

1 **A switch in species dominance of a recovering pelagic ecosystem**

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6 Summary

7 Although many marine ecosystems have been adversely impacted by human activities¹, some are now
8 recovering due to reductions in fishing pressure²⁻⁴. Here, we document the recovery of an ecosystem
9 subjected to intense anthropogenic activity for over 200 years, the Clyde Sea⁵. This region once had
10 productive fisheries for herring (*Clupea harengus*) and other fish, but these disappeared at the turn of
11 the century^{6,7}. Using acoustic surveys of the pelagic ecosystem, we found that the Clyde Sea supports
12 100 times as many forage fish as in the late 1980s. However, herring has now been replaced by sprat
13 (*Sprattus sprattus*), despite virtually no fishing on herring for 20 years. A combination of a warming
14 sea⁶, bycatch of herring in the prawn (*Nephrops norvegicus*) fishery^{8,9}, and susceptibility of herring to
15 poor recruitment may have contributed to this unexpected recovery. We compare this to similar
16 unexpected 'recoveries' involving unforeseen ecosystem effects, such as: the return of hake
17 (*Merluccius merluccius*) to the North Sea^{10,11}; the recent expansion of the pelagic squat lobster,
18 'munida', (*Pleuroncodes monodon*) off Peru¹²; and the increase in scallop (*Placopecten magellanicus*)
19 numbers on Georges Bank¹³. The lack of a current sprat fishery in the Clyde presents a unique
20 opportunity to develop an alternative industry for its seafaring community: ecotourism. Charismatic
21 megafauna (whales, dolphins and seabirds) that people will pay to see¹⁴ will, in time - if not already^{15,16}
22 - be drawn in by the abundance of forage fish now present, further restoring the biodiversity of the
23 region after centuries of overexploitation.

24 Keywords; marine ecology; forage fish; ecosystem recovery; anthropogenic impacts; fishery acoustics;
25 geostatistics; fishery management

26 Results

27 The Firth of Clyde, situated next to a major conurbation and industrial centre, is one of the most
28 anthropogenically impacted marine environments in the world, and was a hub for shipping and ship
29 building for over two centuries¹⁷. Historically, it supported important fisheries, both for demersal fish
30 and, famously, for herring. However, overfishing throughout the latter half of the 20th century brought
31 about the collapse of these traditional 'finfish' fisheries, and these have now been replaced with a
32 fishery for prawns^{5,18}. This is an example of fishing down the food web¹⁹ and others have reported
33 this as evidence of an ecological meltdown⁵. In contrast, there are also reports that the biomass of

34 demersal fish remains high⁷, but most of these are young and, as they grow, are then subjected to
35 bycatch in the prawn fishery, which also operates on the seabed. Very little is known about the current
36 state of the pelagic fish stocks.

37 We assessed the biomass and abundance of the two major pelagic forage fish species in the
38 Clyde, herring and sprat. We conducted annual fisheries acoustic surveys from 2014-2016 and
39 compared them with surveys from the late 1980's, which used very similar methods²⁰⁻²⁵. Acoustic
40 data was collected with a calibrated Simrad EK60 multifrequency scientific echosounder (Figure 1A,B),
41 and species composition of detected fish schools was determined by targeted trawling. This also
42 provided biological samples to ascertain the species-specific length-frequency distributions, and
43 weight-length relationships. Herring and sprat constituted 99.8% of all fish caught (by number) across
44 all years. These two species, from the clupeid taxonomic family, are indistinguishable acoustically, so
45 they were detected as "clupeids". Backscatter from clupeid fish schools was isolated from other
46 targets, differentiating clupeids (Figure 1C) from dense euphausiid aggregations (Figure 1D)^{16,26}.
47 Extensive layers (several km in length, e.g. Figure 1C) and large schools of clupeids were detected in
48 all years (Figure S1). Clupeid and euphausiid backscatter was integrated^{27,28} over 1 km sampling units
49 (Figure 2A-C), interpolated (Figure 2D) and (for clupeids) apportioned according to the species and
50 length, statistical and spatial distributions (e.g. Figure 2E,F) to estimate species density.

51 Conditional geostatistical simulations of: i) backscatter from clupeid fish schools; ii) species
52 proportions; and iii) fish lengths (Figure 2D-F), were combined to produce 1 million realisations of
53 estimated biomass and abundance of herring and sprat in each year. This approach allowed for the
54 uncertainty in the three data sources to be propagated into the final estimate as well as accounting
55 for the spatial variability of the distributions underlying the data²⁹. The resulting error calculated here
56 (Figure 3), therefore, is higher than would normally be estimated from similar surveys, because
57 typically only the error associated with the acoustic data component is considered. 500 realisations of
58 estimated euphausiid biomass were similarly produced from geostatistical simulations of backscatter
59 from euphausiid aggregations.

60 Mean estimates (and 95% confidence intervals) of sprat biomass (t) were 30,320 (18,180 –
61 45,660), 46,730 (26,710 – 73,090) and 70,100 (44,510 – 110,580) in 2014, 2015 and 2016, respectively,
62 and for herring were 230 (140 – 350), 19,460 (9,770 – 35,400) and 6,160 (2,030 – 15,910) (Figure 3).
63 Sprat abundance estimates were 19.02 (11.45 – 28.64) x 10⁹, 14.70 (8.46 – 22.77) x 10⁹ and 23.54
64 (14.95 – 36.38) x 10⁹ fish in 2014, 2015 and 2016, respectively. Herring abundance estimates were
65 0.026 (0.016 – 0.040) x 10⁹, 3.89 (1.95 – 6.93) x 10⁹, 0.31 (0.12 – 0.66) x 10⁹ fish. Sprat in 2016 was,
66 therefore, 75 times more abundant than herring, with more than 10 times the biomass. The mean
67 fish length, across all three years, was 11.1 cm (n = 3006, standard deviation (SD) = 3.2) for herring,

68 and 8.3 cm ($n = 6602$, $SD = 1.6$) for sprat. Mean biomass estimates (t) for euphausiids were 64,900
69 (43,800 – 96,900) in 2014, 80,600 (71,400 – 92,400) in 2015, and 45,000 (42,000 – 48,500) in 2016.

70 In the late 1980s, acoustic surveys estimated a maximum total combined biomass of herring
71 and sprat in the Clyde to be 20,400 t, and a maximum abundance of 0.23×10^9 fish, over 100 times
72 less than the abundance of sprat alone that we estimated in 2016. Another notable difference is the
73 species dominance between these historical surveys and our recent ones: in the 1980's herring was
74 clearly dominant, whereas now, sprat far outweighs herring (Figure 3). The mean sizes of fish caught
75 in the historical surveys were also different: 25.0 cm and 12.1 cm for herring and sprat, respectively
76 (the historical reports did not provide comparable estimates of uncertainty).

77

78 Discussion

79 Here, we document the significant recovery of forage fish biomass in an historically overexploited
80 marine ecosystem, to levels greatly exceeding those recorded 30 years ago. However, despite the
81 collapse of the targeted fishery, and the imposition of strict management controls in the form of low
82 catch limits, the previously dominant and commercially important species, herring, has not recovered.
83 The pelagic fish assemblage is now dominated by a different, albeit ecologically related species, sprat.
84 A high biomass of these forage fish was recorded in all three years of the recent surveys, to a maximum
85 (in 2016) of almost 4 times higher than was reported in any of the last period of regular surveys (1985-
86 1990). The abundance of forage fish was found to be up to two orders of magnitude higher, with the
87 higher biomass of clupeids being made up of much smaller fish.

88 The change in species dominance, from a system dominated by herring to one dominated by
89 sprat could be due to the focussed nature of the historical fishery (targeting herring only), favouring
90 the unexploited species. However, since the increase in sprat biomass coincides with a period of
91 minimal exploitation of the herring stock (zero landings since 2014)³⁰, and herring landings have been
92 low for many years (<1000 t since 1999 and <500 t since 2010), the impacts of the differential fishing
93 pressure exerted on the two species may not be significant. In the absence of biomass estimates for
94 the two species in the years between survey periods, it is impossible to confirm causal links between
95 variations in selective fishing pressure and the differing species biomass trends. Furthermore, sprat,
96 in common with many common short-lived forage fish species, exhibit highly variable population
97 dynamics^{31,32}. The population increase recorded in the Clyde, however, appears to be in line with the
98 population trends seen in neighbouring stocks. In the adjacent Irish sea, and the wider Celtic Sea,
99 estimates of sprat biomass have been variable but increasing since 2010, and in the North Sea, the
100 stock has been generally increasing, despite relatively consistent and high fishing mortality, since the

101 late 1980s³³. Further afield, the Baltic Sea stock has been decreasing from a peak in the mid-1990s,
102 but this decline may be attributable to elevated local fishing pressure³⁴. The variability in these
103 population trajectories suggest that the causes of the increase in sprat biomass in the Clyde and
104 elsewhere, are likely to be more complex than simply changes in local fishing pressure.

105 Other factors, such as warming water temperatures^{6,35} or an alteration in the composition of
106 the macrozooplankton assemblage, may have favoured sprat as the more generalist predator³⁶, which
107 also prefers higher temperatures than herring³⁷. Both of these factors were linked to previous changes
108 in the distribution of North Sea herring³⁸. It is also possible that while there has been limited fishing
109 effort targeting herring in the past two decades, bycatch of larger herring in the *Nephrops* fishery may
110 have contributed to the inhibition of the recovery of the herring stock. Herring is commonly bycaught
111 and discarded by trawlers targeting *Nephrops*, whereas sprat is not^{8,9}. Net mesh size is positively
112 correlated with bycaught fish size, and sprat are likely too small to be retained by the nets used by the
113 prawn fleet (70 mm average mesh size)⁹. This size selectivity may have been problematic for herring
114 as it was primarily larger fish which were removed (e.g. Stratoudakis *et al.*⁹ recorded a mean length of
115 bycaught herring of 22.1 cm).

116 The susceptibility of Clyde herring to poor recruitment events may have placed the herring
117 population at a further competitive disadvantage to sprat. Herring spawning is dependent on access
118 to undisturbed gravel sediments³⁹⁻⁴¹, which, within the Clyde, historically occurred in just two small
119 areas, Ballantrae Bank and south of Arran^{40,41}. With such limited access to appropriate spawning areas
120 and conditions, the Clyde herring stock was known to experience fluctuations in spawning success
121 leading to great variability in year-class strength^{5,18}. The intensity of trawling on the Clyde seabed may
122 have further reduced the availability of the necessary spawning grounds. Catastrophic recruitment
123 failures have been recorded previously⁴², and the targeted fishing of the spawning component of the
124 stock may have driven spawning numbers down sufficiently to impair spawning efficiency, preventing
125 the emergence of a strong year-class¹⁸. Sprat, conversely, spawn over a longer period in open
126 water^{43,44}, and so are less vulnerable to either such targeted exploitation, or such comprehensive
127 failures of a specific spawning 'event'. This imbalance may function in combination with the more
128 rapid maturation of sprat relative to herring (a difference which has been found to be increasing the
129 North Sea⁴⁵), to have allowed sprat to increase in biomass while herring have shown no similar
130 increase.

131 The population structure of the herring stock in the Clyde is also important to consider in
132 understanding the observed lack of recovery. In the recent surveys, the mean size of herring caught
133 was <12 cm, in stark contrast with the historical surveys where the mean size was ~25 cm. This
134 increased proportion of immature herring in the Clyde will further reduce the likelihood of strong

135 recruitment years, contributing to the stock's lack of recovery. The reasons for the absence of large,
136 mature herring remain unclear (possibly including e.g. emigration, predation or bycatch), although
137 there is historical evidence of adult herring moving in and out of the Clyde¹⁸ intra-annually. It is
138 possible that surveys conducted at other times of year may record more large herring, but the survey
139 timing was chosen in consultation with local fisherman who indicated that this was the best time to
140 catch the larger herring.

141 The changes seen in this region - recovery of overall forage fish biomass but not of a
142 commercially important species - are an example of the problems faced by managers aiming to
143 recover commercial fish stocks to their former states. The central tenet of fisheries management is
144 that a reduction in fishing mortality will lead to an increase in the abundance and biomass of the stock
145 in question, but the present work provides a further example of how more complex ecosystem
146 dynamics can mean that this is not always the case. Cod in the Northwest Atlantic is an infamous
147 example of several collapsed fish stocks which have struggled to recover following closure of the
148 targeted fishery^{13,46,47}. In the case of Georges Bank, on the north eastern seaboard of the USA,
149 managers attempted to recover cod by introducing area closures to protect cod spawning¹³. However,
150 an unexpected consequence of this approach was the 14-fold increase in scallop biomass, which now
151 supports one of the most valuable fisheries in the world (>\$570 million in 2019)^{13,48}. Another example
152 of the unexpected consequences of good-intentioned management is seen in the rebuilding plan for
153 Northern hake⁴⁹, which implemented significant reductions in fishing pressure, resulting in a huge
154 increase in hake biomass. This caused a problematic situation to emerge as the stock massively
155 expanded its range into unexpected areas, such as the North Sea, with low historical quotas for hake,
156 set when the species was significantly less abundant. Huge quantities of hake discards ensued, with
157 the potential for hake to act as a choke species in the mixed demersal fishery in the face of the
158 European discard ban¹⁰.

159 Environmental factors can also drive ecological processes which may result in significant
160 changes to an ecosystem or fish stock, even in the absence of a change in management strategy. An
161 important example of this is the recent explosion in biomass of the pelagic squat lobster or 'munida',
162 in the waters off Peru¹². Recent expansion of the cold-water range⁵⁰ of munida has led to a significant
163 spatial and niche overlap with anchoveta (*Engraulis ringens*), which supports the world's largest
164 mono-specific fishery. The emergence of munida as a novel competitor of anchoveta may have
165 implications for the future trajectory of the stock¹². While the biomass of both species has been
166 increasing since the 1990s^{12,51}, if the recent trend of high productivity is reversed, negative
167 competitive interactions may have adverse effects on one or both populations, and in turn the
168 associated anchoveta fishery.

169 It is important, therefore, that similar ecological interactions be considered when planning
170 management interventions or forecasting the population trajectories of depleted or recovering stocks,
171 where possible. Additionally, relying on fishery catch data as an indicator of the current state of a
172 wider ecosystem, may lead to an inaccurate perspective over the health or function of an exploited
173 ecosystem. As part of the present study, for example, as well as high forage fish biomass, we
174 estimated significant quantities of euphausiids (primarily *Meganyctiphanes norvegica*) (Figure 1D),
175 and detected relatively high concentrations of harbour porpoises (*Phocoena phocoena*), in all years¹⁶.
176 This further debunks the ‘ecological meltdown’⁵ touted to have occurred in this region, and suggests
177 that the pelagic ecosystem, with large biomass present across various trophic levels and functional
178 groups, is in better health than previously suggested.

179 The resurgence of the pelagic ecosystem in this area over the last 30 years, and particularly
180 the substantial increase in sprat biomass reported here, affords management options for the future
181 of this region. One obvious option³³ is the establishment of a fishery for sprat in the Clyde, although
182 the distributional overlap with juvenile herring is an issue which has precluded sprat fisheries in
183 eastern Scotland. The increased forage fish biomass may have additional significant consequences for
184 other components of the ecosystem, however, potentially creating the opportunity for an alternative
185 livelihood for the local seafaring community. These forage fish comprise a large proportion of the diet
186 of a wide range of charismatic megafauna^{52–58} (e.g. marine mammals and seabirds), and the large
187 biomass now present in the Clyde presents a significant food source which will attract these predators
188 to this area. Indeed, as far back as the 19th century, accounts were written of the many cetaceans and
189 seabirds which preyed on the Clyde’s famous herring stocks⁵⁹. However, while high densities of
190 harbour porpoises^{15,16} have been recorded in the area recently, other cetacean species have not been
191 seen frequently for some time⁶⁰. The recovery of forage fish in the area may now, however, precipitate
192 the return of more of these charismatic species, which people will pay to see, paving the way for the
193 development of a new industry for the region’s seafaring community – ecotourism. One management
194 option, therefore, is that the large biomass of sprat now found in the area be left unexploited, such
195 that in time, the ecotourism industry could develop. Ecotourism can be to the detriment of the
196 animals on which it relies^{61–65}, however, and so the implications of additional stressors on recovering
197 megafaunal populations must be carefully considered. Any new development should, therefore, be
198 in accordance with current industry best-practice guidance to ensure the economic benefits come at
199 minimal cost to the animals the industry is built on^{66–68}. This option would contribute to the ongoing
200 recovery of the ecosystem, reversing the decline in biodiversity that the region has endured for over
201 two centuries, paving the way for other systems to follow suit.

202

203

204 Author contributions

205 J.L. wrote the main text, processed and analysed the acoustic data, and assisted with data collection.

206 P.F. conceived the manuscript, wrote the summary, provided guidance on data processing and
207 analysis, and edited the many versions of the manuscript.

208

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216 Technology Scotland (MASTS).

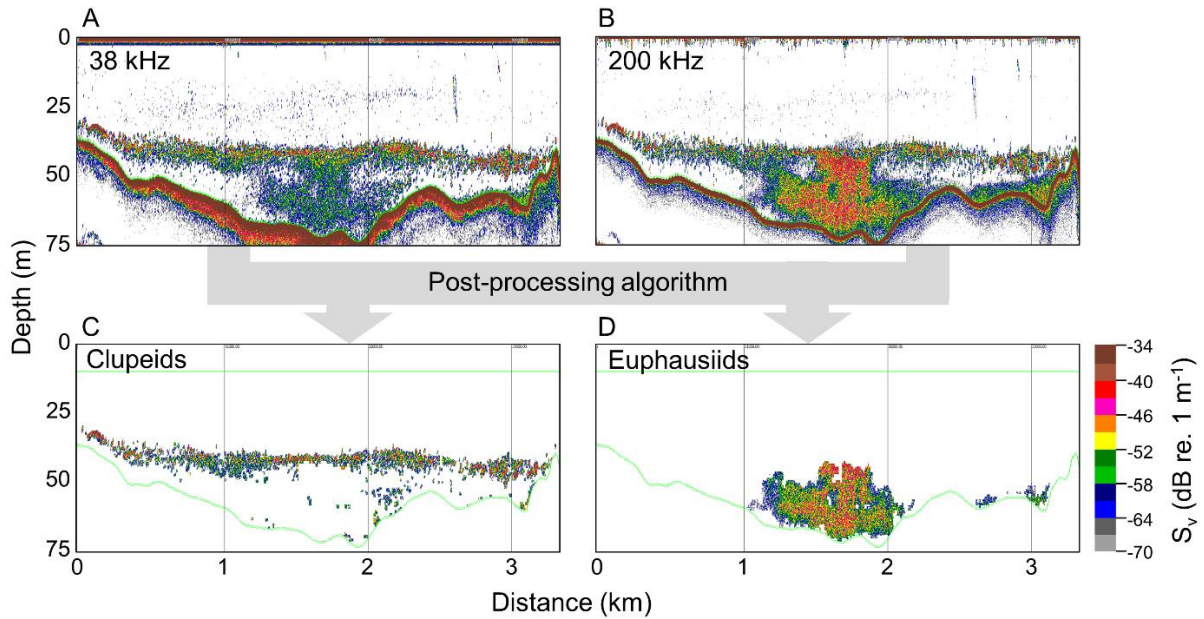
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219 Declaration of interests

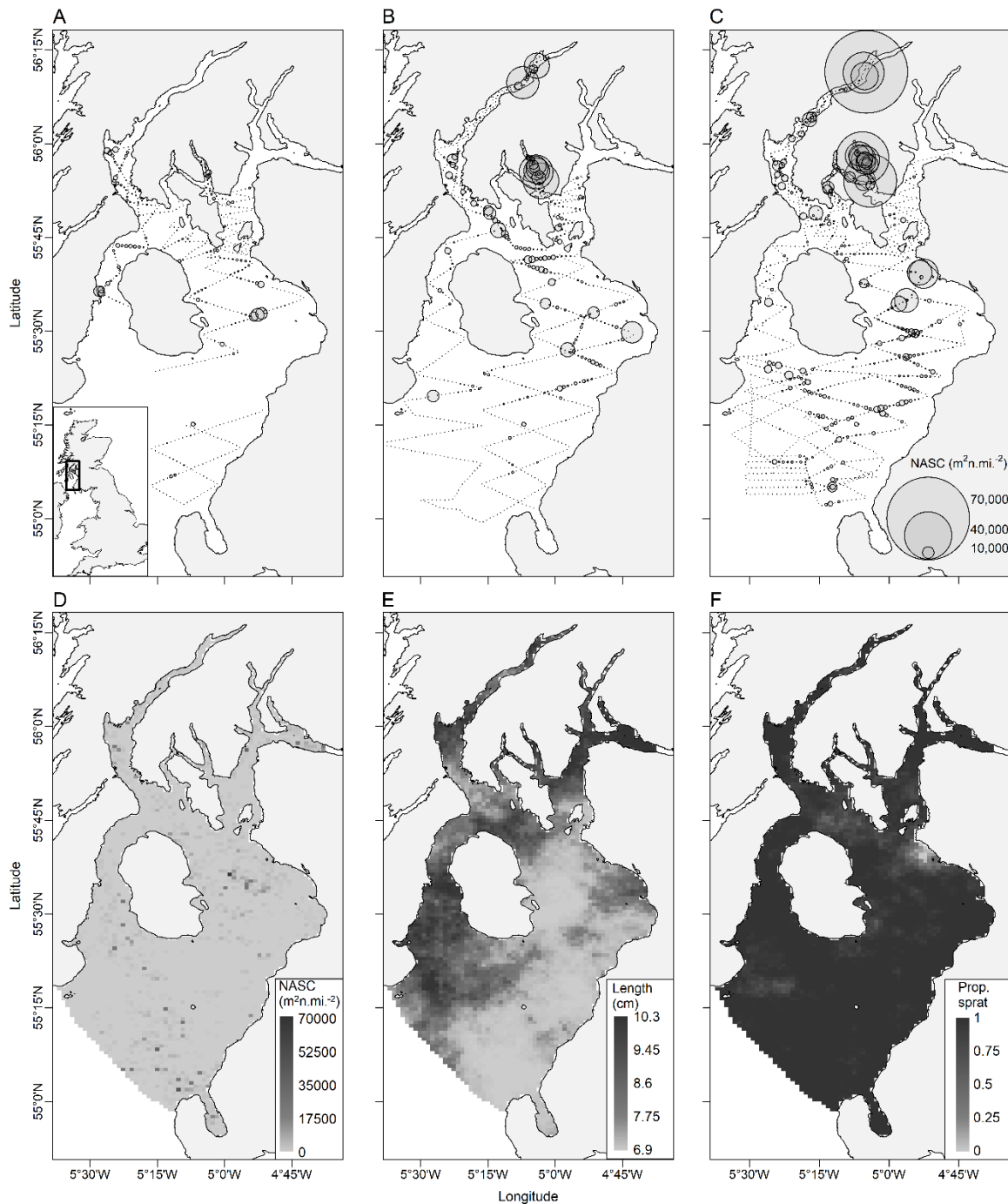
220 The authors declare no competing interests.

221



225 **Figure 1. Differentiating clupeid and euphausiid aggregations from raw acoustic data.**

226 *Figure 1. Raw (A,B) and processed (C,D) acoustic data, from the Clyde Sea taken in November 2014.*
227 *Schematic demonstrates the application of a post-processing algorithm to raw data (volume*
228 *backscattering strengths, S_v) collected at A) 38 kHz and B) 200 kHz, to distinguish backscatter from C)*
229 *clupeid fish schools and D) euphausiid aggregations. The green lines on the lower plots are the seabed*
230 *(with a 0.5 m offset) and a 10 m offset from the sea surface; only data between these two lines were*
231 *used.*



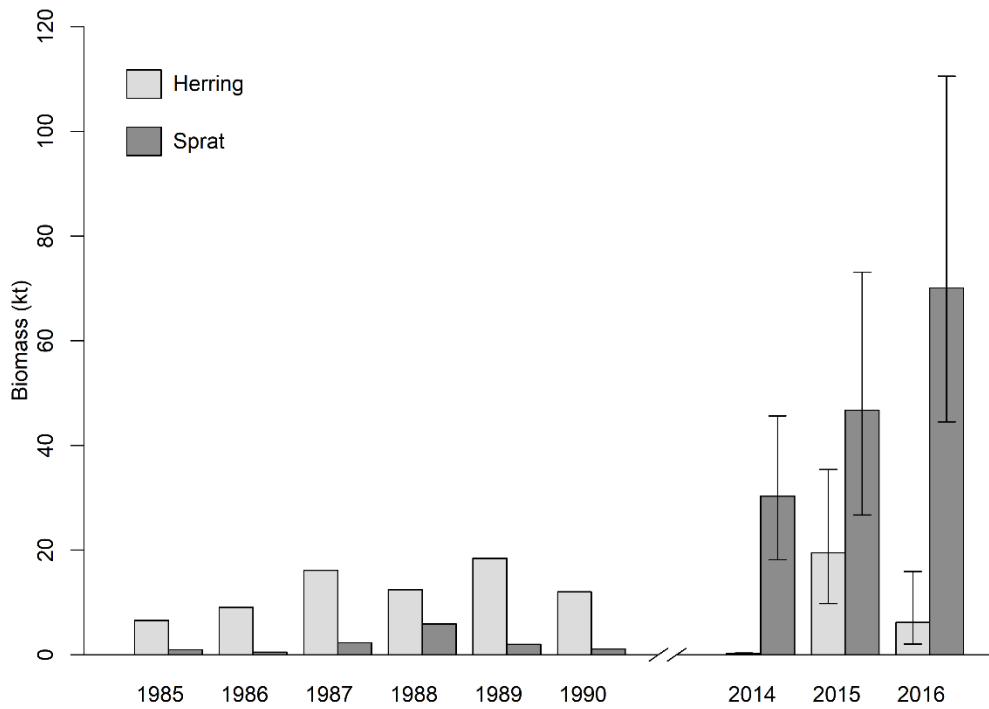
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236 **Figure 2. Fish acoustic backscatter and example geostatistical simulations.**

237 *Figure 2. Maps of the Clyde Sea, showing integrated backscatter from clupeid fish schools in (A) 2014,*
 238 *(B) 2015 and (C) 2016, and a single realisation of the geostatistical simulations of (D) acoustic*
 239 *backscatter from clupeids, (E) sprat lengths and (F) proportion of backscatter attributable to sprat (all*
 240 *from 2016). Grey circle diameter in (A-C) is proportional to Nautical Area Scattering Coefficients*
 241 *(NASCs, $m^2n.mi.^{-2}$) for 1 km sampling units. Inset in (A) shows study area location in the UK (black*
 242 *rectangle).*

243

244



246

247 **Figure 3. Herring and sprat biomass estimate time series.**

248 *Figure 3. Biomass estimates for herring and sprat in the Clyde Sea in the historical surveys (1985-1990)*
249 *and the present study (2014-2016). Error bars on the recent estimates are 95% confidence intervals,*
250 *derived from the 10^6 realisations of biomass produced through the geostatistical simulation procedure.*
251 *Note, the 2014 herring estimate & confidence intervals are indistinguishable due to their extreme low*
252 *values.*

253

254 STAR Methods

255 Resource availability

256 **Lead Contact:**

257 Further information and requests for resources should be directed to and will be fulfilled by the lead
258 contact, Joshua Lawrence (joshua.lawrence1@abdn.ac.uk).

259 **Materials availability:**

260 This study did not generate new unique reagents.

261 **Data and code availability:**

262 All data (integrated acoustic data, mean fish lengths and species proportions) and R code to replicate
263 these analyses are available at:

264 https://github.com/joshua-lawrence1/CurrentBiology_Lawrence_Fernandes2021.git

265

266 Experimental model and subject details

267 We collected acoustic data in the Clyde Sea, Scotland, as part of the Scottish Government's series of
268 acoustic surveys in this area. Biological samples from significant aggregations identified from the
269 acoustic data, were collected using a pelagic trawl. These samples consisted almost entirely (>99% by
270 number) of herring (*Clupea harengus*) and sprat (*Sprattus sprattus*).

271

272 Method details

273 Data collection was carried out aboard the *MRV Alba na Mara*, the Scottish government's inshore
274 research vessel, in the Clyde Sea and surrounding sea lochs. The surveys were conducted in three
275 consecutive years, utilizing the same data collection techniques in each year; the surveyed periods
276 were 5th – 12th November 2014, 26th October – 2nd November 2015 and 15th – 26th October 2016, and
277 data were collected only during daylight hours (approx. 07:00 – 17:00). The survey was scheduled in
278 autumn following a consultation with local fishermen indicating this was the best time of year to catch
279 herring, the primary focus of the survey series. A systematic zig-zag survey pattern was used due to
280 the confined nature of the northern part of the survey region, and surveying was carried out at a
281 steady speed of 7.5 knots ($\sim 3.9 \text{ m}\cdot\text{s}^{-1}$). 541, 891, and 1,331 km of survey transect was carried out in
282 2014, 2015 and 2016, respectively, in an area of 3,600 km².

283 A Simrad EK60 scientific echosounder collected active acoustic data, with three split-beam transducers
284 operating at 38, 120 and 200 kHz. The echosounder was configured to transmit at all frequencies
285 simultaneously at a rate of 1 Hz, with a pulse duration of 1.024 ms. The raw data were recorded as
286 time-stamped digitized volume backscattering strengths (VBS, S_v ; dB re. 1 m^{-1}), saved as *.raw files
287 along with detected bottom depth and the vessel's GPS location for each ping. Surveying was
288 interrupted to carry out sampling with pelagic trawls on any significant echotraces detected (Figure
289 S1), to provide information on species composition and fish size distribution data, in accordance with
290 standard fisheries acoustic survey protocols⁶⁹. 5, 9 and 19 trawls were completed in the 2014, 2015
291 and 2016 surveys, respectively. The echosounder was calibrated using standard techniques⁷⁰ at anchor
292 in Lamlash Bay on the 29th October 2015, and the 20th October 2016. Poor weather prevented a
293 calibration in 2014, but the 2015 and 2016 calibrations showed the equipment to be relatively stable
294 (a difference of just 0.2dB gain for the 120 kHz transducer). During these calibrations, a 38.1 mm
295 diameter tungsten-carbide sphere was suspended beneath each transducer to calibrate the on-axis
296 gains (G_0), filter attenuation correction factors (s_a correction), and beam patterns for each transducer.
297 Active acoustic data were processed with the software Echoview v5.4⁷¹. Algorithms were developed
298 for the isolation of echotraces from schools of swim-bladdered fish and aggregations of
299 macrozooplankton from 38, 120 and 200 kHz data. Standard active acoustic data processing steps
300 (bottom line correction and removal of off-transect data) were carried out prior to the implementation
301 of the fish and macrozooplankton isolation algorithms.

302

303 Swim-bladdered fish, as geometric scatters, are readily distinguishable from other targets
304 encountered, scattering sound strongly and relatively equally at the 3 frequencies used. To isolate
305 backscatter from swim-bladdered fish schools, a modification of the workflow described by
306 Fernandes²⁶ was used. The echograms at all three frequencies were summed, and a -180 dB threshold
307 was applied to the resulting echogram. This is an adjustment of the -226 dB threshold used by
308 Fernandes²⁶, to account for the use of just 3 frequencies. A 3x3 pixel median filter was then applied
309 to remove isolated scatters, followed by a 5x5 pixel dilation filter to ensure the edges of fish schools
310 were captured. The Fernandes²⁶ algorithm was further extended through the addition of a dB-
311 differencing component, which examined the difference between the returns at 38 and 200 kHz,
312 excluding regions where the return at 200 kHz was >2dB stronger than at 38 kHz, to ensure very dense
313 zooplankton aggregations were not included. This was not an issue for Fernandes²⁶, since such dense
314 zooplankton aggregations were not encountered during their data collection, and the use of the 18
315 kHz transducer would further reduce the chance of any Rayleigh scatters being mistaken for fish.
316 Echoview's 'school detection' facility was used to delineate regions around all areas of backscatter

317 retained by the algorithm, and the regions were masked onto the raw 120 kHz data (thresholded at -
318 70 dB) to produce the final fish echogram.

319 Macrozooplankton (here, euphausiids), as Rayleigh scatterers in the frequency range used, scatter
320 sound more strongly as the frequency increases⁷². Consequently, a similar method to that which has
321 been widely used to isolate echoes from other macrozooplankton species (in particular Antarctic krill,
322 *Euphausia superba*) could be used⁷³⁻⁷⁸ to isolate euphausiids, relying upon the dB-difference between
323 the received returns at 38 and 120 kHz. These two echograms were resampled (3 pings x 1 m), and a
324 dB-difference echogram (120-38 kHz) was created. Only regions of scattering where the dB-difference
325 was in the 2-12 dB range^{73,75,77,78} which was masked onto the resampled 120 kHz data. A 3x3 median
326 filter was used to remove isolated scatters, and areas identified as backscatter from fish by the fish
327 school algorithm were removed to avoid double-counting. School detection was then carried out to
328 delineate regions around all areas of retained backscatter, and the resulting regions were masked
329 onto the 120 kHz data (thresholded at -100 dB) to produce a final euphausiid echogram.

330 Both final echograms (for fish and euphausiids) were integrated between 10 m below the surface to
331 0.5 m above the seabed to give Nautical Area Scattering Coefficients (NASCs, $m^2 \text{ n.mi.}^{-2}$) for 1 km
332 sections of acoustic transect.

333 A fault with the 38 kHz transducer used during the surveys meant integration of the active acoustic
334 data was carried out on the data from the 120 kHz transducer (as opposed to the more normal 38
335 kHz). This meant that the published target strength-length (TS-L) relationships (of the form $TS =$
336 $20 \cdot \log_{10}(L) + b_{20}$) could not be used, as they are frequency-dependent (specifically the b_{20} parameter).
337 Instead, the standard TS-L relationship ($20 \cdot \log_{10}(L) - 71.2$) was adjusted using data collected as part of
338 an acoustic survey in the North Sea using data collected from fish schools confirmed as herring via
339 pelagic trawl. The adjustment procedure involved manipulating the b_{20} parameter to optimise the new
340 TS-L relationship to minimise the difference between fish density calculated using the 38 kHz data
341 with the standard TS-L relationship and fish density calculated using the 120 kHz data with the new
342 TS-L relationship. This yielded the relationship $TS = 20 \cdot \log_{10}(L) - 71.67$, which was used when
343 calculating fish abundances from fish NASCs. Predictably, there was little difference from the standard
344 relationship, as expected given the geometric scattering of fish at these frequencies.

345

346 Quantification and statistical analyses

347 To convert from clupeid NASC to species specific biomass, knowledge of the proportion of acoustic
348 backscatter attributable to each species is required (in addition to the length-frequency distribution
349 for calculation of TS). For each trawl, these proportions were calculated as:

350
$$p_x = \frac{\sum_{j=L_{min}}^{L_{max}} n_{xj} \cdot \sigma_{bsj}}{\sum_{i=1}^s \sum_{j=L_{min}}^{L_{max}} n_{ij} \cdot \sigma_{bsj}} \quad (\text{Equation 1})$$

351 where p_x is the proportion of acoustic backscatter attributable for species of interest x , s is the number
 352 of species caught, n_{ij} is the number of fish caught of species i in length-class j , L_{max} and L_{min} are the
 353 maximum and minimum length-classes caught, and σ_{bsj} is the backscattering cross-section of the
 354 length-class j , calculated as:

355
$$\sigma_{bsj} = 10^{\frac{20 \cdot \log_{10} L_j - 71.67}{10}} \quad (\text{Equation 2})$$

356 where L_j is the midpoint (cm) of length-class j . Here, the proportion of sprat was calculated for each
 357 haul, and the proportion of herring (as the only other species caught) was the remainder.

358 In order to estimate the uncertainty around biomass estimates produced from several variables (in
 359 this case: acoustic data, fish lengths and species proportions), geostatistical simulations of each
 360 variable were generated and combined so that their associated uncertainties could be propagated
 361 through to the final estimates. This process was carried out using the *RGeostats* package for the
 362 statistical software R.

363 For the conditional simulations to be reliable, it is necessary that the data are approximately Gaussian,
 364 cf. the highly positively skewed distribution of the raw data, and so a transformation is required^{29,79}.
 365 A Gaussian anamorphosis was used to transform the raw variables to approximately normal, and a
 366 Gibbs sampler was used to redistribute the excess zeroes into the left-hand tail of the distribution^{29,80}.
 367 Conditional simulations were produced using the transformed data and the variogram models created
 368 from each dataset, generating simulated values for each variable across a 1 x 1 km grid covering the
 369 entire survey region (3710 km²).

370 To produce fish biomass estimates, 100 simulations of acoustic backscatter, fish length (by species),
 371 and species proportion were generated and combined to give 1,000,000 realisations of fish
 372 abundance, as:

373
$$N_{ij} = \frac{s_{A_i} \cdot p_{ij}}{4\pi \cdot 1.852^2 \cdot \sigma_{bsij}} \cdot A_i \quad (\text{Equation 3})$$

374 where N_{ij} is the abundance of species j in grid square i , s_{A_i} is simulated acoustic backscatter (NASC)
 375 from clupeids in grid square i , p_{ij} is the simulated proportion of acoustic backscatter attributable to
 376 species j in grid square i , A_i is the area of grid square i (for most grid squares this was 1 km², but <1
 377 where the grid meets the coast) and σ_{bsij} is the backscattering cross-section for the simulated mean

378 length for species j in grid square i , calculated as in equation 2. Fish abundances were converted to
379 biomass according to weight-length relationships of the form

$$380 \quad B_{ij} = N_{ij} \cdot (a \cdot L_{ij}^b) \quad (\text{Equation 4})$$

381 where B_{ij} is the biomass (g) of species j in grid square i , and the parameters a and b were derived from
382 catch data and were year- and species-specific.

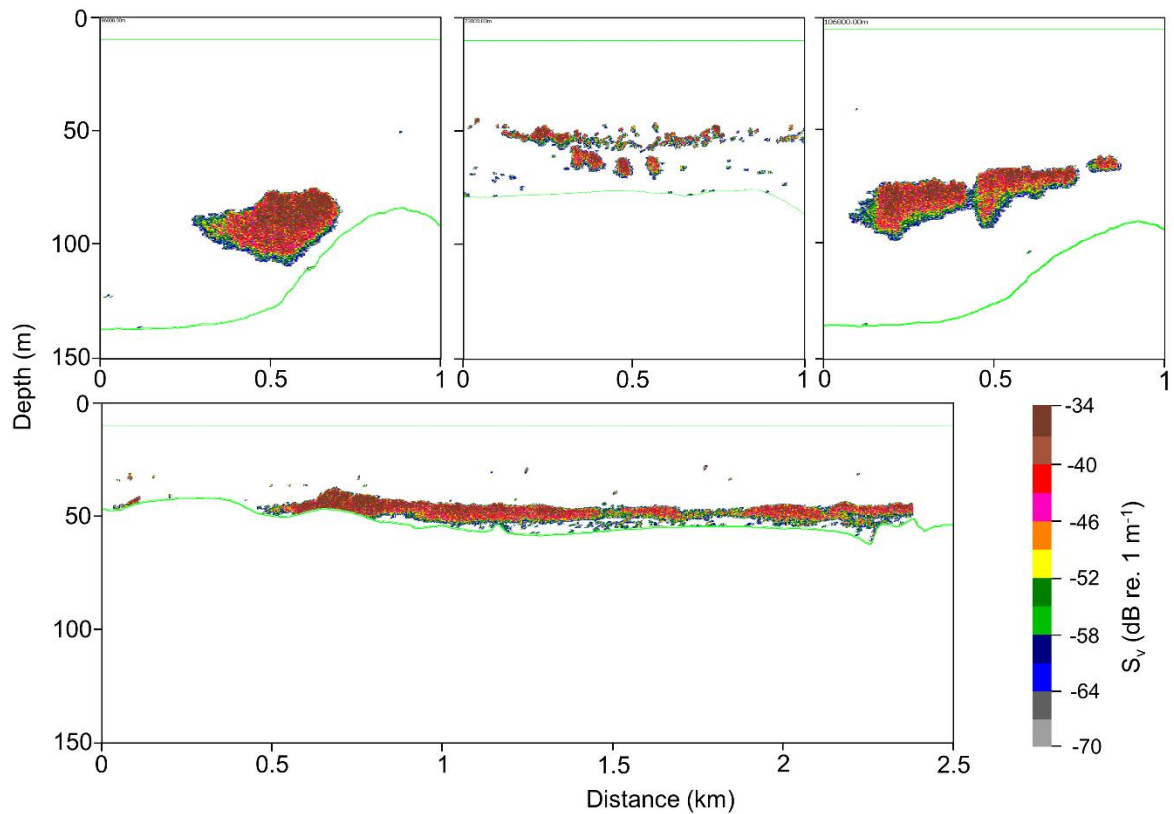
383 500 simulations of acoustic backscatter from euphausiids were generated using the same methods,
384 and were converted to biomass using a TS-biomass relationship, (TS of 1 kg of euphausiids (or krill) =
385 -38.77 dB⁷⁵), such that

$$386 \quad B_{ij} = \frac{s_{A_i}}{4\pi \cdot 1.852^2 \cdot 10^{\frac{-38.77}{10}}} \cdot A_i \quad (\text{Equation 5})$$

387 where B_i is the biomass (kg) of euphausiids in grid square i , s_{A_i} is simulated acoustic backscatter (NASC)
388 from euphausiids in grid square i , and A_i is the area of grid square i .

389 Biomass estimates were summed across the simulation grid to give total biomass for each realisation,
390 and mean and 95% confidence limits (as the 2.5th and 97.5th percentile when estimates were sorted in
391 ascending order) were calculated for each species (herring, sprat and euphausiids) for each year, as
392 given in the Results.

393



394

395 **Figure S1. Example echograms of large fish schools.**

396 *Figure S1. Echograms of a range of examples of significant acoustic targets which were subject to*
 397 *trawling for the collection of biological samples. These echograms have been post-processed to remove*
 398 *the near-field and the seabed (upper and lower green lines, respectively) and to isolate backscatter*
 399 *from swim-bladdered fish. All echograms show data collected at 120 kHz.*

400

401

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