

1 Title: Osteophyte size and location on hip DXA scans are associated with hip pain: findings  
2 from a cross sectional study in UK Biobank

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23

24 **Objective**

25 It remains unclear how the different features of radiographic hip osteoarthritis (rHOA)  
26 contribute to hip pain. We examined the relationship between rHOA, including its individual  
27 components, and hip pain using a novel dual-energy x-ray absorptiometry (DXA)-based  
28 method.

29

30 **Methods**

31 Hip DXAs were obtained from UK Biobank. A novel automated method obtained minimum  
32 joint space width (mJSW) from points placed around the femoral head and acetabulum.  
33 Osteophyte areas at the lateral acetabulum, superior and inferior femoral head were derived  
34 manually. Semi-quantitative measures of osteophytes and joint space narrowing (JSN) were  
35 combined to define rHOA. Logistic regression was used to examine the relationships between  
36 these variables and hip pain, obtained via questionnaires.

37

38 **Results**

39 6,807 hip DXAs were examined. rHOA was present in 353 (5.2%) individuals and was  
40 associated with hip pain [OR 2.42 (1.78-3.29)] and hospital diagnosed OA [6.01 (2.98–12.16)].  
41 Total osteophyte area but not mJSW was associated with hip pain in mutually adjusted models  
42 [1.31 (1.23-1.39), 0.95 (0.87-1.04) respectively]. On the other hand, JSN as a categorical  
43 variable showed weak associations between grade $\geq$ 1 and grade $\geq$ 2 JSN with hip pain [1.30  
44 (1.06-1.60), 1.80 (1.34-2.42) respectively]. Acetabular, superior and inferior femoral  
45 osteophyte areas were all independently associated with hip pain [1.13 (1.06-1.20), 1.13 (1.05-  
46 1.24), 1.10 (1.03-1.17) respectively].

47

48 **Conclusion**

49 In this cohort, the relationship between rHOA and prevalent hip pain was explained by 2-  
50 dimensional osteophyte area, but not by the apparent mJSW. Osteophytes at different locations  
51 showed important, potentially independent, associations with hip pain, possibly reflecting the  
52 contribution of distinct biomechanical pathways.

53

54 Keywords: Osteoarthritis, Dual-energy x-ray absorptiometry, osteophyte, joint space  
55 narrowing, hip pain

56

57 **Introduction:**

58 Osteoarthritis (OA) is a common condition with important sequelae in terms of morbidity and  
59 mortality, predominantly affecting knees, hands, spine and hip joints (1, 2). Hip OA (HOA)  
60 can be defined radiographically (rHOA) using classification systems such as Kellgren-  
61 Lawrence (KL) or Croft (3, 4). rHOA is comprised of joint space narrowing (JSN), osteophytes,  
62 subchondral sclerosis and cysts, of which JSN and osteophytes are most frequently recorded  
63 (3, 5, 6). rHOA is usually studied as a categorical variable (0-4 for KL scoring (3) or 0-5 Croft  
64 scoring (4)) with a threshold defined for the presence of rHOA. HOA can also be defined  
65 symptomatically (sHOA) (7, 8).

66

67 KL classification of rHOA (grade  $\geq 2$ ) has been shown to have a poor sensitivity when used as  
68 a diagnostic test for hip symptoms (9). That said, severity of radiographic changes is associated  
69 with likelihood of symptoms and total hip replacement, a proxy for end-stage disease (10, 11).  
70 Previous studies have also examined the relationship between individual features of rHOA and  
71 hip pain, for example JSW was found to be only weakly associated with symptomatic measures  
72 of HOA (12). Another study examined the relationship between individual semi-quantitatively  
73 graded components of rHOA and hip pain in women, observing that femoral head osteophytes  
74 were related to hip pain more strongly than JSN (10). A recent small study found that inferior  
75 medial femoral head osteophytes seen on computed tomography (CT) scans were associated  
76 with hip pain more strongly than other (superolateral, intra-articular, anterior and posterior)  
77 osteophytes, indicating that the relationship between osteophytes and hip pain may differ  
78 according to osteophyte location (13). With improving technology, it is now possible to  
79 measure features of rHOA in greater detail, for example measuring osteophyte size quantitatively  
80 although this has not previously been applied to large population-based studies (14-16). By  
81 studying individual features of rHOA in greater detail this may help to better understand their

82 contribution to the development of hip pain, providing a basis for more accurate  
83 diagnostic/prognostic imaging biomarkers, and greater understanding of the biomechanical  
84 pathways underpinning OA development.

85

86 To date, large epidemiological studies of rHOA have almost exclusively been based on  
87 radiographs using well recognised atlases (17). In contrast, dual-energy X-ray absorptiometry  
88 (DXA) hip scans, widely used to evaluate patients for osteoporosis, and obtained in many large  
89 cohort studies, have previously had insufficient resolution to evaluate features related to  
90 osteoarthritis such as osteophytes (6). However, a new generation of DXA machines is now  
91 available with resolution comparable with that of radiographs, which have been validated for  
92 KL grading (18). This opens up the possibility of using cohort studies, in which large numbers  
93 of individuals have undergone newer generation hip DXA scans, to study rHOA; such as the  
94 UK Biobank (UKB) extended imaging study due to comprise 100,000 individuals (19, 20).  
95 Here, we aimed to evaluate the feasibility of this approach, by deriving a measure of rHOA in  
96 a subset of 7000 hip DXA scans from UKB and, relating this to previously diagnosed HOA  
97 and hip pain. Further, we examined the relationship between hip pain and the different elements  
98 of rHOA in this substantial sample, and hip pain, including the contribution of osteophyte size  
99 and location.

100

101 **Materials and Methods:**

102 *Population*

103 UKB is a prospective mixed sex cohort based in the UK which recruited 500,000 adults aged  
104 40-69 years old between 2006-2010. All participants underwent extensive physical, health and  
105 genetic phenotyping through electronic questionnaires, physical measurements and bodily  
106 fluid analysis (21). UKB is overseen by the Ethics Advisory Committee and received approval  
107 from the National Information Governance Board for Health and Social Care and North West  
108 Multi-Centre Research Ethics Committee (11/NW/0382). All participants provided informed  
109 consent for this study which was approved by UKB (application number 17295). A full data  
110 catalogue is available online (<http://biobank.ctsu.ox.ac.uk/crystal/>). In 2013, the extended  
111 imaging study started, which aims to conduct hip and whole body DXA scans on 100,000 of  
112 the participants; to date over 45,000 individuals have been scanned (19). DXA scans of both  
113 hips (iDXA GE-Lunar, Madison, WI) were obtained from participants positioned with 15-25°  
114 internal rotation using a standardised protocol (22). This study is based on a random sub-  
115 sample of 7000 individuals, selected from the overall sample of 13,496 individuals with DXA  
116 scans available at the time (February 2020). The first 20% of the subsample were selected  
117 randomly from those with a self-reported diagnosis of OA (the question did not ask at which  
118 joints) with the aim of increasing the number of pathological scans for our automated model  
119 training as part of a wider research programme. The remainder of the sample (80%) was  
120 selected randomly, throughout randomisation was achieved using a random number generator  
121 whilst we ensured the sexes were split equally.

122

123 Across all UKB participants 8.6% have self-reported a diagnosis of OA. All demographic  
124 information was taken from questionnaires completed on the same day as the DXA scan.  
125 Ethnicity was self-reported, and individuals were categorised into white, Asian, black, mixed-

126 heritage, Chinese and other. The participants were asked via electronic questionnaire; “*Have*  
127 *you had hip pains for more than 3 months?*” They could answer “yes”, “no”, “don’t know”,  
128 “prefer not to say” or leave the answer blank, for this study only those who answered “yes”  
129 were categorised to have hip pain and the rest were not. Of note the hip pain question was not  
130 side specific. Hospital episode statistics linked with UKB were reviewed for ICD-9 & -10  
131 codes related to HOA and if any were present then the individual was categorised to have  
132 hospital diagnosed HOA, as a binary variable.

133

#### 134 *DXA and osteophyte mark up*

135 The left hip DXA was examined from each participant, 85 outline points were placed around  
136 the outline of the superior acetabulum, femoral head and metaphysis, lesser and greater  
137 trochanters by an automated Random Forest-based machine-learning algorithm before being  
138 reviewed and corrected where necessary by 4 manual annotators (23). 19 key points were  
139 anatomically guided, and the remaining points were equally spaced between these  
140 (Supplementary Figure S1).

141

142 A DXA-based atlas was created by BF, FS and MW (see acknowledgements) describing  
143 osteophytes at the lateral acetabulum, superolateral femoral head and inferomedial femoral  
144 head, based on the OARSI radiographic atlas (17). Femoral head osteophytes are referred to as  
145 superior and inferior femoral head osteophytes for simplicity. Two annotators (BF & FS)  
146 examined all the images to mark-up osteophytes, using a custom tool (The University of  
147 Manchester) to mark each osteophyte area and move the outline points inside of the osteophyte  
148 margin (Figure 1). All osteophytes and adjoining points were agreed between these two  
149 annotators. The area of each osteophyte in millimetres squared ( $\text{mm}^2$ ) was then derived for  
150 each image to be used as a continuous variable describing osteophyte size. The osteophytes

151 from the first 1930 DXAs were semi-quantitatively graded (grade 1-3) based on the  
152 aforementioned DXA-based atlas. Receiver operating characteristic curves (ROC) were used  
153 to define a threshold using osteophyte area scores for grade  $\geq 1$  and grade  $\geq 2$  osteophytes at  
154 each location to automate semi-quantitative grading of the remaining images (the presence of  
155 a grade 1 osteophyte was set at a threshold of osteophyte area  $\geq 1 \text{ mm}^2$  at all locations, area  
156 under the curve (AUC) 1; acetabular grade  $\geq 2$  osteophyte: threshold  $\geq 10 \text{ mm}^2$ , AUC 0.96;  
157 superior femoral grade  $\geq 2$  osteophyte: threshold  $\geq 17 \text{ mm}^2$ , AUC 0.98; inferior femoral grade  
158  $\geq 2$  osteophyte: threshold  $\geq 19 \text{ mm}^2$ , AUC 1). It was necessary to combine manually graded 2  
159 and 3 osteophytes due to low numbers of grade 3 osteophytes (grade 3 osteophytes by location:  
160 acetabular n = 11, superior femoral head n = 6, inferior femoral head n = 4).

161

#### 162 *Joint Space Width*

163 An automated method for measuring the width of the superior joint space, which is well  
164 demarcated on UKB high resolution DXAs (Figure 1) (18), was subsequently developed. A  
165 custom Python script calculated mJSW between the acetabulum (points 78-84) and superior  
166 femoral head (points 22-31) as follows: A segment is created by drawing a straight line between  
167 two neighbouring points, for example, two points on the acetabulum. Then the shortest distance  
168 is calculated between this line and an opposing point, in this example on the femoral head. The  
169 automated method repeats this process for all segments and points selected, and the shortest  
170 distance representing mJSW (in mm) is saved. Additionally, the first 1930 DXAs were semi-  
171 quantitatively graded for JSN, blinded to mJSW, using a DXA-based JSN atlas created by BF,  
172 FS & MW, based on the OARSI atlas (17). Height-adjusted ROC curves were used to define  
173 thresholds for JSN automatically on the remaining images, as these thresholds were found to  
174 be more accurate at defining JSN than from mJSW alone, giving AUC 0.92 for JSN grade  $\geq 1$   
175 and 0.97 for grade  $\geq 2$ . Grades 2 & 3 were merged due to the low numbers of grade 3 JSN (n=9).



176 After >2 months 100 DXAs were randomly selected and the point placement algorithm was  
177 reapplied with points corrected where necessary, this gave repeatability scores for JSN kappa  
178 0.93 (98% agreement) and mJSW concordance correlation coefficient 0.99.

179

#### 180 *Radiographic hip osteoarthritis*

181 rHOA was defined as grade  $\geq 1$  JSN combined with a grade  $\geq 1$  osteophyte(s), as this was felt  
182 to be most equivalent to Kellgren-Lawrence and Croft definitions based on JSN combined with  
183 a definite osteophyte(s) (3, 4). Subchondral sclerosis and cysts were not examined as part of  
184 this study due to their relative infrequency (5). A more stringent definition of rHOA termed  
185 grade  $\geq 2$  rHOA, was defined as grade  $\geq 2$  osteophyte(s) combined with grade  $\geq 2$  JSN.

186

#### 187 *Statistical analysis*

188 The demographic data are given as a mean and range for continuous variables and binary  
189 variables are given as counts and frequency. The initial analyses investigated categorical  
190 measures of rHOA, osteophytes, JSN and hip pain using logistic regression with results  
191 presented as odds ratios (OR) with 95% confidence intervals (CI). Later analyses examined  
192 continuous measures of osteophyte area and mJSW against hip pain again using logistic  
193 regression. Use of directed acyclic graphs informed the *a priori* selection of covariates  
194 previously found to be independently related to OA, which included age, sex, height, weight  
195 and ethnicity to be added into an adjusted model. Logistic regression was also used to examine  
196 the independent relationships between rHOA features and hip pain through mutually adjusted  
197 models. Graphical representations of logistic regression models were created by deriving the  
198 probability of hip pain from the regression model at specific intervals of osteophyte area or  
199 mJSW and plotting these. We refer to this as the likelihood of hip pain rather than probability

200 to avoid confusion with P-values. All statistical analysis was performed using Stata version 15  
201 (StataCorp, College Station, TX, USA).  
202

203 **Results:**

204 *Descriptives: Population characteristics*

205 Of the initial sample of 7000 participants with a left hip DXA, 193 were excluded (72 had a  
206 significant artefact, 39 were missing the greater trochanter, 32 were missing the lesser  
207 trochanter, 29 were missing part of the femoral head or femur, 3 were missing part of the ilium  
208 or acetabulum, 16 were poor quality, and 2 individuals withdrew consent for the study). This  
209 left a total of 6,807 individuals (mean age 62.7 years old, standard deviation (SD) 7.5 years)  
210 with left hip DXAs available for analysis (Table 1). The sample was made up of 3425 [50.3%]  
211 females and 3382 [49.7%] males. 1489 [21.9%] self-reported a diagnosis of OA (no joint  
212 locations were specified in the question), 594 [8.7%] reported hip pain for more than 3 months  
213 at the time of imaging study attendance and 47 [0.7%] had hospital-diagnosed OA.

214

215 *Descriptives: Features of rHOA*

216 Prevalent rHOA, defined as grade  $\geq 1$  osteophyte combined with grade  $\geq 1$  JSN, was present in  
217 more males [245 (7.2%)] than females [108 (3.2%)] (Table 1). Mean mJSW, defined as the  
218 narrowest point of superior joint space, was 2.9 mm (SD 0.6 mm) and 2.7 mm (SD 0.5 mm) in  
219 males and females respectively. Grade  $\geq 1$  JSN was more common in males [817 (24.2%)] than  
220 females [543 (15.9%)]. Grade  $\geq 1$  osteophytes were recorded in 1157 [17%] individuals with  
221 the most common site being the lateral acetabulum [829 (12.2%)], followed by the superior  
222 femoral head [432 (6.4%)] and inferior femoral head [220 (3.2%)] with 61 [0.9%] individuals  
223 having an osteophyte at all three sites. Osteophytes were more frequently seen in males [709  
224 (21%)] than females [448 (13.1%)] (Table 1). Supplementary Table S1 shows comparable  
225 descriptions for grade  $\geq 2$  rHOA defined by grade  $\geq 2$  osteophytes combined with grade  $\geq 2$  JSN.  
226 In terms of continuous measures of osteophytes in those individuals with osteophytes, mean  
227 total area of all osteophytes present was 25 mm<sup>2</sup> with a range from 2 mm<sup>2</sup> to 268 mm<sup>2</sup>. Mean

228 area of individual osteophytes was 16 mm<sup>2</sup> (range 2-157 mm<sup>2</sup>), 24 mm<sup>2</sup> (3-121 mm<sup>2</sup>) and 21  
229 mm<sup>2</sup> (2-157 mm<sup>2</sup>) for lateral acetabular, superior femoral head and inferior femoral head  
230 osteophytes respectively.

231

### 232 *rHOA versus self-reported OA and hip pain*

233 In unadjusted analyses, rHOA and grade  $\geq 2$  rHOA were associated with self-reported diagnosis  
234 OA [OR 1.53 (95% CI 1.21-1.94) and 1.97 (1.36-2.84) respectively]. These associations  
235 strengthened slightly after adjustment for demographic covariates, namely age, sex, height,  
236 weight and ethnicity [OR 1.68 (1.31-2.15) and 2.12 (1.45-3.10) respectively]. In unadjusted  
237 analyses, rHOA and grade  $\geq 2$  rHOA were also associated with a hospital diagnosis of HOA  
238 [OR 5.73 (2.89-11.36) and 7.96 (3.32-19.10) respectively], with similar results after adjustment  
239 for demographic covariates [OR 6.01 (2.98-12.16) and 9.02 (3.60-22.62) respectively]. In  
240 unadjusted analyses, rHOA was associated with prevalent hip pain [OR 2.07 (1.54-2.80)], with  
241 similar results after adjustment for demographic covariates (Table 2). Stronger associations  
242 were observed between grade  $\geq 2$  rHOA and hip pain [OR 3.17 (2.08-4.84)] (Supplementary  
243 Table S2).

244

### 245 *Osteophytes and joint space width (CATEGORICAL measures) versus hip pain*

246 The presence of a grade  $\geq 1$  osteophyte at any site was associated with hip pain [OR 1.64 (1.35-  
247 2.01)] in unadjusted analyses, which were unaffected by adjustment as above (Table 2). Grade  
248  $\geq 2$  osteophytes at any location demonstrated a greater relationship with hip pain [OR 1.99  
249 (1.57-2.52)] (Supplementary Table S2). Unadjusted analyses showed no evidence of  
250 association between grade  $\geq 1$  JSN and hip pain (Table 2). However, grade  $\geq 2$  JSN was  
251 associated with hip pain, in both unadjusted and adjusted analyses (Supplementary Table S2).  
252 In unadjusted analyses, the presence of grade  $\geq 1$  acetabular osteophytes [OR 1.67 (1.33-2.09)],

253 superior femoral osteophytes [OR 2.20 (1.68-2.88)] and inferior femoral osteophytes [OR 2.58  
254 (1.82-3.65)] were all associated with prevalent hip pain and this did not alter with adjustment  
255 for demographic covariates (Table 2). The relationships for each osteophyte site were only  
256 minimally attenuated by additional mutual adjustment [acetabular osteophyte OR 1.40 (1.10-  
257 1.78), superior femoral osteophyte OR 1.86 (1.36-2.54), inferior femoral osteophyte OR 2.01  
258 (1.35-3.00)]. Individuals with osteophytes at all three sites showed stronger associations with  
259 hip pain in both unadjusted [OR 6.09 (3.60-10.34)] and adjusted analyses (Table 2). Grade  $\geq 2$   
260 osteophytes had a greater association with prevalent hip pain [acetabular osteophyte OR 2.08  
261 (1.59-2.72), superior femoral osteophyte OR 2.62 (1.90-3.62), inferior femoral osteophyte OR  
262 5.53 (3.39-9.02), all 3 osteophytes OR 14.97 (6.62-33.86) (unadjusted analyses)]  
263 (Supplementary Table S2). Sex-stratified results showed similar associations between features  
264 of rHOA and hip pain in males and females (Supplementary Tables S3 & S4).

265

#### 266 *Osteophytes and joint space width (CONTINUOUS measures) versus hip pain*

267 Total osteophyte area was associated with prevalent hip pain in unadjusted analyses [OR 1.29  
268 (per standard deviation (SD) increase in area) (1.21-1.36)] (Figure 2). mJSW was also  
269 associated with hip pain in unadjusted analyses [OR 0.84 (per SD increase in width) (0.77-  
270 0.92)], the negative association conferring an increased risk of pain with decreasing JSW. To  
271 examine independent effects total osteophyte area and superior mJSW were combined in a  
272 mutually adjusted single model. Total osteophyte area remained strongly associated with hip  
273 pain [OR 1.27 (1.19-1.34)], but the association with superior mJSW was marginally attenuated  
274 [OR 0.90 (0.83-0.98)] (Supplementary Figure S2a). The addition of demographic covariates  
275 had little effect on the association between total osteophyte area and hip pain [OR 1.31 (1.23-  
276 1.39)] but attenuated the association with superior mJSW and hip pain towards the null [OR  
277 0.95 (0.87-1.04)] (Figure 2). Other than a slightly greater unadjusted association between

278 mJSW and hip pain in males [OR 0.82 (0.72-0.93)] than in females [OR 0.93 (0.82-1.04)], sex  
279 stratified results showed similar associations in both sexes (Supplementary Figure S2b & S2c).

280

281 Osteophyte area at specific sites was associated with hip pain [acetabular osteophyte area OR  
282 1.19 (per SD increase) (1.13-1.26), superior femoral osteophyte area OR 1.22 (1.15-1.29),  
283 inferior femoral osteophyte area OR 1.21 (1.14-1.28) (unadjusted analyses)] (Figure 3). When  
284 regional osteophyte areas were mutually adjusted for each other in a combined model,  
285 acetabular osteophyte area [OR 1.13 (1.06-1.20)], superior femoral osteophyte area [OR 1.13  
286 (1.05-1.24)] and inferior femoral osteophyte area [OR 1.10 (1.03-1.17)] remained associated  
287 with hip pain (Supplementary Figure S3). Similar results were observed following additional  
288 adjustment for demographic covariates [acetabular osteophyte area OR 1.13 (1.06-1.21),  
289 superior femoral osteophyte area OR 1.16 (1.08-1.24) and inferior femoral osteophyte area OR  
290 1.11 (1.04-1.19)] (Figure 3).

291

292 **Discussion:**

293 In a large (n = 6,807) cross-sectional study of both men and women, we have developed and  
294 applied a method for performing detailed phenotyping of rHOA based on high resolution DXA  
295 scans. As expected, those with rHOA as defined by DXA were associated with a higher  
296 prevalence of self-reported and hospital-diagnosed OA. We then went on to explore the  
297 relationship between rHOA and its individual features, and prevalent hip pain. We found that  
298 DXA-derived rHOA is associated with prevalent hip pain and that this association is  
299 predominately driven by the presence of osteophytes, rather than joint space narrowing.  
300 Subsequently, we examined the relationship between osteophytes and hip pain based on  
301 quantitative evaluations of osteophyte size and osteophyte location. We found a positive  
302 relationship between osteophyte area and the likelihood of hip pain, such that the latter  
303 exceeded 50% when total osteophyte area reached 150 mm<sup>2</sup>, implying florid osteophytes are  
304 most reliably associated with hip pain. In addition, we found that osteophytes at all three sites  
305 examined, namely acetabular, superior femoral and inferior femoral, all showed potentially  
306 independent relationships with hip pain, consistent with roles in partially-independent  
307 biomechanical pathways. Inferior femoral osteophytes showed the strongest association with  
308 hip pain, and acetabular osteophytes the weakest.

309

310 Previous studies have shown that rHOA is poorly predictive of hip pain but these have focused  
311 on semi-quantitative composite measures of rHOA which may have limited accuracy in the  
312 assessment of joint pathology (9). Semi-quantitative measures of rHOA generally group  
313 together different osteophyte locations and sizes and use broad definitions of JSN, which may  
314 partly explain the weak associations observed with symptoms at both hip and knee joints (9,  
315 24-26). We observed similar findings in our analysis, as even though individuals who had either  
316 DXA-derived rHOA or a single osteophyte (grade  $\geq 1$ ) were at an elevated risk of hip pain, it

317 was still the case that the majority of them did not have any hip pain (84% and 88%  
318 respectively). We are not aware of any previous studies to have examined clinical outcomes in  
319 relation to quantitative measures of hip osteophyte size as presented here. However there have  
320 been two previous studies analysing the relationship between osteophyte location and hip pain,  
321 with which our results are consistent. One previous study (n = 5,839) found that femoral  
322 osteophytes have a greater association with hip pain compared to acetabular osteophytes in  
323 women (10). A small CT-based study (n = 29) found that inferior osteophytes had a stronger  
324 association with hip pain compared with anterior, posterior and intra-articular osteophytes (13).

325

326 Osteophytes are a key component of OA although little is known about if or how they might  
327 induce pain, with many patients who have osteophytes not suffering from pain (27). Kijima et  
328 al. suggest that inferior femoral head osteophytes are a proxy for hip instability which might  
329 be causing hip pain through impingement of the femoral head and acetabulum (13). It is known  
330 that osteophytes are a poor prognostic sign for arthroscopic interventions for hip pain  
331 potentially due to a stabilising effect they have on a joint which is lost if they are removed (24,  
332 28). Others have shown that osteophytes contain sensory fibres suggesting pain could be  
333 derived from the osteophyte itself (29, 30), although arthroscopic removal of osteophytes is  
334 ineffective in the treatment of knee pain and no longer recommended (31, 32). In addition, pain  
335 might be associated with osteophytes due to periostitis or inflammation which leads to their  
336 development rather than the osteophyte itself causing pain (33).

337

338 Our analysis, showing independent relationships between osteophytes at different sites and hip  
339 pain suggests location-specific mediators are a possibility, such as a role of distinct  
340 biomechanical pathways. Along similar lines, associations between hip morphology and rHOA  
341 and risk of hip replacement are presumed to be mediated through aberrant biomechanical



342 pathways (6, 34, 35). How such variations in morphology are related to specific constituents  
343 of rHOA remains unclear. Studies from high bone mass individuals show a global  
344 predisposition to osteophyte formation (hypertrophic OA), suggesting a strong genetic  
345 influence on osteophyte formation (5, 36), which might point against specific local  
346 biomechanical factors in the development of osteophytes. On the other hand, it could still be  
347 the case that osteophytes lead to pain through local mechanisms as suggested by the  
348 independent relationships seen in this study. Understanding if and how different osteophytes  
349 contribute to pain is of clear clinical interest and requires further investigation.

350

351 Superior mJSW was associated with hip pain in our unadjusted model, but the relationship  
352 attenuated after adjustment for total osteophyte area and demographic covariates. These  
353 findings are consistent with a previous systematic review which only found weak associations  
354 between JSW and hip pain (12). JSN derived from mJSW measurements and hip pain were  
355 only weakly associated in our study, that said this association was strengthened when looking  
356 at grade  $\geq 2$  JSN which is more consistent with previous studies (4, 10). Unfortunately, these  
357 studies did not examine mJSW as a continuous variable nor did they mutually adjust models  
358 for osteophyte area so direct comparison is difficult. A recent study on incident knee OA in a  
359 high bone mass population found that change in Western Ontario and McMaster Universities  
360 Osteoarthritis Index (WOMAC) pain score over time was attenuated to a greater extent by  
361 adjustment for osteophyte score, compared with JSN (36), further suggesting that osteophytes  
362 are the main contributing factor to the relationship between radiographic OA and joint pain.  
363 To the extent that JSW contributes a limited amount to the evolution of hip pain in rHOA, this  
364 would seemingly undermine its use as an endpoint in clinical trials of disease modifying  
365 osteoarthritis drugs (DMOAD) (37).

366

367 Given the relationship between rHOA and hip pain which we observed, our findings raise the  
368 possibility that hip DXA may have potential clinical utility in the evaluation of patients with  
369 hip pain. Current guidelines downplay the role of imaging in the management of HOA (38,  
370 39), in part reflecting the poor sensitivity of conventional radiographs to detect rHOA in  
371 patients with hip pain (9). Use of the approach described here may mitigate this to some extent,  
372 by improving diagnostic accuracy through greater depth of phenotyping and quantitative  
373 evaluation of osteophyte size, and helping to identify a subset of more severely affected  
374 individuals. That said, many different causes of hip pain exist besides OA, and the majority of  
375 those with mild rHOA on DXA had no pain. Therefore, whereas DXA-based methods for  
376 diagnosing rHOA may represent a useful adjunct to clinical evaluation, they are unlikely to be  
377 useful in categorising patients with hip pain when used in isolation.

378

379 A major strength of this study was the use of a novel method for characterising different  
380 components of rHOA on DXA scans, developed as part of our investigation. This enabled us to  
381 examine relationships between detailed measures of rHOA and hip pain in a large sample of  
382 participants from UKB. Although there are limited data available on the validity of using hip  
383 DXA scans to ascertain rHOA, the measures we obtained showed expected relationships with  
384 hospital-diagnosed and self-reported OA. Whilst DXA scan images appear suitable for deriving  
385 characteristics such as osteophytes and superior joint space width, including the potential for  
386 automation, they have several inherent limitations in evaluating rHOA. A potential limitation  
387 in the use of DXA scans to measure joint space width is that scans are obtained with the patient  
388 supine, rather than weight bearing as is the norm for radiographs (40). However, a previous  
389 study found little difference in JSW between weight bearing and non-weight bearing hip  
390 radiographs (41). Limitations in DXA imaging prevented us from evaluating other radiographic  
391 features associated with rHOA, such as subchondral sclerosis and cysts which were difficult to

392 visualise. In addition, in contrast to the superior joint space, we were unable to visualise or  
393 evaluate the medial or inferior joint space as is often possible on x-rays.

394

395 The limitations of this study include, the observational and cross-sectional study-design which  
396 makes it not a suitable basis for drawing causal conclusions. In particular we can only comment  
397 on relationships with prevalent rather than incident hip pain. The hip pain information is limited  
398 in that it is not side-specific, although it does cover a prolonged duration ( $\geq 3$  months) which  
399 makes it pertinent to HOA (33). Further, this study used a weighted sample to include a greater  
400 proportion of individuals with self-reported OA which means we cannot use this data to  
401 comment on the prevalence of rHOA in UKB.

402

403 To conclude, we have developed and applied a method for large scale phenotyping of rHOA  
404 on DXA scans in UKB. The measures of rHOA obtained showed expected relationships with  
405 clinical outcomes such as hip pain. Focusing on individual semi-quantitatively graded features,  
406 JSN and osteophytes at different sites, these showed associations with hip pain. On examining  
407 these relationships in more detail, based on quantitative measures derived for osteophyte area  
408 and mJSW, we found that mJSW had no independent association with hip pain, in contrast to  
409 osteophytes which showed potentially independent relationships at all three sites. Further  
410 studies are justified to characterise site-specific biomechanical alterations that result in or from  
411 the formation of osteophytes, to further understand if and how these changes might be causally  
412 related to symptoms of pain in HOA.

413

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418

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427

428 Author contributions:

429 All authors have made significant contributions to the conception and design of this study, the  
430 acquisition of data, its analysis and interpretation, and helped draft the article before approving  
431 the final version of this manuscript. BGF (ben.faber@bristol.ac.uk) takes responsibility for the  
432 integrity of the work in its entirety.

433

434 Conflicts of interest:

435 No authors have any conflicts of interest to declare.

436

437 Data availability statement:

438 The data from this study will be available from UK Biobank at a forthcoming data release.

439 Users must be registered with UK Biobank to access their resources

440 [<https://bbams.ndph.ox.ac.uk/ams/>].

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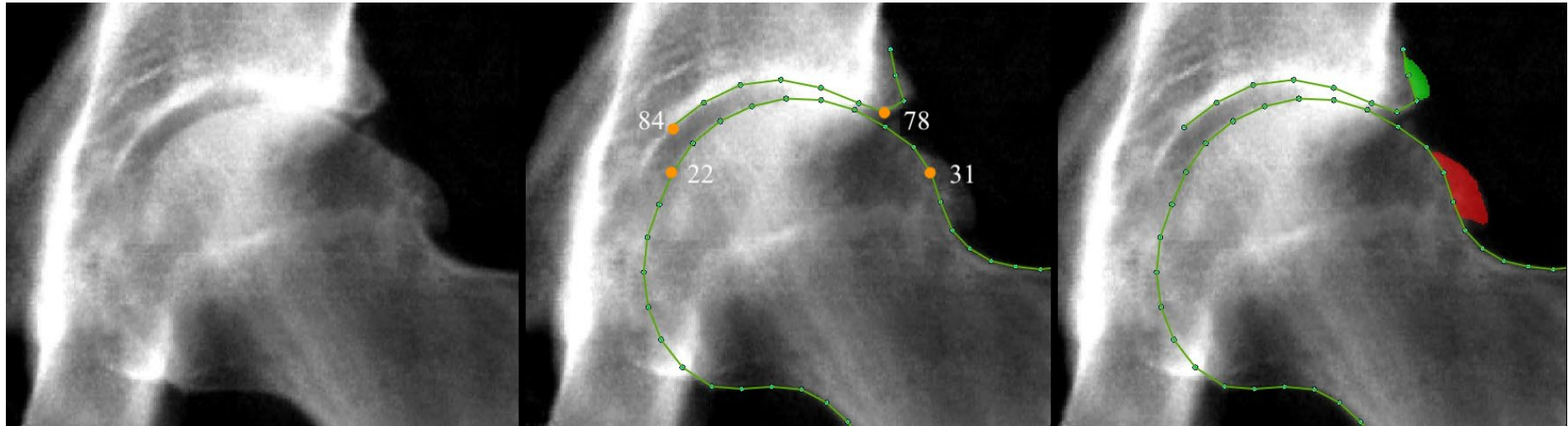


Figure 1: An example of a DXA image from UK Biobank.

Left image: This is an example of a high-resolution hip DXA from UK Biobank showing radiographic osteoarthritis. Middle image: This shows how the points were placed on the borders of the bone on the same image. Points 22, 31, 78 and 84 are labelled and orange showing the area over which minimum joint space width was measured. Right image: This shows the acetabular osteophyte (green) and superior femoral head osteophyte (red) marked up on the same image.

## Likelihood of hip pain by total osteophyte area and mJSW

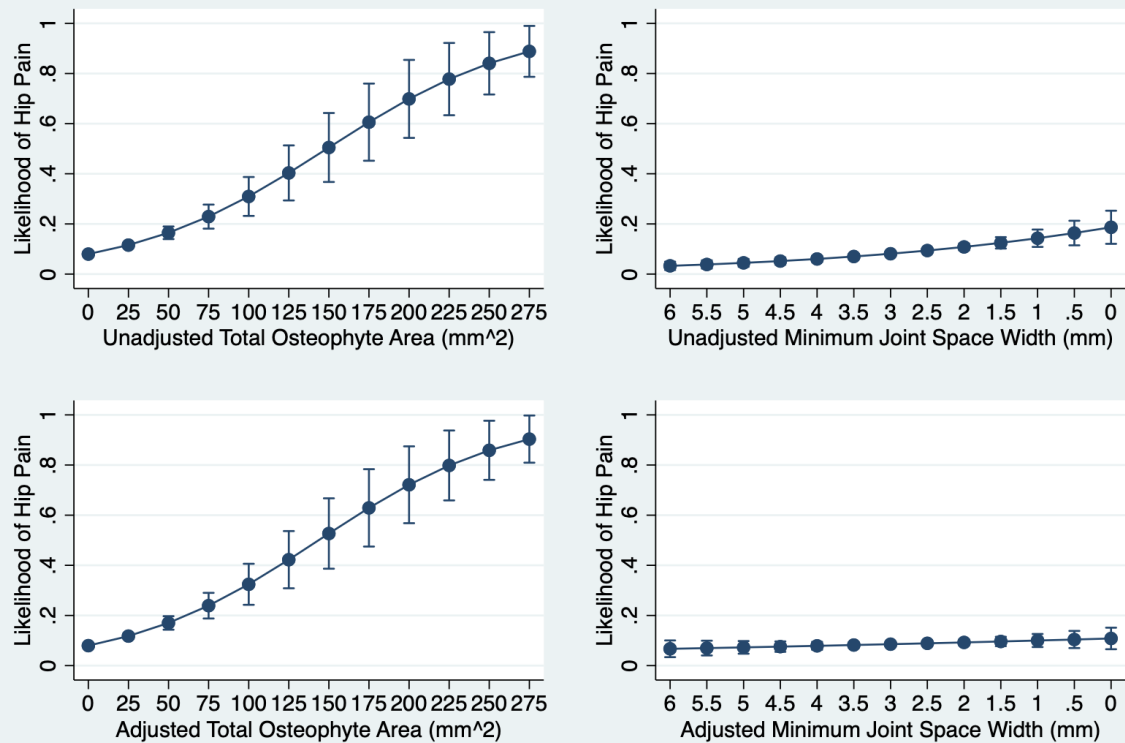


Figure 2: Likelihood of hip pain depending on total osteophyte area and minimum joint space width.

Top left graph shows the unadjusted likelihood of hip pain by total osteophyte area. Top right graph shows the unadjusted likelihood of hip pain by mJSW, the x-axis is reversed. Bottom left graph shows likelihood of hip pain by total osteophyte area, adjusted for mJSW, age, sex, height, weight and ethnicity. Bottom right graph shows likelihood of hip pain by mJSW, adjusted for total osteophyte area, age, sex, height, weight and ethnicity.

### Likelihood of hip pain by individual osteophyte area

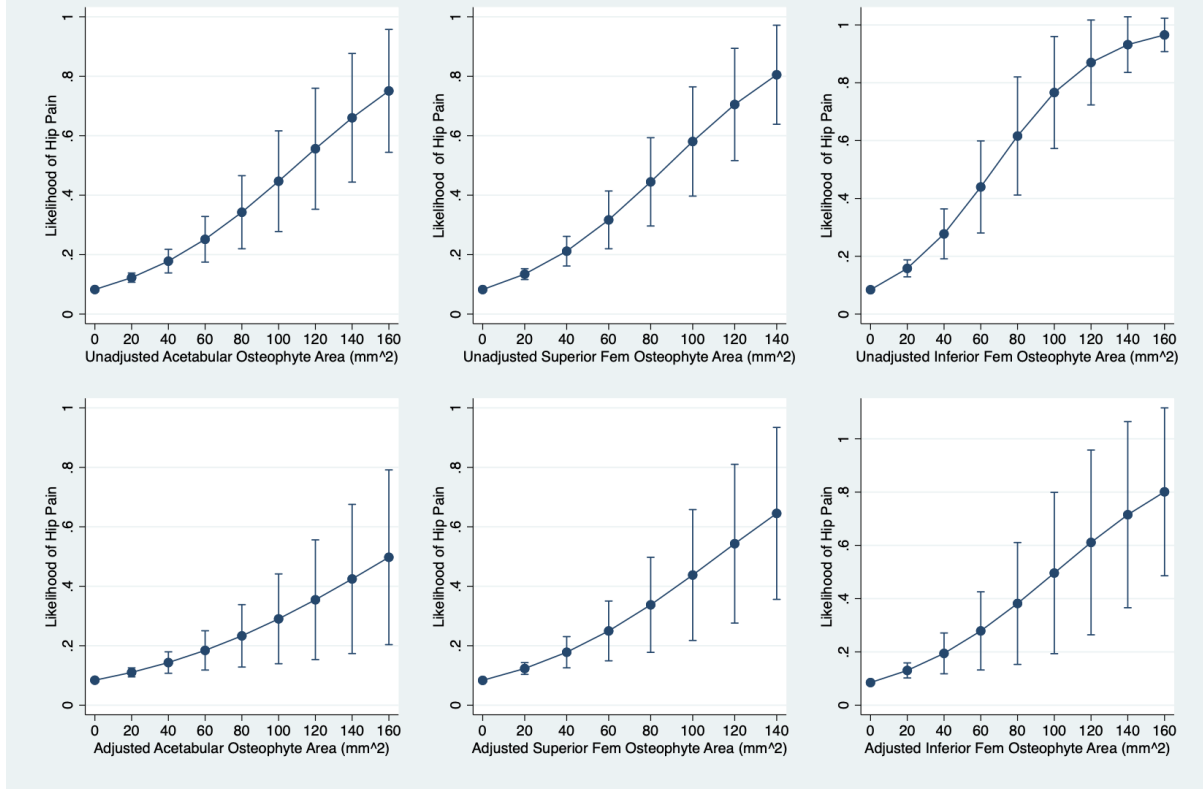


Figure 3: Likelihood of hip pain depending on regional osteophyte area.

Top left graph shows the unadjusted likelihood of hip pain by acetabular osteophyte area. Top middle graph shows the unadjusted likelihood of hip pain by superior femoral osteophyte area. Top right graph shows the unadjusted likelihood of hip pain by inferior femoral osteophyte area. The corresponding graphs below represent the respective models adjusted for area of osteophytes at the other sites, age, sex, height, weight and ethnicity.

Table 1. Demographics of the sample studied with grade  $\geq 1$  abnormalities included.

Abbreviations: Osteoarthritis (OA), radiographic hip osteoarthritis (rHOA), joint space narrowing (JSN), osteophyte (OP), joint space width (JSW).

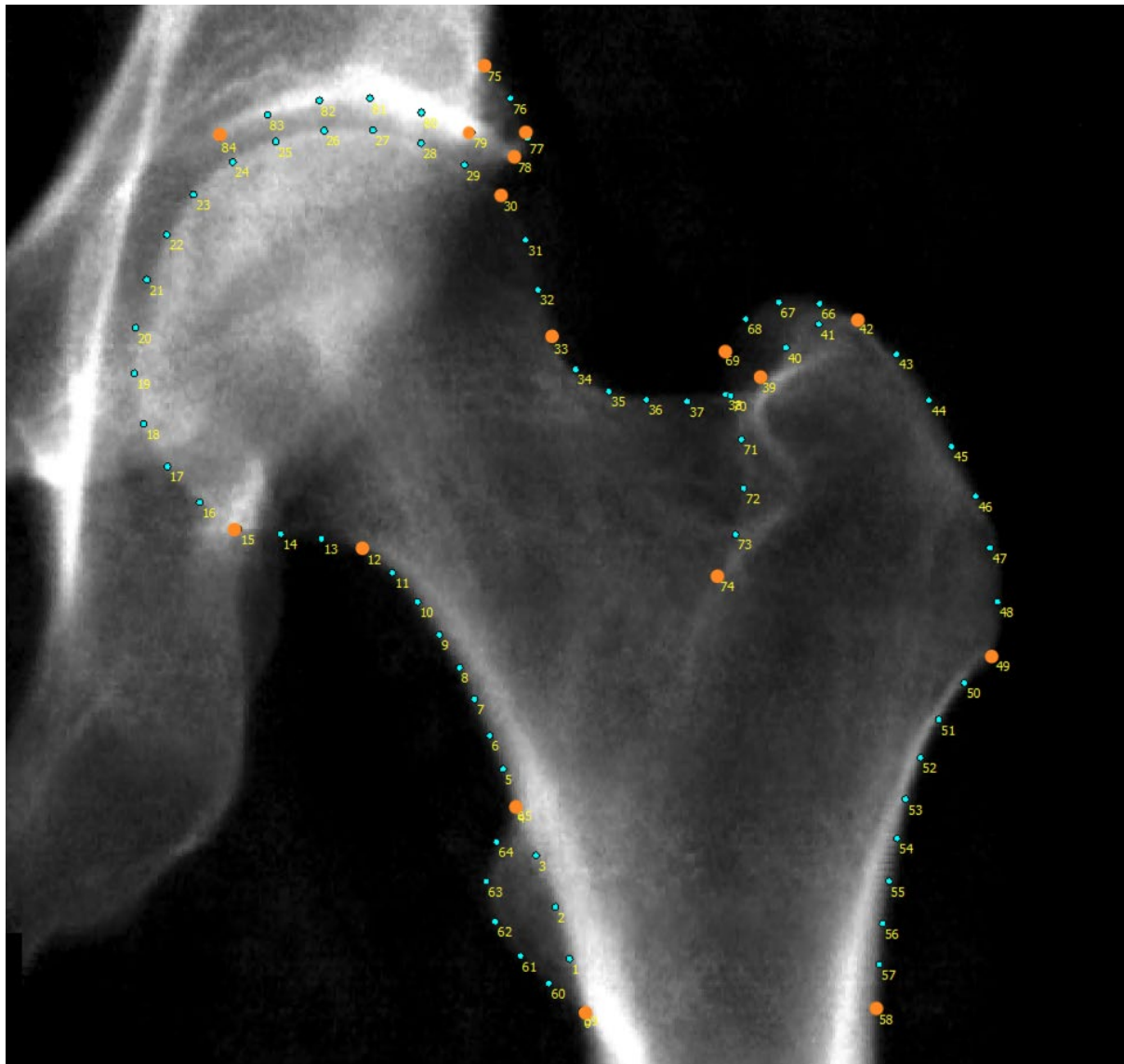
	Males	Females	Combined
<b>Demographics</b>	Mean [Range]	Mean [Range]	Mean [Range]
Age (years)	63.4 [45-80]	62.1 [46-79]	62.7 [45-80]
Weight (kg)	83.8 [50-160]	68.7 [36-155]	76.2 [36-160]
Height (cm)	177.0 [153-203]	163.3 [137-195]	170.1 [137 – 203]
Hip Pain	219 [6.5]	375 [11.0]	594 [8.7]
Self-reported OA	581 [17.2]	908 [26.5]	1489 [21.9]
<i>Ethnicity</i>	Prevalence [%]	Prevalence [%]	Prevalence [%]
White	3278 [97.0]	3321 [97.0]	6599 [97.0]
Asian	48 [1.4]	26 [0.8]	74 [1.1]
Black	23 [0.7]	20 [0.6]	43 [0.6]
Mixed heritage	13 [0.4]	21 [0.6]	34 [0.5]
Chinese	5 [0.2]	9 [0.3]	14 [0.2]
Unknown	15 [0.4]	28 [0.8]	43 [0.6]
<i>rHOA measures</i>	Prevalence [%]	Prevalence [%]	Prevalence [%]
rHOA	245 [7.2]	108 [3.2]	353 [5.2]
JSN	817 [24.2]	543 [15.9]	1360 [20]
Any OP	709 [21.0]	448 [13.1]	1157 [17]
Acetabular OP	484 [14.3]	345 [10.1]	829 [12.2]
Superior Femoral OP	289 [8.6]	143 [4.2]	432 [6.4]
Inferior Femoral OP	168 [5.0]	52 [1.5]	220 [3.2]
OP All	45 [1.3]	16 [0.5]	61 [0.9]
Minimum JSW (mean [range])	2.9 [0.3 – 5.9]	2.7 [0.2 – 4.8]	2.8 [0.2 – 5.9]
<b>Total Sample</b>	3382	3425	6807

Table 2. The associations between radiographic hip osteoarthritis and its constituent features, and hip pain.

Logistic regression comparing the presence of radiographic hip osteoarthritis (rHOA) and its constituent features and hip pain in 6807 individuals. Odd ratios (OR) presented with 95% confidence intervals (CI) and P-values. rHOA defined as the presence of grade  $\geq 1$  joint space narrowing (JSN) and a grade  $\geq 1$  osteophyte (OP). Any OP refers to a grade  $\geq 1$  OP at any site (binary measure). OP presence at each location is examined as Acetabular OP, Superior Femoral OP, Inferior Femoral OP. OP at all 3 sites refers to concurrent OPs at all sites examined. Hip pain (yes/no) derived from questionnaire data taken on the same day as DXA scan. Unadjusted and adjusted results shown. Adjusted model includes age, sex, height, weight, ethnicity.

	Hip Pain			
	Unadjusted		Adjusted	
	OR [95% CI]	<i>P</i>	OR [95% CI]	<i>P</i>
rHOA	2.07 [1.54-2.8]	$1.74 \times 10^{-06}$	2.42 [1.78-3.29]	$1.59 \times 10^{-08}$
JSN	1.18 [0.97-1.45]	0.10	1.30 [1.06-1.60]	0.01
Any OP	1.64 [1.35-2.01]	$1.06 \times 10^{-06}$	1.73 [1.41-2.13]	$1.20 \times 10^{-07}$
Acetabular OP	1.67 [1.33-2.09]	$6.50 \times 10^{-06}$	1.69 [1.35-2.12]	$6.06 \times 10^{-06}$
Superior Femoral OP	2.20 [1.68-2.88]	$9.90 \times 10^{-09}$	2.51 [1.91-3.31]	$6.17 \times 10^{-11}$
Inferior Femoral OP	2.58 [1.82-3.65]	$8.91 \times 10^{-08}$	3.09 [2.16-4.42]	$6.44 \times 10^{-10}$
OP at all 3 sites	6.09 [3.60-10.34]	$2.30 \times 10^{-11}$	7.14 [4.15-12.30]	$1.30 \times 10^{-12}$

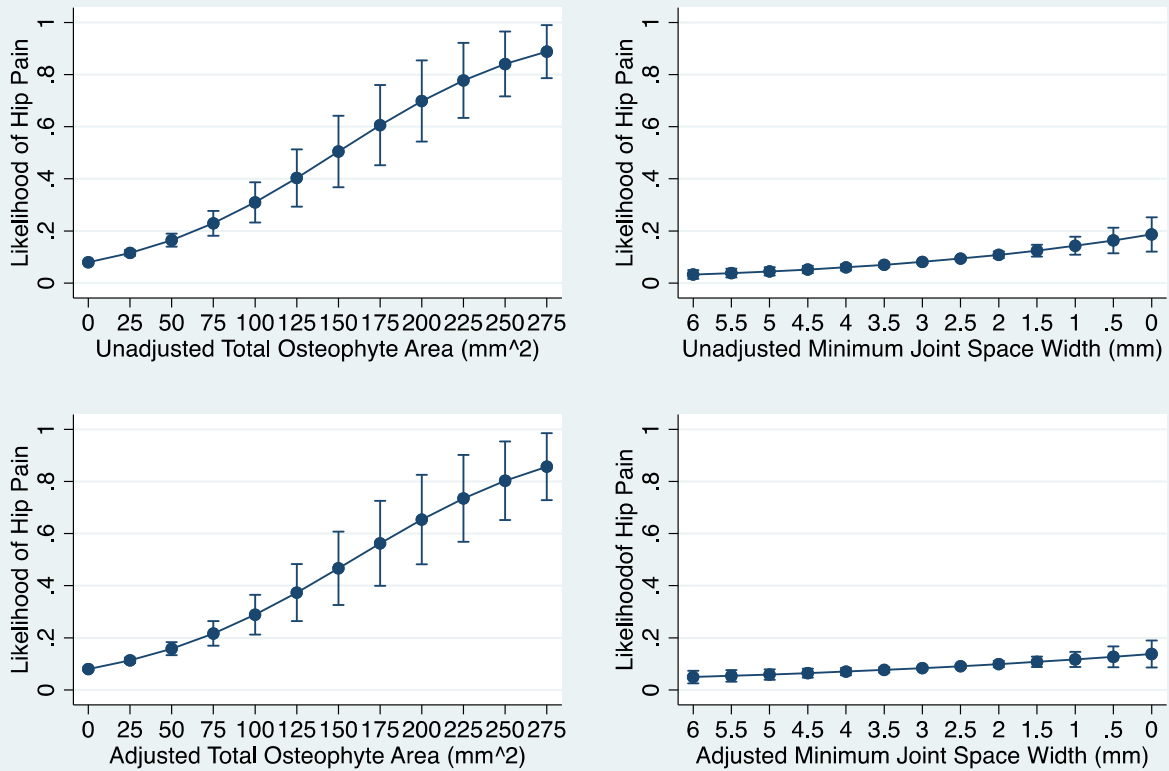
## Supplementary Material



Supplementary Figure S1: A UKB hip DXA with numbered points placed around the joint and key points are highlighted in orange. Points 4&65 and 0&59 overlap in this example.

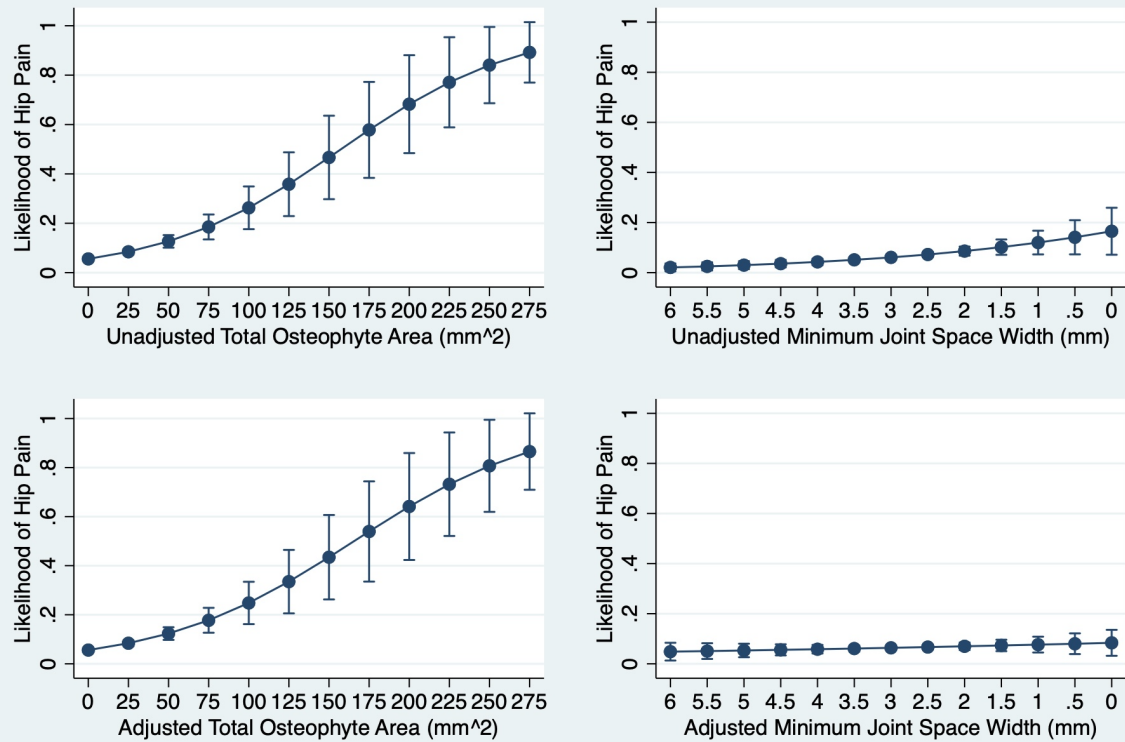


## Likelihood of hip pain by total osteophyte area and mJSW



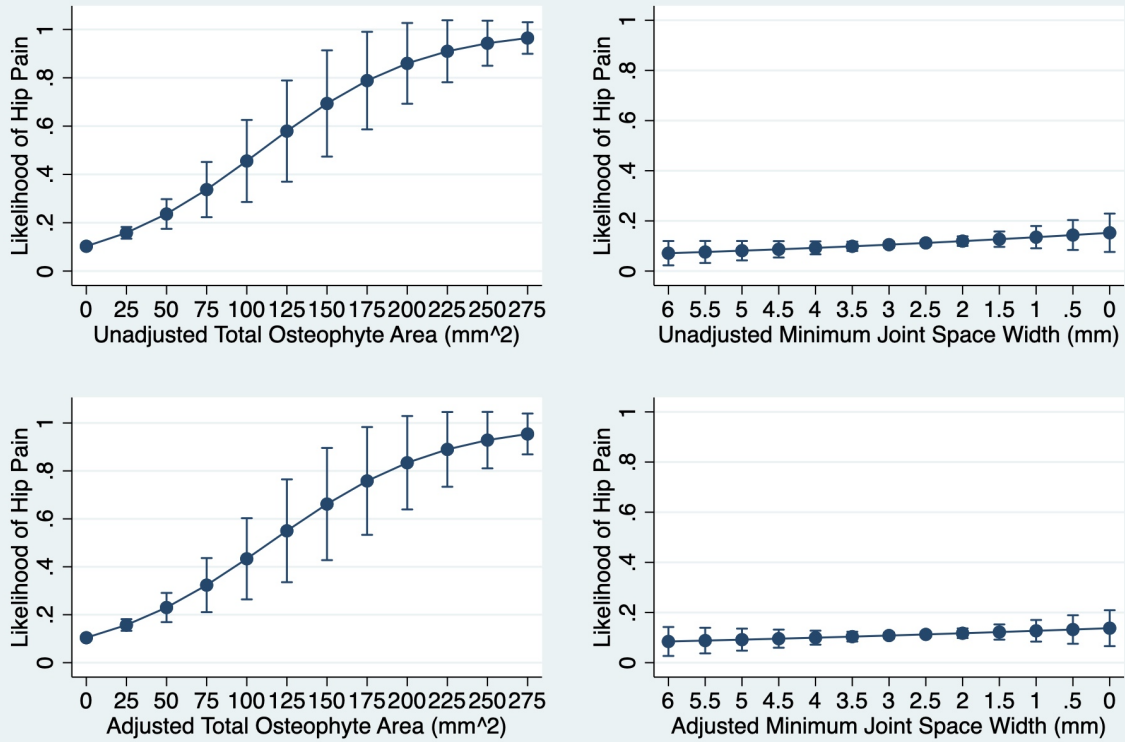
Supplementary Figure S2a: Likelihood of hip pain depending on total osteophyte area and minimum joint space width (mJSW). Top left graph shows the unadjusted likelihood of hip pain by total osteophyte area. Top right graph shows the unadjusted likelihood of hip pain by mJSW, the x-axis is reversed. Bottom left graph shows the adjusted likelihood of hip pain by total osteophyte area adjusted for mJSW only. Bottom right graph shows the adjusted likelihood of hip pain by mJSW adjusted for total osteophyte area only.

## Likelihood of hip pain in males



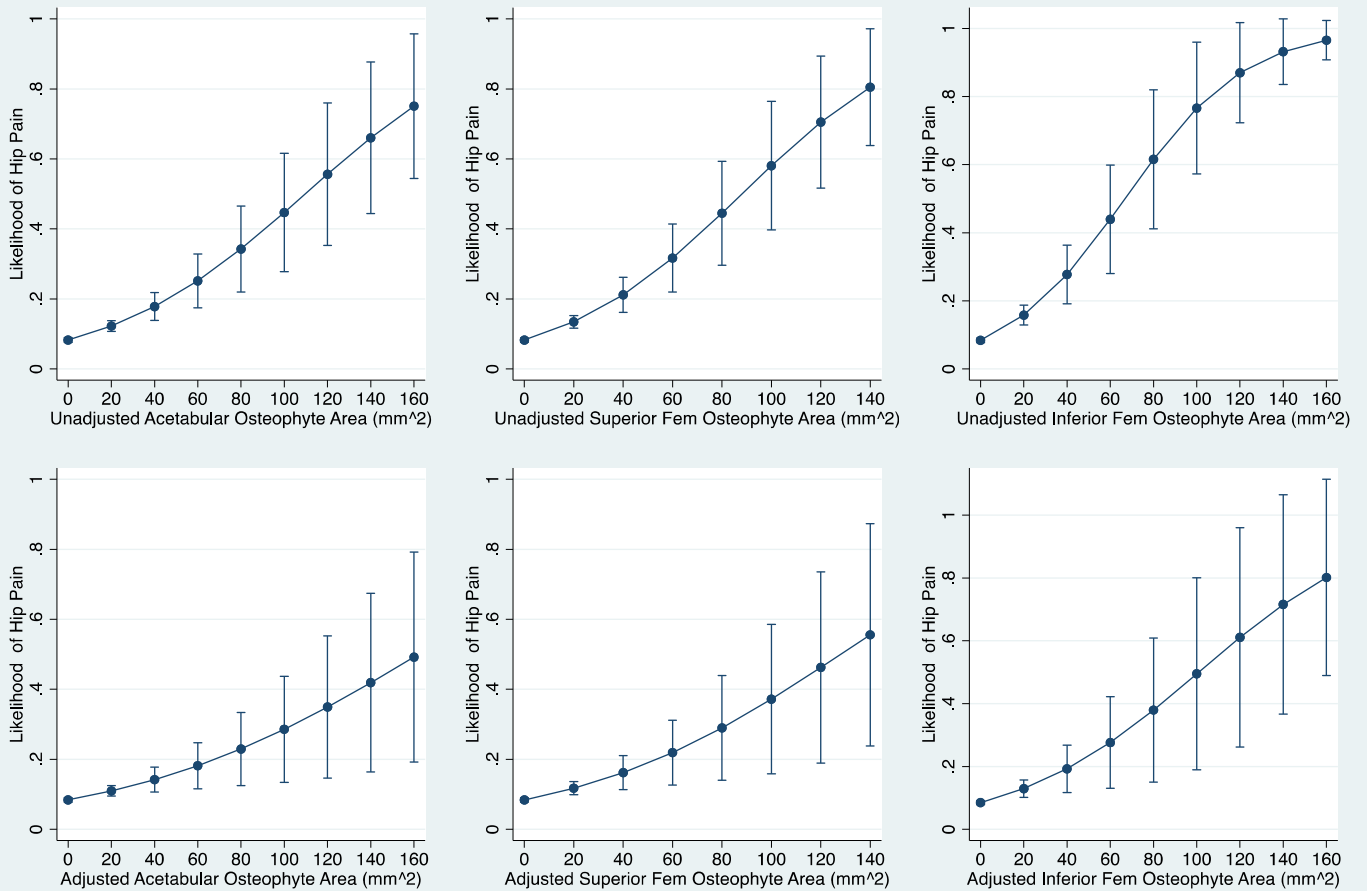
Supplementary Figure S2b: Likelihood of hip pain depending on total osteophyte area and minimum joint space width (mJSW) in a male only analysis. Top left graph shows the unadjusted likelihood of hip pain by total osteophyte area. Top right graph shows the unadjusted likelihood of hip pain by mJSW, the x-axis is reversed. Bottom left graph shows the adjusted likelihood of hip pain by total osteophyte area adjusted for mJSW, age, height, weight and ethnicity. Bottom right graph shows the adjusted likelihood of hip pain by mJSW adjusted for total osteophyte area, age, height, weight and ethnicity.

## Likelihood of hip pain in females



Supplementary Figure S2c: Likelihood of hip pain depending on total osteophyte area and minimum joint space width (mJSW) in a female only analysis. Top left graph shows the unadjusted likelihood of hip pain by total osteophyte area. Top right graph shows the unadjusted likelihood of hip pain by mJSW, the x-axis is reversed. Bottom left graph shows the adjusted likelihood of hip pain by total osteophyte area adjusted for mJSW, age, height, weight and ethnicity. Bottom right graph shows the adjusted likelihood of hip pain by mJSW adjusted for total osteophyte area, age, height, weight and ethnicity.

## Likelihood of hip pain by individual osteophyte area



Supplementary Figure S3: Likelihood of hip pain depending on regional osteophyte area. Top left graph shows the unadjusted likelihood of hip pain by acetabular osteophyte area (mean 16.2 mm<sup>2</sup>). Top middle graph shows the unadjusted likelihood of hip pain by superior femoral osteophyte area (mean 23.8 mm<sup>2</sup>). Top right graph shows the unadjusted likelihood of hip pain by inferior femoral osteophyte area (mean 20.8 mm<sup>2</sup>). The corresponding graphs below represent the respective adjusted models, including the additional osteophyte areas only.

Supplementary Table S1. Demographics for sample based on grade  $\geq 2$  radiographic hip osteoarthritis.

	Males	Females	Combined
<i>rHOA binary measures</i>	Prevalence [%]	Prevalence [%]	Prevalence [%]
rHOA	105 [3.1]	23 [0.7]	128 [1.9]
JSN	338 [10.0]	138 [4.0]	476 [7.0]
Any OP	431 [12.7]	214 [6.5]	645 [9.5]
Acetabular OP	294 [8.7]	164 [4.8]	458 [6.7]
Superior Femoral OP	177 [5.2]	78 [2.3]	255 [3.8]
Inferior Femoral OP	53 [1.6]	21 [0.6]	74 [1.1]
OP All	17 [0.5]	7 [0.2]	24 [0.4]
<b>Total Sample</b>	3382	3425	6807

Supplementary Table S2. Logistic regression comparing the presence of grade  $\geq 2$  radiographic hip osteoarthritis (rHOA) and its constituent features and hip pain in 6807 individuals. Odds ratios (OR) presented with 95% confidence intervals (CI) and P-values. Grade  $\geq 2$  rHOA defined as the presence of grade  $\geq 2$  joint space narrowing (JSN) and a grade  $\geq 2$  osteophyte (OP). Any OP refers to a grade  $\geq 2$  OP at any site (binary measure). Grade  $\geq 2$  OP presence at each location is examined as Acetabular OP, Superior Femoral OP, Inferior Femoral OP. OP at all 3 sites refers to concurrent grade  $\geq 2$  OPs at all sites examined. Hip pain (yes/no) derived from questionnaire data taken on the same day as DXA scan. Unadjusted and adjusted results shown. Adjusted model includes age, sex, height, weight, ethnicity.

	Hip Pain			
	Unadjusted		Adjusted	
	OR [95% CI]	<i>P</i>	OR [95% CI]	<i>P</i>
rHOA	3.17 [2.08-4.84]	$8.84 \times 10^{-08}$	3.85 [2.49-5.95]	$1.33 \times 10^{-09}$
JSN	1.53 [1.15-2.04]	$3.50 \times 10^{-03}$	1.80 [1.34-2.42]	$9.27 \times 10^{-05}$
Any OP	1.99 [1.57-2.52]	$1.03 \times 10^{-08}$	2.17 [1.70-2.76]	$3.98 \times 10^{-10}$
Acetabular OP	2.08 [1.59-2.72]	$7.35 \times 10^{-08}$	2.16 [1.65-2.84]	$3.19 \times 10^{-08}$
Superior Femoral OP	2.62 [1.90-3.62]	$5.31 \times 10^{-09}$	3.05 [2.19-4.25]	$4.72 \times 10^{-11}$
Inferior Femoral OP	5.53 [3.39-9.02]	$7.49 \times 10^{-12}$	6.14 [3.72-10.16]	$1.50 \times 10^{-12}$
OP at all 3 sites	14.97 [6.62-33.86]	$8.00 \times 10^{-11}$	17.30 [7.53-39.74]	$1.90 \times 10^{-11}$

Supplementary Table S3. Logistic regression comparing the presence of radiographic hip osteoarthritis (rHOA) and its constituent features and hip pain in 3382 males and 3425 females. Odd ratios (OR) presented with 95% confidence intervals (CI) and P-values. rHOA defined as the presence of grade  $\geq 1$  joint space narrowing (JSN) and a grade  $\geq 1$  osteophyte (OP). Any OP refers to an osteophyte at any site (binary measure). OP presence at each location is examined as Acetabular OP, Superior Femoral OP, Inferior Femoral OP. Hip pain (yes/no) derived some questionnaire data taken on the same day as DXA scan. Unadjusted and adjusted results shown. Adjusted model includes age, height, weight, ethnicity.

	Males				Females			
	Unadjusted		Adjusted		Unadjusted		Adjusted	
	OR [95% CI]	<i>P</i>	OR [95% CI]	<i>P</i>	OR [95% CI]	<i>P</i>	OR [95% CI]	<i>P</i>
rHOA	2.78 [1.89-4.08]	$1.78 \times 10^{-07}$	2.84 [1.92-4.18]	$1.52 \times 10^{-07}$	1.90 [1.15-3.12]	0.01	1.97 [1.19-3.26]	$8.16 \times 10^{-03}$
JSN	1.45 [1.08-1.95]	0.01	1.46 [1.08-1.97]	0.01	1.15 [0.87-1.53]	0.33	1.21 [0.91-1.61]	0.20
Any OP	1.77 [1.31-2.39]	$1.78 \times 10^{-04}$	1.73 [1.28-2.33]	$3.94 \times 10^{-04}$	1.83 [1.39-2.41]	$1.56 \times 10^{-05}$	1.78 [1.35-2.35]	$4.58 \times 10^{-05}$
Acetabular OP	1.86 [1.34-2.59]	$2.40 \times 10^{-04}$	1.80 [1.29-2.51]	$5.99 \times 10^{-04}$	1.72 [1.26-2.34]	$5.41 \times 10^{-04}$	1.65 [1.21-2.25]	$1.59 \times 10^{-03}$
Superior Femoral OP	2.43 [1.68-3.54]	$2.90 \times 10^{-06}$	2.56 [1.75-3.73]	$1.07 \times 10^{-06}$	2.58 [1.72-3.87]	$4.50 \times 10^{-06}$	2.59 [1.72-3.90]	$4.94 \times 10^{-06}$
Inferior Femoral OP	3.01 [1.95-4.66]	$7.41 \times 10^{-07}$	2.94 [1.89-4.58]	$1.72 \times 10^{-06}$	3.39 [1.84-6.24]	$8.61 \times 10^{-05}$	3.38 [1.82-6.26]	$1.10 \times 10^{-04}$

Supplementary Table S4 Logistic regression comparing the presence of grade  $\geq 2$  radiographic hip osteoarthritis (rHOA) and its constituent features and hip pain in 3382 males and 3425 females. Odd ratios (OR) presented with 95% confidence intervals (CI) and P-values. rHOA defined as the presence of grade  $\geq 2$  joint space narrowing (JSN) and a grade  $\geq 2$  osteophyte (OP). Any OP refers to a grade  $\geq 2$  osteophyte at any site (binary measure). Grade  $\geq 2$  OP presence at each location is examined as Acetabular OP, Superior Femoral OP, Inferior Femoral OP. Hip pain (yes/no) derived some questionnaire data taken on the same day as DXA scan. Unadjusted and adjusted results shown. Adjusted model includes age, height, weight, ethnicity.

	Males				Females			
	Unadjusted		Adjusted		Unadjusted		Adjusted	
	OR [95% CI]	<i>P</i>	OR [95% CI]	<i>P</i>	OR [95% CI]	<i>P</i>	OR [95% CI]	<i>P</i>
rHOA	4.14 [2.53-6.78]	$1.44 \times 10^{-08}$	3.93 [2.39-6.49]	$8.01 \times 10^{-08}$	3.61 [1.47-8.83]	$4.95 \times 10^{-03}$	3.59 [1.46-8.84]	$5.49 \times 10^{-03}$
JSN	1.86 [1.28-2.72]	$1.19 \times 10^{-03}$	1.82 [1.24-2.66]	$2.14 \times 10^{-03}$	1.67 [1.05-2.64]	0.03	1.81 [1.13-2.89]	0.01
Any OP	2.29 [1.65-3.18]	$8.42 \times 10^{-07}$	2.26 [1.62-3.15]	$1.62 \times 10^{-06}$	2.25 [1.59-3.20]	$5.63 \times 10^{-06}$	2.14 [1.50-3.06]	$2.66 \times 10^{-05}$
Acetabular OP	2.30 [1.58-3.35]	$1.42 \times 10^{-05}$	2.2 [1.50-3.21]	$4.69 \times 10^{-05}$	2.33 [1.58-3.44]	$2.12 \times 10^{-05}$	2.18 [1.47-3.24]	$1.16 \times 10^{-04}$
Superior Femoral OP	3.11 [2.03-4.75]	$1.59 \times 10^{-07}$	3.34 [2.17-5.14]	$3.89 \times 10^{-08}$	2.91 [1.73-4.89]	$5.79 \times 10^{-05}$	2.93 [1.73-4.95]	$6.51 \times 10^{-05}$
Inferior Femoral OP	6.66 [3.64-12.17]	$7.33 \times 10^{-10}$	6.39 [3.46-11.79]	$2.92 \times 10^{-09}$	6.23 [2.61-14.87]	$3.88 \times 10^{-05}$	5.83 [2.41-14.08]	$9.00 \times 10^{-05}$