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Oxidative Stress, Malaria, Sickle Cell Disease, and Innate Immunity

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13 **Keywords:** malaria, sickle cell disease, oxidative stress, high mannose glycans, pathogen
14 associated molecular pattern, damage associated molecular pattern, phagocytosis

15

16 **Abstract**

17 *Plasmodium falciparum* shields from adaptive immunity in erythrocytes, but how might the
18 innate immune system recognize infected cells? Replication by the parasite results in
19 oxidative stress, which causes surface expression of high mannose glycans. These should act
20 as pathogen associated molecular patterns to stimulate phagocytosis in the spleen. The
21 sickle cell allele enhances these responses.

22

23 *Malaria and Immunity*

24 *Plasmodium falciparum*, the main cause of severe malaria, infects ~200 million and kills
25 ~400,000 annually worldwide [1, 2]. Its life cycle in humans is mainly intracellular, which
26 helps avoid binding of circulating antibodies and innate immune adaptor molecules to the
27 parasites' cell walls. The presence of intracellular pathogens is generally signaled to the
28 immune system by pathogen-associated molecular pattern (PAMP) receptors and
29 presentation of foreign antigens on MHC molecules. However, to improve oxygen delivery
30 for maintenance of warm blooded temperatures, mammalian RBCs dispensed with nuclei
31 and most cellular functions, including MHC molecules and most PAMP receptors. *P.*
32 *falciparum's* success in evading adaptive immunity is illustrated by a lack of MHC
33 associations [3]. After replication in RBCs, **merozoites** are released into plasma, so are
34 susceptible to host adaptive and innate immune mechanisms. They therefore enter another
35 red blood cell (RBC), usually within 10 minutes [4]. Subsequently invisible to adaptive
36 immunity, how can the innate immune system detect and mark infected RBC for
37 destruction?

38 Several innate immune receptors are implicated in host responses to malaria, although most
39 induce inflammatory responses rather than target infected cells for destruction [5]. The
40 spleen removes damaged RBCs and plays a crucial role in clearing cells infected by malarial
41 parasites [6]. In the absence of evasive strategies, a human RBC would pass through the
42 spleen around 72-288 times per replicative cycle (5-10% of cardiac output, circulation time 1
43 minute, life cycle 24-48 hours) [2]. To traverse the spleen, infected RBCs must pass through
44 macrophage rich red pulp sinusoids, then squeeze through narrow slits lined by specialized
45 endothelial cells before re-entering the systemic circulation. Failure to navigate through this
46 hostile environment results in phagocytosis. How infected are discriminated from healthy
47 cells remains uncertain, although the increased rigidity known to be caused by the parasites
48 is thought to be important [6].

49

50 *Malaria and Oxidative Stress*

51 RBCs are particularly susceptible to oxidative stress (OS) due to their high concentration of
52 heme moieties containing iron atoms in a state that, free from their binding sites in
53 hemoglobin, catalyze the formation of reactive oxygen species. Mammalian plasmodia took
54 advantage of MHC-free RBCs, but subsequently had to deal with this toxicity. Despite
55 sequestering heme into specialized organelles, infection is still associated with profound OS
56 [7]. Therefore, the discovery of a mechanism inducing an RBC surface '**eat me**' signal in
57 response to OS [8] raised the possibility that it might be used as a pro-phagocytic signal in the
58 context of malaria. Specifically, OS causes cross-links between macromolecules. Most such
59 damaged proteins cannot be repaired and must be removed. Cytoplasmic proteins are mainly
60 degraded by the proteasome. Damaged membrane proteins tend to be delivered to

61 lysosomes, which RBCs are the only mammalian cells to lack. OS in RBCs results in the
62 accumulation of plasma membrane high molecular weight aggregates, which carry N-linked
63 high mannose glycans [8]. These motifs could be recognized by the mannose receptor
64 (CD206), a well characterized immune receptor that belongs to the C-type lectin family [9]. It
65 is able to mediate phagocytosis of high mannose bearing RBCs by macrophages [8]. Infection
66 of RBCs *in vitro* by *P. falciparum* was shown to induce these high mannose bearing aggregates
67 [8], which are therefore putative parasite-specific 'eat me' ligands. Which phagocytic cells
68 might use these motifs as pro-phagocytic signals remains to be established. Most phagocytosis
69 of RBCs infected with malarial parasites takes place in macrophages surrounding splenic red
70 pulp sinusoids, certainly in mice and supported by more limited studies from humans [6]. In
71 mice, CD206 is expressed by both red pulp macrophages and endothelial cells [9]. However,
72 in humans CD206 is expressed only on the specialized Lyve-1⁺ endothelial cells that line the
73 slits through which red cell must pass to exit the splenic red pulp [9]. Thus CD206 may be a
74 more important ligand in mice than humans. In humans, perhaps the endothelial cells
75 mediate high mannose mediated phagocytosis, while other lectins, like DC-SIGN, together
76 with high degrees of cellular rigidity, are used by macrophages. Further uncertainty surrounds
77 the question of how the macrophages react to phagocytosing parasitized cells. Malaria is an
78 inflammatory disease and higher degrees of inflammation are associated with a worse
79 prognosis [2]. The parasite does not induce inflammatory cytokine responses in many
80 macrophages *in vitro* and the source of inflammation in patients remains unclear, although
81 several mechanisms have been proposed [5]. CD206 is generally regarded as an anti-
82 inflammatory receptor [9], so perhaps is used preferentially in cases of less severe disease.

83

84 *Malaria and the sickle cell allele*

85 Relationships between hosts and pathogens are characterized by an evolutionary arms race,
86 with each side's response begetting retaliatory responses. Human hosts have evolved to
87 acquire protective mutations against malarial parasite infections [3]. The best known of these
88 is the sickle cell mutation (*HBB* E6V), heterozygosity for which, sickle cell trait, results in a
89 mixture of hemoglobins (HbAS) compared to wild type (HbAA) [10]. Sickle cell trait confers
90 >90% protection against severe malaria [11], particularly cerebral disease and hemolysis [3].
91 The mutant protein can polymerize and inhibit parasite replication under hypoxic conditions
92 that plausibly pertain following cytoadherence and sequestration into certain organs [12].

93 To avoid passage through the spleen, *P. falciparum* harbors the var gene family, encoding the
94 PfEMP1 family of adhesin proteins, which mediate adherence of infected cells to the
95 endothelium of blood vessels in the systemic circulation [2]. Transcription in any single
96 parasite is restricted to only one of about 60 var genes, with both heterogeneity of expression
97 and a degree of switching after infection contributing to avoidance of adaptive immune
98 responses [2]. Following infection of human RBCs with *P. falciparum*, the sickle mutation
99 exacerbates the redox imbalance of erythrocytes, causing aberrant actin remodeling and
100 downregulating PfEMP1 protein expression relative to RBCs with wild type hemoglobins [13].
101 Passage through the spleen is thereby enhanced by the mutation [14]. The polymorphism
102 might also potentially enhance the expression of high mannose glycans induced by *P.*
103 *falciparum* infection. This hypothesis was validated when a striking inverse correlation was
104 observed between high mannose glycan and PfEMP1 expression in infected RBC from donors
105 with sickle cell trait relative to controls [8]. Thus the redox state of infected cells both
106 upregulates 'eat me' signals and downregulates ligands that prevent interaction of these

107 signals with their cognate pro-phagocytic receptors [8,14]. The observation that the sickle cell
108 mutation protects more strongly against severe anemia than cerebral malaria caused by
109 intracerebral sequestration indicates that the beneficial effects of the mutation might be
110 mediated more strongly through induction of 'eat me' signals than inhibition of sequestration
111 signals [3].

112 Of note, other immunological mechanisms have been invoked to explain, at least in part, how
113 the sickle cell mutation might protect against severe malaria [12]. Immunologically, free
114 plasma heme induces heme oxygenase-1 (HO-1), and via cascading events, protects mice
115 against cerebral malaria [15]. However, we posit that this mechanism is unlikely to be
116 important in humans as it depends on intravascular hemolysis, which is not observed in
117 individuals with sickle cell trait, yet is prominent in those with sickle cell anemia, who have no
118 particular protection against severe malaria. The sickle cell allele might also enhance adaptive
119 immune responses [10], although this remains to be fully assessed and the putative molecular
120 mechanisms for such responses remain elusive.

121

122 ***Summary***

123 Infection of mammalian RBC with malarial parasites leads to OS. This is known to inhibit the
124 mechanism whereby the parasite trafficks adhesins to the RBC surface, so increasing their
125 passage through the spleen (Figure 1). OS is also now known to cause surface expression of
126 oxidatively cross-linked protein aggregates bearing N-linked high mannose glycans [8]. These
127 are likely to act as 'eat me' signals in the spleen, mediated by mannose receptors that include
128 the mannose receptor (CD206). Thus high mannose glycans originally acted physiologically as

129 damage associated molecular patterns (**DAMPs**), but in the context of malaria, have been
130 recruited to act as pathogen associated molecular patterns (**PAMPs**).

131 In humans, these effects of OS are enhanced if infected cells express sickle cell hemoglobin in
132 a heterozygous context. We find it intriguing that so many anti-malarial drugs (including
133 chloroquine, primaquine, artemisinin, dapson, sulfadoxine, pyrimethamine) have prominent
134 oxidative properties [7]. Although conjectural, we propose that these drugs might work not
135 only by inhibiting parasite growth directly, but perhaps also as enhancers of innate immunity,
136 in an analogous way to the multi-effect sickle cell mutation. Nevertheless, these hypotheses
137 remain to be tested. Incorporating phenotypes of OS into drug screening assays might help
138 inform the development of more effective anti-malarial drugs in the future.

139

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142

143 **Authors' contributions**

144 HC and MAV wrote the article.

145

146 **Declaration of Interests**

147 The University of Aberdeen has been granted a patent based on the work described in
148 reference 8.

149

150 **Glossary**

151 *Damage-associated molecular pattern (DAMP)*

152 Ligands carried on the surface of, or secreted by, cells in distress. They are recognized by
153 pattern recognition receptors to provoke repair and inflammatory responses.

154

155 *Eat me signal*

156 Ligands expressed on the surface of cells that interact with potentially phagocytic cells to
157 stimulate their uptake

158

159 *Heme*

160 A tetradentate ligand comprising porphyrins that chelates iron atoms in a form that can
161 reversibly bind oxygen molecules. Heme is tightly bound to hemoglobin molecules, as the
162 iron atoms are held in a valency state that stimulates the production of reactive oxygen
163 species and thus oxidative stress.

164

165 *Merozoites*

166 The form of *P. falciparum* released from hepatocytes and RBCs that has the ability to enter
167 into, and replicate within, RBCs.

168

169 *Pathogen-associated molecular pattern (PAMP)*

170 Ligands carried on the surface of potentially pathogenic infectious agents. They are
171 recognized by pattern recognition receptors to provoke immune responses.

172

173 *Schizonts*

174 The last stage of replication of *P. falciparum* in RBCs before formation and release of
175 merozoites.

176

177 *Trophozoites*

178 The stage of replication of *P. falciparum* in RBCs formed after merozoites begin to replicate
179 and before schizont formation. They account for the majority of parasites in clinical malaria.

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217

218 **Figure 1: sickle cell hemoglobin inhibits the replication of *P. falciparum* in and surface**
219 **expression of adhesins on human red blood cells (RBCs), but enhances the surface**
220 **expression of high manose glycans, which likely mediate RBC phagocytosis in the spleen.**

221 *P. falciparum* merozoites, shown in blue on the left, invade red blood cells. Wild type cells,
222 shown above, contain normal hemoglobin (HbAA), while those with sickle cell trait, shown
223 below, contain a mixture of hemoglobins (HbAS). As replication proceeds through
224 trophozoite and schizont stages, in cells with sickle cell hemoglobin oxidative stress is
225 greater, resulting in (i) slower parasite replication, (ii) fewer/late adhesin expression on
226 RBCs [13,14] (shown in yellow), (iii) a degree of sickling [12], and (iv) greater/earlier
227 expression of cross-linked membrane protein aggregates bearing high mannoses [8] (shown
228 as dark green circles). Fewer adhesins result in less binding to ligands expressed on
229 peripheral vascular endothelium (shown as light green), so less sequestration in hypoxic
230 tissues and more frequent passage of infected RBCs through the spleen [14], where
231 recognition of high mannoses by mannose receptors results in more efficient uptake by
232 phagocytic cells [8] (shown in purple).

233