

1 **Phasing in electric vehicles: Does policy focusing on operating emission achieve Net**
2 **Zero emissions reduction objectives?**

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18 **Abstract**

19

20 Battery electric vehicles (BEVs) are being integrated into the UK transport network to
21 reduce operating emissions (OEs) as BEVs produce zero emission at point of use.
22 True OEs depend upon fuel source emissions, and ‘cradle-to-grave’ life cycle
23 emissions. This paper investigates method comparisons of a simple operation
24 emissions model (OPEM) against a life cycle analysis (LCA) (Transport Energy and
25 Air Pollution Model (TEAM-UK)) approach to inform on the UK’s target to achieve net
26 zero emissions. Emission comparisons from internal combustion engine vehicles
27 (ICEVs) and BEVs between 2017 and 2050 using TEAM-UK (estimating both OEs and
28 full LCA) and the OPEM (OEs only) across three vehicle scenarios were analysed:
29 (S1) 100% ICEVs, (S2) new ICEVs banned from 2040, and (S3) new ICEVs banned
30 from 2030.

31

32 Both model outputs varied between scenarios. The OPEM predicted 19% more
33 emissions in S1 (OEs only comparison). Differences between methods in S2 and S3
34 were minimal (<0.1% and <3% respectively). Comparing the LCA with its own OE
35 estimate indicates OEs remain at approximately 40% of total emissions suggesting
36 they are a strong candidate for monitoring and policy targeting. These comparisons
37 would imply the simpler OE approach is robust for a precautionary approach to
38 assessing changes in OEs for policy implementation impact assessments of ultra-low
39 emission vehicle initiatives.

40

41 Development of future emission policies should consider both LCA and OPEMs, as
42 although LCAs give more complete results, OPEMs can provide rapid, low data
43 requirement, useful policy guidance. A stringent shift towards earlier BEV adoption is
44 recommended, however, to approach net zero emissions a mode shift away from
45 private cars is required.

46

47 **1. Introduction**

48 Reducing tailpipe emissions from road vehicles has become dominant in European
49 Union (EU) policy. Under Regulation (EU) 2019/631, new vehicles from 2021 should
50 produce less than 95 gCO₂ km⁻¹, which corresponds to fuel consumption of 4.1 l/100
51 km of petrol or 3.6 l/100 km of diesel (European Commission, 2018). The UK laws are
52 currently EU aligned, however this may change after Brexit, although this is currently
53 unknown. Manufacturers of internal combustion engine vehicles (ICEVs) have
54 continually improved petrol and diesel engine efficiency and lower emissions per unit
55 of fuel. However, these factors alone will be unable to reduce transport greenhouse
56 gas (GHG) emissions (Faria et al., 2013) as road transport is the only UK sector where
57 emissions have continued to increase, comprising of ~21% of the UK's total GHG
58 emissions in 2017 (ONS, 2019). The UK has set the ambitious target of net zero
59 emissions by 2050, however to achieve this target, in 2020, the UK Government
60 banned the sale of all new petrol and diesel cars (and vans and hybrids) by 2035. This
61 has left room in the market for alternatives such as battery electric vehicles (BEVs)
62 integration which are often seen as 'zero emission' at their point of use.

63

64 The objective of this paper is to analyse whether the UK is likely to meet its net zero
65 emissions target through two different methods of assessing carbon dioxide (CO₂)
66 emissions from ICEVs and BEVs. To do this, we compare emission projections from
67 the Transport Energy and Air Pollution Model (TEAM-UK), a life cycle analysis (LCA)
68 model focusing on the CO₂ emissions produced from the vehicle and its operation
69 (Brand et al, 2019b), and a self-developed operating emission model (OPEM). These
70 methods were chosen as although EU policy tends to focus on the operating emission
71 levels of vehicles, this has led policymakers to introduce new policy based only on the
72 analysis of vehicle emissions. This in turn ignores vehicle production, maintenance
73 and scrappage, infrastructure provision and fuel requirements to support these modes
74 of transport which all contribute emissions (Chester and Horvath, 2009). Our study
75 compares LCA and operating emissions (OEs) of ICEVs and BEVs, for two different
76 target years (2040 and 2030 – five years before and after the new phasing out ban) to
77 better understand whether policy based upon narrow target parameter values will have
78 the desired effect on reducing overall transport emissions.

79

80 This newly introduced vehicle ban applies to the purchase of new vehicles and does
81 not take into consideration ICEVs that are currently in use or that will be purchased
82 before this ban. For example, cars have an average life expectancy of ~13.9 years
83 before scrapping, therefore any vehicle purchased in 2035 could remain on the
84 roads until ~2049. This will result in the UK's net zero emission target under the Paris
85 Agreement, being difficult to achieve. Therefore, by comparing the results of OEs and
86 from a full LCA, policymakers will be able to better understand the projected levels of
87 emissions that could be emitted during this interim period. This will allow additional
88 policies to be implemented such as including ultra-low emission zones (ULEZ), priority
89 bus lane access for BEVs or other methods to encourage the use of low carbon public
90 transport.

91

92 BEVs are often perceived to be a net zero emission technology as they do not produce
93 tailpipe emissions, however this is dependent on how electricity is used to fuel these
94 vehicles. By switching to more low carbon electricity generation, there is the potential
95 to reduce OEs from BEVs, and fall in line with UK and EU policy (Richardson, 2013).
96 In the UK, the share of renewables has been increasing with 48.8% of electricity
97 generated from renewables and nuclear resources (BEIS, 2019a) with a large mix of
98 generating sources which has diversified the electricity mix, reducing energy
99 insecurity. Although, these changes are expected to significantly contribute towards
100 the net zero target, greater levels of low carbon renewables, nuclear and
101 fossil/biomass with carbon capture and storage (CCS) are still required. Therefore, the
102 energy generation source should not be targeted singularly, the production and end-
103 use phases, including scrapping, of BEVs and associated emissions need to be
104 considered when trying to reduce transport emissions on a large scale. This is why we
105 have considered two methodologies within this study. UK policy currently focuses on
106 improving internal combustion engine efficiency to reduce vehicle OEs but does not
107 consider the other phases of the vehicle life cycle. Therefore, by comparing OEs with
108 expected LCA emissions produced we can hope to achieve a better understanding of
109 total transport emissions as the UK emission target moves towards net zero: this is
110 the focus of this paper.

111 **1.1 Life cycle emissions model versus operating emissions model**

112 Through the (so-called) 'dieselgate' emissions scandal, an increasing divergence, or
113 'gap' between 'real world' and 'official' energy use and air pollutant emissions of road
114 vehicles was highlighted. Crucially, the 'official' CO₂ vehicle emission ratings have
115 been shown to be misleading for European passenger cars (Brand, 2016; Ligterink et
116 al., 2016; Ligterink and Eijk, 2015; Tietge et al., 2017) and commercial vehicles
117 (Zacharof et al., 2016). 'Real world' emissions have been shown to be almost a third
118 higher than their official values and standards operating in the EU (Brand, 2016;
119 Ligterink and Eijk, 2015; Tietge et al., 2017). The likely cause of the divergence
120 between 'official' and 'real world' emissions is often linked to shortcomings from the
121 European type-approval process for light-duty vehicles (Tietge et al., 2017) and actual
122 driving conditions and practices. Furthermore, there has been increasing evidence that
123 fuel consumption improvements originate from test-orientated optimisations and
124 practices as opposed to operating fuel saving technologies (Fontaras et al., 2017b;
125 Fontaras and Dilara, 2012). Therefore, when estimating the OEs from vehicles,
126 differences between 'real' world and 'official' emissions need to be considered to give
127 an overall idea of emissions produced. It was estimated that the difference between
128 European passenger cars in 2013-2014 was ~32% higher (40 gCO₂ km⁻¹) compared
129 to the official certification (Fontaras et al., 2017a).

130

131 This divergence in 'real' world and 'official' emissions have resulted in many
132 researchers conducting LCA of the total emissions produced from road transport. An
133 LCA model is a methodological framework for estimating and assessing the
134 environmental impacts attributable to the life cycle from construction, operation and
135 scrappage, considering both direct and indirect CO₂ emissions produced throughout
136 the entire process (Rebitzer et al., 2004). An LCA is particularly useful for transport,
137 given the myriad of interrelated effects that can be influenced by vehicle production
138 and use. However, due to the lack of long term measurements and monitoring only a
139 few LCAs have the ability to provide an overall picture of emissions as a lot of
140 estimations are required to give an overall picture of emission levels (Egede et al.,
141 2015). Furthermore, the design of the vehicles can influence greatly the environmental
142 impact of other stages within the life cycle. For example, if the design of a vehicle is
143 determined by the fuel consumption and emissions per kilometre driven when the

144 vehicle is in use, then this may not influence the GHG cost of construction or the
145 reusability of materials within the end-of-life phase (Rebitzer et al., 2004).

146

147 Decision making bodies, including the UK Government, are only recently beginning to
148 look at LCAs for critical inputs related to transport fuels (Chester and Horvath, 2009).
149 LCA models need to consider both direct and indirect processes and services required
150 to operate the vehicle. This includes raw material extraction, manufacture,
151 construction, operation, maintenance, scrappage, infrastructure and fuels (Chester
152 and Horvath, 2009; Hawkins et al., 2013; Helms et al., 2010). To provide an accurate
153 parameterisation for an LCA it is important to consider averages across the UK, for
154 example, fuel consumption or the vehicle weight which can vary widely between two
155 vehicles and locations, producing different results and interpretations of the data
156 (Messagie, 2014). It is therefore essential to consider these influences on the LCA
157 when looking at the end results. The TEAM-UK model takes this LCA approach whilst
158 also considering lifestyle choices and socio-cultural factors from the individuals using
159 different transport methods, which are often overlooked and not generally included in
160 transport modelling. Only a few attempts have been made to integrate these insights
161 into systems models of future transport energy demand and supply (Creutzig et al.,
162 2018). Current research shows that a full LCA cannot be implemented in many
163 locations around the world due to data access restrictions. Therefore, both
164 methodologies need to be analysed to better understand why future policy makers
165 need to consider both LCA and OPEMs when introducing future policies to reduce
166 transport emissions.

167

168 Although an OPEM is a more simplistic model than a LCA model, estimating the level
169 of direct and indirect CO₂ emissions produced solely during the operation phase of a
170 vehicle's life cycle is also important. Estimating the change in CO₂ emissions
171 associated with changes in the electricity system becomes a topical issue due to
172 different methodologies used to determine the emission rates as this has significant
173 consequences (Hawkes, 2014).

174

175 **2. Methodology**

176 The future UK transport emissions produced by ICEVs and BEVs from 2017 to 2050
177 were simulated using the TEAM-UK model and the OPEM model based on the UK

178 Government's Department for Business, Energy and Industrial Strategy (BEIS)
179 projections of vehicle type and numbers, distance driven and electricity generation
180 mix. 2017 was chosen as a starting year for both models as this was the latest
181 historical data set available whilst 2050 was the final year analysed, as although both
182 models were able to make data projections past this, policy is likely to change as the
183 UK transitions to net zero by 2050.

184

185 LCA outputs include OE estimates within their value. Here, we utilise this to consider
186 the representativeness of an OPEM approach to the overall carbon dioxide emissions
187 produced over an LCA for both ICEVs and BEVs. Production and scrappage
188 emissions are known to be different between ICEV and BEVs, and with the changes
189 in vehicle fleet composition, consistency in the percentage of total emissions that OEs
190 represent will indicate whether OPEMs are good candidates for simple, representative
191 policy impact assessments.

192

193 **2.1 TEAM-UK model**

194 TEAM-UK is an LCA tool that can be used to assess and develop transport policy
195 scenarios through a range of technological, fiscal, regulatory and behavioural change
196 policy interventions to meet climate change, energy security and air pollution goals
197 (Brand et al., 2019b). TEAM-UK provides annual projections of transport supply and
198 demand for all passengers and freight modes of transport and calculates the
199 corresponding energy use, life cycle emissions and environmental impacts year-by-
200 year to a set target (up to 2100; however, this is dependent upon the policy being
201 investigated). For the purposes of this study, we are focusing on the emissions
202 produced from cars alone, as cars produce the highest level of emissions from
203 transport. The TEAM-UK model combines four linked models of the transport-energy
204 system to analyse the impact of different policy already instigated and future policy to
205 reduce transport emissions (Brand and Anable, 2019). The four models that make up
206 TEAM-UK comprise: the transport demand model (TDM), the vehicle stock model
207 (VSM), the direct energy use and emissions model (DEEM) and the life cycle and
208 environmental impacts model (LCEIM).

209

210 The TDM comprises a partial econometric model and a simulation model that
211 calculates the overall level of transport activity and modal shares for passenger and

212 freight movements. As future demands for transport are highly uncertain, the TDM
213 develops a set of plausible developments for transport demand as a function of
214 scenario variables which includes fluctuations and changes in demographics,
215 population size, household income, fuel costs and costs of future technologies during
216 the time frame analysed. In this case, due to these fluctuations analysis was
217 concentrated between 2017 and 2050. A previous example was to calculate the
218 vehicle manufacture, maintenance and scrappage, in which two main steps occurred
219 (Brand et al., 2019b). Firstly, each vehicle type is analysed in terms of mass of
220 materials required to manufacture the vehicle and for maintenance (Brand et al.,
221 2019b). The model uses 15 materials that are all modelled for each vehicle type. The
222 material decomposition, emissions, primary energy use and land use changes that are
223 embedded within each kilogram of material are estimated for up to 25 emissions
224 categories including embedded CO₂ (Brand et al., 2019b). After this, the energy use
225 and emissions for the processes involved in manufacturing, maintenance and disposal
226 are derived by multiplying each process category with process emissions factors
227 (Brand et al., 2019b).

228

229 The VSM tracks the changes in the demand for vehicles, scrapping of old vehicles and
230 the purchasing of new vehicles based on the current population by vehicle type, size,
231 technology and age which may have had technological advances through new or
232 improved propulsion technology. This part of the model is highly disaggregated and
233 involves segmented discrete choice modelling of over 1,200 alternative 'vehicle
234 technologies': i.e. 1200+ different combinations of vehicle type (car, bus etc),
235 size/category (small car class A/B, etc), main fuel (gasoline, electricity, etc.),
236 hybridisation (ICEV, HEV, PHEV, etc) and vintage (linked to EURO bands). In this
237 paper the focus is on average ICEVs and BEVs. To ensure consistency from year-to-
238 year, TEAM-UK allocated all manufacturing emissions to the year of first registration
239 (Brand et al., 2019b). This means the VSM works in tandem with the TDM model as
240 the vehicle demand estimated in the TDM directly influences the development of prices
241 in the VSM within the same year. The development of prices in the VSM then
242 influences the demand in the TDM the following year. This allows a near equilibrium
243 between supply and demand. Furthermore, for ICEVs, the fuel supply and vehicle
244 manufacture stages account for ~20% of the total lifetime GHG emissions, whereas
245 the vehicle maintenance and disposal account for a much smaller share of GHG

246 emissions. The first year of registration was used for analysis to ensure independent
247 modelling of individual years was possible and allowed direct evaluation of new
248 technologies within each year (Brand et al., 2019b). Furthermore, the model takes into
249 consideration the number of trips and the trip length. As income elasticities can
250 represent the dependence of transport demand growth, input data includes additional
251 demographics such as income and GDP per capita. Furthermore as TEAM-UK is a
252 policy based model, other factors including pre-use tax, fuel prices, road tax, pricing,
253 speed limits and driver behaviour also need to be taken into consideration to give a
254 representative overview for the UK (Brand et al., 2019b).

255

256 Using the outputs of the TDM and the VSM, the DEEM calculates the direct emissions
257 (also referred to as the 'tailpipe' emissions, 'source' or 'end use') and energy
258 consumption due to the different vehicle technologies that comprise of the vehicle
259 fleet. DEEM has the ability to project various types of direct emissions including CO₂
260 and methane, as well as non-direct emissions such as carbon monoxide, sulphur
261 dioxide, nitrogen oxides, non-methane volatile organic compounds and particulate
262 matter.

263

264 The LCEIM in TEAM-UK uses a hybrid approach that combines process chain and
265 input-output analyses to derive aggregated values for the entire process chain. For the
266 purposes of this analysis, focus is placed on LCEIM which has two main functions.
267 Firstly, it provides an energy and emissions life cycle inventory due to the manufacture,
268 maintenance and disposal of vehicles as well as infrastructure contributions (i.e.
269 embedded emissions). The inventory also provides energy use and emissions over
270 the fuel production cycles for the different fuels used by different vehicle technologies.
271 Secondly, the LCIEM estimates the environmental impacts of the overall levels of
272 emissions by providing a series of impact indicators such as global warming potential
273 and the monetary valuation associated with the damage caused by these levels of
274 emissions.

275

276 **Figure 1** demonstrates the input and output flow of the LCEIM process used in the
277 TEAM-UK model. The blue lines indicate how the different models feed into each other
278 whilst the red lines indicate the model inputs and outputs. The model outputs (red solid

279 line boxes) then need to be fed into the next model to get results. The purple dashed
280 boxes indicate the inputs needed to calculate the OEs for both models.

281

282 **Figure 1: The model inputs and emission outputs for the Life Cycle and**
283 **Environmental Impacts Model used within the TEAM-UK Model and the**
284 **operation emissions model. The purple boxes indicate the inputs needed to**
285 **calculate the operating emissions for both models (Adapted from Brand et al.,**
286 **2019b).**

287

288

289 **2.2 OPerating Emission Model**

290 An OPEM was used to calculate the CO₂ emissions produced from the mix of electrical
291 and fossil fuels vehicles operation in the UK considering the distance driven and the
292 fuel used and the emissions from electricity generation. To keep consistency between
293 the model's future projections electricity carbon intensity data were also obtained from
294 BEIS (Brand et al., 2019b).

295

296 The OPEM is a simple model requiring data for each year from 2017 to 2050: the
297 projected number of vehicles, projected distance travelled, electricity generation
298 carbon intensity and technological improvements each year. The model outputs the
299 CO₂ OEs for each year. To ensure consistency between the models the carbon
300 intensity of electricity generation was also obtained from BEIS which can be seen in
301 **Appendix B.** Battery charge and recharge efficiency, motor efficiency and the energy
302 required to travel one kilometre data was all collated from the following sources and
303 kept consistent between the models (Brand et al., 2019b; Ellingsen et al., 2014). The
304 model does not include emissions produced through the construction of infrastructure
305 and disposal of vehicles.

306

307 **2.2.1 Battery electric vehicle emission projections**

308 To estimate the level of CO₂ emissions produced for 100% BEVs, **Equation 1** was
309 used.

Equation 1

$$EVs = (((D * V) * (CI * E)) * F) + (B * D * V)$$

Where, D = average distance travelled per vehicle (km), V = estimated number of vehicles for the year, CI = carbon intensity of electricity generation (gCO₂ kWh⁻¹), E = energy efficiency (kWh km⁻¹) and F = the correctional factor for energy production inefficiencies and B is the electric vehicle battery emissions. Data units are then converted to MtCO₂.

To account for discrepancies, a correctional factor for energy production (F) was given a value of 1.18 to account for inefficiencies, distribution and network losses (BEIS, 2019b). Power conservation is expected to improve through advances in BEV technology and average annual distance travelled per vehicle is expected to decrease over time, however limited information quantifying this is currently available. This has resulted in current and future years being run with the same correctional factor, therefore energy required by BEVs in 2050 may be overestimated.

BEVs will need at least one replacement battery through its usable life, therefore to incorporate battery manufacture and lifetime carbon intensity, B was given a value of 30 gCO₂ km⁻¹ travelled (Ellingsen et al., 2014). Similarly, through technological improvements of BEVs, this value is expected to decrease, however for the purposes of this study it has remained constant throughout as the changes should be minimal over the time frame.

In addition, the energy efficiency (E) used (kWh km⁻¹) produced was estimated by averaging the three vehicle sizes from the TEAM-UK model. This was done by taking the average values of the kWh km⁻¹ from the three vehicle types and averaging them. This gave an average value of 0.21 kWh km⁻¹.

The proportion of BEVs used in the consumer market for **Scenarios 2 and 3** were projected to result in a realistic emission from this factor. This meant that the integration rate sees an increase at the respective year ban point. Our approach to

343 this assumes that as the ICEV ban rate nears, consumers will begin switching to BEVs.
344 As the ban applies to new vehicles only there is not a defined step change in
345 integration rate as proportional market share of BEVs will only become the majority
346 vehicle type after current ICEVs have come to the end of their life span. The yearly
347 proportion of BEV integration can be found in **Appendix A**.

348

349 **2.2.2 Internal combustion engine vehicle emission projections**

350 To estimate the level of emissions for ICEVs, **Equation 2** was used. This method
351 considered the differences with the proportions of current petrol and diesel vehicles
352 used to give a more representative result. The relevant values can be seen in
353 **Appendix A**.

354

355

Equation 2

356

$$357 \quad CFVs = (D * (V * P_t) * K) + (D * (V * P_t) * K)$$

358

359 Where, P = vehicle type proportion (i.e. diesel or petrol), t = parameter value that
360 changes depending on vehicle type (i.e. diesel or petrol) and K = estimated CO₂ per
361 kilometre travelled. Data units are then converted to MtCO₂.

362

363 For the UK, the value for emission per kilometre (K) was adjusted to take into
364 consideration vehicle technology improvements. Although, under the EU Regulation
365 (EC) No 443/2009 new vehicles must not produce an average of more than 95 gCO₂
366 km⁻¹ from and including 2020, the average value used will be higher than this due to
367 different vehicle ages that are already on the roads. For the purposes of this equation,
368 the average large, medium and small vehicle gCO₂ km⁻¹ travelled were derived
369 endogenously in TEAM-UK, through DEEM. DEEM takes into consideration UK
370 specific traffic conditions (mean speeds and route segment including urban, rural or
371 motorways) and vehicle fleet compositions (Brand et al., 2012). This gave an average
372 value of 157 gCO₂ km⁻¹ for 2017.

373

374 The UK has seen 1% reduction in tailpipe emissions each year, mainly driven by
375 efficiency improvements therefore, the gCO₂ km⁻¹ was decreased by 1%each year to
376 112.7 gCO₂ km⁻¹ by 2050. This is an ambitious target for the UK unless this coincides

377 with substantial behavioural changes including eco-driving features within new
378 vehicles.

379

380 **2.3 Data consistency between models**

381 This comparison was made for three different vehicle mix scenarios. For the purposes
382 of this research, PHEVs and HEVs were not included in analysis as they combined
383 both fuel types. The first considered 100% ICEVs, the second included a ICEV ban
384 from 2040, and the third included a ICEV ban from 2030, ten years ahead of the UK
385 target, to determine the impact this could have on cumulative emissions. The
386 introduction of BEVs in 2030 was used to better understand the impact of having a
387 more stringent target as in some other countries. The rate of BEV integrated into the
388 transport network can be seen in **Appendix A**, with the same integration values used
389 for both models.

390

391 The total number of vehicles and projected km travelled remained constant between
392 both models. These values were modelled using TEAM-UK, which included baseline
393 projections based on national and regional databases (Brand et al., 2017, 2012; Brand
394 and Anable, 2019). Within the TEAM OPEM, the projected number of vehicles, small
395 medium and large vehicles were combined to give an overview of total vehicles
396 **(Appendix B)**, with the average increase in ICEVs by 25.5% and distance travelled
397 decreasing by 9.3% within the time frame. Over time, travel patterns can change
398 considerably with individuals opting to avoid travel and shifting towards more
399 sustainable travel modes. This change reflects the assumption that cars are banned
400 or priced out of city/town centres (Brand et al., 2020). In addition, input values for total
401 number of vehicles increased by 11% with new car sales estimated as a function of
402 endogenously derived household car ownership and car scrappage, with the latter
403 modelled as a function of average life expectancy. This was calculated through a S-
404 shaped (modified Weibull) scrappage probability curve (Brand et al., 2020; Zachariadis
405 et al., 2001). Through these estimates, based on existing age distributions, average
406 car age was assumed to stay at 6.3 years (Brand et al., 2020). Total car ownership
407 also takes into consideration household income, average vehicle costs, household
408 location (either urban or rural) and car saturation rates for multiple car ownership
409 (Brand et al., 2020).

410

411 For BEVs, both models used the same predictions for the carbon intensity of electricity
412 generation. This considered national electricity generation mix, transmission and
413 distribution losses (around 10%) and imports from other countries (mainly France and
414 the Netherlands). Base data was taken from 2015 giving an electricity supply mix of
415 39% from gas-fired power stations, 17% from coal, 19% from nuclear, 21% from wind
416 and 4% from hydropower and solar power. This resulted in the carbon intensity of
417 electricity generation starting at 363 gCO₂ kWh⁻¹ end-use (including transmissions and
418 distribution losses) in 2015. Both models used the same energy mix predictions up to
419 2050 which were based on the electricity generation mixes from BEIS's energy and
420 emission projections per year (**Appendix B**).

421

422 **3. Results**

423 The results are split into three sections. **Section 3.1** compares the operating CO₂
424 emissions for ICEVs between for both models. **Section 3.2** considers the emissions
425 for new sales of ICEVs banned from 2030 and from ICEVs banned from 2040, whilst
426 taking into consideration the cumulative emissions of these vehicles. **Section 3.3**
427 compares the LCA emissions from the TEAM-UK model and compares these results
428 with their OE to explain whether UK should focus on OE of LCA when designing policy.
429 Similarly, the benefits to other countries of an OPEM approach where LCA data
430 requirements are not met are highlighted.

431

432 Results also highlight whether projections fall into line with the UK's emissions targets.
433 In 1990, emissions from cars were 72.3 MtCO₂ (DfT, 2018a), therefore, to reflect the
434 UK's previous emissions target of a 80% reduction in emissions from the 1990
435 baseline, car emissions would need to be below 14.5 MtCO₂ (DfT, 2018a).
436 Furthermore, to take into consideration the UK's net zero emission target, a more
437 stringent target of a 95% emission reduction in transport emissions from the 1990
438 levels will also be highlighted. This is the equivalent of 3.6 MtCO₂ of car emissions
439 (DfT, 2018a).

440

441 **3.1 Emissions from internal combustion engine vehicles**

442 **Figure 2** demonstrates the projected level of CO₂ OEs from ICEVs between 2017 and
443 2050 using both TEAM-UK and OPEM.

444

445 **Figure 2: Projected carbon dioxide emissions produced from the operating**
446 **emissions model (OPEM) and the operating emissions disaggregated from**
447 **TEAM-UK per year for the UK between 2017 and 2050. The red line indicates 80%**
448 **reduction in emissions from the UK's 1990 baseline emissions to meet Paris**
449 **Agreement targets. The purple line indicates a more stringent target of 95%**
450 **reduction in emissions from of the UK's 1990 baseline emissions.**

451

452

453 In 2017, emissions produced are similar at 64.3 MtCO₂ for the OPEM and 66.4 MtCO₂
454 for the TEAM-UK. By 2050, there is an emissions gap of 15.6 MtCO₂ between the two
455 models, with TEAM-UK projecting emissions to be lower than OPEM at 36.9 MtCO₂.

456

457 Results indicate that for the OPEM model, in 2050 emissions were 38.0 MtCO₂ higher
458 than the 80% target and 48.9 MtCO₂ higher than the 95% target. For the TEAM-UK, in
459 2050 emissions were 22.4 MtCO₂ higher than the 80% target and 33.3 MtCO₂ higher
460 than the 95% target. Therefore, for the UK to meet their previous emission target or
461 their new emission targets, ICEV technological advances alone cannot be relied upon
462 even with technological advances for ICEVs, emissions from both models remain
463 higher than the 80% and 95% emission targets and more rigorous actions need to be
464 taken.

465

466 **3.2 Operating emissions: OPEM Vs TEAM-UK**

467 **Figure 3** demonstrates the OE calculated by the TEAM-UK and OPEM model for the
468 mix of BEV and ICEVs based on the sale of new ICEVs banned from 2030 and from
469 2040. The graph shows the time series of emissions between 2017 and 2050.

470

471 **Figure 3: Projected carbon dioxide operating emissions from both the OPEM**
472 **and the operating emissions disaggregated from the TEAM-UK model between**
473 **2017 and 2050. The red line indicates 80% reduction in emissions from of the**
474 **UK's 1990 baseline to meet Paris Agreement targets. The purple line indicates a**
475 **more stringent target of a 95% reduction in emissions from the UK's 1990**
476 **baseline emissions.**

477

478

479 Results indicate that the OE for both OPEM and TEAM-UK have similar emission
480 values in 2017. OPEM had vehicle emissions starting at 63.7 MtCO₂ for both the 2030
481 and 2040 new ICEV ban dates whereas TEAM-UK had car emissions starting 1.3
482 MtCO₂ higher at 66.4 MtCO₂ for both 2030 and 2040 ICEV ban.

483

484 By 2050, emissions produced from TEAM-UK were lower for the 2030 new ICEV ban
485 date scenario, producing 18.9 MtCO₂ in 2050 compared to 34.6 MtCO₂ from the
486 OPEM. Similarly, under the 2040 new ICEV ban scenario, emissions under TEAM-UK
487 were lower at 28.2 MtCO₂ in 2050 compared with 37.8 MtCO₂ from the OPEM. Results
488 indicate that projections from both models fail to meet both the 80% and 95% emission
489 targets from OEs by 2050.

490

491 **3.2.1 Cumulative operating emissions**

492 The projected cumulative emissions of the fourth and fifth carbon budgets for both the
493 OPEM and TEAM-UK models for three scenarios: new ICEVs banned from 2030, new
494 ICEVs banned from 2040 and 100% ICEVs, are shown in **Table 1**. In addition,
495 although the sixth carbon budget will not be announced until late 2020, emission
496 projections have been made for it and cumulatively for 2017-2050. ICEVs were used
497 for comparison to illustrate that although emissions from the BEV:ICEV mix remain
498 higher than both emission targets, cumulative emissions will remain lower.

499

500 **Table 1: Projected third, fourth, fifth and sixth emissions from carbon budgets** 501 **and total cumulative operating emission projections for the OPEM and TEAM-** 502 **UK Models.**

503

504

505 Results indicate that for both models under the three carbon budgets emissions
506 decreased. Introducing BEVs into the transport mix in 2030 resulted in the lowest
507 levels of cumulative emissions for both models. Cumulative emissions highlighted that
508 for all of the Committee on Climate Change's (CCC) carbon budgets and total
509 cumulative emissions, results indicate the ICEVs produce the highest level of
510 emissions.

511

512 The current third, fourth and fifth carbon budgets are expected to see a decrease in
513 emissions by 92%, 76% and 88% respectively from the previous budget. By estimating
514 the percentage decrease from the carbon budgets in **Table 1**, it can be determined
515 whether the decrease in emission levels will be enough to meet the CCCs targets from
516 BEVs and ICEVs, incorporating the integration in 2030 and 2040. Results indicate that
517 under both the 2030 and 2040 BEV policy scenarios and for ICEVs alone, neither
518 model predicts that car emissions will meet the CCC's equivalent percentage reduction
519 requirements. It should be noted that the differences in the model predictions highlight
520 that under different budget predictions whether the UK meets its targets may differ
521 between models and between policy scenarios. Policymakers should therefore take a
522 precautionary approach when using emission prediction models as trusting a more
523 complex approach may indicate targets being met when this could be sensitive to data
524 input. As the sixth carbon budget has not yet been determined we cannot say whether
525 this will be met however with consideration of the previous budgets, our outputs may
526 suggest that policy and meeting set targets needs to be more stringent in future.

527

528 **3.3 TEAM-UK: Operating emissions versus life cycle analysis**

529 The analysis of OE falls in line with UK and EU policy in their attempt to reduce
530 transport emissions. Using TEAM-UK, a comparison of the OE for ICEVs, BEVs
531 integrated in 2030 and BEVs integrated in 2040 between 2017 and 2050 was
532 compared to an LCA. This would give better understanding differences between OE
533 (that policy tends to focus on) and other life cycle emissions that are produced. It is
534 important to recognise that LCA emissions outputs include OE estimates. This can be
535 seen in **Figure 4**.

536

537 **Figure 4: Comparison of operating emissions and an LCA of ICEVs, ICEV**
538 **purchase ban from 2030 and a ICEV ban from 2040 using the TEAM-UK model**
539 **between 2017 and 2050 for the UK. The red line indicates 80% reduction in**
540 **emissions from the UK's 1990 baseline emissions to meet Paris Agreement**
541 **targets. The purple dashed line indicates a more stringent target of 95%**
542 **reduction in emissions from the UK's 1990 baseline emissions.**

543

544

545 LCA emissions in 2017 were 96.1 MtCO₂ under all three vehicle scenarios. In 2050 for
546 ICEVs, total LCA emissions were 44% higher at 66.3 MtCO₂ compared to 36.9 MtCO₂
547 of OE, indicating OEs represent 40% of total emissions. Under the 2040 scenario, LCA
548 emissions were 52% higher at 58.7 MtCO₂ in 2050 than the OE at 28.2 MtCO₂,
549 indicating OEs represent 39% of total emissions in this scenario. Finally, under the
550 2030 scenario, LCA emissions were 60.5% higher at 47.9 MtCO₂ in 2050 than the OE
551 at 18.9 MtCO₂, with OEs representing 42% of total emissions.

552

553 Results indicate that the total LCA emissions and OE both decreased over the time
554 frame. This indicates that although LCAs may give a more realistic overview of the
555 total emissions produced, OPEMs are just as useful as if OE decrease, then the overall
556 emission values for vehicles also decreases.

557

558 **3.3.1 TEAM-UK cumulative LCA emissions**

559 **Table 2** demonstrates the cumulative emissions produced from an LCA using TEAM-
560 UK for the three different vehicle types under the fourth, fifth, sixth carbon budgets as
561 well as cumulatively between 2017 and 2050.

562

563 **Table 2: Projected LCA cumulative emissions under the fourth, fifth and sixth**
564 **carbon budgets and total cumulative operating emission projections between**
565 **2017 and 2050 using TEAM-UK.**

566

567

568 Cumulative LCA emissions and for each carbon budget were lowest for the ICEV ban
569 in 2030. The highest cumulative emissions were for ICEVs, which were 10% higher
570 than for a ICEV ban in 2030 and 2% higher than a ICEV ban for 2040.

571

572 In comparison to **Table 1**, as expected, cumulative LCA emissions were higher than
573 the cumulative OE under all vehicle scenarios using TEAM-UK. Furthermore, through
574 analysis of the percentage reduction, in line with the CCC's carbon budget reduction,
575 it can be concluded that none of these scenarios will meet these targets.

576

577 **4. Discussion**

578 Results from both the OPEM and TEAM-UK models have indicated that tailpipe
579 emissions from ICEVs, whilst considering technological improvements, will not allow
580 the UK to meet their previous emission reduction target of 80% of emissions from the
581 1990 baseline or their new net zero emissions targets (approximately 95% of
582 emissions based on the 1990 baseline). More needs to be done to ensure emission
583 targets are met successfully. With the introduction of UK policy to ban the sale of new
584 ICEVs in 2040, or even ten years prior in 2030, emission levels will also struggle to
585 meet targets. A revision of policy is recommended not just on vehicle sales bans but
586 in regard to the energy provision sources, enabling a more rapid development of
587 cleaner energy sources will be the biggest source of emissions reductions when
588 switching to BEVs. Additionally, although an LCA is unable to answer every question
589 related to the wider implications of adopting BEVs into the transport mix, it does play
590 a critical role within the decision making process by providing insight into overall LCA
591 impacts. LCA results indicate that emissions for 100% ICEVs would be 44% higher,
592 52% higher when new ICEVs were banned in 2040 and 60.5% higher when ICEVs
593 were banned in 2030, by the year 2050 compared to OEs. Comparing the break-down
594 of the LCA with its own OE estimate indicates OEs remain approximately 40% of total
595 emissions, suggesting they are a viable candidate for emissions monitoring and policy
596 targeting. These comparisons would suggest the simpler OE approach is robust and
597 representative of overall emission changes for rapidly assessing policy impact of
598 implementation of ultra-low emission vehicle types.

599
600 LCAs are generally limited in use with comparisons to other countries hindered due to
601 data restrictions. TEAM-UK is highly parameterised for the UK and has attempted to
602 endogenise methods and data, including over 1200 vehicle type technology choices.
603 However, the data requirements of this level of parameterisation are not available in
604 all countries, especially developing ones. Therefore, a more simplistic model such as
605 the OPEM, that considers fewer variables with a more focussed approach, can be
606 used to compare a broader range of countries. The OPEM allows for easy substitution
607 of other vehicle types, like BEVs, directly into the model, with different BEV
608 percentages, number of vehicles and distances travelled easy to adjust; it can
609 therefore be easily manipulated for international comparisons (Logan et al., 2021,
610 2020b).

611

612 The TEAM-UK model is UK wide and is not spatially explicit for UK regions in terms of
613 electricity generation mix, vehicle numbers or miles travelled. This will not enable the
614 different policy target of Scotland to be examined as the Scottish Government planning
615 to phase out the need for new petrol and diesel cars (and vans) by 2032 (Nieuwenhuis
616 et al., 2020). For this, a variation of the model was developed for Scotland called
617 Scottish Transport Energy and Air pollution Model (STEAM) (Brand et al., 2019a).
618 However, a variation of the model has not yet been developed for England, Northern
619 Ireland or Wales and so cannot be compared to the TEAM-UK model. Furthermore,
620 this analysis will require additional data inputs as the electricity generation mix is
621 currently connected throughout the UK via interconnectors with other parts of Europe.
622 Interconnectivity needs to be more robustly considered as regional scale decreases.

623

624 The OPEM is a simple model and easy to manipulate and comparable to the OEs
625 disaggregated from the TEAM-UK model, however the OPEM emissions and energy
626 expenditure of converting and maintaining the nationwide structures to accommodate
627 new BEVs are not accounted for, primarily because there are no current estimates for
628 work on this scale. However, the energy and infrastructure networks need to be
629 maintained and are not a one off so capital expenditure and operating expenditure
630 carbon emissions are included. Therefore, energy and emission projections from the
631 current model will be lower than if the new infrastructure is included. As a result of this,
632 other alternative models were considered for comparison including the Long-range
633 Energy Alternatives Planning System (LEAP) which is a software tool for energy policy
634 analysis and climate change mitigation assessment (Heaps, 2008). Over the past
635 decade this model has been used for multiple countries including Pakistan, China,
636 Colombia, Korea and Taiwan (Cai et al., 2013; Huang et al., 2011; Paez et al., 2017;
637 Perwez et al., 2015; Shabbir and Ahmad, 2010; Shin et al., 2005). Similar to TEAM-
638 UK it has taken a national approach and can be applied to cities and regions. In
639 addition, the model gives a more realistic overview of GHG emissions taking into
640 consideration industrial processes, solid waste, land use change and forestry.
641 Therefore, increased data will be required to run the model effectively. However, like
642 TEAM-UK, LEAP requires an extensive data set for analysis.

643

644 Whilst the OPEM is easier to use when comparing countries, it does quantitatively
645 underrepresent the true costs and subsequent total emission targets being met.
646 Consistent values of number of vehicles were used for analysis for both models.
647 Weighting the OPEM by small, medium and large vehicles against their respective
648 group average efficiency could result in more valid numbers as opposed to an average
649 for all vehicles. However, this data might not be available for all countries and to ensure
650 an easy comparison an average was used. Although there are other similar models to
651 OPEM used within Europe, such as the EMEP/EEA air pollutant emission model, it
652 does not include energy consumption (Arndt et al., 2020). Therefore, to ensure
653 consistency between the data used within TEAM-UK, the OPEM was used.

654

655 Furthermore, when analysing the emissions produced using an OPEM, something
656 often overlooked is where the vehicle is manufactured, as this type of model focuses
657 primarily on the vehicles within the country. Therefore, policymakers need to use all
658 the tools available, whether it is an LCA or an OPEM to assess whether projections
659 meet their legislative requirements at all levels or whether their only primary focus is
660 on emissions produced in their own country. For example, the LCA here does not
661 consider where the vehicles are initially manufactured as number of vehicles in the
662 UK, often BEVs, are imported from other countries including China and Germany; in
663 addition, due to the global supply chains for vehicle manufacture with components
664 from multiple countries this is hard to police and maintain accurate accounting. This
665 lack of global responsibility will make it considerably more difficult to meet the Paris
666 Agreement targets if neither country, i.e. the country exporting the vehicle or the
667 country importing the vehicle, takes responsibility for the associated emissions.
668 Therefore, if the UK is to meet their net zero emission targets from transport, there is
669 also a need to remain accountable for the emission produced when importing BEVs
670 to meet demand. However, when looking at the problem globally the LCA emissions
671 are important, so the user of the model data is important as political and scientific
672 needs are different. To maintain alignment with international laws and treaties relating
673 to climate change, current LCAs may need to be expanded to consider cross border
674 import and export of emissions to provide a more holistic model that will inform
675 international aspects of emissions rules and laws to a greater extent.

676

677 **4.1 Electricity Generation Mix**

678 Over time, this transition to BEVs will likely increase energy demand, however how
679 this electricity is generated may not necessarily follow the same electricity generation
680 mix used within this study. In this study, we used National Grid data and model
681 projections, which are based on existing and planned generating capacity and the
682 lifetime of each facility, along with future required additions. As the National Grid are
683 the primary owner and operator of a majority of electricity transitions within the UK
684 these are therefore believed to be the most realistic future projections. However, like
685 most energy projection models, projecting future demand will likely 'smooth out'
686 fluctuations in energy production from different technologies during periods of
687 fluctuation, i.e. weather variability for wind and solar. Although the specificities in fine
688 temporal scale energy fluctuations are out with the scope of our study, we
689 acknowledge that the energy generation input data is the most influential aspect of
690 emission projections. Furthermore, by using annually projected data, this should
691 reduce fluctuations over the time frame. Beyond creating a dynamic energy source
692 model, which would be difficult to parametrise with current data, we believe we have
693 informed these models with the best available data but acknowledge this assumption.

694
695 Future energy networks aim to reduce the impact of energy demand increases by a
696 system wide planning approach. This often relies upon having stored energy available
697 for deployment when demand increases or spreading demand throughout the day to
698 flatten the peak of demand with demand management incentives such as variable
699 pricing. Bahamonde-Birke (2020a) highlights that the time of day in which BEVs are
700 being charged can significantly impact the emission levels produced due to how
701 electricity is being generated. For example, a Chevrolet Colt can emit $37 \text{ gCO}_2\text{km}^{-1}$
702 when 'fuelled' from renewable resources, or as much as $190 \text{ gCO}_2\text{km}^{-1}$ when
703 generated from lignite (Plötz et al., 2018). This is important to consider as current
704 charging patterns of BEVs occur during the evenings when electricity generation is
705 high and renewable electricity generation remains lower, highlighting that electricity to
706 support demand is mostly from non-renewable resources (Anderson et al., 2018; Schill
707 and Gerbaulet, 2015). Although out with the scope of this study, there is also the
708 potential for BEVs to be used to help with fluctuating energy stores to help cope with
709 the broader energy network demands as long as the network infrastructure is
710 developed with this consideration in mind.

711

712 Ensuring adequate measures, such as the introduction of smart meters, has allowed
713 a more coordinated timing of widespread charging of BEVs. This in turn has the
714 potential to reduce demand on the grid and ensure charging does not negatively
715 impact on peak demand times. In the UK, BEV charging regulations are continually
716 changing with the Automated and Electric Vehicles Act 2018 stating in section 15 that
717 infrastructure installed for the purposed of charging BEVs are to have '*smart*
718 *functionality*'(DfT, 2018b). This allows charging points to receive, understand and
719 respond to signals sent by energy system participants (i.e. energy companies,
720 National Grid etc) to balance energy supply and demand (DfT, 2018b). This therefore
721 means operators will be required to modify their charging infrastructure to ensure
722 'smart' functionality (DfT, 2018b). Therefore, smart charging can be used to minimise
723 emissions, cost and peak demand. As technology advances, smart charging will likely
724 become the norm, marginal emissions for BEVs are likely to fall below average. These
725 issues need to be addressed if the UK is to meet the set targets as individuals will
726 either use the current public charging facilities or those possibly located at their place
727 of work.

728

729 Due to data availability constraints, energy generation values within this study are
730 used at the annual scale. This limitation does not describe the fine scale fluctuations
731 of daily energy usage that demand management incentives seek to mitigate. The
732 results from this study therefore may represent underestimations of grid capacity
733 requirements with widespread BEV implementation. Storage through battery farms or
734 hydrogen storage may therefore be needed to ensure that the cleaner energy
735 generated by nuclear or renewable sources during non-peak times is best utilised.
736 This is often a critique of renewable energy, with this current intermittency issue dealt
737 with using dispatchable gas powered electricity to balance the load or by paying for
738 the temporary shutdown of windfarms, neither of which is a sustainable route in the
739 future. Whilst this strategy is possible at the larger grid scale, the demands on the
740 network as it transitions will further be enabled by possible financial incentives for
741 individuals to charge their vehicles during certain times of the day to make system
742 wide planning more attainable. This means that future energy networks require a dual
743 axis approach, with encouragement of BEVs supported by appropriate grid
744 managements approaches to manage the peak demand curves which will overall

745 decrease BEV emission impact. In addition, there is the potential for BEVs to be used
746 to help cope with the broader energy network demands as long as the network
747 infrastructure is developed with this consideration in mind.

748

749 In addition, the National Grid has stated that implementing PHEVs and replacing all
750 ICEVs will present a serious challenge of electricity generation (Aaradhya Towner and
751 Thomson, 2019; National Grid, 2019). For example, electricity required during peak
752 times could result in an additional 50% of the current peak of 61 GW (~17 times the
753 total power output of Hinkley Point C Nuclear power station at 3,620 MW) (Aaradhya
754 Towner and Thomson, 2019; National Grid, 2019). This, coupled with the closure of
755 coal power stations in 2025, could result in the UK importing electricity from several
756 countries, which may not necessarily generate their electricity from sustainable
757 resources. These emissions will also need to be considered in the UK's total emissions
758 and not simply ignored or assumed that the country which has exported the electricity
759 will take them into consideration.

760

761 **4.2 Future UK policy recommendations**

762 The results of this paper discuss an outright ban of new petrol and diesel vehicles (and
763 vans and hybrids) from 2035 onwards with our analysis emphasising an earlier ban of
764 ICEVs is required if the UK is going to meet net zero. Results here indicate that even
765 with an earlier ban of ICEVs, emission targets will not be met, therefore other low
766 carbon alternatives will need to be considered if the UK is to successfully meet its
767 targets. Recent work by the UK Energy Research Centre confirms this (Brand, 2020;
768 Brand et al., 2020). Many countries have already integrated incentives to encourage
769 the modal shift to BEVs including the introduction of subsidies and tax incentives in
770 the early stage of BEV integration and beyond and industrial investment for sustained
771 development of BEV (Wang et al., 2017). Incentives like this have seen large
772 expansion and uptake in BEVs in Norway, however a study by Lévy et al., (2017)
773 concluded that in other European countries (including the UK, France, Germany,
774 Hungary, Italy, Netherlands and Poland), the majority of BEVs are still more expensive
775 than ICEVs alternatives based on the total cost of ownership and deterred purchase.
776 Their results highlighted that registration and circulation tax exemption in Norway and
777 the Netherlands favours big BEVs, while de facto lump-sum subsidies in France and
778 UK (20–27% of purchase price with a maximum cap) favour small BEVs. Therefore,

779 taxation of ICEVs and older vehicles will need to be more aggressive to act as a push
780 management measure, with other pull measures introduced like park and ride
781 schemes and priority to use bus lanes for car sharing (Logan et al., 2020d).
782 Furthermore, scrappage schemes for ICEVs and higher fuel duty as oil products
783 become cheaper should also be considered to push individuals away from ICEVs. If
784 introduced in conjunction with other pull measures such as lower VAT on electricity
785 prices for BEV users and road tax, individuals may be further incentivised to transition
786 to low carbon transport. In the absence of a mature second-hand resale or recycling
787 market for BEVs, uptake is even further decreased as individuals do not want to pay
788 a higher initial price even if savings are likely to occur during the vehicles lifetime
789 (Berkeley et al., 2018). Research in the USA further highlighted that consumers had
790 difficulties calculating the electricity costs and where and when to charge their vehicles
791 to maximise savings and efficiency. By reducing this doubt there is the potential to
792 encourage update.

793

794 Even with these incentives in place, a shift to BEVs will not be enough to meet
795 emission reduction targets, therefore encouraging either active travel or low carbon
796 public transport will be necessary. Several studies have highlighted that emission
797 levels per person per kilometre travelled are significantly lower when travelling by
798 buses and trains than by the average BEV (Logan et al., 2020a, 2020c). Therefore,
799 future policy should actively encourage a transition to low carbon public transport with
800 incorporated “pull” measures through subsidised fares, reliable and more frequent
801 services, to encourage individuals to choose public transport instead of individual
802 vehicles, reducing overall transport emissions (Logan et al., 2020a, 2020c). A further
803 demand management measure is the introduction of access charges for vehicles
804 which do not meet emissions standards, for example, one solution in London is that
805 high emitting vehicles accessing ultra-low emission zones (ULEZ) are charged daily
806 and fined if rules are broken (Cavallaro et al., 2018). In addition to this, the availability
807 of the Oyster card for public transport including trains and buses and fixed and
808 subsidised fares, black cabs and shared bike schemes and Uber-type services have
809 helped to make ICEVs the least favourable travel method in London (Logan et al.,
810 2020a, 2020c).

811

812 The decarbonisation of the electricity mix plays a crucial role in the total OEs produced
813 from BEVs, PHEVs and HEVs and will be necessary to meet emission targets.
814 Although tailpipe emissions will be unaffected by the deployment of technologies such
815 as CCS, this can be used to help decarbonise the electricity used to fuel these vehicles
816 lowering their overall environmental impact (Kyle and Kim, 2011). CCS technologies
817 will help reduce emissions from the generating process, which will help the UK meet
818 their target of net zero emissions.

819

820 Although the CCC have proposed several methods to allow the UK to achieve net zero
821 it is still believed the UK will fall short of their ambitious target unless more is done
822 (CCC, 2019). Therefore, as our results suggested under both the TEAM-UK LCA and
823 from the OPEM, bringing the BEV integration date ahead by ten years, will lower the
824 cumulative emissions although net zero will still not be met. Moreover, the 2040 target
825 is considered relatively weak compared to other countries with the Netherlands,
826 Denmark, Ireland, Austria, Slovenia, Israel, India and China all aiming for a ICEV ban
827 by 2030 (Brand and Anable, 2019; Eyre and Killip, 2019; Saele and Petersen, 2018;
828 Zeniewski, 2017).

829

830 The current 2035 policy also poses potential issues within the UK, with Scotland
831 setting a more stringent target for banning the sale of ICEVs by 2032 (Aaradhya
832 Towner and Thomson, 2019). This could result in localised conflict of regulation, with
833 individuals from Scotland travelling to England to purchase ICEVs, resulting in
834 Scotland not meeting their national targets as part of their efforts to meet the Paris
835 Agreement targets due to the availability of ICEVs under another nation's regulations.
836 Furthermore, in the UK's 'Road to Zero' strategy (DfT, 2018c), several clear
837 opportunities to decrease the level of emissions from transport are missed. The
838 strategy also does not address future policy issues such as whether the UK
839 government intends to remain part of the EU framework for emission standards having
840 left the EU. Furthermore, the strategy fails to discuss new charging infrastructure
841 needed to be implemented for BEVs. Although the strategy has commented that all
842 new homes will have mandatory charging facilities it fails to mention what will happen
843 for current homes and homes that cannot have charging facilities such as blocks of
844 flats (DfT, 2018c). These challenges will need to be addressed if there is to be
845 successful, widespread and accessible access of BEVs.

846

847 **4.2.1. Emission trading scheme**

848 Where it is not possible for the UK to meet their net zero emission reduction targets,
849 an Emission Trading Scheme (ETS) may allow the UK to mitigate GHG emissions with
850 flexibility, cost savings and effectiveness within the transport sector (Jiang et al., 2016;
851 Tang et al., 2020, 2017). This scheme works through a ‘cap and trade’ system as a
852 policy instrument for pollution quantity control coupled with a defined, tradable unit.
853 For example, it provides a country with a permit to emit a pre-determined level of a
854 pollutant for a pre-determined duration of time (Zelljadt and Mehling, 2021). ETS have
855 become an important instrument for Governments from regional to international level
856 to achieve GHG emission reduction targets (Zelljadt and Mehling, 2021).

857

858 In the case of the EU, from 2021 onwards, the cap is in phase 4, with countries
859 expected to reduce their emissions cap by 2.2% (compared to their 2008-2012
860 baseline) (Icap, 2021). Although the UK was previously covered by the EU ETS, from
861 2021 onwards the UK is no longer considered (Icap, 2021). Under phase 4, the EU
862 ETS covers a number of sectors and gases including: CO₂ from electricity and heat
863 generation, energy intensive industry (i.e. oil refineries, metals, cement etc),
864 commercial aviation within the European Economic Area (until December 2023),
865 nitrous oxide and perfluorocarbons. As the power producing sector is included within
866 the ETS, the increased demand for electricity does not increase the total permissible
867 GHG emissions but leads to higher CO₂ permit prices (Holtsmark and Skonhoft, 2014).

868

869 If the emission cap on the ETS is fixed and binding, the CO₂ emissions from the
870 increased number of BEVs would be considered zero (Holtsmark and Skonhoft, 2014).
871 This is because the total CO₂ emissions of the electric network are hard-capped, which
872 means that every kilometre travelled by a BEV does not result in higher allowable CO₂
873 emissions (Bahamonde-Birke, 2020b). However, if the increased level of electricity
874 consumed by the growing number of BEVs (as well as other electric transport types)
875 is prevented from translating into additional permitted carbon emissions for the
876 economy, this becomes harder and more expensive to reduce the cap to
877 accommodate emissions produced (Andor et al., 2020). The transport sector in the EU
878 represents ~25% of the total CO₂ emissions covered by the EU ETS, with the EU ETS
879 covering 42% of those emissions (Hintermann, 2017). Therefore, the transport sector

880 is likely to see an increase of ~60% of emissions accounted for under the cap
881 (Bahamonde-Birke, 2020a). This is likely to be higher if ICEVs are replaced with
882 vehicles that have a higher level of marginal emissions (Bahamonde-Birke, 2020a).

883

884 This type of scheme remains relatively new therefore it is difficult to determine how
885 effective this scheme is at reducing emissions compared with other policies (i.e.
886 increase vehicle tax for higher emitting ICEVs). A review of the ETS scheme in New
887 Zealand suggested that ETS only had a minor impact on the country's emissions due
888 to the low allowance prices, and did not encourage enough behavioural changes to
889 reduce emissions (Zelljadt and Mehling, 2021). The New Zealand Productivity
890 Commission stated that the emissions component of fuel prices was NZ\$0.05 per litre
891 for petrol and ~2.5% of the pump price whereas it remains at NZ\$20 per tonne (New
892 Zealand Productivity Commission, 2018). Bahamonde-Birke (2020b) comments that if
893 a country were to compensate all CO₂ emissions associated with lignite power plants,
894 it could be argued that in this specific country this technology exhibits a better
895 ecological performance than energy from gas, diesel or coal. However, this is incorrect
896 as it is not the technology that causes the better ecological footprint but the regulation.
897 Therefore, in the case of New Zealand, regulation with significantly higher ETS prices
898 would be required to increase behavioural changes for the emission reduction to be
899 attributed to ETS.

900

901 Furthermore, under the EU ETS, ICEVs are not currently considered, therefore as the
902 phase 4 comes to an end in 2030, it is likely that this cap will expand to cover more
903 CO₂ emitting sources. In the UK the CCC has stated that agreeing and communicating
904 a replacement for the ETS will be critical to ensure that the right level of disincentives
905 for the purchase of petrol and diesel vehicles have been implemented (Wills, 2020).
906 This is important to consider as if all vehicle types (both ICEVs and BEVs) are to
907 compensate their CO₂ emissions, the cost of a CO₂ emissions permit would drastically
908 increase and increase pressure on this system (Hintermann, 2017). Therefore,
909 whatever technology emits the lower emission value would be preferred as this would
910 result in cheaper CO₂ permits and put less pressure on the system (Bahamonde-Birke,
911 2020b). Furthermore, focusing on who is responsible for the transport emissions in
912 terms of where the vehicles are from or where they are travelling to, should also be
913 considered in future policy.

914

915 **5. Conclusions**

916 This study aimed to demonstrate whether policy focusing on OEs would allow the UK
917 to achieve their net zero emission objectives by 2050. Using two different
918 methodologies, projected OEs and LCA emissions for ICEVs and BEVs between 2017
919 and 2050 were estimated. Results indicate that although focusing on reducing OEs is
920 beneficial for reducing total emissions, results from an LCA allows policymakers to
921 consider projected cumulative emissions for the future from a whole system
922 perspective and avoid claiming successful target meeting based on OEs alone.
923 However, LCAs are often not applicable due to data deficiencies and therefore
924 operation-level based simple models have greater utility in international comparisons
925 and at consumer levels. Additionally, our results suggest empirical evidence for
926 consistent scaling factors between emission estimate methods across vehicle mix
927 scenarios. Overall, both methodologies have value when assessing future emission
928 projections, with choice being data available, scale and question details dependant.

929

930 However, if the UK wants to produce net zero emissions by 2050 the full LCA should
931 be considered because if the UK switches towards BEVs, the additional infrastructure
932 required will create emissions. Therefore, if the overall emissions are greater than
933 before widespread integration then further focus needs to be placed on encouraging
934 individuals away from ICEVs and onto public transport. As a result, the OE based
935 targets and policies will not reach net zero.

936

937 This study further indicated that a considerable reduction in carbon emissions needs
938 to be made if the UK wants to meet their net zero emissions and Paris Agreement
939 targets. Therefore, a more ambitious change needs to be made to the decarbonisation
940 of energy generation and the phasing out of ICEVs brought forward to 2030, as
941 suggested by the CCC.

942

943 Although this will reduce private vehicle emissions, transport remains the largest
944 emitting GHG sector, therefore the UK Government needs to encourage the use of
945 sustainable public transport including electric and hydrogen buses and trains over
946 ICEVs. This will require the insights of an LCA approach to give an overview of public
947 transport emissions compared to ICEVs. By encouraging the uptake of use of buses

948 and trains and introducing push and pull measures to encourage sustainable travel
949 uptake, total emissions per individual will be reduced.

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