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Directing the H₂-driven Selective Regeneration of NADH via Sn-doped Pt/SiO₂

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H₂-driven NADH regeneration has long suffered from low selectivity when non-enzymatic, particularly heterogeneous catalysts, are used. In addition to the unselective nature of the catalysts, the typically unmeasured NAD⁺ conversion has inevitably hindered catalyst development. Here we report Sn-doped Pt/SiO₂ catalysts for the selective regeneration of NADH and show that doping Pt/SiO₂ with 10 at.% Sn can deliver a selectivity of 90% (at full conversion) using H₂. We propose that this is a result of Sn disturbing the Pt ensemble, altering the mode of NAD⁺ adsorption and directing the reduction to the 1,4- position of the nicotinamide ring.

Oxidoreductases constitute the largest group of the six different functional classes of enzymes and are capable of performing a wide range of industrially significant reactions under environmentally benign conditions with unparalleled selectivity.¹⁻³ They have been utilised for a broad scope of applications, ranging from the production of chiral compounds for the pharmaceutical sector to atmospheric CO₂ reduction to green fuels.⁴⁻⁶ To perform these reactions, the addition of the reduced form of the redox cofactor, nicotinamide adenine dinucleotide (1,4-NAD(P)H), is required. During the course of an NAD-dependant enzymatic reduction, hydride transfer occurs to the substrate from 1,4-NAD(P)H, with the latter concurrently oxidised to NAD(P)⁺ (Fig. 1). Due its high price and stoichiometric consumption, regeneration of the cofactor is essential to make these reactions commercially viable.⁷

Various approaches have been taken to the regeneration of 1,4-NAD(P)H (i.e. the reduction of NAD(P)⁺ back to 1,4-NAD(P)H),

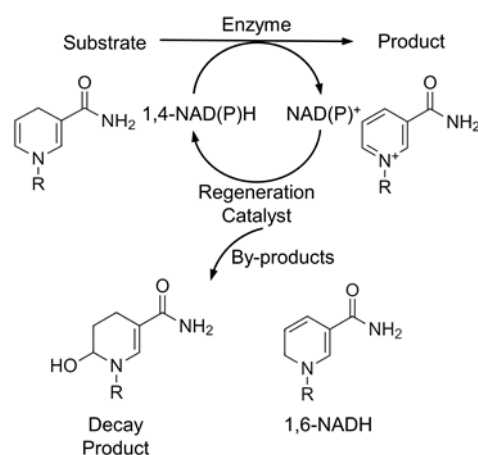


Fig. 1 Schematic of an NAD-dependent bioreduction with *in situ* 1,4-NAD(P)H regeneration and the by-products that are formed in this study, R: adenine dinucleotide.

including the use of both enzymatic and non-enzymatic systems.^{8,9} Enzymatic regeneration has been a popular approach and due to the inherent selectivity of enzymes, exclusive formation of 1,4-NAD(P)H is achieved.^{10,11} However, because of the high cost and instability of the enzymes, along with the complexity of product separation due to the need for sacrificial substrates/products/enzymes, research into non-enzymatic regeneration is becoming more appealing.¹² Non-enzymatic regeneration has spread across most types of catalysis, including photocatalysis, electrocatalysis, homogeneous and more recently, heterogeneous catalysis.¹³⁻²⁵ However, process sustainability is still a major challenge, e.g. use of water-soluble electron mediators, photosensitisers, sacrificial electron donors or organometallic complexes, with subsequent cost and energy intensive separation.

The capability of employing H₂ as a clean reducing agent and the ease of separation/recyclability make recently developed supported metal systems an attractive option. However, selectivity has been a crucial challenge. For example, we have studied Pt on a range of supports (SiO₂, C, MgO and Fe₃O₄),

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achieving a maximum selectivity to 1,4-NADH of 25% when NAD^+ is fully consumed.^{17,26} Recently, Yang *et al.* assessed a group of Pt/ TiO_2 catalysts in H_2 and achieved a selectivity (and yield) of 63%.²⁷ To date, supported metal catalysts have failed to deliver any further improvements in selectivity/yield. To overcome this, Vincent *et al.* have borrowed the natural performance of enzymes and used a commercial Pd/C (and carbon) to adsorb NAD^+ reductase, which then exhibits exclusive selectivity to 1,4-NADH.²⁸ Nevertheless, developing effective strategies to improve the intrinsic selectivity of supported metals alone has not been achieved, constituting a challenge that remains unsolved. Bimetallic alloy catalysts, as excellent candidates for selective hydrogenation reactions,²⁹⁻³¹ may potentially contribute also to selective NADH regeneration. In this work, we propose to direct the selectivity by controlling the mode of NAD^+ adsorption on Pt and therefore have designed a group of Sn-doped Pt/ SiO_2 catalysts intended to contain interrupted Pt ensembles. The results demonstrate that PtSn alloys enhance the selectivity of 1,4-NADH from 30 to 90%, the highest yet achieved with a heterogeneous catalyst to our knowledge.

We envisioned that by doping Pt/ SiO_2 catalysts with Sn, we could direct the selectivity by controlling the mode of NAD^+ adsorption on Pt. With that in mind, a series of PtSn/ SiO_2 catalysts were prepared by wet impregnation whereby SiO_2 was co-impregnated with aqueous solutions of H_2PtCl_6 and SnCl_2 (full details in the Supplementary Information). In all cases, the Pt loading was fixed at 1 wt.% and the amount of Sn added is expressed in terms of nominal atomic percent (at.%). For example, Pt90 refers to a catalyst containing 90 at.% Pt and 10 at.% Sn supported on SiO_2 . A 1 wt.% Pt/ SiO_2 (labelled as Pt100) was also prepared by wet impregnation for comparison purposes. TEM images of Pt100 reveal well dispersed spherical Pt nanoparticles with a narrow size distribution and an average particle diameter of 2.2 nm (Fig. 2a). Sn doping results in an

increase in the average particle size to 11.1, 10.9 and 11.1 nm for Pt90, Pt75 and Pt50, respectively (Table 1 and Fig. 2b-d) and is consistent with the literature for this type of preparation.^{32,33} The actual Pt and Sn loadings were determined by ICP analysis (see Table 1), which are in excellent agreement with the nominal ones. STEM/EDX results for the Sn-doped catalysts are shown in Fig. 2e, f and g. It can be seen that although there are some areas of isolated Pt and Sn, the majority of the Pt and Sn is located within the same regions, showing that bimetallic PtSn nanoparticles have been formed. Elemental composition determined by EDX of Pt90 is very close to the nominal composition (Pt91Sn9 vs Pt90Sn10, respectively), indicating a homogeneous distribution of elements across the support. However, upon increasing Sn addition, the elemental composition determined by EDX differs from the nominal composition. The elemental composition determined by EDX of Pt75 is Pt84Sn16 and Pt50 is Pt39Sn61, showing a more heterogeneous distribution of elements as the amount of Sn-doping increases, which is not uncommon with the higher degrees of Sn-doping.^{34,35}

Fig. 3a shows the XRD patterns of the catalysts with Pt100 exhibiting peaks at 39.7, 46.2, 67.7 and 81.5°, corresponding to the (111), (200), (220) and (311) reflections typical of the face-centred cubic (fcc) crystallite structure of Pt (JCPDS-ICDD 00-004-0802). Upon Sn addition (Pt90, Pt75 and Pt50), the peaks corresponding to fcc Pt decrease and peaks at 30.1, 41.8, 44.1 and 62.5° appear, which can be attributed to (101), (102), (110) and (202) reflections, indicative of a hexagonal PtSn alloy (JCPDS-ICDD 00-025-0614). The diffraction peaks of the Pt (100) reflections are consistently shifted to lower 2θ values with increasing Sn addition, indicating enhanced Sn incorporation into the fcc structure of Pt.^{36,37} Due to the large ionic radius of Sn relative to Pt, examination of the (220) reflections reveals an expansion of the lattice parameter (from 3.915 to 3.965 Å) as Sn addition increases (Table 1), providing further evidence of the

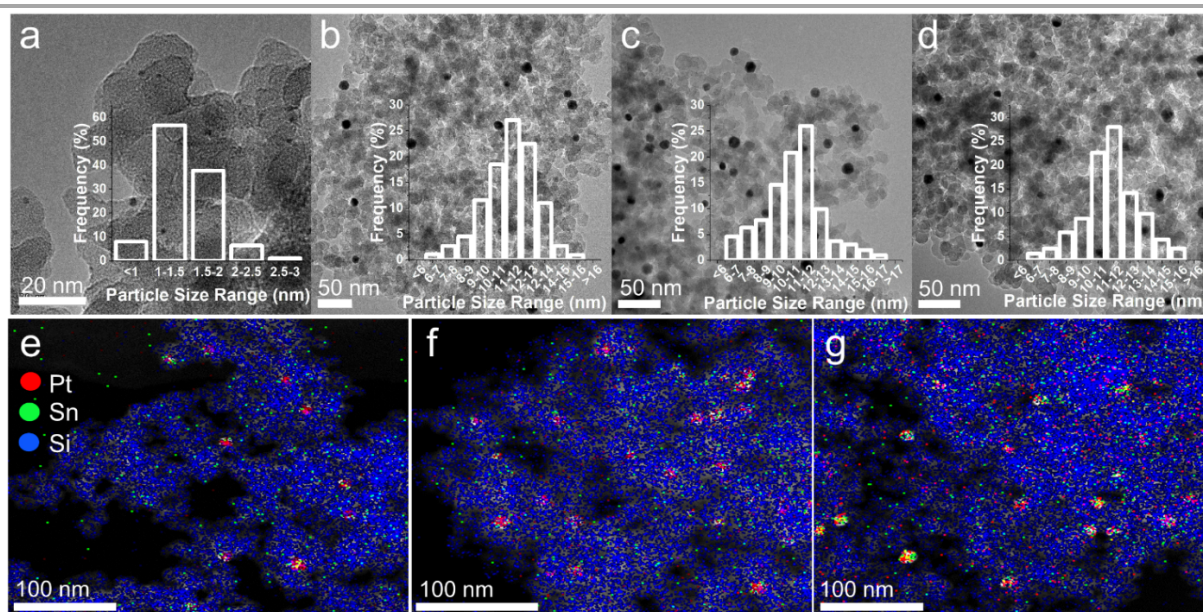


Fig. 2 Representative TEM images of (a) Pt100, (b) Pt90, (c) Pt75 and (d) Pt50, with the associated particle size distribution histograms. STEM/EDX images of (e) Pt90, (f) Pt75 and (g) Pt50.

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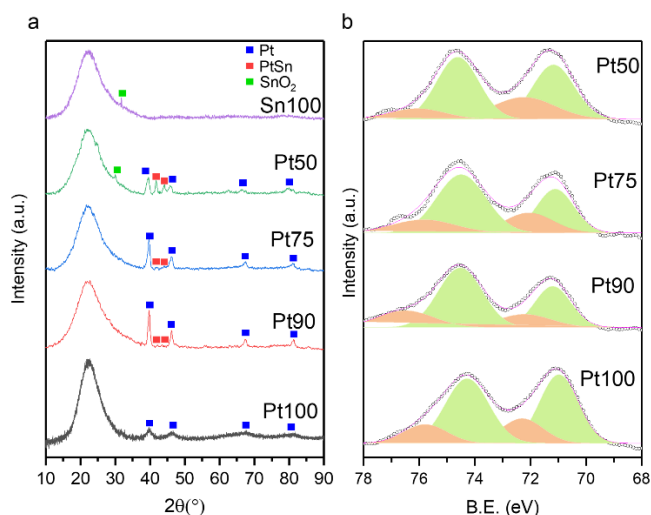


Fig. 3: (a) XRD patterns of the Pt and Sn-doped Pt catalysts, with Sn/SiO₂ as a reference. (b) Pt 4f XPS spectra of the set of catalysts showing Pt⁰ (green), Pt²⁺ (orange) and experimental data (circles).

extent of alloy formation. N₂ physisorption experiments reveal that all catalysts exhibit type IV isotherms with a hysteresis loop characteristic of mesoporous materials (Fig. S1a-d). The surface area, pore volume and pore sizes of the catalysts are very similar (154 m² g⁻¹ (±16%), 0.86 cm³ g⁻¹ (±6%) and 27.4 nm (±3%), respectively).

The CO chemisorption capacities of the catalysts are summarised in Table 1. The irreversible chemisorption of CO is observed in all catalysts and the amount of CO chemisorption decreased with increasing Sn incorporation. Since the particle sizes of the Sn doped catalysts are similar, the addition of Sn will dilute the surface Pt ensembles and thus result in less CO chemisorption as the amount of Sn incorporation increases. XPS was used to probe the surface chemical composition of the catalysts and is shown in Fig. 3b. The binding energies of Pt 4f_{5/2} and Pt 4f_{7/2} in the undoped catalyst (Pt100) are centred around 71.1 and 74.3 eV but deconvolution reveals the presence of small amounts of Pt²⁺. The composition of Pt oxidation states in all catalysts is summarised in Table 1 and it can be observed that the amount of metallic Pt increases (72 to 80%) as the amount of Sn increases. This is in line with the Sn 3d spectra shown in Figure S2, where a clear positive shift can be observed with increasing Sn, evidencing the electron transfer from Sn to Pt. As the extent of Sn doping increases, there is an observed positive shift in binding energies (+0.5 eV in Pt50) of the Pt 4f_{5/2} and Pt 4f_{7/2} peaks, indicating PtSn alloy formation consistent with previous literature.³⁸⁻⁴⁰ For example, Chen *et al.* observed a shift of +0.6 eV and Li *et al.* a shift of +0.4 eV with their supported

Table 1. Summary of the key physicochemical properties of the Pt and Sn-doped Pt catalysts.

| | Pt100 | Pt90 | Pt75 | Pt50 |
|--|-------|-------|-------|-------|
| Pt (at.%) | 100 | 90 | 75 | 50 |
| Sn (at.%) | 0 | 10 | 25 | 50 |
| CO/Pt | 0.35 | 0.09 | 0.07 | 0.05 |
| d _{TEM} (nm) | 2.2 | 11.1 | 10.9 | 11.1 |
| Pt (wt.%) ^a | 1.01 | 0.98 | 1.00 | 0.98 |
| Sn (wt.%) ^a | - | 0.07 | 0.21 | 0.62 |
| Sn/Pt (atomic) ^a | 0 | 10/85 | 25/73 | 50/48 |
| Surface Area (m ² g ⁻¹) | 165 | 145 | 152 | 151 |
| Pt ⁰ (%) ^b | 72 | 75 | 77 | 80 |
| Pt ²⁺ (%) ^b | 28 | 25 | 23 | 20 |
| 2θ _{max} (°) | 67.7 | 67.5 | 67.1 | 66.7 |
| Lattice Parameter (Å) ^c | 3.913 | 3.924 | 3.942 | 3.965 |
| TOF (h ⁻¹) | 1462 | 514 | 309 | 93 |
| S _{1,4} -NADH (%) ^d | 30 | 90 | 82 | 71 |

^a determined by ICP-OES; ^b determined by XPS; ^c determined by XRD; ^d at full conversions except Pt50.

bimetallic PtSn catalysts.^{39,40}

The catalytic performance of the Sn doped Pt/SiO₂ catalysts for NADH regeneration with hydrogen were evaluated as described in the Supplementary Information, and the time on stream profiles are shown in Fig. 4. Although NAD⁺ conversion can reveal fundamental and important characteristics of the regeneration reaction, due to the difficulty of its experimental examination, it is hardly reported in the literature.⁴¹ Here we employed a laboratory-developed analytical method based on enzymatic assays and measured the conversion data for each experimental points.⁴² The Pt100, Pt90 and Pt75 catalysts all show an NAD⁺ conversion approaching 100% after >80 minutes reaction time, whereas the Pt50 catalyst plateaus at around 45% conversion. Taking account of the surface Pt concentrations determined by CO chemisorption shows TOF values increasing with decreasing Sn concentration, with Pt50, Pt75, Pt90 and Pt100 achieving TOFs of 93, 309, 514 and 1462 h⁻¹, respectively. The cause of the reduction in activity is that increasing the extent of Sn doping will cause a dilution of Pt adsorption ensembles. It was suggested by Yang *et al.* that the hydrogenation of NAD⁺ on Pt (supported on TiO₂) occurs by the end-on adsorption of the nicotinamide ring via the carbonyl group,²⁷ and since less surface Pt is available to accommodate CO adsorption, this is likely to contribute to the reduction in activity.

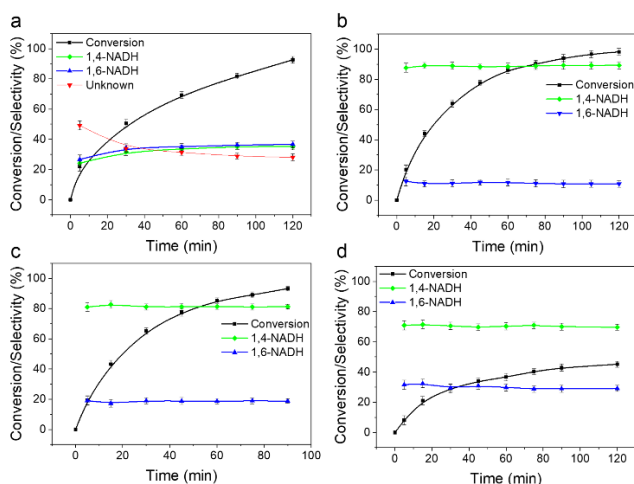


Fig. 4 Conversion and selectivity as a function of time for (a) Pt100, (b) Pt90, (c) Pt75 and (d) Pt50. Reaction conditions: 1.5 mM NAD⁺ (a) or 100 μ M NAD⁺ (b-d), 0.005 g (a and b)/0.010 g (c)/0.020 g (d) of catalyst, 22 $^{\circ}$ C, 9 bar H₂ in a 0.1 M, pH 7 phosphate buffer.

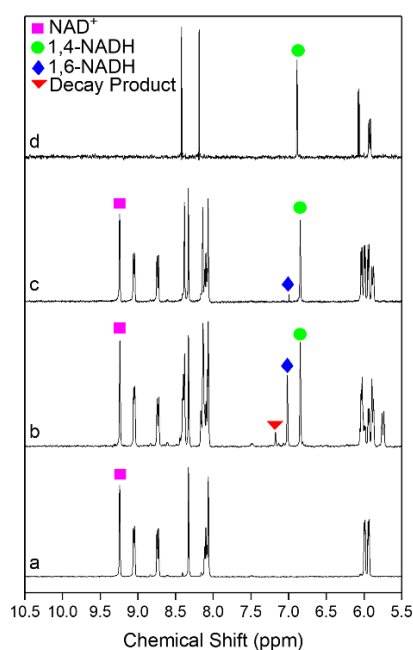


Fig. 5 ¹H NMR spectra of (a) NAD⁺ (6 mM, 0.1 M pH 7 phosphate buffer in D₂O), (b) reaction mixture of Pt100 (6 mM NAD⁺, 0.1 M pH 7 phosphate buffer in D₂O, 40 mg of catalyst, 22 $^{\circ}$ C and 9 bar of H₂), (c) reaction mixture of Pt90 (6 mM NAD⁺, 0.1 M pH 7 phosphate buffer in D₂O, 40 mg of catalyst, 22 $^{\circ}$ C and 9 bar of H₂) and (d) 1,4-NADH (6 mM, 0.1 M pH 7 phosphate buffer in D₂O).

Enzymatic assays⁴² were first used to systematically examine the selectivity data shown in Fig. 4. Sn doping has a clear effect on the selectivity to 1,4-NADH. The only products detected by the assays for the Sn doped catalysts are 1,4- and 1,6-NADH (with already closed mass balance), i.e. no production of the 6-hydroxytetrahydropyridine previously reported to be formed by Pt/SiO₂ catalysed decay of 1,4-NADH.¹⁷ The optimum catalyst is the Pt90, which gives a selectivity to 1,4-NADH of ~90% at all conversions; this decreases as the Sn content is further increased. The maximum yields of 1,4-NADH of 88% and 76% for the Pt90 and Pt75 catalysts are significantly improved from those previously reported for Pt/TiO₂ (up to 63%).²⁷ The selectivity of the reaction was then investigated by analysing

products with ¹H NMR spectroscopy. Fig. 5 shows the ¹H NMR spectra of the pure reactant (NAD⁺), desired product (1,4-NADH) and the reaction mixture obtained from Pt100 and Pt90 catalysts at ~50% conversion. The ¹H NMR spectra of NAD⁺ (Fig. 5a) and 1,4-NADH (Fig. 5d) shows the main peaks of interest that are characteristic of these molecules. These are singlets located at 9.20 ppm and 6.86 ppm, which correspond to the 2-H of the nicotinamide ring of NAD⁺ and 1,4-NADH, respectively. Fig. 5b shows the resulting ¹H NMR spectrum obtained from the regeneration reaction using Pt100. It can be seen that the spectrum contains the characteristic singlets at 9.20 ppm and 6.86 ppm of NAD⁺ and 1,4-NADH, but also contains two new peaks at 7.01 and 7.13 ppm. The appearance of the two new peaks are attributed to the formation of 1,6-NADH and the decay product (6-hydroxytetrahydropyridine), respectively.¹⁷ The NMR spectrum obtained from the Pt90 catalyst (Fig. 5c) shows only the signals of 1,4-NADH with a trace of 1,6-NADH, indicating a much more selective response and consistent with the enzymatic assay results.

The independence of selectivity from conversion of the Sn-doped catalysts suggests a parallel reaction pathway/mechanism with the relative rates of 1,4- and 1,6-NADH formation determined by the amount of Sn. On the pure Pt surface of Pt100, adsorption of the nicotinamide ring is likely to occur in a planar fashion, resulting in unselective reduction of the nicotinamide ring. However, Sn incorporation will hinder the planar adsorption of the ring and end-on adsorption (via the 1,4 position) is likely to be preferred, especially since this is the least sterically hindered position of the ring, increasing the selectivity to 1,4-NADH. Although a precise mechanistic understanding has yet to be realised, the results show a clear trend between the degree of PtSn alloying and selectivity, attributable to differing adsorption modes of NAD⁺.

Conclusions

In summary, a series of Sn doped Pt/SiO₂ catalysts were prepared and tested for the regeneration of 1,4-NADH using H₂. It was found that the catalyst doped with the lowest amount of Sn (Pt90) gave rise to the highest selectivity to 1,4-NADH, achieving 90%. This is a significant improvement on the previously reported heterogeneous catalysts (which attained a maximum selectivity of 63%²⁷). The improved selectivity can be attributed to directing the adsorption mode of NAD⁺ by disturbing the Pt ensemble. We hope these results will not only show the feasibility of heterogeneous catalysts for NADH regeneration but also provide a strategy for designing selective regeneration catalysts.

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Conflicts of interest

There are no conflicts to declare.

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Supplementary Information

Directing the H₂-driven Selective Regeneration of NADH via Sn-doped Pt/SiO₂

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Experimental

Materials

β -Nicotinamide adenine dinucleotide hydrate (NAD^+ , $\geq 96.5\%$), β -nicotinamide adenine dinucleotide reduced disodium salt hydrate (NADH , $\geq 94\%$), potassium phosphate monobasic (KH_2PO_4 , $\geq 99\%$), potassium phosphate dibasic trihydrate ($\text{K}_2\text{HPO}_4 \cdot 3\text{H}_2\text{O}$, $\geq 99\%$), hexachloroplatinic acid solution (H_2PtCl_6 , 8% in water), tin(II) chloride (SnCl_2 , $\geq 99\%$), and silicon dioxide (SiO_2 , 99.5%) were obtained from Sigma-Aldrich. EnzyChrom™ NAD/NADH assay kit was purchased from Universal Biologicals. All the chemicals were used as received without further purification. The H_2 and N_2 gases of ultrahigh purity ($\geq 99.99\%$) were supplied by BOC.

Catalyst Preparation and Characterisation

Pt-Sn/ SiO_2 catalysts were prepared by wet impregnation whereby SiO_2 was co-impregnated with aqueous solutions of H_2PtCl_6 and SnCl_2 . After impregnation, the mixture was heated to 80 °C until a slurry formed and then dried in static air overnight at 110 °C. The catalysts were calcined in static air at 500 °C for 4 hrs then reduced under 10 % H_2/N_2 at 500 °C for 4 hrs. In all cases, the Pt loading was fixed at 1 wt.% and the amount of Sn added is expressed in terms of nominal atomic percent. For example, Pt90 refers to a catalyst containing 90 at.% Pt and 10 at.% Sn supported on SiO_2 . A 1 wt.% Pt/ SiO_2 (Pt100) was also prepared by wet impregnation for comparison purposes.

CO chemisorption experiments were performed using a Thermo Scientific TPDRO 1100 at 35 °C. The catalysts (~0.1 g) were re-reduced in 5 % H_2/He (500 °C, 30 min) then flushed with He (500 °C, 30 min) and then cooled to 35 °C under He. Known volumes of CO (equating to ~2.3 μmoles) were pulsed over the catalysts until no further adsorption occurred. Blank experiments using SiO_2 and Sn/ SiO_2 were

performed and no CO uptake was observed. Transmission electron microscopy (TEM) was used to determine the particle size and morphology. A JEM-2100F was utilised at 200 kV and a minimum of 300 particles were measured to determine average particle sizes and size distributions histograms. STEM/EDX analysis was carried out using JEM-F200 (JOEL) Field Emission Transmission Electron Microscope at 200 kV. Metal loading was analysed by inductive coupled plasma emission spectrometer (ICP-OES, Varian VISTA-MPX). Aqua regia solution (HCl: HNO₃ = 3:1, v/v) was used to dissolve the metals while hydrofluoric acid (HF) was used for the SiO₂ support. The diffraction patterns were studied using X-Ray Diffraction (XRD) using a Rigaku D/max-2500 instrument (Cu K α , 0.154 nm). Samples were scanned at 0.02° step⁻¹ over the range 10° ≤ 2 θ ≤ 90° and the diffractograms identified against the JCPDS-ICDD database. Surface area and porosity were investigated with N₂ adsorption/desorption using a Micromeritics ASAP 2460 at -196 °C and the BET method was applied to determine the surface area. X-ray photoelectron spectroscopy (XPS) was carried out on a Perkin Elmer PHI 1600 using a monochromatic Al K α source and a pass energy of 50 eV. ¹H NMR experiments were all performed in D₂O using an Advance III HD 400 Bruker Daltonics NMR at room temperature.

Catalyst Testing

Liquid phase hydrogenation of NAD⁺ was carried out in a Parr-5500 Compact Reactor at ambient temperature (22 °C). In a typical reaction, NAD⁺ was dissolved in 50 ml of 0.1 M, pH 7 phosphate buffer and then a specific mass of catalyst (0.005-0.020 g) was added, and then the mixture of reactant and catalyst was loaded into the reactor. The reactor was stirred at 900 rpm, flushed 3 times with 5 bar of N₂ then pressurised with 9 bar of H₂, initiating the reaction. Samples were taken using a non-invasive sampling system and the reactant and products were quantified using a combination of UV-Vis

spectroscopy (Jenway 6850) and enzymatic assays as described in our previous work.¹ It is noteworthy that we have developed such analytical methods specifically for the experimental quantification of NAD⁺/NADH systems allowing the calculation of conversion of NAD⁺ (Eq. 1), selectivity (Eq. 2) of 1,4-NADH, 1,2-NADH and 1,6-NADH and material/carbon balance ($\pm 2\%$ in this work). In the case of Pt100, other product(s), defined as unknown, can be determined by closing the mass balance. ¹H NMR was also employed in conjunction with the developed analytical method, whereby the reactions using Pt100 and Pt90 were repeated using a higher concentration of NAD⁺, to ensure good visibility in the ¹H NMR spectra. Specifically, 6 mM of NAD⁺ was dissolved in a 0.1 M, pH 7 phosphate buffer in D₂O and 40 mg of catalyst was used. After ~50 % conversion was achieved (determined by UV-Vis spectroscopy and enzymatic assays), the sample was taken and the ¹H NMR spectra was obtained. All the analyses were conducted on an Advance III HD 400 NMR from Bruker Daltonics (equipped with shielded z-gradient coils for gradient spectroscopy (GRASP)) at room temperature.

The activity of the catalysts was assessed using turnover frequency (TOF), calculated based on the moles of NAD⁺ converted (in the initial 5 min of the reaction) over the moles of Pt active sites (determined by CO chemisorption). Conversion and selectivity were determined using the following equations, respectively. Blank tests using SiO₂ and Sn/SiO₂ were performed and both catalysts were inactive for the hydrogenation of NAD⁺. Error bars were obtained from triplicate experiments.

$$X_{\text{NAD}^+} (\%) = \frac{C_{\text{NAD}^+, \text{initial}} (\text{mM}) - C_{\text{NAD}^+, \text{left}} (\text{mM})}{C_{\text{NAD}^+, \text{initial}} (\text{mM})} \times 100 \quad (1)$$

$$\textit{Selectivity}_{\text{species}} (\%) = \frac{C_{\text{species}} (\text{mM})}{C_{\text{NAD}^+, \text{reacted}} (\text{mM})} \times 100 \quad (2)$$

Characterisation Figure

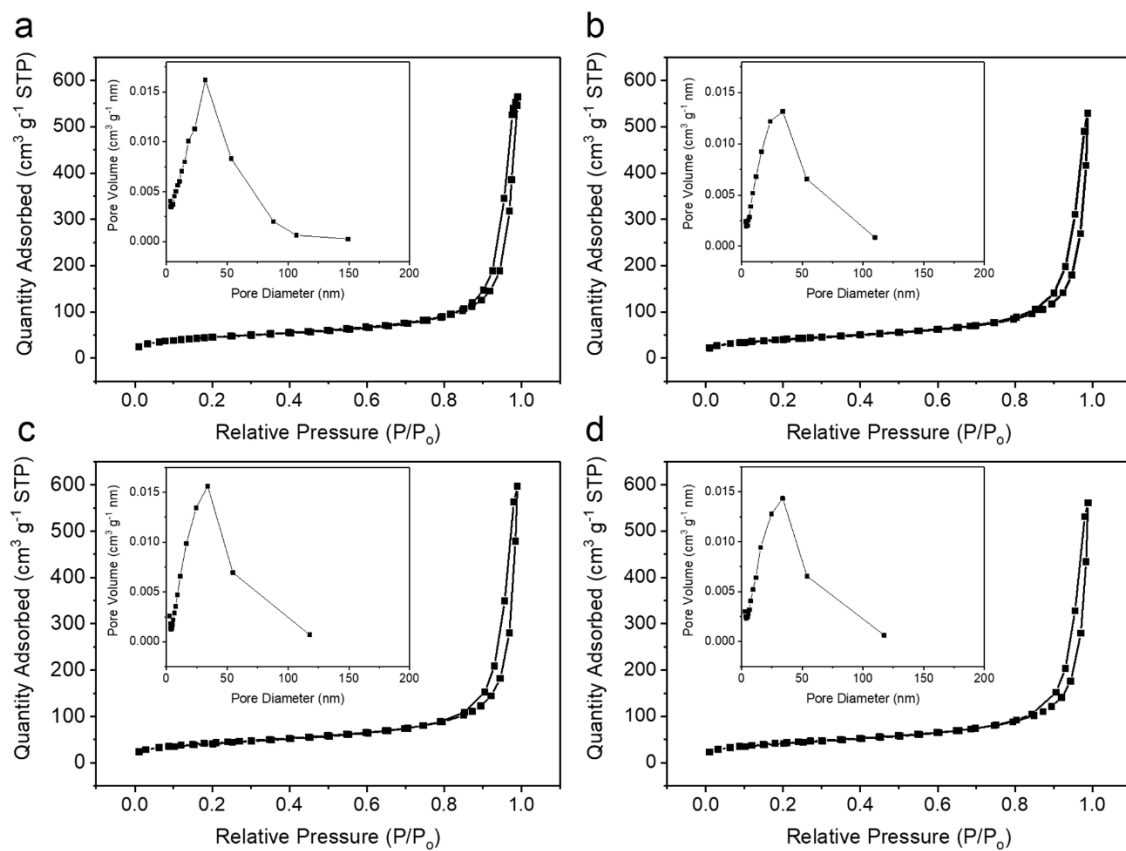


Figure S1: N₂ adsorption/desorption isotherms for (a) Pt100, (b) Pt90, (c) Pt75 and (d) Pt50. Inset: corresponding pore size distributions.

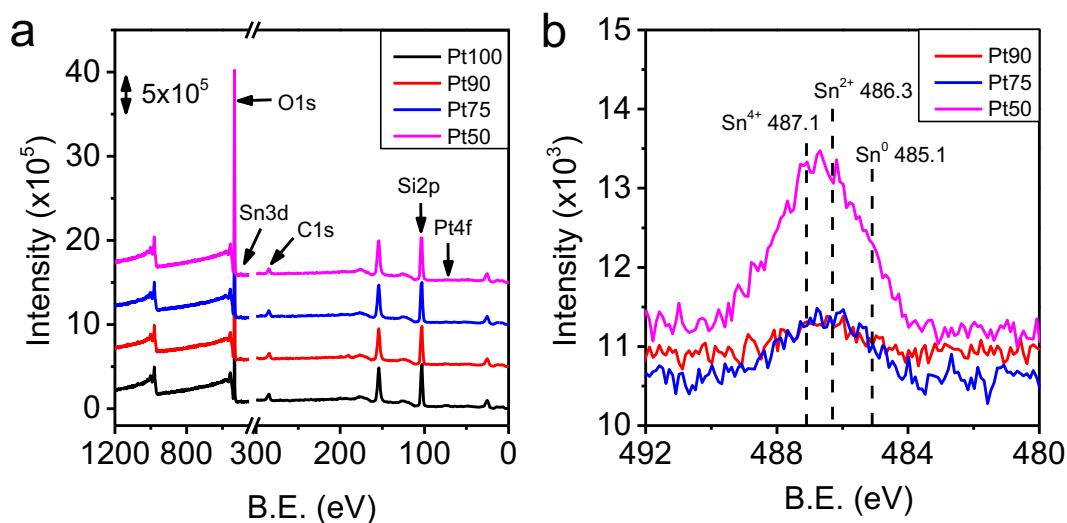


Figure S2: (a) Full XPS scans of catalysts and (b) spectra over the Sn 3d region.

The full scan XP spectra of Pt mono- and bi- metallic catalysts are shown in Figure S2(a). The detailed Sn 3d profiles (Figure S2(b)) have presented a high shift to 486.3 and 487.1 eV, corresponding to the positive charged Sn with valences of +2 and +4. Compared to the metallic Sn⁰ state (485.1 eV), it evidenced the electron donation from Sn to Pt.² This is consistent with the Pt 4f results shown in the main text, where increasing Sn content lowers the oxidation state of Pt.

References:

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2. H. Rong, Z. Niu, Y. Zhao, H. Cheng, Z. Li, L. Ma, J. Li, S. Wei and Y. Li, *Chem. Eur. J.*, 2015, **21**, 12034-12041.