_{PWP}	Water content at permanent wilting point	Table 2	mm	

Note: (1) Reid (2002); (2) Zaks et al. (2007)

344

345

346 **2.4. Animal production**

347

348 Animal production in the current simulation year is estimated using a database of
 349 typical animal production values for the region giving meat, milk, manure and excreted N
 350 (Hererro et al., 2013). The production of each of these outputs is then adjusted in proportion
 351 to the available feed in the current simulation year compared to the typical values supplied
 352 (Table 5). Depending on the strategy selected, animal production is either (1) maintained by
 353 buying or selling the difference in the crop production, or (2) allowed to change in proportion
 354 to the change in crop production from the previous harvest. Other strategies may be added
 355 according to observed farmer behaviours.

356

357 **Table 5**

358 Simulation of changes in animal production. Note, N = nitrogen; P = phosphorus; K =
 359 potassium; C = carbon. Input data are shown in shaded cells.

Symbol	Variable	Value, source or formula	Units	Reference
Animal production in atypical year, $M_{tot,atyp}$				
$M_{tot,atyp}$	Animal production in an atypical year of meat, milk, manure or excreted N	$p_{animal,atyp} \times M_{tot,typ}$	kg y ⁻¹	
$M_{tot,typ}$	Animal production in a typical year of meat, milk, manure or excreted N	$n_{animal} \times M_{prod}$	kg y ⁻¹	
n_{animal}	Number of animals kept on the farm	Input data		
M_{prod}	Animal production per head in typical year of meat, milk, manure or excreted N	From animal production database	kg y ⁻¹	(1)
Proportion of animal production achieved in an atypical year compared to a typical year, $p_{animal,atyp}$				
$p_{animal,atyp}$	Proportion of animal production in an atypical year compared to a typical year	1 if animal production is maintained by buying in feed; otherwise $\sum_i \left(\frac{p_{plant,atyp,i} \times P_{feed,i}}{100} \right) + \frac{P_{feed,buy}}{100}$		
$p_{plant,atyp,,c}$	Ratio of plant production in an atypical year compared to a typical year for crop i that is used to feed animals	Table 4		
$P_{feed,i}$	Percentages of calorific feed value supplied to animals from the crop i	Input data		
$P_{feed,buy}$	Percentage of animal feed bought from	Input data		

Symbol	Variable	Value, source or formula	Units	Reference
	outside sources			

Note: (1) Herrero et al. (2013)

360

361

362 2.5. Water use

363

364 The total irrigation needed is estimated by assuming that irrigation compensates for

365 any shortfall in soil water compared to a typical year, limited to the amount of irrigation

366 allowed in a given time-step, which is specified in the input data (Table 6). These simple

367 estimates can be overridden by user inputs if the actual irrigation water collected in a

368 particular year is known.

369

370 Table 6

371 Water use. Note: Input data are shown in shaded cells.

Symbol	Variable	Value, source or formula	Units
Irrigation water required in an atypical year, $V_{\text{irrig,atyp}}$			
$V_{\text{irrig,atyp}}$	Irrigation water required in an atypical year	$V_{\text{wat,typ}} + V_{\text{irrig,typ}} - V_{\text{wat,atyp}}$, where $0 \leq V_{\text{irrig,atyp}} \leq V_{\text{irrig,max}} / (A \times 10^4)$	mm
$V_{\text{wat,typ}}$	Soil water content in a typical year	Table 2	mm
$V_{\text{irrig,typ}}$	Irrigation water required in an atypical year	Input data	mm
$V_{\text{wat,atyp}}$	Soil water content in an atypical year	Table 2	mm
$V_{\text{irrig,max}}$	Maximum rate of irrigation specified for the site	Input data	$\text{dm}^3 \text{ m}^{-2}$
A	Area of the piece of land	Input data	ha

372

373

374 2.6. Energy use

375

376 The inputs to the model specify the percentages of cooking and lighting fuels obtained
377 from wood, charcoal, crop residues, dung, kerosene and electricity (Table 7). The proportion
378 of fuel available in the current simulation year compared to the typical (steady state) year
379 provides an estimate for the change in organic resources available for use in cooking or
380 lighting. Unless otherwise specified in the inputs, this proportion is assumed to be 1 (no
381 change) for wood, charcoal, kerosene and electricity. For crop residues, the proportion is
382 calculated from the changes in crop production for the crops that are used for fuel. For dung,
383 it is calculated from the change in production across all animals kept on the farm. The energy
384 use in a typical year for cooking and lighting are estimated from either national statistics or
385 the energy use in a typical year specified as an input.

386

387 **Table 7**

388 Energy use. Note: Input data are shown in shaded cells.

Symbol	Variable	Value, source or formula	Units	Reference
Energy available for cooking in an atypical year, $E_{\text{cook,atyp}}$				
$E_{\text{cook,atyp}}$	Energy available for cooking in an atypical year	$E_{\text{cook,typ}} \times \frac{\sum_{\text{fuel}} (P_{\text{cook,fuel}} \times p_{\text{fuel,atyp}})}{10^2}$	MJ y ⁻¹	
$E_{\text{cook,typ}}$	Energy available for cooking in a typical year	From national statistics or the energy use in a typical year specified as input data	MJ y ⁻¹	(1,2)
$P_{\text{cook,fuel}}$	Percentage of cooking fuel obtained from fuel	Input data; fuel = wood, charcoal, crop residues, dung, kerosene and electricity	%	
$p_{\text{fuel,atyp}}$	Proportion of fuel available in atypical compared to typical years			
	...for crop residues	$\sum_{\text{area}} \left(\frac{(p_{\text{plant,area}} P_{\text{area}} P_{\text{use,fuel}})}{\sum_{\text{area}} (P_{\text{area}} P_{\text{use,fuel}})} \right)$		
	...for dung	$\sum_{\text{animal}} \left(\frac{(p_{\text{animal,atyp}} \times n_{\text{animal}})}{\sum_{\text{animal}} n_{\text{animal}}} \right)$		
$p_{\text{plant,area}}$	Proportion of plant production in an atypical year compared to a typical year	Table 4		
P_{area}	Percentage of the farm in this area	Input data	%	
$P_{\text{use,fuel}}$	Percentage of the crop type grown in that area that is used for fuel	Input data	%	

$p_{\text{animal,atyp}}$ Proportion of animal production in an atypical year compared to a typical year

Table 5

n_{animal} Number of animals of the given type (animal)

Input data

Note: (1) IEA (2013); (2) UN Statistics Division Energy Statistics Database (2013)

389

390

391 **2.7. Labour**

392

393 Labour is calculated from entered values specifying the time different members of the
394 household spend collecting water and wood each week, tending livestock and crops each day,
395 and on other essential activities (such as cooking, cleaning the home etc) each day (Table 8).

396 This information needs to be gathered by survey in the village or household under study.

397 Household members are divided into male adults, female adults, male children and female
398 children. This information is then used to estimate the time available for non-agricultural
399 activities, such as leisure, education, petty trading, off-farm work, and how this changes
400 throughout the year.

401

402 **Table 8**

403 Labour. Note: Input data are shown in shaded cells.

Symbol	Variable	Value, source or formula	Units
Time spent by each person collecting water for household and animal use, t_{water}			
t_{wood}	Average time each person spends collecting woodfuel each day	$\frac{(n_{\text{trip,wood}} \times (t_{\text{travel,wood}} + t_{\text{gather,wood}}))}{7 \times n_{\text{people}}}$	hrs d ⁻¹
n_{people}	Number of people in the group	Groups are adult males, adult females, male children, female children	
$n_{\text{trip,wood}}$	Number of trips made by all people in this group (adult male, adult female, male child, female child) each week to collect woodfuel	Input data	
$t_{\text{travel,wood}}$	Average time spent in each trip travelling to and from the place where wood is collected	Input data	hrs

Symbol	Variable	Value, source or formula	Units
$t_{gather,wood}$	Average time spent in each trip gathering wood	Input data	hrs
Time spent by each person collecting water for household and animal use, t_{water}			
t_{water}	Average time spent by each person collecting water for household and animal use	$t_{water,house} \times \frac{V_{water,total}}{V_{water,house}}$	hrs d ⁻¹
$V_{water,total}$	Total volume of water required each month	$V_{water,house} + V_{irrig}$	dm ³ mnth ⁻¹
$V_{water,house}$	Total amount of water collected for the household and animals each month	$\sum_i \left(\frac{n_{days,month}}{7} \times n_{trip,water,i} \times V_{water,trip,i} \right)$, i = different groups	dm ³ mnth ⁻¹
$n_{days,month}$	Number of days in the month		
$n_{trip,water,i}$	Number of trips made by people in this group to collect water	Input data	
$V_{water,trip,i}$	Volume of water carried in each trip	Input data	dm ³
$t_{water,house}$	Total time spent by each person collecting water for household and animal use	$\frac{(n_{trip,water}(t_{travel,water} + t_{queue,water}))}{(7 \times n_{people})}$	hrs d ⁻¹
$n_{trip,water}$	Number of trips made to collect water	Input data	
$t_{travel,water}$	Average time spent in each trip travelling to and from the place where water is collected	Input data	hrs
$t_{queue,water}$	Average time spent queuing for water in each trip	Input data	hrs
Time spent managing livestock, $t_{livestock}$			
$t_{livestock}$	Average time spent managing livestock	$(t_{animal} + t_{dung})/n_{people}$	hrs d ⁻¹
t_{animal}	Total time spent each day by people in this group feeding, watering and herding animals	Input data	hrs d ⁻¹
t_{dung}	Total time spent each day by people in this group managing dung	Input data	hrs d ⁻¹
Time spent managing crops, t_{crop}			
t_{crop}	Time spent managing crops	$(t_{sow} + t_{weed} + t_{harv})/n_{people}$	hrs d ⁻¹
t_{sow}	Time spent sowing	Input data	hrs d ⁻¹
t_{weed}	Time spent weeding	Input data	hrs d ⁻¹
t_{harv}	Time spent harvesting	Input data	hrs d ⁻¹
Time spent on other activities			
$t_{essential}$	Time spent on essential activities	$t_{essential,i}/n_{people}$	hrs d ⁻¹
$t_{essential,i}$	Time spent on these activities by people in this group	Input data	hrs d ⁻¹
$t_{non-essential}$	Time available for non-	$t_{awake} - t_{essential}$	hrs d ⁻¹

Symbol	Variable	Value, source or formula	Units
	essential activities		
t_{awake}	Time spent awake each day	Input data	hrs d ⁻¹

404

405

406 **2.8. Purchases and sales**

407

408 Purchases and sales data are obtained directly from input data on the price of products
409 and the amount purchased or sold for wet and dry seasons in a typical year. Entered values
410 for sales are checked against the amount of products available within the household (Table
411 9).

412

413 **Table 9**

414 Purchases and sales. Note: Input data are shown in shaded cells.

Symbol	Variable	Value, source or formula	Units	Reference
Checks on products for sale				
Dung for sale, $M_{\text{dung,sale}}$				
$M_{\text{dung,sale}}$	Availability of dung for sale	$\sum_{\text{cattle}} (M_{\text{dung,tot,typ}} \times P_{\text{use,sale}}/100)$	kg y ⁻¹	
$M_{\text{dung,tot,typ}}$	Animal production in typical year of manure	Table 5		
$P_{\text{use,sale}}$	Percentage of dung that is used for sale	Input data	%	
Checks on purchases				
N/A				

415

416

417 **2.9. Model evaluation**

418

419 The changes in SOC are a product of the other processes included in the model, being
420 directly affected by crop production, nutrient turnover and soil water content, and indirectly
421 affected by animal management, fuel use and labour availability. Therefore, comparison of
422 simulated to measured values of SOC represents an integrated evaluation of many different

423 aspects of the model, so the performance of the model was primarily evaluated here with
424 respect to changes in SOC. Evaluations of simulated yield were also included to allow the
425 sources of any errors to be better understood.

426 The evaluation was done using data collected on the long term experiment at the
427 research farm of Vasant Rao Naik Marathwada Krishi Vidyapeeth, Parbhani, Maharashtra,
428 India (19°08' N, 76°05'E), between 1983 and 2010 (Narkhede, 2019). The experiment used a
429 sorghum-wheat cropping system, and was on a low organic C and alkaline silty clay loam
430 (hyperthermic, Typic Haplustert (or Haplic Vertisol in World Reference Base); Soil Survey
431 Division Staff, 1993) in a hot semi-arid eco-region (mean annual rainfall is 847mm, mean
432 annual minimum and maximum temperatures are 10.5 and 41.6 °C respectively). The
433 measurements at this site provide a rare opportunity to evaluate the model against data from a
434 well-controlled long-term experiment in a semi-arid environment. The data include detailed
435 measurements of changes in SOC using a fully replicated and randomized trial that uses both
436 inorganic and organic fertilisers, and rain-fed and irrigated conditions. Therefore, the trial
437 allows the accuracy of simulations to be evaluated for changes in SOC in the different
438 conditions that limit crop growth within the model (nutrients and water), and using different
439 inputs of organic fertilisers.

440 The parameters used to describe sorghum and wheat were derived from independent
441 data at other sites in India (Table 10). Parameters used to describe the organic fertiliser
442 (partially composted farm yard manure; hereafter referred to as “compost”) were derived
443 from measurements of C and N contents provided for the compost used at the site for 2009 –
444 2017 (ICAR, 2019) and using generic parameters for compost derived by Smith et al. (2014)
445 (Table 11). The simulations were run from 1983 to 2010 using weather data recorded for the
446 site as given in Fig. 2. At the start of the simulation, the soils were assumed to be in steady
447 state with respect to the weather conditions for the first decade, and wheat and sorghum crops

448 grown in rotation using the management practices as specified for 2009 – 2017 by ICAR
449 (2019) (Table 12, Table 13). Unfortunately, the rates of fertiliser applications before 1983 are
450 unknown. Therefore, the initialisation run also included adjustment of fertiliser applied in the
451 years before the trial started to give the response of SOC to applied inorganic fertiliser
452 observed in the treatment with 100% of the recommended N applied as inorganic fertiliser
453 (treatment T5, Table 12). This amount of fertiliser was assumed to be applied to the sorghum
454 and wheat crops in the pre-trial period in the same proportion as used in the trials. The yields
455 of the pre-trial crops were assumed to differ from the yields in the first decade of T5 in
456 proportion to the difference in the amount of fertiliser applied. In the subsequent evaluations
457 against other treatments and in the application runs, this same rate of fertiliser was assumed
458 to be applied during the pre-trial period. The SOC data used to set the pre-trial fertiliser
459 applications (treatment with inorganic fertiliser at 100% of the recommended rate, T5) were
460 excluded from the evaluation to maintain the independence of the evaluation. A more
461 thoroughly independent evaluation would use no data from the site to set inputs, but was not
462 possible at this site due to shortage of background information. However, the evaluation does
463 allow the uncertainty in the simulations to be defined for this site and used in the subsequent
464 applications.

465 The initialised model was run forwards using recorded weather and crop management
466 data from the trial for 1983 to 2010 (Table 14). The simulations were evaluated using
467 measurements of SOC to 15 cm depth (Table 15) and crop yield (Table 16), following the
468 approach described by Smith et al. (1997) and by Smith and Smith (2007). In order to provide
469 a simulation that is likely to be accurate in other sites with similar conditions, the simulations
470 and measurements should show both high coincidence and high association (Smith and
471 Smith, 2007). The association (similarity of trends) between the simulated values and the
472 measurements was expressed using the Pearson's correlation coefficient, with the

473 significance of the correlation determined by a Student's *t*-test. The coincidence (closeness of
474 fit) between the simulations and the measurements was quantified by calculating the root
475 mean squared deviation of the simulations from the measurements; this was expressed both
476 as the average total error (the average of the deviation of simulations from the
477 measurements), and as a percentage of the mean measured value. The significance of the
478 coincidence was determined by comparison to the values calculated for measurements at the
479 95% confidence interval from the mean using standard errors in the measurements for this
480 trial; the standard errors in the SOC measurements were assumed to be $\sim 1 \text{ t ha}^{-1}$ from
481 measurements on the same trial by Datta et al. (2018) and $\sim 0.1 \text{ t ha}^{-1}$ for crop yield from
482 replicated measurements for 2011 – 2015 provided by ICAR (2019). The bias in the
483 simulations was also calculated as the sum of the differences between simulations and
484 measurements, and as a percentage of the mean measured value. Again, the significance of
485 the bias was determined by comparison to the errors in the data presented for 2011 – 2015 by
486 Datta et al. (2018) and ICAR (2019).

487

488 **Table 10**

489 Crop parameters for sorghum and wheat. Note: C = carbon, N = nitrogen.

Symbol	Variable	Units	Wheat		Sorghum		Description of use
			Value	Source	Value	Source	
d_{\max}	Maximum rooting depth	cm	120	(1)	90	(2)	Tables 2 & 4
$p_{\text{C:N,plant}}$	C:N ratio of plant inputs		80	(3)	85	(4)	Table 3
N_{opt}	Amount of nitrogen required to achieve maximum yield	kg ha^{-1}	230	(3)	126	(4)	Table 4
N_{min}	Minimum amount of nitrogen that results in a harvestable yield	kg ha^{-1}	0	(3)	0	(4)	Table 4
c_{N}	Nitrogen response coefficient		0.6	(3)	1	(4)	Table 4
$M_{\text{yld,max}}$	Maximum potential crop yield	t ha^{-1}	4.5	(3)	7.0	(4)	Table 4
I_{harv}	Harvest index		0.37	(5)	0.46	(5)	Table 1
$p_{\text{PI:NPP}}$	Proportion of net primary production		0.2	(6)	0.2	(6)	Table 1

incorporated in the soil

Note: (1) Kirkegaard and Lilley (2007); (2) Hundal and De Datta (1984); (3) Mohanty (2015); Benbi et al. (1993) (4) Kushwah et al. (2013); Uchino et al. (2013) (5) Unkovich et al. (2010); (6) Bolinder et al. (2007)

490

491 **Table 11**

492 Parameters used to describe the organic fertiliser (partially composted farm yard manure).
 493 Note: C = carbon, N = nitrogen, DPM = decomposable plant material, HUM = humified soil
 494 organic matter, IOM = inert organic matter.

Symbol	Variable	Value	Source	Description of use
$p_{C:N,OW}$	Average C:N ratio of organic waste	7.5	(1)	Table 3
$p_{NH_4:N,OW}$	Proportion of N in organic waste that is ammonium or urea	0.5	(1)	Table 3
$p_{D:H,OW}$	Ratio of DPM:HUM in the active organic waste added	25	(2)	Table 1
$p_{IOM,OW}$	Proportion of IOM in organic waste	0	(2)	Table 1
$p_{C,OW}$	Proportion of C in organic waste	0.19	(1)	Table 1

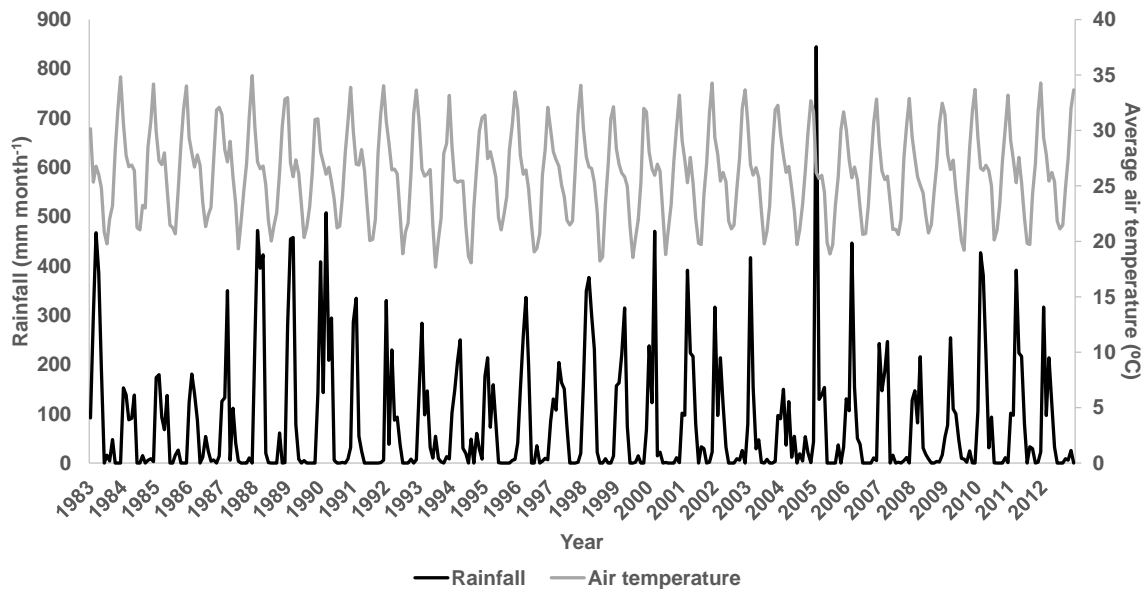
Notes: (1) Experimental measurements from site; (2) Smith et al. (2014)

495

496

497

498



499

500 **Fig. 2.** Weather data from Parbhani, Maharashtra, India, used to drive the simulations

501

502 **Table 12**

503 Soil data used to initialise simulations at Parbhani, Maharashtra, India. Note EC 1:5 =
 504 electrical conductivity in 1:5 soil-water extract by volume.

Soil characteristics used to initialise soil organic matter pools		Measurements from treatment with 100% recommended rate of N applied as inorganic fertiliser (T5); used to set pre-trial fertiliser application rates	
Initial soil characteristics	Value	Year	Soil organic carbon (t ha ⁻¹)
Soil depth (cm)	15	1983-84	12.0
Clay content (g per 100 g soil)	30	1988-89	12.9
Silt content (g per 100 g soil)	30.7	1991-92	14.5
Sand content (g per 100 g soil)	39.3	1998-99	13.5
Carbon content (g per 100 g soil)	0.667	2002-03	14.4
Soil bulk density (g cm ⁻³)	1.19	2005-06	14.6
Soil pH	8.32	2009-10	14.9
Soil salinity (EC 1:5)	0.37		

505

506 **Table 13**

507 Crop data used to initialise simulations using 100% of the recommended nitrogen application
 508 rate as inorganic fertiliser at Parbhani, Maharashtra, India. Note: N = nitrogen.

Crop	Sowing	Harvest	Yield (t ha ⁻¹)	Chemical fertiliser N		Irrigation (mm)
				Amount (kg ha ⁻¹)	Application month	
Sorghum	Jul-83	Oct-83	1.65	80	Jul-83	0
Wheat	Nov-83	Mar-84	1.66	100	Nov-83	480
Sorghum	Jul-84	Oct-84	3.83	80	Jul-84	0
Wheat	Nov-84	Mar-85	1.91	100	Nov-84	480
Sorghum	Jul-85	Oct-85	5.01	80	Jul-85	0
Wheat	Nov-85	Mar-86	2.42	100	Nov-85	480
Sorghum	Jul-86	Oct-86	3.75	80	Jul-86	0
Wheat	Nov-86	Mar-87	2.42	100	Nov-86	480
Sorghum	Jul-87	Oct-87	3.38	80	Jul-87	0
Wheat	Nov-87	Mar-88	3.26	100	Nov-87	480
Sorghum	Jul-88	Oct-88	1.26	80	Jul-88	0
Wheat	Nov-88	Mar-89	3.54	100	Nov-88	480
Sorghum	Jul-89	Oct-89	2.65	80	Jul-89	0
Wheat	Nov-89	Mar-90	3.04	100	Nov-89	480
Sorghum	Jul-90	Oct-90	3.94	80	Jul-90	0
Wheat	Nov-90	Mar-91	3.94	100	Nov-90	480
Sorghum	Jul-91	Oct-91	5.15	80	Jul-91	0
Wheat	Nov-91	Mar-92	4.11	100	Nov-91	480
Sorghum	Jul-92	Oct-92	3.43	80	Jul-92	0
Wheat	Nov-92	Mar-93	2.86	100	Nov-92	480

509

510 **Table 14**

511 Crop management data used to drive simulations to evaluate ORATOR from 1983 to 2010 at
 512 Parbhani, Maharashtra, India. Note: N = nitrogen; “compost” = partially composted farmyard
 513 manure.

Treatments		Percentage of recommended N rate applied					
Crop	Fertiliser type	T1	T2	T3	T4	T6	T7
Sorghum	Inorganic	0%	50%	100%	75%	100%	75%
	Organic	0%	0%	0%	0%	0%	0%
Wheat	Inorganic	0%	50%	50%	75%	50%	75%
	Organic	0%	0%	0%	0%	50%	25%
Sorghum	Applied N	July					
	Inorganic (kg ha ⁻¹)	0	40	80	60	80	60
	Sowing	July					
	Harvest	October					
	Irrigation (mm)	0					
Wheat	Applied N (kg ha ⁻¹)	November					
	Inorganic (kg ha ⁻¹)	0	40	40	60	40	60
	Organic (kg ha ⁻¹)	0	0	0	0	40	20
	Fresh weight amount of compost applied (t ha ⁻¹)	0	0	0	0	2	1
	Sowing	November					
	Harvest	March					
	Irrigation (mm)	480					

514

515 **Table 15**

516 Soil organic carbon measurements used to evaluate model performance at Parbhani,
 517 Maharashtra, India. Note: N = nitrogen.

Treatments		Percentage of recommended N rate applied					
Crop	Fertiliser type	T1	T2	T3	T4	T6	T7
Sorghum	Inorganic	0%	50%	100%	75%	100%	75%
	Organic	0%	0%	0%	0%	0%	0%
Wheat	Inorganic	0%	50%	50%	75%	50%	75%
	Organic	0%	0%	0%	0%	50%	25%
	Year	Soil organic carbon (t ha ⁻¹)					
	1983-84	11.91	12.11	12.11	11.91	12.01	12.01
	1988-89	11.72	12.08	12.28	12.22	16.22	16.14
	1991-92	14.61	14.42	14.54	14.76	14.21	17.14
	1998-99	11.11	12.89	13.29	13.45	15.49	15.33
	2002-03	10.76	12.04	12.46	12.60	14.62	14.30
	2005-06	11.02	12.19	12.69	12.78	14.79	14.45
	2009-10	11.75	12.72	12.71	13.00	15.86	14.77

518

519 **Table 16**

520 Crop yield measurements used to evaluate model performance at Parbhani, Maharashtra,
 521 India. Note: N = nitrogen.

Treatments		Percentage of recommended N rate applied					
Crop	Fertiliser type	T1	T2	T3	T4	T6	T7
Sorghum	Inorganic	0%	50%	100%	75%	100%	75%
	Organic	0%	0%	0%	0%	0%	0%
Wheat	Inorganic	0%	50%	50%	75%	50%	75%
	Organic	0%	0%	0%	0%	50%	25%
Crop	Harvest	Yield (t ha ⁻¹)					
Sorghum	Oct-83	0.10	0.90	0.76	1.42	0.92	1.45
Wheat	Mar-84	0.56	1.33	1.44	1.55	1.82	1.54
Sorghum	Oct-84	0.74	2.41	2.81	3.14	3.27	3.86
Wheat	Mar-85	0.89	1.54	1.77	1.69	2.04	1.77
Sorghum	Oct-85	0.69	2.94	3.52	3.65	4.71	4.79
Wheat	Mar-86	0.88	1.74	2.00	1.94	2.49	2.20
Sorghum	Oct-86	0.54	2.33	3.19	3.55	4.37	4.29
Wheat	Mar-87	0.98	2.00	2.39	2.26	2.63	2.41
Sorghum	Oct-87	0.81	2.39	2.48	3.01	3.65	3.60
Wheat	Mar-88	1.26	2.12	2.48	2.30	3.74	3.17
Sorghum	Oct-88	0.10	0.73	0.86	0.99	1.43	1.24
Wheat	Mar-89	1.14	1.99	2.45	2.56	3.51	3.42
Sorghum	Oct-89	0.25	1.73	1.76	2.22	3.09	2.44
Wheat	Mar-90	0.95	2.16	2.42	2.56	3.07	2.97
Sorghum	Oct-90	0.55	2.75	3.01	3.42	4.23	4.06
Wheat	Mar-91	0.78	2.66	2.87	3.56	3.70	3.85
Sorghum	Oct-91	0.56	3.18	3.33	4.08	4.94	5.09
Wheat	Mar-92	0.63	2.13	3.10	2.60	3.99	3.92
Sorghum	Oct-92	0.35	1.45	2.02	3.33	3.65	3.51
Wheat	Mar-93	0.99	2.43	2.41	2.48	3.01	2.96
Sorghum	Oct-93	0.58	4.10	4.27	4.25	5.08	4.89
Wheat	Mar-94	0.62	1.34	1.81	1.69	2.13	1.85
Sorghum	Oct-94	0.27	1.85	2.25	2.33	2.68	2.79
Wheat	Mar-95	0.66	1.53	1.66	1.57	1.89	1.75
Sorghum	Oct-95	0.33	1.87	2.08	1.89	1.57	2.54
Wheat	Mar-96	0.79	1.24	1.58	1.68	2.15	2.13
Sorghum	Oct-96	No measurements					
Wheat	Mar-97	0.14	0.75	1.10	0.95	1.35	1.19
Sorghum	Oct-97	0.29	1.75	2.12	2.08	2.75	2.60
Wheat	Mar-98	0.58	1.22	2.01	1.73	2.28	1.92
Sorghum	Oct-98	0.00	0.80	1.14	1.04	1.49	1.32
Wheat	Mar-99	0.43	1.36	1.99	1.78	2.21	1.62
Sorghum	Oct-99	0.42	2.38	3.23	3.06	3.64	3.39
Wheat	Mar-00	0.34	1.22	2.00	2.12	2.59	2.48
Sorghum	Oct-00	0.00	0.99	1.45	1.60	2.23	2.13

Wheat	Mar-01	0.34	1.06	1.21	1.44	2.28	1.93
Sorghum	Oct-01	0.94	5.16	5.28	5.58	5.96	5.66
Wheat	Mar-02	0.27	1.67	1.87	1.92	2.96	2.43
Sorghum	Oct-02	0.84	3.26	3.18	3.34	3.88	3.67
Wheat	Mar-03	0.18	1.23	1.72	1.65	2.33	2.05
Sorghum	Oct-03	0.00	2.02	2.14	2.30	2.59	2.19
Wheat	Mar-04	0.95	2.21	2.26	2.47	2.50	2.51
Sorghum	Oct-04	0.63	2.84	3.37	3.57	4.20	3.95
Wheat	Mar-05	0.06	1.79	1.88	1.94	2.12	2.13
Sorghum	Oct-05	0.04	0.88	0.70	0.83	1.58	1.33
Wheat	Mar-06	0.33	1.50	1.74	2.12	2.07	1.19
Sorghum	Oct-06	0.00	1.29	1.65	1.52	2.22	1.98
Wheat	Mar-07	0.49	2.03	2.36	2.63	3.29	2.72
Sorghum	Oct-07	0.36	1.70	1.82	1.83	2.99	3.06
Wheat	Mar-08	0.41	1.96	2.25	2.29	2.83	2.56
Sorghum	Oct-08	0.12	1.90	1.87	2.23	2.43	2.20
Wheat	Mar-09	0.44	1.98	2.16	2.34	2.71	2.48
Sorghum	Oct-09	0.12	1.22	1.32	1.47	1.89	1.66
Wheat	Mar-10	0.37	2.11	2.10	2.45	3.20	2.54
Sorghum	Oct-10	0.16	1.83	2.08	2.25	2.72	2.42

522

523

524 ***2.10. Model application***

525

526 To demonstrate potential applications of the model, ORATOR was used to assess the
527 impact of applying N as inorganic fertiliser, compost or a combination of inorganic fertiliser
528 and compost on the resources available to households in Parbhani, Maharashtra, India. The
529 model was run for the simplified case where the household grows exclusively wheat and
530 sorghum in rotation and (a) does not use manure for fuel, or (b) currently uses all of the
531 manure that would be required for soil incorporation as fuel. The simulations were used to
532 estimate the overall impact of the different sources of N on yield and net farm income, C
533 sequestration, soil water, and soil N supply and N use efficiency. The options considered
534 were 100% inorganic fertiliser, 50% inorganic and 50% organic fertiliser, and 100% organic
535 fertiliser. The characteristics of the organic fertiliser used were set to be equivalent to the
536 compost used in the trials (Table 11). The selection of 50:50 inorganic to organic fertiliser

537 was used to illustrate the impact of integrating inorganic and organic fertiliser use. These
538 proportions could also have been adjusted to assess the impact on the soils, crops and
539 household of different combinations of fertiliser sources. However, this was not done here.

540 To capture the relevant changes in net farm income for this simplified example, the
541 contribution to net farm income of the different crop management options considered, I_{farm}
542 (US\$), was calculated from the amount and maximum market value of (a) grain produced,
543 M_{yld} (t ha⁻¹) and v_{yld} (US\$ t⁻¹), (b) fertiliser use, M_{fert} (kg ha⁻¹) and v_{fert} (US\$ kg⁻¹), and (c)
544 liquefied petroleum gas (LPG) fuel required to replace the dung used as fuel, $M_{\text{dung} \rightarrow \text{fert}}$ (t
545 ha⁻¹) and $v_{\text{dung} \rightarrow \text{LPG}}$ (US\$ t⁻¹),

546

$$547 \quad I_{\text{farm}} = (M_{\text{yld}} \times v_{\text{yld}}) - (M_{\text{fert}} \times v_{\text{fert}}) - (M_{\text{dung} \rightarrow \text{fert}} \times v_{\text{dung} \rightarrow \text{LPG}}).$$

548

549 The market prices for grain and urea fertiliser that were used are given in Table 17. The
550 prices for wheat and sorghum were assumed to be fixed at the maximum market price
551 provided by the farmer's portal for Parbhani, Maharashtra for March 2019 (Farmer's Portal,
552 2019), 23.38 INR kg⁻¹ ($v_{\text{yld}} = 338$ US\$ t⁻¹) for wheat and 30.27 INR kg⁻¹ ($v_{\text{yld}} = 438$ US\$ t⁻¹)
553 for sorghum (assuming an exchange rate of 0.014457 US\$ per INR, Currency converter,
554 2019). The cost of N fertiliser was assumed to be fixed at the price given on the Tamil Nadu
555 Agricultural University website, updated 21 May 2013 (TNAU, 2013); mode = 276, range =
556 (265 – 278) INR for a 50 kg bag of urea fertiliser containing 46% N. This is equivalent to an
557 average price of 12.0 (±0.6) INR per kg of N fertiliser, or $v_{\text{fert}} = 0.17$ (±0.01) US\$ kg⁻¹. The
558 cost of replacing dung with LPG (51.12 Indian Rupees (INR) per kg) was obtained from the
559 price given for LPG for March 2019 (Good Returns, 2019a). The amount of replacement fuel
560 needed was determined from the net calorific values of dung and LPG, and stove efficiencies,

561 giving a cost of replacing dung with LPG of 2005 INR t^{-1} (Table 18) ($v_{\text{dung} \rightarrow \text{LPG}} = 28.99$
 562 US\$ t^{-1}).

563 The longer term impacts of the different treatments on C sequestration and on water
 564 and N use efficiency were simulated by assuming weather conditions from 2013 to 2082 are
 565 unchanged from 2003 to 2012, and then running the model forwards for another 70 years
 566 (100 year total simulation). The impacts of climate change could have been considered by
 567 using projected climate data, but this was not done in this example application. A 3rd order
 568 parabolic trendline was fitted to the simulated change in SOC from the start of the simulation
 569 in order to determine the trend in C change. The change in soil water was calculated by
 570 comparison to the water content in the control. The N use efficiency was calculated from the
 571 ratio of the average annual yield to inorganic plus organic fertiliser N application.

572

573 **Table 17**

574 Assumed price of urea and grain at Parbhani, Maharashtra, India. Note: NFCL and SPIC are
 575 referred to in TNAU (2013); NPK fertiliser is inorganic fertiliser containing combined
 576 nitrogen, phosphorus and potassium in proportions specified; INR = Indian Rupees; prices
 577 are assumed to be fixed at the rates given as more dynamic pricing is overly complex for the
 578 analysis presented here.

		Source
Maximum price = 10:26:26 NPK fertiliser from NFCL (INR kg^{-1})	1111.25	(1)
Minimum price = 20:20:20 NPK fertiliser from SPIC (INR kg^{-1})	87.78	(1)

Typical price of urea fertiliser (INR kg^{-1} N)	12.0	(1)
Range in price of urea fertiliser (INR kg^{-1} N)	0.6	(1)
Maximum market price of wheat (INR kg^{-1})	23.38	(2)
Maximum market price of sorghum (INR kg^{-1})	30.27	(2)
Note: (1) TNAU (2013); (2) Farmer's Portal (2019)		

579

580 **Table 18**

581 Cost of replacing dung with liquefied petroleum gas (LPG) at Parbhani, Maharashtra, India.
 582 Note INR = Indian Rupees.

Symbol / Formula	Variable	Dung	Source	LPG	Source
H_{cal}	Net calorific value (MJ kg^{-1})	11.9	(1)	45.24	(1)
ϵ_{stove}	Stove efficiency (%)	8.5	(1)	57	(1)

$\epsilon_{\text{cook}} = H_{\text{cal}} \times \epsilon_{\text{stove}}$	Cooking value (MJ kg ⁻¹)	1.0	25.8
v_{LPG}	Maximum market price (INR kg ⁻¹)		51.12 (2)
$v_{\text{dung} \rightarrow \text{LPG}} = v_{\text{LPG}} \times \epsilon_{\text{cook,dung}} / \epsilon_{\text{cook,LPG}}$	Cost of replacing dung with LPG, (INR t ⁻¹)		2005
Note: Singh et al. (2014); (2) Good Returns (2019a).			

583

584

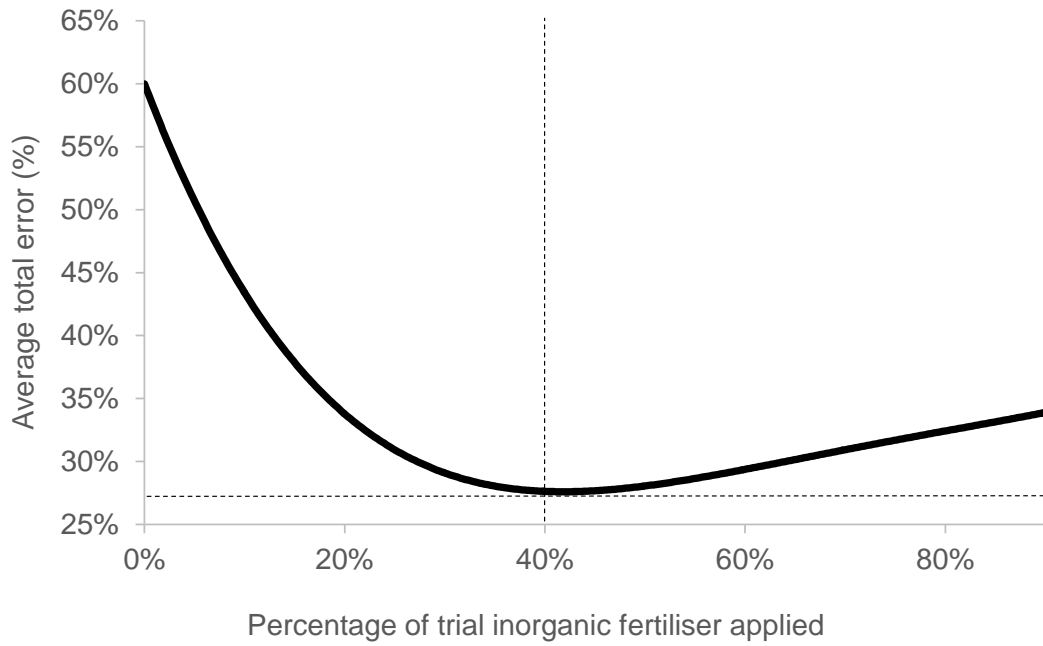
585 **3. Results and discussion**

586

587 ***3.1. Initialisation and determination of pre-trial fertiliser inputs***

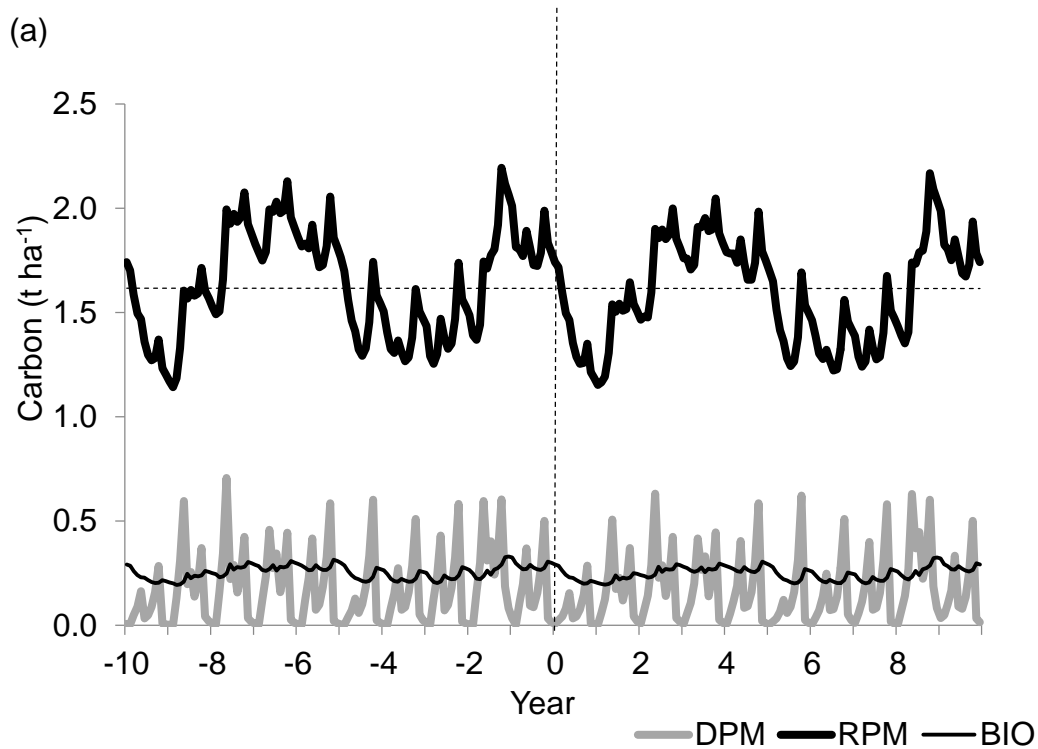
588

589 The best fit between the simulations and measurements in the inorganic fertiliser plots
590 was obtained if typical fertiliser applications during the pre-trial period were 40% of the
591 fertiliser rate used in the trials (Fig. 3); this equates to a typical application rate of 32 kg ha⁻¹
592 y⁻¹ for sorghum and 40 kg ha⁻¹ y⁻¹ for wheat. When initialised using this rate of fertiliser
593 application to steady state at the SOC measured at the start of the trial (11.89 t ha⁻¹ in the top
594 15 cm), the soils contained 0.05% as DPM, 15% as RPM, 2.45% as BIO, 76% as HUM and
595 6.9% as IOM (Fig. 4).

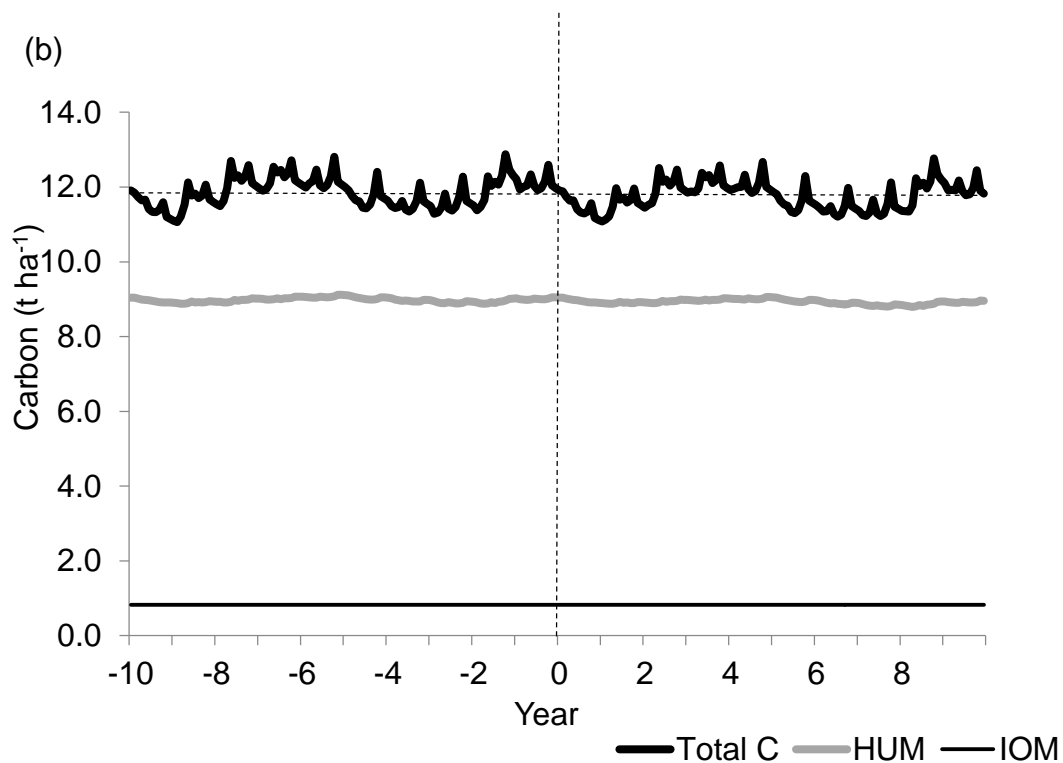


596

597 **Fig. 3.** Change in average total error between simulated and measured soil organic carbon in
 598 inorganic fertiliser trial with adjustment of fertiliser inputs before the trial started
 599



600



601

602 **Fig. 4.** Soil organic carbon pools initialised to be at steady state at the carbon content
 603 measured at the start of the trail (11.89 t ha^{-1} in the top 15 cm) and assuming 35% of the
 604 fertiliser used in inorganic fertiliser trial at Vasant Rao Naik Marathwada Krishi Vidyapeeth,
 605 Parbhani, Maharashtra, India, (a) active carbon pools, decomposable plant material (DPM),
 606 resistant plant material (RPM) and biomass (BIO), (b) passive carbon pools, humus (HUM),
 607 inert organic matter (IOM).

608

609 **3.2. Evaluation of model performance**

610

611 **3.2.1. Crop yields**

612

613 There was a significant correlation ($p < 0.05$) between the independent measured crop
 614 yields (Table 16) and the simulations in the different treatments across all crops, and across
 615 sorghum and wheat separately (Table 19 and Fig. 5). The average error over all crops was
 616 0.90 t ha^{-1} , equivalent to 43% of the average crop yield with a crop value of $351 \text{ US\$ ha}^{-1}$; for
 617 sorghum the average error was 1.09 t ha^{-1} (48%) with a value of $479 \text{ US\$ ha}^{-1}$, while for
 618 wheat it was 0.71 t ha^{-1} (38%) with a value of $242 \text{ US\$ ha}^{-1}$. This was outside the assumed

619 average experimental error of 0.80 t ha⁻¹ (38%; 310 US\$ ha⁻¹), so improved simulations could
620 have provided a better representation of the measurements. However, the bias in the
621 simulations was an over-estimate of yield over all crops by an average of only 0.44 t ha⁻¹
622 (21%; 169 US\$ ha⁻¹); for sorghum this was 0.47 t ha⁻¹ (21%; 204 US\$ ha⁻¹), while for wheat
623 it was 0.41 t ha⁻¹ (21%; 138 US\$ ha⁻¹), so similar bias was observed in both crops, and this
624 was within experimental error. As shown in Fig. 6, the measured crop yields were
625 consistently lower than the simulations in all treatments between 1995 and 2000, perhaps
626 reflecting some other nutrient deficiency, pest attack or disease outbreak that occurred during
627 that time, which would not have been simulated by the model because the version presented
628 here only accounts for the impacts of N and weather conditions on yield. However, although
629 the percentage error is relatively high, because the yield difference is small (less than 1 t ha⁻¹)
630 and only up to 20% of the net primary production is incorporated into the soil (Table 10), this
631 is expected to introduce a maximum annual bias of only 0.21 t ha⁻¹ y⁻¹ to the plant C inputs to
632 the soil, equivalent to only 16% of the compost inputs used in the application of the model
633 (Table 20). Therefore, this error in estimated crop yield is not likely to introduce a significant
634 change in the results of the application of the model. In the subsequent applications of the
635 model it was assumed that the error in crop yield was 1.09 t ha⁻¹ for sorghum and 0.71 t ha⁻¹
636 for wheat, with an associated bias of 0.47 and 0.41 t ha⁻¹, respectively.

637

638 **Table 19**

639 Statistical evaluation of the simulation of crop yields at Vasantrya Naik Marathwada Krishi
640 Vidyapeeth, Parbhani, Maharashtra, India, between 1983 and 2010. Treatments include (T1)
641 control – no nitrogen applied, (T2) 50% recommended nitrogen fertiliser applied to sorghum
642 and wheat, (T3) 100% applied to sorghum and 50% applied to wheat, (T4) 75%
643 recommended nitrogen fertiliser applied to sorghum and wheat, (T6) 100% applied to
644 sorghum and 50% inorganic plus 50% organic fertiliser applied to wheat, and (T7) 75%
645 applied to sorghum and 75% inorganic plus 25% organic fertiliser applied to wheat.

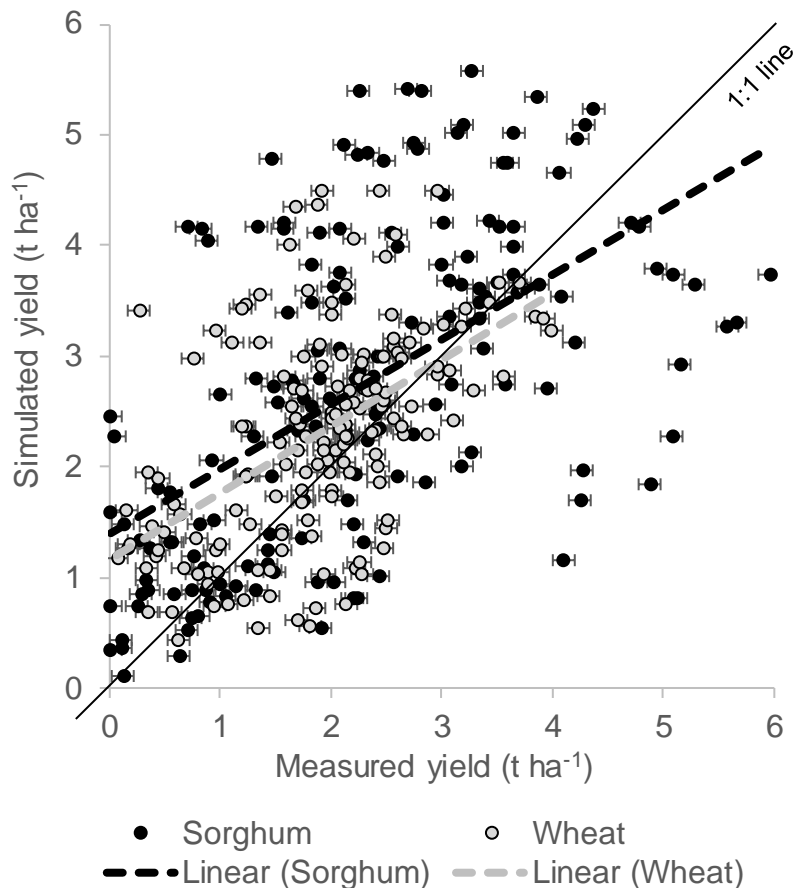
	Experimental error	All crops	Sorghum	Wheat
ASSOCIATION				

Pearson's correlation coefficient		0.57	0.57	0.53
p-value		0.00	0.00	0.00
COINCIDENCE				
Root mean squared deviation		0.80	0.90	1.09
Average total error (t ha ⁻¹)	0.80	0.90	1.09	0.71
(INR ha ⁻¹)	21460	24257	33108	16711
(US\$ ha ⁻¹)	310	351	479	242
Percentage of mean measured value (%)	38%	43%	48%	38%
BIAS				
Average bias (t ha ⁻¹)		0.44	0.47	0.41
(INR ha ⁻¹)		11706	14100	9514
(US\$ ha ⁻¹)		169	204	138
Relative error (%)		21%	21%	21%

Note: ^a Assumed 1 INR = 0.0144482 US\$ (XE Currency converter - <https://www.xe.com/currencyconverter/>)

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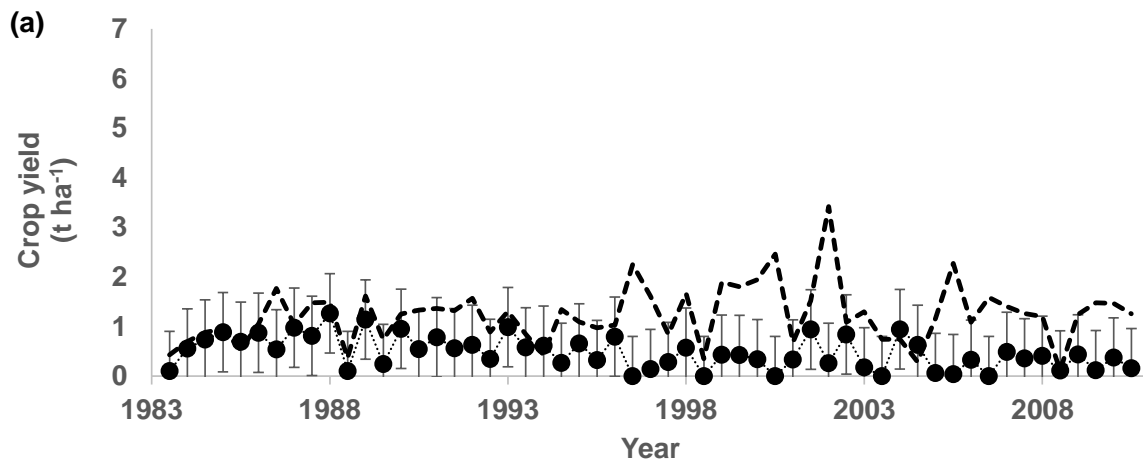
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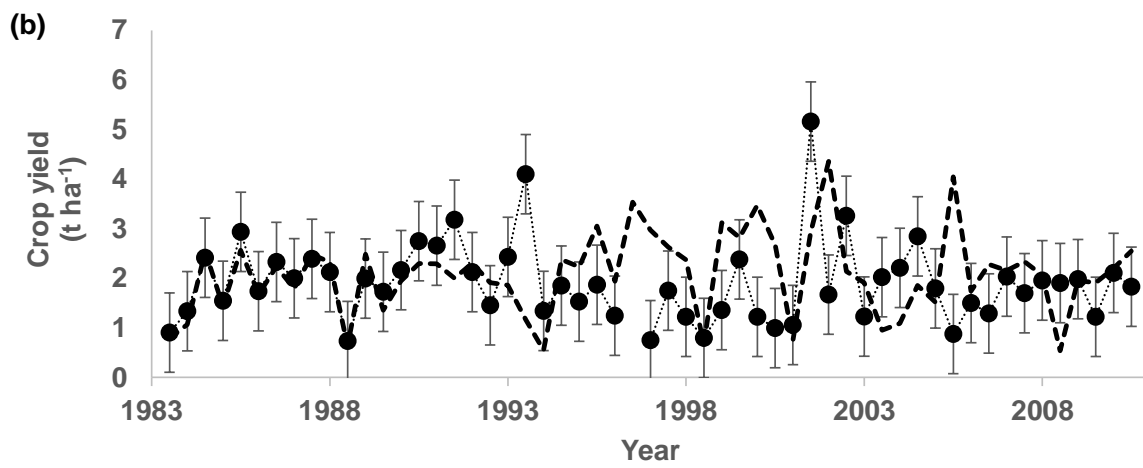
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649 **Fig. 5.** Simulated vs measured crop yields at Vasantnao Naik Marathwada Krishi Vidyapeeth,
650 Parbhani, Maharashtra, India, between 1983 and 2010. Treatments include (T1) control – no
651 nitrogen applied, (T2) 50% recommended nitrogen fertiliser applied to sorghum and wheat,
652 (T3) 100% applied to sorghum and 50% applied to wheat, (T4) 75% recommended nitrogen
653 fertiliser applied to sorghum and wheat, (T6) 100% applied to sorghum and 50% inorganic
654 plus 50% organic fertiliser applied to wheat, and (T7) 75% applied to sorghum and 75%

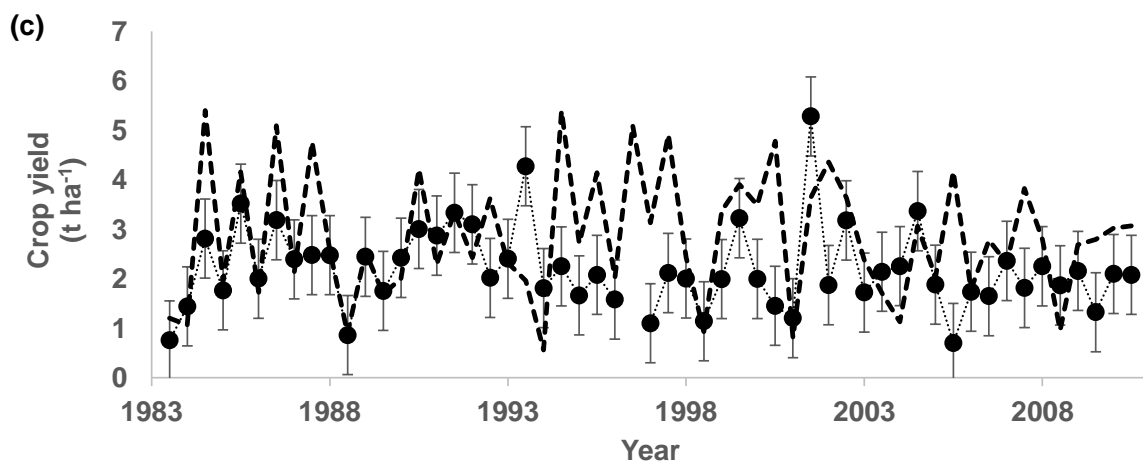
655 inorganic plus 25% organic fertiliser applied to wheat. Note, error bars represent the standard
656 errors observed in trials of $\sim 0.1 \text{ t ha}^{-1}$ from 2011 – 2015 in ICAR (2019).



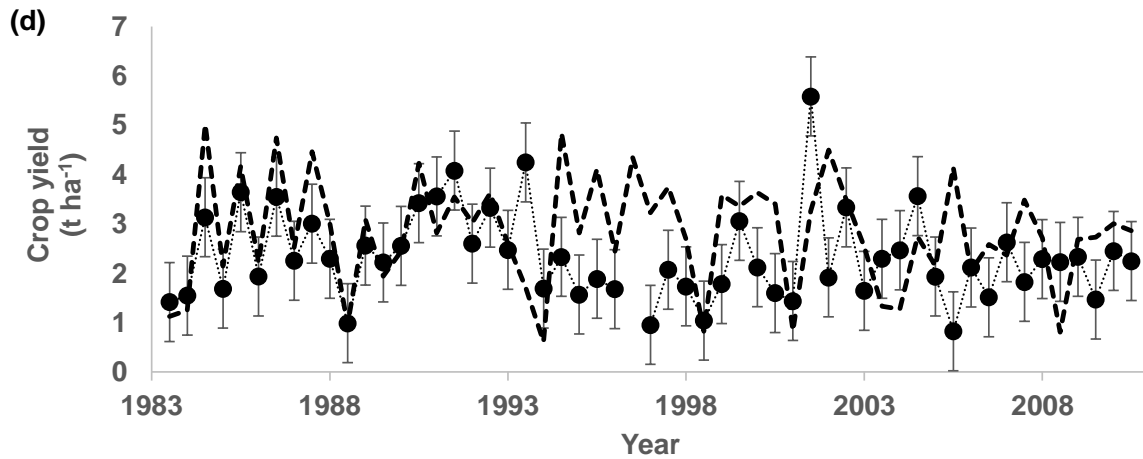
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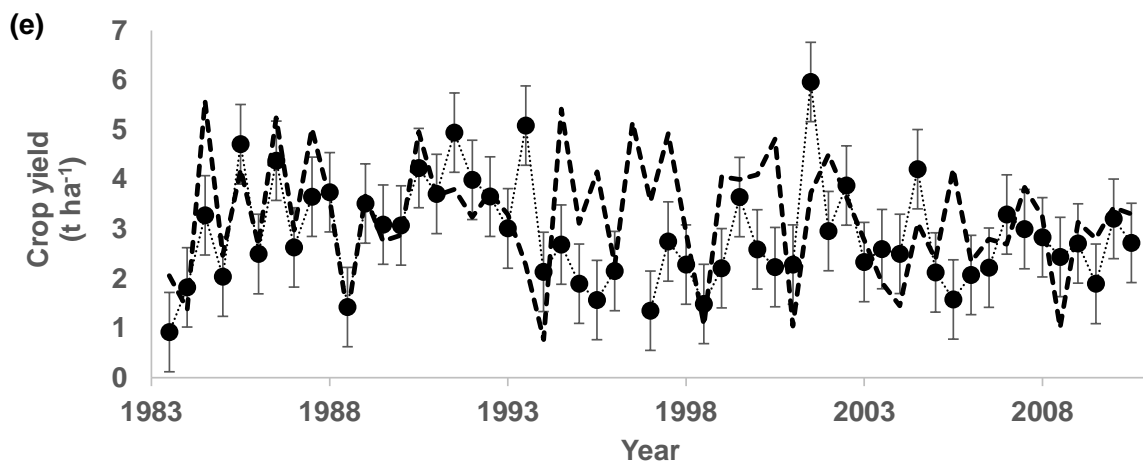
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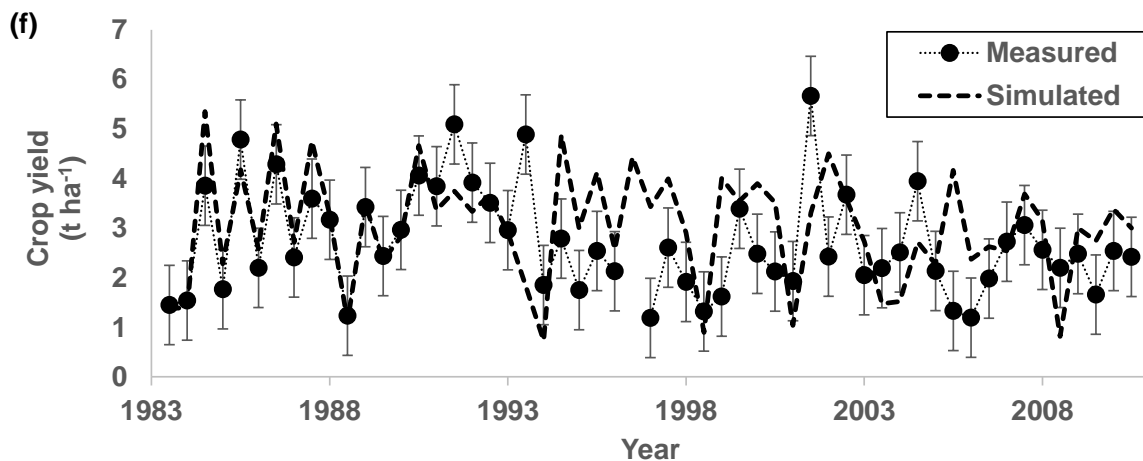
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660



661
662



663

664 **Fig. 6.** Comparison of simulated and measured crop yields at Vasantrya Naik Marathwada
 665 Krishi Vidyapeeth, Parbhani, Maharashtra, India, between 1983 and 2010. (a) T1 - control –
 666 no nitrogen applied, (b) T2 - 50% recommended nitrogen fertiliser applied to sorghum and
 667 wheat, (c) T3 - 100% applied to sorghum and 50% applied to wheat, (d) T4 - 75%
 668 recommended nitrogen fertiliser applied to sorghum and wheat, (e) T6 - 100% applied to
 669 sorghum and 50% inorganic plus 50% organic fertiliser applied to wheat, and (f) T7 - 75%

670 applied to sorghum and 75% inorganic plus 25% organic fertiliser applied to wheat. Note,
 671 error bars represent the average 95% confidence interval observed in trials of 0.8 t ha⁻¹ from
 672 2011 – 2015 in ICAR (2019).

673

674 **Table 20**

675 Expected impact of errors in yield estimates on the application of the model to long term
 676 changes in simulated soil organic carbon at Vasantao Naik Marathwada Krishi Vidyapeeth,
 677 Parbhani, Maharashtra, India.

Symbol / formula	Variable	Overall	Sorghum	Wheat	Source
I_{harv}	Harvest index		0.37	0.46	Table 10
$p_{\text{PI:NPP}}$	Proportion of net primary production incorporated in the soil		0.2	0.2	Table 10
e_{yld}	Average bias in yield (t ha ⁻¹)	0.44	0.47	0.41	Table 19
$e_{\text{npp}} = e_{\text{yld}} / I_{\text{harv}}$	Average bias in net primary production (t ha ⁻¹)	1.07	1.26	0.88	
$e_{\text{PI}} = p_{\text{PI:NPP}} \times e_{\text{npp}}$	Max. annual bias in plant C inputs to soil due to yield bias (t ha ⁻¹)	0.21			
$p_{\text{C:N,OW}}$	Average C:N ratio of organic waste	7.5			Table 11
N_{rec}	Recommended N application rate (kg ha ⁻¹)		80	100	Table 13
$C_{\text{OW}} = \frac{N_{\text{rec}} \times p_{\text{C:N,OW}}}{1000}$	C input with compost equivalent of 100% recommended N (t ha ⁻¹)	1.35	0.6	0.75	
$e_{\text{PI}} / C_{\text{OW}}$	Ratio of max. annual bias to compost inputs	0.16			

678

679

680 **3.2.2. Soil organic carbon**

681

682 There were less data available for evaluation of the simulations of SOC than for yield.

683 Therefore, although the correlation coefficient over all trials was relatively high (0.51

684 compared to 0.57 for crop yield), it was non-significant (Table 21; Fig. 7). As can be seen in

685 Fig. 8, this is mainly attributable to unusually high values in most treatments of measured soil

686 organic C in 1991/92; if these values were to be excluded, the correlation would become

687 significant, but there was no rational basis for doing this, so it was not done (Table 21). The

688 average error in simulated SOC was 1.25 t ha⁻¹ (9% of the average measured SOC); this is

689 less than the 95% confidence interval in the experimental error (standard error $\sim 1 \text{ t ha}^{-1}$; 95%
690 confidence for 40 degrees of freedom is 2 t ha^{-1}), so simulations within experimental error.
691 The bias was also low; -0.11 t ha^{-1} (1% of the total C and 9% of the change in SOC) (Fig. 7
692 and Fig. 8). In the subsequent applications, it was assumed that the average error in simulated
693 SOC was 1.25 t ha^{-1} with a bias of -0.11 t ha^{-1} .

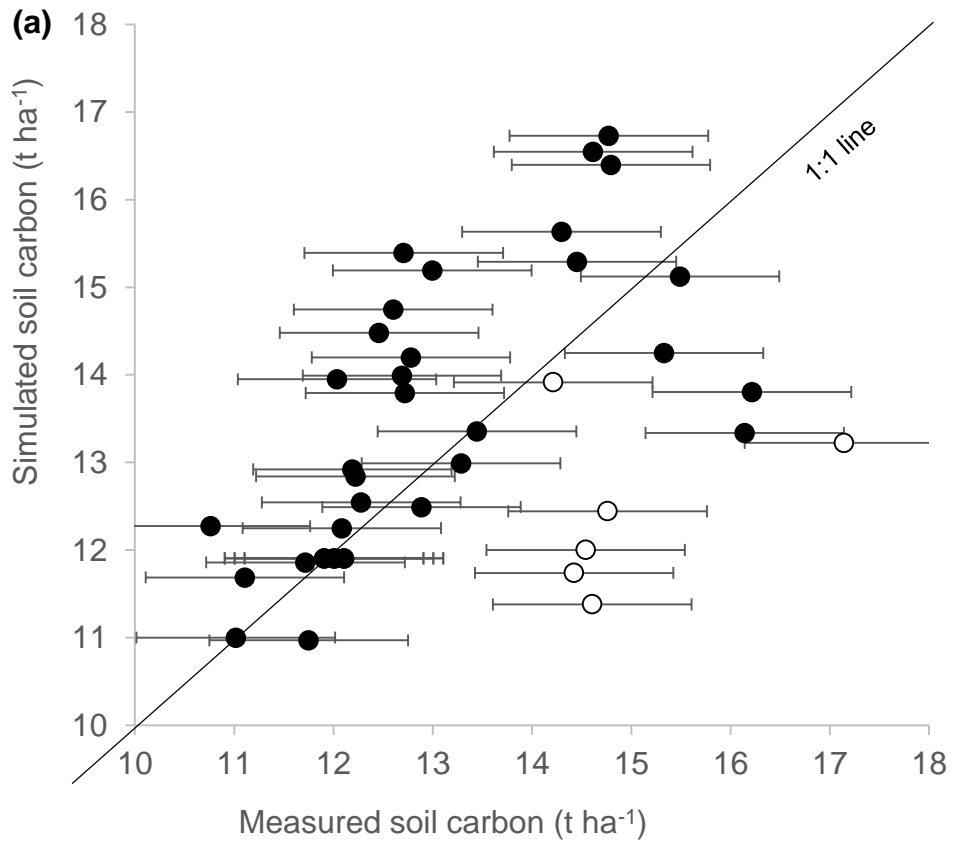
694

695 **Table 21**

696 Statistical evaluation of the simulation of soil organic carbon at Vasant Rao Naik Marathwada
697 Krishi Vidyapeeth, Parbhani, Maharashtra, India, between 1983 and 2010. Treatments
698 include control, inorganic fertiliser, and inorganic fertiliser plus compost. Bold font indicates
699 a non-significant correlation.

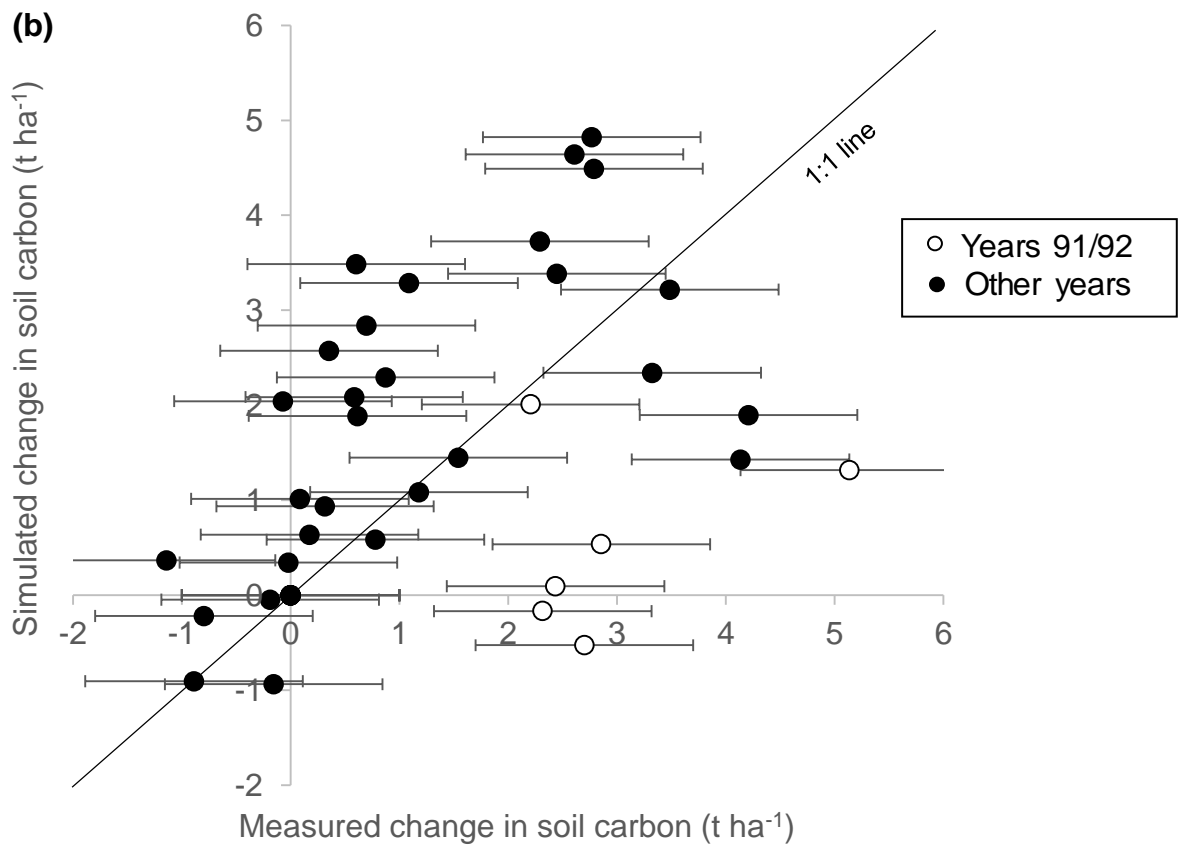
Treatments	Experimental error	All trials	All trials excluding 91/92
ASSOCIATION			
Pearson's correlation coeff.		0.51	0.72
p-value		0.65	0.01
COINCIDENCE			
Root mean squared deviation			
Average total error (t ha^{-1})	2.02	1.25	0.89
Percentage of average measured carbon (%)	15%	9%	7%
BIAS			
Average bias (t ha^{-1})		-0.11	-0.52
Relative error			
% of average carbon measurement		1%	-68%
% of ave. change in measured carbon		9%	-40%

700



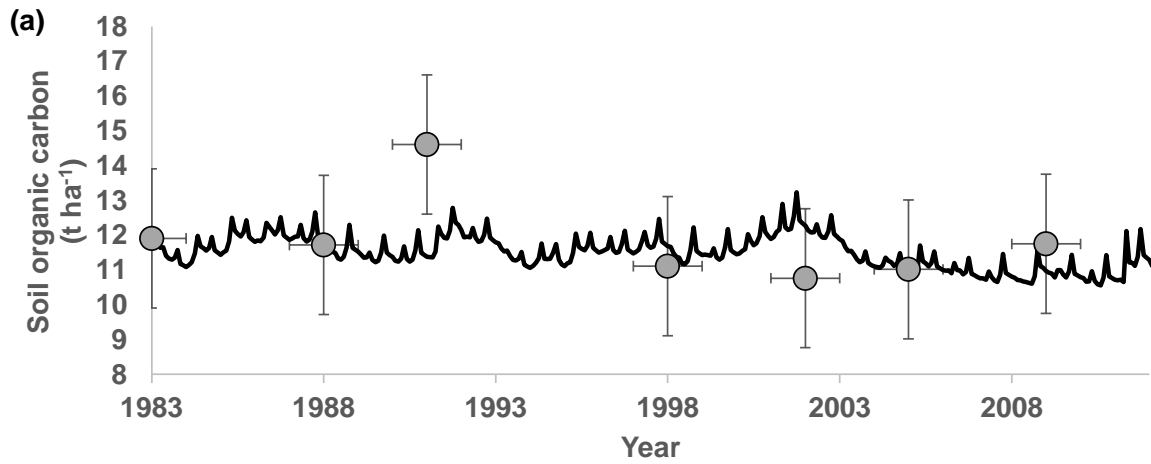
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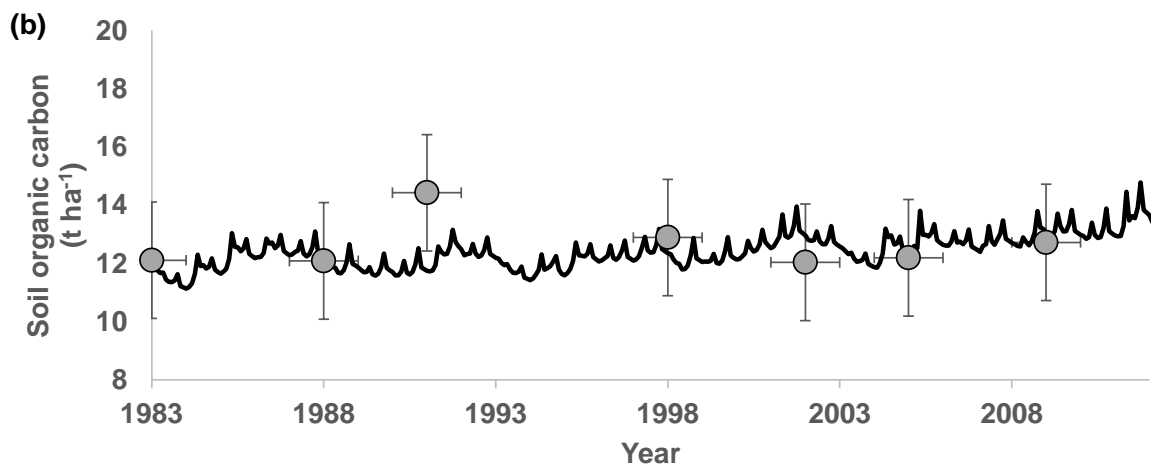


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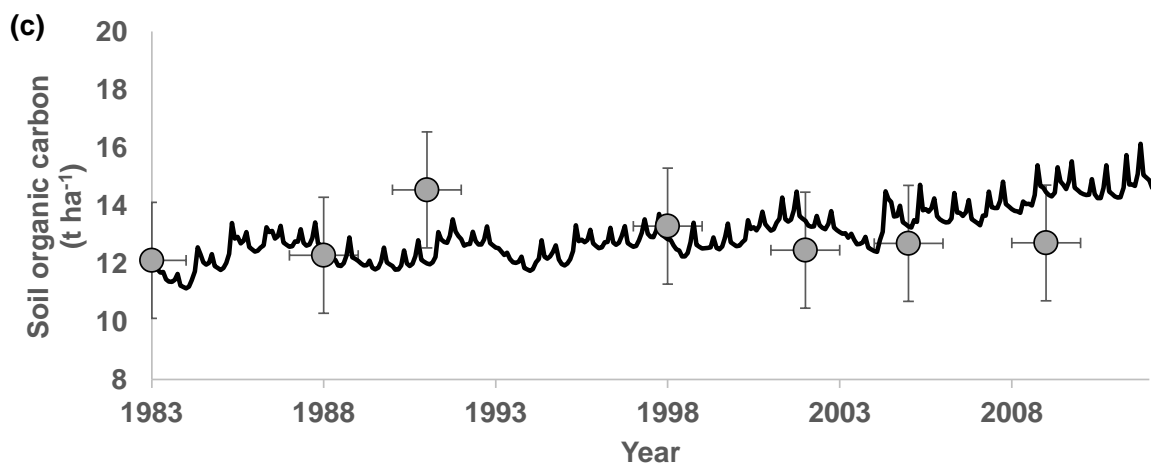
704 **Fig. 7.** Simulated vs measured soil organic carbon at Vasantrao Naik Marathwada Krishi
 705 Vidyapeeth, Parbhani, Maharashtra, India, between 1983 and 2010. (a) Total organic carbon;
 706 (b) Change in organic carbon since the start of the trial. Note error bars shows the typical
 707 standard errors ($\sim 1 \text{ t ha}^{-1}$) observed by Datta et al (2018) at the same site; unfilled points
 708 indicate measured values for 1991-92.



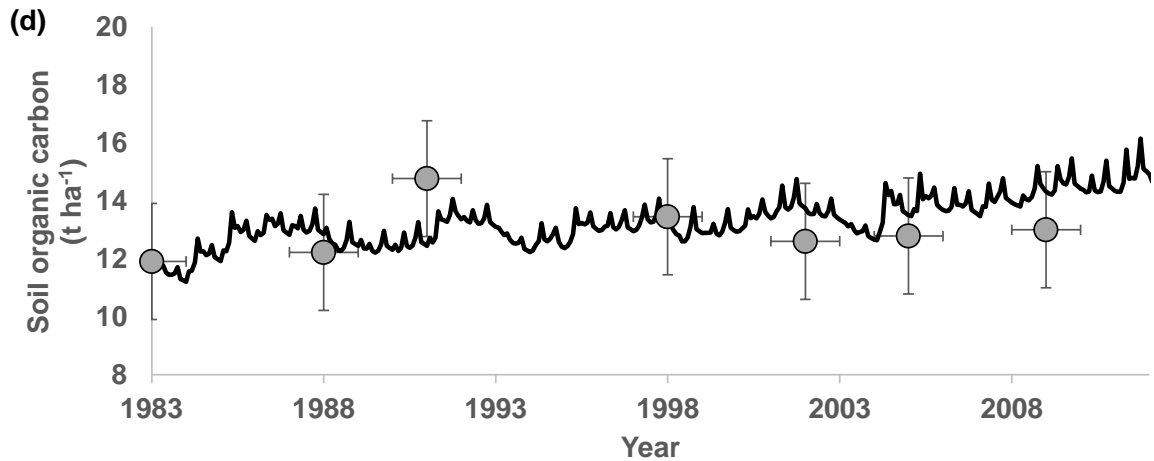
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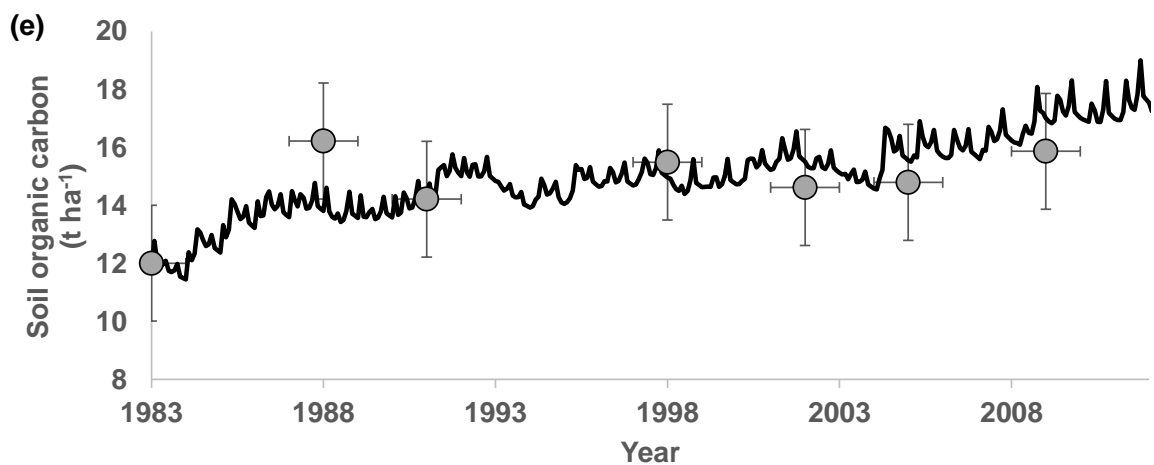
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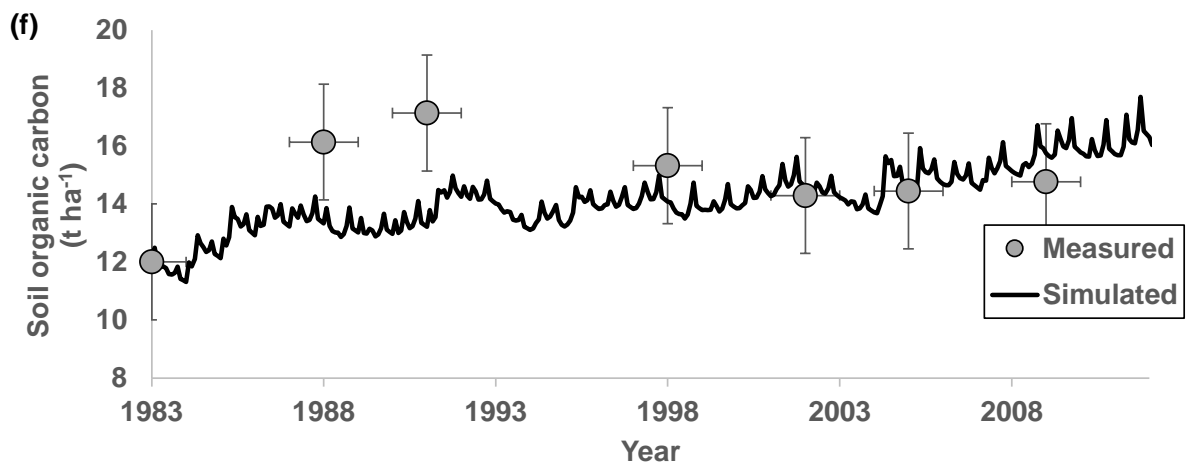
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713



714

715 **Fig. 8.** Comparison of simulated and measured soil organic carbon at Vasanttrao Naik
 716 Marathwada Krishi Vidyapeeth, Parbhani, Maharashtra, India, between 1983 and 2010. (a)
 717 T1- control – no nitrogen applied, (b) T2 - 50% recommended nitrogen fertiliser applied to
 718 sorghum and wheat, (c) T3 - 100% applied to sorghum and 50% applied to wheat, (d) T4 -
 719 75% recommended nitrogen fertiliser applied to sorghum and wheat, (e) T6 - 100% applied
 720 to sorghum and 50% inorganic plus 50% organic fertiliser applied to wheat, and (f) T7 - 75%
 721 applied to sorghum and 75% inorganic plus 25% organic fertiliser applied to wheat. Note:

722 horizontal error bars show the uncertainty in the time of measurement; vertical error bars
723 shows the typical confidence intervals ($\sim 2 \text{ t ha}^{-1}$) observed by Datta et al (2018) at the same
724 site.

725

726 *3.2.3. Wider implications of the evaluation*

727

728 Because this is a process-based model, given local field trials for crop
729 parameterisation, it should (in theory) be equally accurate in other conditions. In practice, the
730 model has, of course, not been tested in conditions that are not found in this part of India (e.g.
731 freezing / thawing or extreme wetting / drying). We would expect to see larger errors if the
732 environmental conditions result in increased importance of any processes that are not
733 adequately described in the model. Therefore, while we can be confident that the evaluation
734 provides an estimate of the accuracy of the model in the semi-arid conditions of Maharashtra,
735 further testing would be required to provide evidence of the model accuracy in cooler or
736 wetter regions. Further testing of the model at a wider range of sites is always valuable and
737 will provide a more complete picture of (a) the accuracy to be expected in the model in
738 different locations and (b) the processes that are inadequately represented in the model.

739

740 ***3.3. Application to estimate impact on household resources***

741

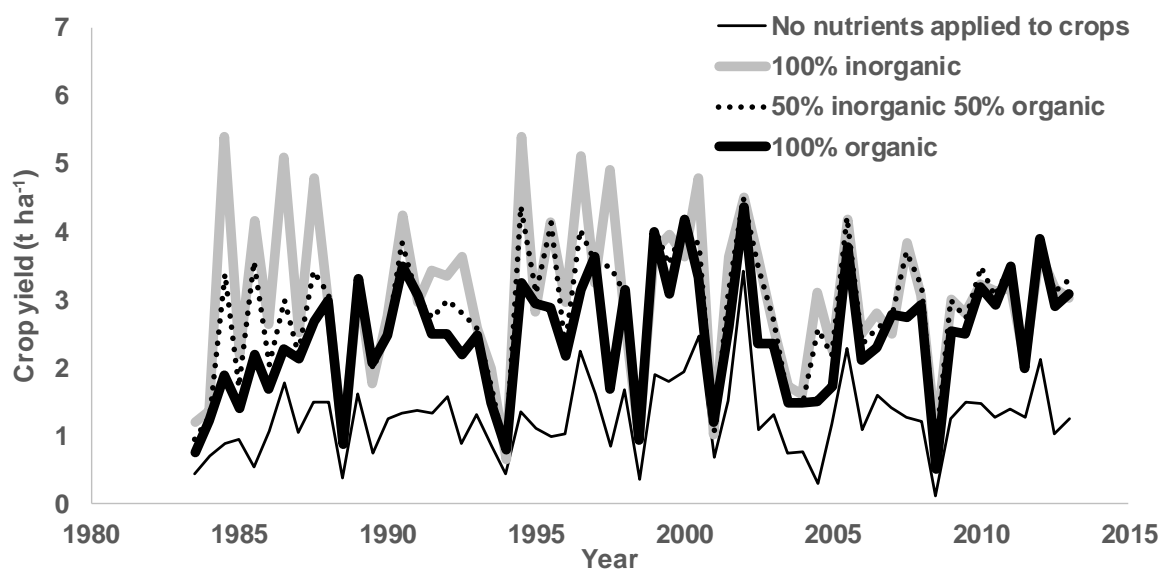
742 *3.3.1. Crop yields and farm income*

743

744 As illustrated in Fig. 9, the impact of different treatments of inorganic and organic
745 fertilisers was highly variable, with yields of crops treated with inorganic fertiliser out-
746 performing organic fertiliser treatments in the first decade, but improving with continued
747 inputs of organic fertiliser, so that productivity for the three treatments (100% inorganic

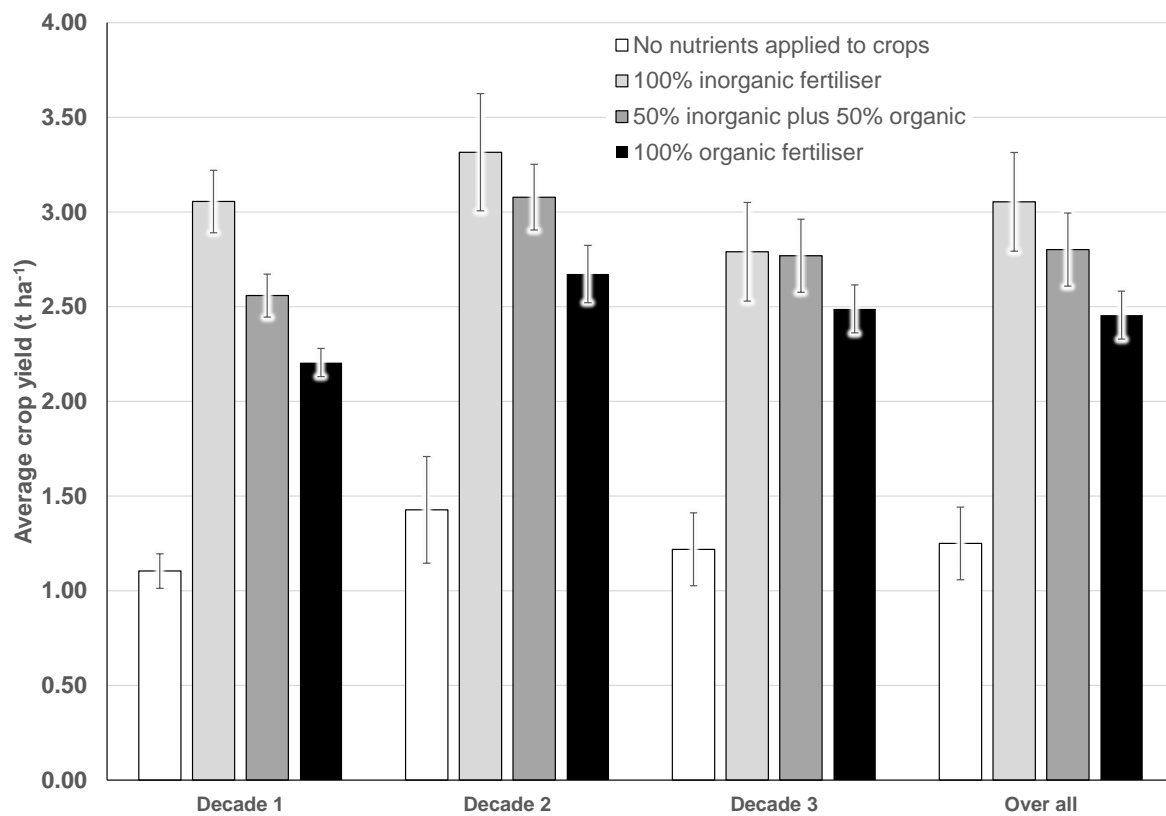
748 fertiliser, 50:50 inorganic and organic fertiliser, and 100% organic fertiliser) was more
 749 similar by the last decade of the simulation (Fig. 10). Over the whole period of the
 750 simulation, if dung was not used as a fuel, the net income from the 100% inorganic fertiliser
 751 and the integrated fertiliser management (50:50 inorganic fertiliser and organic fertiliser) was
 752 not significantly different, as indicated by the overlap of the error bars in the simulated values
 753 (Fig. 11). This is the case, even if dung would otherwise have been used as a fuel. However,
 754 the 100% organic fertiliser treatment resulted in a significant loss of income of 482 (\pm 339)
 755 US\$ y⁻¹ compared to using 100% inorganic fertiliser, which increases to 691 (\pm 339) US\$ y⁻¹
 756 if dung would otherwise have been used as a fuel. Therefore, this analysis suggests that, short
 757 term income is benefitted by applying inorganic fertiliser, or 50:50 inorganic and organic
 758 fertiliser rather than applying 100% organic fertiliser. However, this analysis has as yet taken
 759 no account of the longer term impacts of improved soil structure on crop yields, and does not
 760 consider the benefits to yield provided by other macro- and micro-nutrients contained in the
 761 organic fertiliser.

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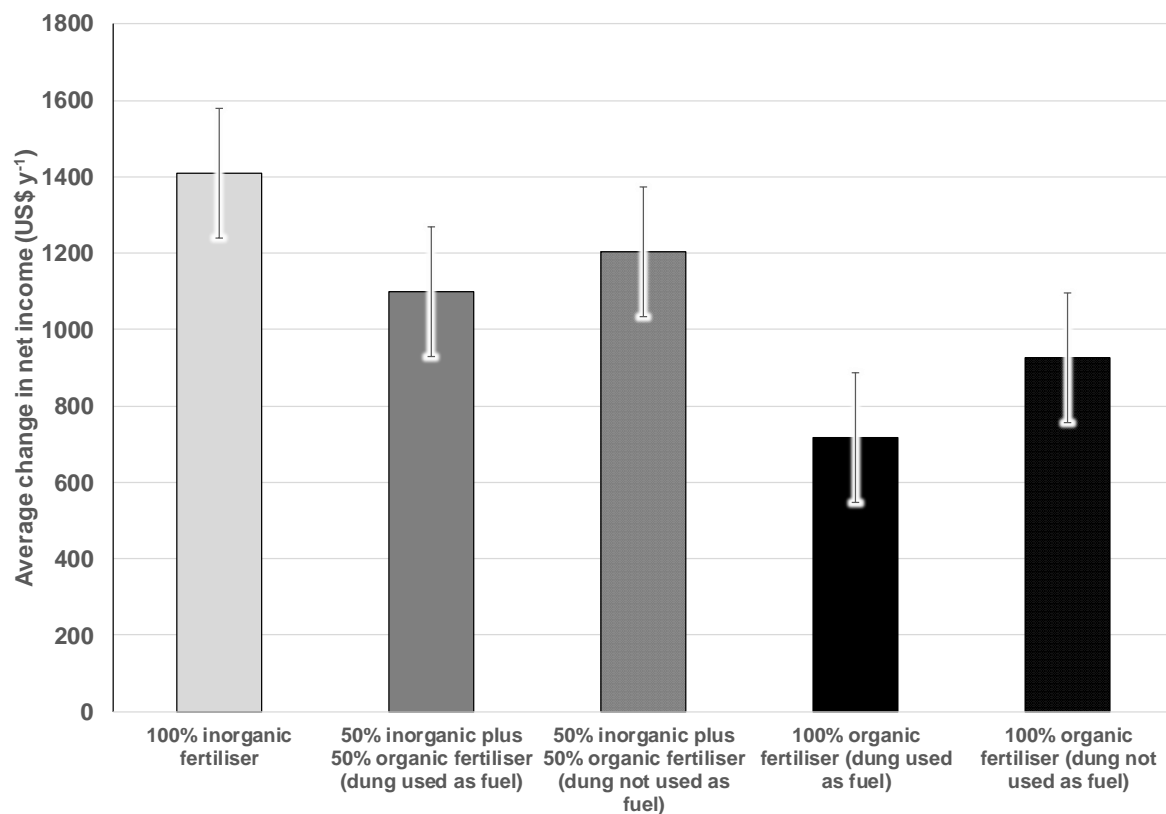
765 **Fig. 9.** Crop yields predicted for different treatments at Vasant Rao Naik Marathwada Krishi
 766 Vidyapeeth, Parbhani, Maharashtra, India, using recorded weather data from 1983 to 2013.



768

769 **Fig. 10.** Decadal average crop yields predicted for different treatments at Vasantao Naik
 770 Marathwada Krishi Vidyapeeth, Parbhani, Maharashtra, India, using recorded weather data
 771 from 1983 to 2013. Note: error bars represent the standard error in the simulated crop yields
 772 in that time period.

773



775

776 **Fig. 11.** Average change in net income over 30 years of treatment compared to the control
 777 where no nutrients are applied to crops as predicted for different treatments at Vasant
 778 Naik Marathwada Krishi Vidyapeeth, Parbhani, Maharashtra, India, using recorded weather data
 779 from 1983 to 2013. Note: the error bar shows the average error calculated using the bias
 780 estimated for simulation of crop yield. Black bars show results for households where dung
 781 would otherwise be used as a fuel, and net income accounts for the cost of replacing the dung
 782 diverted to organic fertiliser with an alternative fuel (assumed to be liquefied petroleum gas).
 783 Grey bars show results for households where dung is not used as a fuel

784

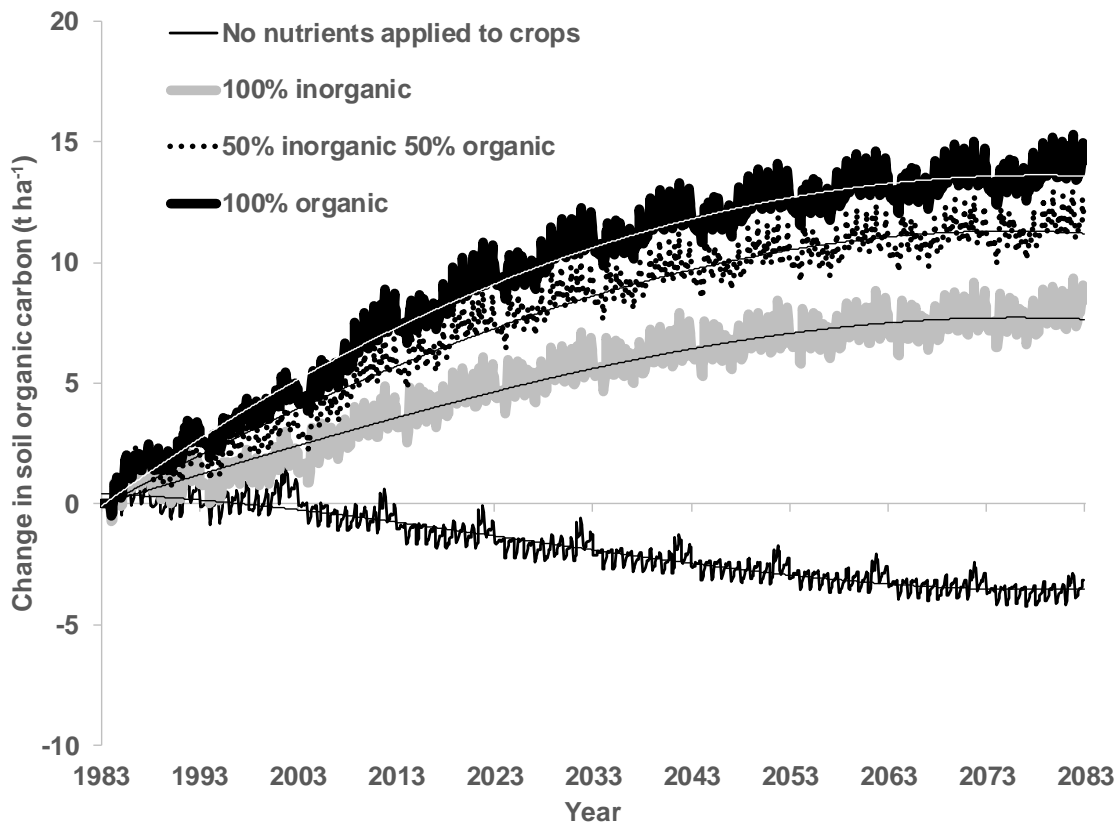
785 3.3.2. Soil carbon

786

787 The longer term potential benefits due to improvements in soil structure can be seen
 788 in the C sequestered over the course of the simulation; linear equations fitted over the first 30
 789 years suggested that average C sequestration was $-0.01 \text{ t ha}^{-1} \text{ y}^{-1}$ (i.e. net loss in soil C) when
 790 no additional nutrients were applied, $0.11 \text{ t ha}^{-1} \text{ y}^{-1}$ for the 100% inorganic fertiliser
 791 treatment, $0.22 \text{ t ha}^{-1} \text{ y}^{-1}$ for 50% inorganic and 50% organic fertiliser, and $0.25 \text{ t ha}^{-1} \text{ y}^{-1}$ for
 792 100% organic fertiliser. Over the longer term, the rate of C sequestration declined until the

793 soil reached steady state with respect to the new inputs (Fig. 12); when no additional nutrients
 794 were added, the steady state showed a decline in soil C by $-3.8 (\pm 0.4) \text{ t ha}^{-1}$ to a C content of
 795 $8 (\pm 0.8) \text{ t ha}^{-1}$ (steady state soil C of $0.45 (\pm 0.04)\%$), whereas for 100% inorganic fertiliser,
 796 the soil C at steady state increased by $8 (\pm 0.7) \text{ t ha}^{-1}$ to $20 (\pm 2) \text{ t ha}^{-1}$ (steady state soil C of
 797 $1.1 (\pm 0.1)\%$), for 50% inorganic and 50% organic fertiliser by $11 (\pm 1) \text{ t ha}^{-1}$ to $23 (\pm 2) \text{ t ha}^{-1}$
 798 (steady state soil C of $1.3 (\pm 0.1)\%$), and for 100% organic fertiliser by $14 (\pm 1) \text{ t ha}^{-1}$ to 26
 799 $(\pm 2) \text{ t ha}^{-1}$ (steady state soil C of $1.4 (\pm 0.1)\%$). This suggests that there are significant long
 800 term benefits to SOC of applying N, and this is significantly higher if N is applied as organic
 801 fertiliser, either all as organic fertiliser, or as part of an integrated fertiliser management
 802 system (for example 50:50 inorganic and organic fertiliser), which would benefit both SOC
 803 and crop yield.

804
 805



806

807 **Fig. 12.** Carbon sequestration predicted for different treatments at Vasantao Naik
808 Marathwada Krishi Vidyapeeth, Parbhani, Maharashtra, India, using recorded weather data
809 from 1983 to 2013. Note: Thin lines show the 3rd order parabolic trendlines fitted to change
810 in soil organic carbon with respect to carbon at the start of the simulation.

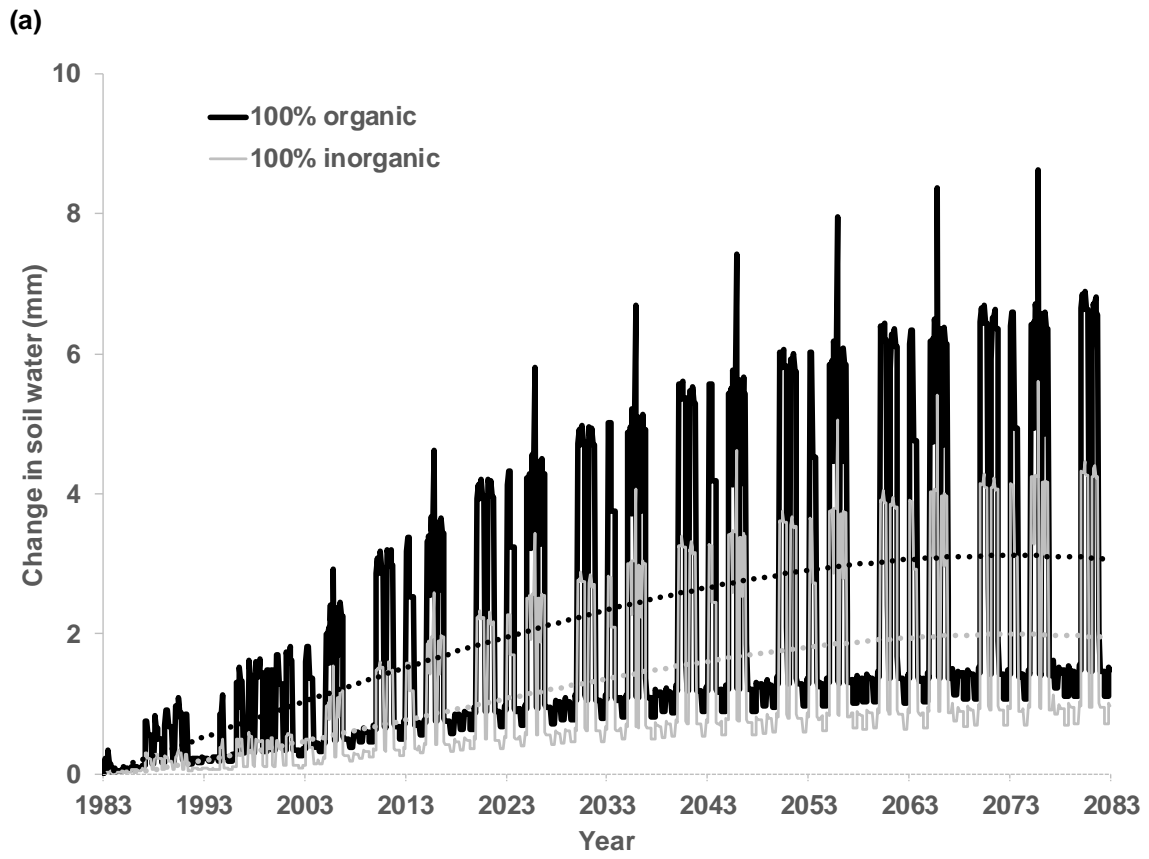
811

812 *3.3.3. Soil water*

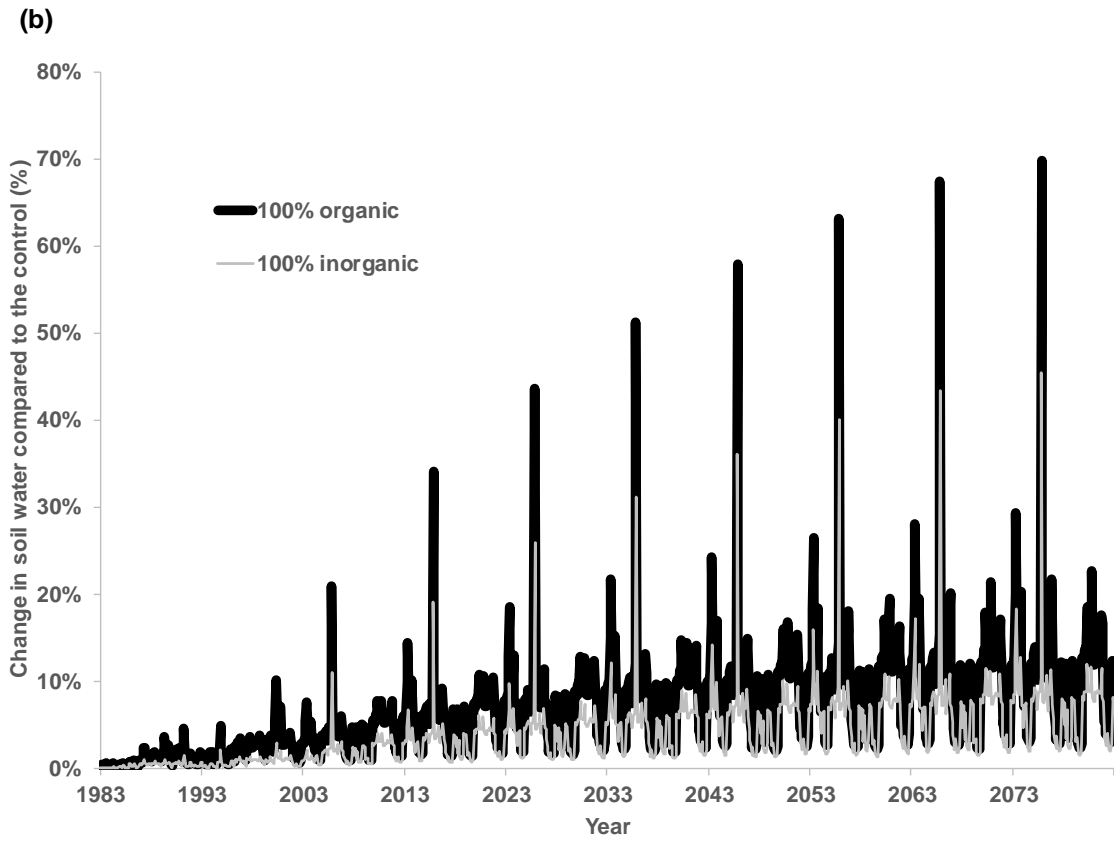
813

814 The change in soil organic matter had a strong impact on the amount of water held in
815 the soil (Fig. 13). The annual average water content increased 3.6% compared to the control
816 after 100 years when 100% inorganic fertiliser was applied, 4.8% when 50% inorganic and
817 50% organic fertiliser was applied, and 5.5% when 100% organic fertiliser was applied.
818 Therefore, applying more N as organic fertiliser is likely to have a significant positive impact
819 on resilience of crops to droughts.

820



821



822

823

824 **Fig. 13.** Change in soil water in the top 15cm soil following inorganic and organic fertiliser
825 treatments compared to the control where no nutrients were applied (a) in water content
826 (mm), and (b) as a percentage of the water content of the control. Predicted values at
827 Vasantao Naik Marathwada Krishi Vidyapeeth, Parbhani, Maharashtra, India, using
828 recorded weather data from 1983 to 2013, and assuming future weather as given for 2003 to
829 2013. Note: dotted lines indicate the 3rd order polynomial trendline fitted to the dataset of the
830 same colour.

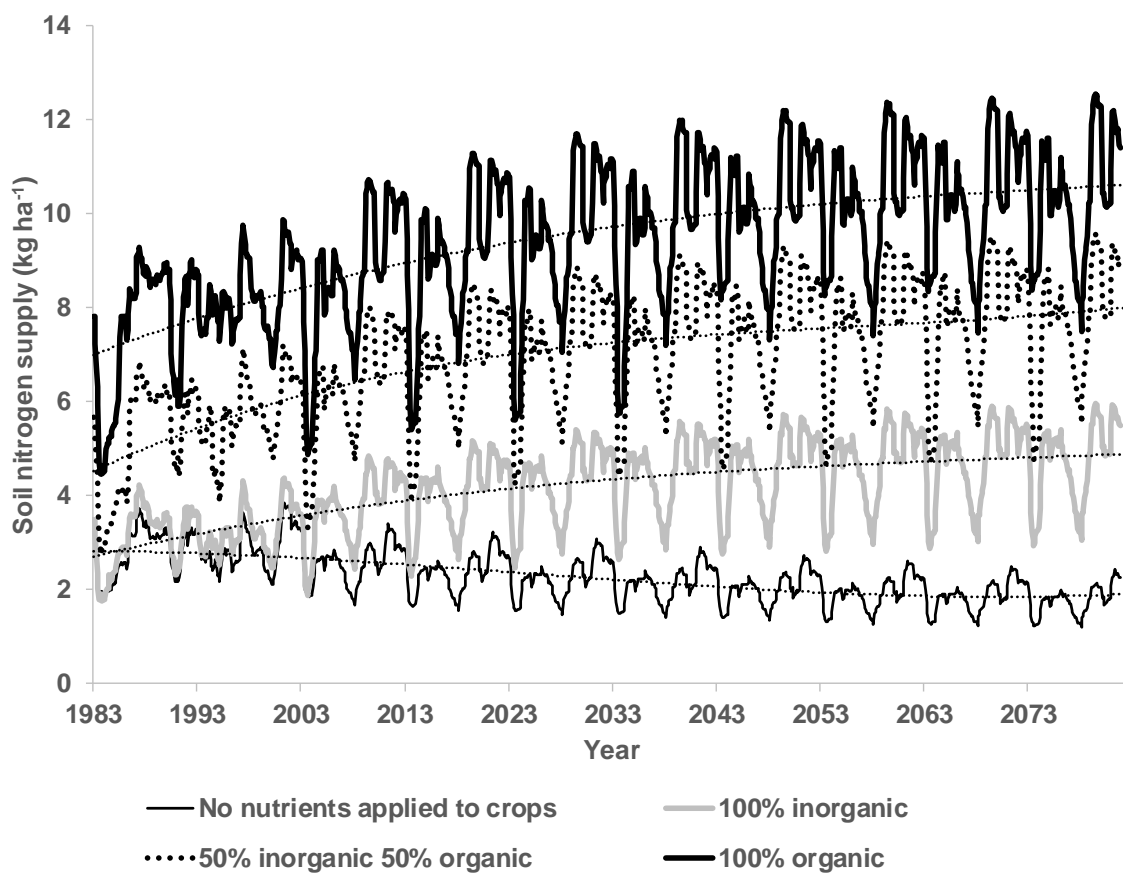
831

832 *3.3.4. Soil nitrogen and nitrogen use efficiency*

833

834 The change in soil organic matter increases the soil N supply as shown in Fig. 13.
835 This results in an increase in the efficiency of inorganic fertiliser N use, defined here as the
836 yield over the total amount of inorganic fertiliser N applied, from 3.4 (± 0.2) % for 100%
837 inorganic fertiliser application to 6.7 (± 0.4) % for 50% inorganic and 50% organic fertiliser
838 application. Therefore, applying organic fertiliser not only increases the whole farm
839 efficiency of N use by recycling organic N back to the soil, but also increases the efficiency
840 with which the inorganic N is used.

841



843

844 **Fig. 14.** Soil nitrogen supply (12 month average) in the top 15cm of soil at Vasantnao Naik
 845 Marathwada Krishi Vidyapeeth, Parbhani, Maharashtra, India, using recorded weather data
 846 from 1983 to 2013, and assuming future weather as given for 2003 to 2013. Note: dotted lines
 847 indicate the fitted 3rd order polynomial trendlines; soil nitrogen supply expressed as the
 848 moving 12 month average to allow visual distinction of graphs.

849

850 3.3.5. How can we reduce degradation of soils in India?

851

852 Soils of India are degrading. In the state of Haryana, Singh (2000) reported declining
 853 levels of soil organic matter with increasing use of chemical inputs. Using the Century model,
 854 Milne et al. (2007) and Bhattacharyya et al. (2007) predicted that the SOC stocks in the Indo-
 855 Gangetic planes will further decline between the years 1990 and 2030. Loss of SOC has been
 856 highlighted as a key indicator of soil degradation (Karlen and Rice, 2015) as it has a
 857 significant impact on the biomass yield of the crops grown (Lenka et al., 2017). Therefore, to

858 maintain the long-term productivity of Indian soils, use of organic fertilisers should be
859 encouraged. This has been reflected in the spread of conservation agriculture or “Climate
860 Smart Agriculture” in North West India (Punjab and Haryana) where crop residues are
861 retained to reduce burning, so also increasing SOC (Jat et al., 2019a, b). The example
862 application at Parbhani, Maharashtra, India, demonstrates that in the longer term, applying all
863 of the recommended rate of N as organic fertiliser sequesters a significant amount of C,
864 improving the productivity of the soil. However, this resulted in a significant short-term
865 economic cost to the household due to a reduction in yield. This is because only a portion of
866 the N contained in the fertiliser is in a form that can be immediately taken up by the crop.

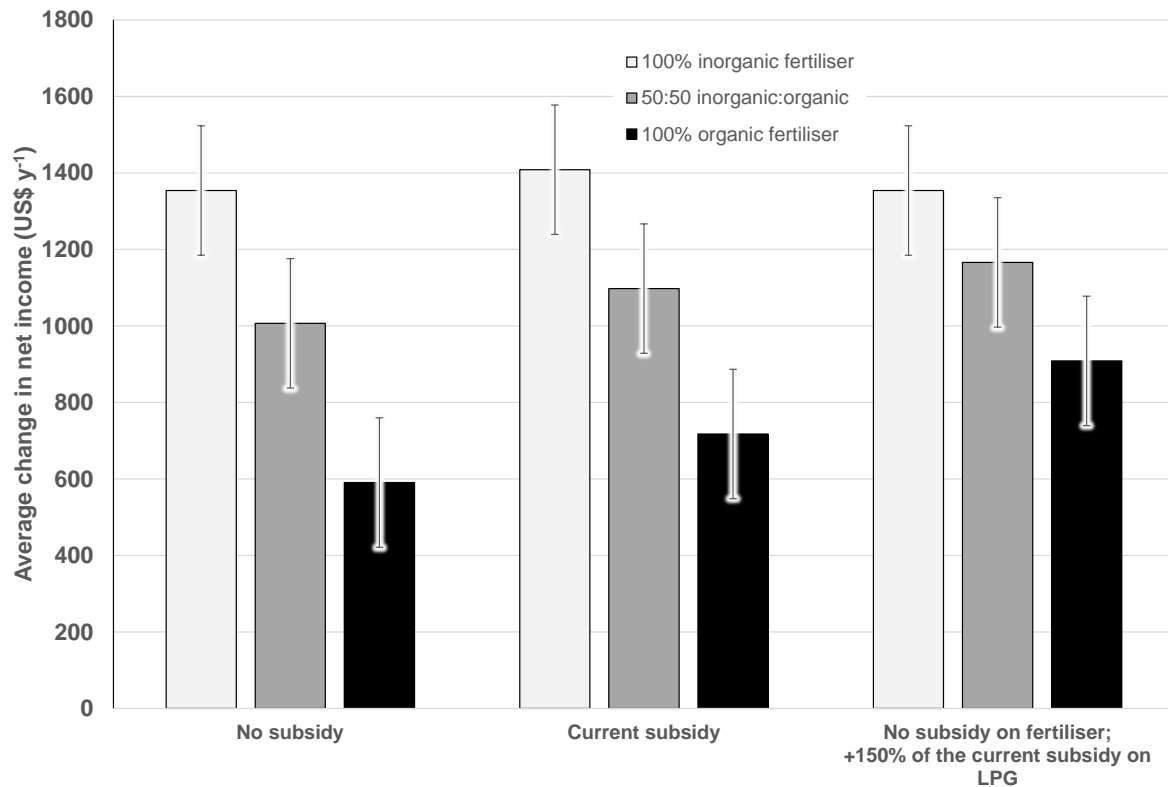
867 To achieve widespread uptake of recommendations to reduce soil degradation by
868 using more organic fertiliser, it is important that short-term farm incomes do not suffer.
869 However, these simulations suggest there is a trade-off in ecosystem services provided by
870 inorganic and organic fertilisers, with inorganic fertilisers providing the best yields, while
871 organic fertilisers provide the greatest increase in soil organic matter. To achieve comparable
872 yields, either the quantity of organic fertiliser applied should be increased, or the quality of
873 the organic fertiliser should be improved so that it delivers more N to the crop. Using the
874 same quality of organic fertiliser as applied in these field trials, the ORATOR simulations
875 suggest that the application rate would need to be increased by 75% to achieve the same yield
876 as seen in the treatment with 100% inorganic fertiliser.

877 However, if the dung would otherwise be used as a household fuel, net farm income
878 in the short term could be further reduced by applying more organic fertiliser due to the need
879 to replace fuel with LPG. This could result in poorer farmers, who are likely to own a lower
880 number of livestock and to use the dung available to them as a fuel, becoming trapped in a
881 downward spiral of declining soil productivity due to low organic inputs, resulting in falling
882 yields and long term degradation of their annual incomes.

883 Methods that could be used to increase the quality of the compost applied include
884 anaerobic digestion (Smith et al., 2014) or improved composting techniques, such as
885 vermicomposting, which uses worms to increase the rate of decomposition, and NADEP
886 composting, which uses layered plant wastes, cow manure and soil to increase the volume of
887 compost produced and conserve moisture during the dry season (Basak et al., 2013). Using
888 organic manure as a feedstock for a biogas digester provides both fuel and organic fertiliser,
889 so could have double benefits. However, this has an initial start-up cost that may be
890 prohibitive for the poorer farmer. A cheaper option would be to use improved pyrolysis
891 cookstoves to reduce the demand for dung as a fuel, while also providing biochar which can
892 be used as a soil improver (Smith et al., 2015). However, the pyrolysis process burns off
893 many of the nutrients in the organic waste, so the biochar would need to be enriched with an
894 available source of N to be used as an effective fertiliser. Further analysis is needed to
895 determine the potential to use these alternatives and to interpret the impact on farm income
896 and the wider environment.

897 Another possible mechanism to achieve short term income benefits when using dung
898 for soil improvement is to adjust the balance between the price of fertiliser and the price of
899 LPG. In India, the price of fertiliser is controlled by the Nutrient Based Subsidy, which in
900 2014-15 was 20.875 INR kg⁻¹ (0.30 US\$ kg⁻¹ assuming exchange rate of 0.1446 INR per
901 US\$, Currency Converter, 2019) (Government of India, Department of Fertilizers, 2019). The
902 price of LPG is also controlled by subsidy, currently between 29.6 and 32.8 INR kg⁻¹ (0.43 to
903 0.47 US\$ kg⁻¹), depending on location (Good Returns, 2019b). If all subsidies were removed,
904 the change in income for the 100% organic compared to the 100% inorganic fertiliser
905 treatment would be further reduced from -380 US\$ y⁻¹ with subsidies to -417 US\$ y⁻¹ without
906 them. If subsidies on fertilisers were removed while subsidies on LPG were increased by
907 150% of the current rate (as high as is possible without paying people to use LPG), there is

908 still a net cost of using organic compared to inorganic fertilisers (**Error! No se encuentra el**
 909 **origen de la referencia.**). Therefore, it appears from these simulations that policy makers
 910 have limited scope to use subsidy levels to encourage soil improvement.
 911



912
 913 **Fig. 15.** Average change in net income in the first 10 years of treatment compared to the
 914 control where no nutrients are applied to crops. Predictions for different treatments with
 915 different subsidy levels assuming dung would otherwise be used as a household fuel and is
 916 replaced by LPG. Simulations at Vasant Rao Naik Marathwada Krishi Vidyapeeth, Parbhani,
 917 Maharashtra, India, using recorded weather data from 1983 to 1993. Note: the error bar
 918 shows the average error calculated using the bias estimated for simulation of crop yield.
 919

920 Integrated fertiliser management, represented here by the treatment where the
 921 recommended rate of N was applied 50% as inorganic and 50% as organic fertiliser, did not
 922 significantly reduce net household income, even if the dung would have otherwise been used
 923 as a fuel (Fig. 11). Furthermore, at steady state, this treatment sequestered 83% as much C as
 924 was sequestered by applying all N as organic fertiliser. Therefore, this compromise is a good
 925 option to both maintain short-term net income while also providing long-term improvements

926 to the productivity of the soil. Many other authors have highlighted integrated fertiliser
927 management as a good option for improving long term productivity (e.g. Meena et al., 2019;
928 Singh et al., 2019; Yadav et al., 2019), and Nath et al. (2018) specifically identified
929 integrated fertiliser management using NPK fertiliser and farmyard manure as being one of
930 the management practices with most potential for C sequestration in small-holder farms in
931 India. The work presented here corroborates the recommendation to use integrated fertility
932 management to improve soils while maintaining farm income from crop production.

933

934

935 **4. Conclusions**

936

937 In this paper we have described a new systems model, developed specifically to look
938 at how uses of organic resources impact soil processes, food production, water and energy
939 use and farm income in low and middle income countries. We have presented its partial
940 evaluation against data from a long term experiment in India and quantified the uncertainty
941 associated with the simulations of yield and SOC in this environment. We then used the
942 defined uncertainty in the model to demonstrate its application to assess the impact on
943 households of different applications of inorganic and organic fertilisers to crops.

944 This model provides an advance in bringing together simple but comprehensive
945 simulations of the wider farming system, allowing the impact of different management
946 choices on the overall availability of different resources in different parts of the farm to be
947 assessed. It differs from many economic-centric models in that the description of the changes
948 occurring in the soils are process-based, allowing feedbacks and interactions to be better
949 understood. This is important because it allows us to understand the full impacts of decisions

950 made by farmers and to assess some of the factors that may encourage better long term
951 management of soils.

952 The application of the ORATOR model presented illustrates the importance of
953 considering the wider farming system when making recommendations for improved
954 management, especially in a low to middle income context, where a change based on partial
955 evidence could have a catastrophic impact on the potential of the household to achieve food,
956 energy and water security. Further work is needed to evaluate the impact and acceptability of
957 such recommendations with farmers. Future work should also further develop the model to
958 include description of the impact of different management practices on household well-being,
959 and analysis of the impact of different uses of organic wastes on indoor air quality and
960 exposure to pathogens. This will provide a more complete picture of the overall impacts of
961 different decisions on sustainable farm production, household income, and human well-being
962 and health.

963

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965

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974

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