# **1** AFM characterization of physical properties in coal adsorbed

# 2 with different cations induced by electric pulse fracturing

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## 12 Abstract

13 Pore system in coal is highly heterogeneous, while it is the main occurrence space and 14 transport channel for coalbed methane (CBM). Electric pulse fracturing (EPF) has been 15 considered as an effective approach to improve the coal physical properties for better CBM production. In this work, based on AFM measurement of 40 coal samples 16 collected from Qinshui Basin, we evaluated the physical properties of coal, adsorbed 17 with different cations, after EPF. This was accomplished by first analyzing the 18 19 breakdown field response process of coal adsorbed with different cations, and then determining the dynamic changes of pore and surface roughness using the watershed 20

21	method. In the PeakForce QNM <sup>TM</sup> model, the heterogeneous distribution of the
22	adhesion force with increasing cation valence was quantified. The results show that the
23	ability of medium- and high-rank coals to adsorb cations exhibits in the order of $Fe^{3+}$ >
24	$Ca^{2+} > K^+$ , attributed to the decrease in the radius of hydrated ions with increasing ionic
25	valence. When the electric field is applied to both ends of coal sample, the plasma
26	channels in coal generate huge energy, resulting in the temperature rise of pore-fracture
27	and throat. Affected by EPF, the porosity of Chengzhuang (CZ) increases from 4.1% to
28	27.4%, greater than that of Qiyi (QY) from 6.7% to 14.5%. In the surface morphology
29	tests, the surface skewness $R_{sk}$ of QY adsorbed with different valence cations shifts from
30	positive to negative values, reflecting the change of coal surface height from the right-
31	skewed normal distribution to the left-skewed one. Moreover, the area where the
32	surface height of QY adsorbed cation is below the average value gradually increases
33	with the increase of the chemical valence. For micromechanical properties, the adhesion
34	force of CZ adsorbed with different cations ranges from 0.9 to 20.7 nN, which is less
35	than QY overall. This is mainly due to the weakening of intermolecular forces on the
36	coal surface with the deepening of coalification, resulting in a decrease in adhesion
37	force. Therefore, this study provides new insights into the differences in gas production
38	mechanisms of CBM wells in the same coal seam from different regions.

39 *Keywords:* Coal; AFM; Cation; Electric pulse fracturing, Physical properties

# 40 1. Introduction

As an essential fossil resource, the green mining of coal has become a key theme
for efficient energy utilization in the era of "carbon peaking and carbon neutrality" [1-

6]. Coal reservoir, containing special properties such as multi-scale pore-fractures, large specific surface area and fluid conduction medium, results in diverse production modes of coalbed methane (CBM) in different areas [7, 8]. The geological resources of CBM in China with a depth of 2000 m are  $30 \times 10^{12}$  m<sup>3</sup> [9-11], among which the recoverable resources in favorable areas with realistic exploitable value are  $4 \times 10^{12}$  m<sup>3</sup>, mainly distributed in the southern Qinshui Basin, the eastern of Ordos Basin and the southern Junggar Basin [12, 13].

The material composition, microstructure, and fluid-solid interface characteristics 50 51 of coal reservoirs differ significantly from conventional oil and gas reservoirs [14-16], leading to a more complex fluid transfer theory in coal. These are mainly reflected in: 52 (i) the existence of a large number of micro-and nano-pore spaces in coal reservoirs, 53 54 which adsorb nearly 90% of CBM, controlling the occurrence and output of CBM [17]; (ii) the expansion of pores at the edge of coal samples under the action of stress to form 55 large pores and fracture structures, leading to the increase of porosity [18]; (iii) the 56 existence of dynamic geological interactions between coal matrix and mixed 57 multiphase fluids under pressure, stress and temperature environments [19]; (iv) the 58 process of CBM production including the multi-stage mass transfer effect of 59 desorption-diffusion-seepage [20]. Therefore, it is necessary to further clarify the 60 physical characteristics of coal reservoirs to improve the recovery of CBM [21-24]. 61

Coal, as a dual porous medium, consists of matrix pore and fracture system [25, 26].
Among them, matrix pore is the main storage space for methane, and fracture is the
main seepage channel for fluid [6]. Affected by metamorphism, sedimentary

environment, tectonism and magmatic activity, the physicochemical properties of coal 65 reservoirs in the same block vary. Generally, the higher the content of vitrinite and 66 67 semifusinite, the larger the pore volume of coal [27]. In contrast, the heterogeneity of coal with high content of inertinite and mineral is stronger [27, 28]. The porosity of 68 coal shows a "U" trend with vitrinite reflectance and a negative correlation with ash 69 content [29]. The pore-fracture is closely related to the seepage effect, as shown by the 70 increased permeability with the fracture surface density. When the direction of osmotic 71 pressure is parallel to the fracture surface [30], the permeability of coal reaches the 72 73 maximum. In short, many scholars believe that the physical differences in coal reservoirs are caused by the coupling effect of effective stress and fluid pressure during 74 75 the evolution of different geological histories [30, 31].

76 Due to the multi-period, diversity and variability of coal-forming environments, coal reservoirs exhibit strong heterogeneity and anisotropy [32-34], which brings 77 challenges to the accurate characterization of physical properties. Many testing 78 79 techniques, including field emission scanning electron microscope (FS-SEM), highpressure mercury injection, nuclear magnetic resonance (NMR), liquid nitrogen 80 adsorption, CT scanning, and Atomic Force Microscope (AFM) [35-39], have been 81 used to evaluate the properties of coal. Kutchko et al. [40] found by FS-SEM that the 82 size and number of pores did not change in supercritical CO<sub>2</sub> and that this dried CO<sub>2</sub> 83 did not affect the coal properties as much as organic solvents. Zhang et al. [41] used 84 85 high-pressure mercury injection to characterize low-, medium-, and high-rank coals, concluding that the pore structure of coal displayed multiple fractal characteristics 86

strongly influenced by the degree of coalification. As the content of the inertinite 87 increases, the complexity of the pore structure of coal decreases due to the reduced 88 89 heterogeneity in the low-value region of the pore volume. To capture the relaxation and diffusion behavior of fluids in coal seams, O'Neill et al. [42] proposed an NMR 90 technique to determine the in-situ adsorbed gas concentrations within coal seams. This 91 technique optimized the echo diffusion editing pulse train to calculate the 92 concentrations of different gases in the coal reservoir using a two-dimensional inversion 93 algorithm. Through liquid nitrogen adsorption experiments, Zhang et al. [43] 94 95 discovered that plasma action during electric pulse fracturing (EPF) would increase the total volume and area of micropores, leading to an increase in coal desorption of 50% 96 to 70%. Zhao et al. [44] investigated the effect of nano-pore structure on coal strength 97 98 using CT scanning, concluding that coal particle strength decreases with increasing porosity. Also, the coal particle strength anisotropy was logarithmically related to the 99 anisotropy of the pore structure. Lu et al. [45] obtained the influence of wettability on 100 the surface properties of coal by AFM, and believed that the contact angle was 101 negatively correlated with roughness because of the different adsorption sites of coal 102 particles on water molecules. Recent studies have found that the distribution of elastic 103 modulus on the microscopic surface of coal shows non-uniformity and an increase in 104 local elastic modulus as the load intensity increases [46, 47]. Besides, Tian et al. [48] 105 divided coal and gas outburst into four stages and proposed the concept of critical 106 107 velocity of gas adsorption. Only gas molecules moving at a velocity smaller than the critical velocity for gas adsorption can adsorb to the coal surface, which provides new 108

evidence for understanding the microscopic mechanisms of gas fugacity, transport and
protrusion in coal seams [49]. It can be seen from the literature that the physical
characteristics and characterization techniques of coal reservoirs have been studied
more extensively. However, there are few studies on the electric field distribution,
surface roughness changes and micromechanical properties of coal adsorbed with
different cations during EPF by AFM.

In this paper, the characteristics of physical property changes of coal adsorbed with 115 different cations in the process of EPF were investigated by AFM tests; these were 116 117 accomplished by first analyzing the process of medium- and high-rank coals adsorbed with different cations, and then determining the voltage, electric field and energy 118 change of coals during EPF process. Subsequently, the physical property changes of 119 120 coal were quantified by combining FE-SEM and AFM testing techniques. To evaluate the micromechanical properties of coal, the distribution characteristics of adhesion with 121 the degree of coalification after EPF were characterized. This research provides new 122 123 insights into the differences in gas production mechanisms of CBM wells in the same coal seam from different regions. 124

- 125 **2. Sample and experimental systems**
- 126 2.1. Sample preparation

Samples collected from the Chengzhuang (CZ) and Qiyi (QY) coal mines in the Qinshui Basin were employed to test the base parameters (Fig. 1). The collected samples are typically representative because the CBM wells around each of the two

130	coal mines exhibit similar drainage characteristics. According to the national standards
131	GB/T 6948-1998 and GB/T 212-2008 [16, 50], the maximum vitrinite reflectance ( $R_{o}$ ,
132	$_{\mbox{max}}$ ) and industrial components were determined by Leitz MPV-III microphotometer
133	and automatic industrial analyzer SDLA618, respectively. Subsequently, a vertical
134	drilling machine was used to drill 40 coal columns with dimensions of $\Phi$ 50×25 mm,
135	followed by drying coal samples in a drying oven at 100°C. This eliminates the effect
136	of moisture on the EPF experiment [51], reducing the experimental results' error. As
137	shown in Table 1, CZ exhibits a maximum vitrinite reflectance of 2.92, indicating that
138	the high-rank coal experienced a strong magmatic thermal metamorphism [52-54]. In
139	contrast, QY was tested with a maximum vitrinite reflectance of 1.79, reflecting a lower
140	degree of magmatic intrusion than CZ. Thus, CZ and QY belong to high- and medium-
141	rank coals, respectively. The mineral filling can be observed on the surface of the former,
142	while partial fractures can be seen on the latter. The coal maceral composition tests
143	show that CZ displays vitrinite of 90.9% and no exinite. Regardless of CZ or QY, the
144	vitrinite content is relatively lower in the region closer to magma contact. Additionally,
145	both coal samples exhibit the highest fixed carbon content and the lowest moisture
146	content in the industrial analysis. The ash content of CZ is greater than the volatile
147	matter content, while the opposite is true for QY. This is because the degree of
148	devolatilization and oxidation effects of coal samples increases with coalification.

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 Table 1 Sample information and basic parameters of the selected coals.

Samplas	Ro, max	Per	Proximate analysis (%)				Coal maceral com	position (%)
Samples	(%)	(mD)	$M_{ad}$	A <sub>ad</sub>	$\mathbf{V}_{ad}$	$FC_{ad}$	V	Ι
CZ	2.92	0.03	0.55	18.15	6.42	74.88	90.9	9.1
QY	1.79	0.07	1.33	9.86	13.63	75.18	92.6	7.4

- 150 Note: Per, permeability; M<sub>ad</sub>, moisture (air-dried basis); A<sub>ad</sub>, ash (dry basis); V<sub>ad</sub>, volatile matter (dry,
- ash-free basis); FC<sub>ad</sub>, Fixed carbon (air-dried basis); V, vitrinite; I, inertinite; E, exinite.



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Fig. 1. Prepared medium- and high-rank coal columns.

154 *2.2. EPF and AFM* 

The experimental system mainly contains EPF coal body and AFM testing (Fig. 2). 155 The EPF device, from the Key Laboratory of Gas and Fire Control for Coal Mines, 156 157 China University of Mining and Technology, is equipped with a capacitor, transformer, resistor, discharge switch, sample chamber, and digital oscillograph (Fig. 2b). The 158 whole device allows a maximum output voltage of 50kV and can freely convert the AC 159 160 to DC voltage through the transformer. To prevent damage to the instrument caused by the sudden discharge of the discharge switch closure, a  $10K\Omega$  resistor is connected 161 between the transformer and the capacitor. The capacitor with a range of 0 to 8 µF can 162 release the energy of 0-10 KJ, thus ensuring that coal sample can be cracked. During 163 the entire electric pulse fracturing process, the direct contact between the discharge 164 electrode and the solid material causes the generation of plasma channels inside coal 165 sample, resulting in the focusing of energy inside the coal body to 100-1000 J/mm in a 166 very short time. At the same time, great expansion stress is formed inside the coal body, 167

followed by the tensile damage of coal sample [55]. The superposition of electricalenergy and swelling stress leads to a great shock wave inside coal sample, which makes



170 coal sample break [56].

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Fig. 2. Experimental system of EPF and AFM. (b) shows the schematic diagram of EPF
(a), and (d) is the scanning schematic of AFM (c). In PeakForce QNM<sup>TM</sup> mode, the
section information in Fig. 3b and c can be obtained by scanning the probe in contact
with the selected coal sample area in Fig. 3a.

The AFM device, model Dimension, from the State Key Laboratory of Tribology Tsinghua University, mainly includes laser beam, cantilever, photodetector, piezoelectric scanner, amplifier, converter, and adjuster (Fig. 2d). AFM is popular for characterizing the surface morphology and micromechanical properties of solids because of the ability to visualize the interaction between probe and coal surface [14, 15]. According to the physical properties of coal, the PeakForce QNM<sup>TM</sup> mode is

selected to lightly touch the surface of coal sample  $(10 \times 10 \times 5 \text{ mm})$  so that the coal body can produce resonance with the cantilever beam. The entire device contains antivibration equipment and provides a scanning range of  $90 \times 90 \times 10 \mu \text{m}$  in the X, Y and Z directions. In AFM measurements, we choose probes in tap mode, made of silicon wafers or silicon nitride. The longitudinal resolution is 0.03 nm, and the lateral resolution is 0.2 nm. Additionally, the thermal drift level is less than 0.2 nm/min.

### 188 2.3. Experimental procedures

The whole experimental procedure was divided into sample treatment, EPF and 189 AFM tests (two tests before and after fracturing). During the sample treatment, 40 coal 190 samples were placed in a vacuum drying chamber at 100°C for 24h, and the quality of 191 coal samples was recorded. Then, coal samples were immersed in 0.2, 0.4, 0.6, 0.8, 1.0, 192 193 1.2 mol/L KCl, CaCl<sub>2</sub> and FeCl<sub>3</sub> solutions for 48h, during which the weight was measured every 4 hours. When the weight difference of the same coal sample for three 194 consecutive times was less than 0.1 g, the adsorption saturation state was considered. 195 The EPF stage was divided into three steps: (i) fixing the prepared coal column in the 196 sample chamber and connecting it to the high-voltage electrode; (ii) closing the 197 discharge switch to charge the capacitor with a step length of 0.3kV until coal sample 198 was broken; (iii) recording the changes of voltage and current during the whole 199 fracturing process by a digital oscillograph. Subsequently, the surface morphology and 200 micromechanical properties of the eight coal samples were tested by AFM. After 201 202 selecting the tap mode, we moved the probe close to sample to observe the surface morphology of coal by adjusting the laser. Meanwhile, the overlap of Trace and Retrace 203

curves in the Height Sensor plot was observed. It should be noted that coal samples 204 were polished immediately after the initial drying, followed by the first AFM test; coal 205 samples were polished again for the second AFM test after the completion of EPF. 206 During the AFM measurements, the probe resonates under the external force, and part 207 of the vibration position belongs to the repulsion region of the force curve. Due to the 208 interstitial contact of the probe with sample surface, the phenomenon of coal powder 209 adhesion should be avoided as much as possible. The low probe-sample interaction 210 force in tap mode is particularly beneficial for improving resolution. Also, the lifetime 211 212 of the probe is slightly longer than that of the contact mode.

### 213 **3. Methodology**

### 214 *3.1. Cation adsorption and electric field variations*

Since coal surface generally carries a negative charge, cation adsorption occurs in addition to wetting, hydration and dissolution when coal comes in contact with salt solutions [55]. Normally, coal is weak or non-conducting in conductivity. When coal is in contact with electrolyte solution, the conductive ions enter the pore from coal matrix, thus affecting EPF effect. By comparing the concentration difference in different cationic solutions, the adsorption capacity  $\Gamma$  of medium- and high-rank coals to cationic solutions can be obtained [51].

222 
$$\Gamma = \frac{(C_i - C_e - \Delta C)V_i M}{1000m_0}$$
(1)

where  $C_i$  and  $C_e$  represent the initial concentration of the cation solution and the concentration after adsorption equilibrium, respectively.  $\triangle C$  denotes the difference in ion concentration after correction of the original solution;  $V_i$  indicates the solution volume; *M* shows the molar mass;  $m_0$  represents the sample mineral mass.

227 When there are more cations adsorbed in coal, the electrical conductivity is obviously enhanced [57]. Generally speaking, the electric pulse breakdown of coal 228 samples is mainly divided into surface fracturing and internal fracturing [58, 59]. The 229 former is the failure of the performance of the insulating or weakly conducting regions 230 of the coal under the action of a strong electric field, resulting in the rapid flow of 231 current from the surface of coal sample; the latter is the electrical and thermal stresses 232 233 generated by the passage of current from the conductive regions connected in the coal to destroy the plasma channels, leading to fractures in coal sample. These approaches 234 cause new fractures or fragmentation in coal, which is favorable for the pore-fracture 235 236 connectivity. The breakdown field E and the required energy W are calculated as follows: 237

$$E = \frac{U}{L} \tag{2}$$

$$W = \frac{1}{2}CU^2 \tag{3}$$

where *U* denotes the fracturing voltage of coal sample; *L* represents the length of thecylindrical coal sample; and *C* stands for the capacitance.

### 242 *3.2. Characterization of pore-fracture*

Considering the strong heterogeneity of coal, the pore-fracture after EPF was characterized by combining FS-SEM and AFM [14, 35]. Although all coal samples were polished, there were still a few scratches on their surfaces, so these areas should be avoided as much as possible during the scanning process. The surface of coal sample

was observed by the FS-SEM model Merlin manufactured by Carl Zeiss AG, Germany, 247 to obtain the pore-fracture morphology and structure information through the detector 248 249 (Fig. 3a). AFM, including an accurate data processing module, was adopted to quantify pore size distribution and porosity [45]. The AFM scanned images were processed by 250 the first-order Flatten method in NanoScope Analysis software to reduce noise and thus 251 facilitate the fine identification of pores in different ranges (Fig. 3b). To observe the 252 spatial distribution characteristics of the coal surface, the three-dimensional coal 253 samples were quantified by 3D Image in NanoScope Analysis (Fig. 3c), followed by 254 255 line analysis by the Section module. Subsequently, the true pore size and depth distribution of the coal surface was marked by the Invert Height function in the 256 Gwyddion software (Fig. 3d). Since the porosity obtained by the threshold method 257 258 exists strong human interference factors, this paper adopts the watershed method to characterize the pore-fracture [20]. As a mathematical morphological segmentation 259 method based on topological theory, the basic idea of the watershed theory is to consider 260 261 the image as a topological morphology in the geological domain. In contrast, the boundary of catchment basin forms the watershed. Where the grayscale value of each 262 point represents the height, the local minima and their influence area represent 263 catchment basin. For tested coal samples, this can indicate the pore-fracture and matrix. 264 The watershed theory can effectively evaluate coal samples with high anisotropy to 265 obtain more comprehensive information on pore parameters. 266

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Fig. 3. Microscopic analysis of coal surface. (b) shows the AFM information of one
slice of FS-SEM (a); (c) exhibits the 3D spatial distribution of (b); (d) indicates the slice
analysis for (b).

#### 271 *3.3. Surface roughness calculation*

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AFM, with a noise level no greater than 0.3A, is a microscope that investigates 272 surface structure and properties of coal samples by detecting the weak interatomic 273 interaction forces between the surface and the probe [35, 60]. Amplitude is commonly 274 used to study surface roughness because the amplitude parameters in the AFM scan 275 images reflect the microscopic surface height distribution, statistical characteristics and 276 extreme features of coal samples [14, 15]. The AFM images were smoothed using the 277 Flatten function, followed by the analysis of coal sample roughness (including mean 278 roughness  $R_a$ , root mean square roughness  $R_q$ , surface skewness  $R_{sk}$  and surface kurtosis 279

 $R_{ku}$ ) by statistical functions. Among them,  $R_a$  can show the distance from the reference surface to the surface of coal sample, and its combination with  $R_q$  reflects the microscopic surface morphology changes. The symmetry of coal sample surface can be judged by  $R_{sk}$ , i.e.,  $R_{sk}$ =0 indicates the symmetry of the surface distribution.  $R_{ku}$  is used to characterize the excess of the coal sample surface, which is generally related to the skewness. The calculation equations are as follows:

286 
$$R_{a} = \frac{1}{N_{x}N_{y}} \sum_{i=1}^{N_{x}} \sum_{j=1}^{N_{y}} |z(i, j) - z_{mean}|$$
(4)

287 
$$z_{mean} = \frac{1}{N_x N_y} \sum_{i=1}^{N_x} \sum_{j=1}^{N_y} z(i, j)$$
(5)

where  $N_x$  and  $N_y$  denote the number of scanned points in the *x* and *y* directions, respectively; *Z* and  $Z_{mean}$  represent the height of a single measurement point and the average height of all measurement points, respectively.

291 
$$R_{q} = \sqrt{\frac{1}{N_{x}N_{y}} \sum_{i=1}^{N_{x}} \sum_{j=1}^{N_{y}} (z(i,j) - z_{mean})^{2}}$$
(6)

292 
$$R_{sk} = \frac{\frac{1}{N_x N_y} \sum_{i=1}^{N_x} \sum_{j=1}^{N_y} (z(i,j) - z_{mean})^3}{R_q^3}$$
(7)

293 
$$R_{ku} = \frac{\frac{1}{N_x N_y} \sum_{i=1}^{N_x} \sum_{j=1}^{N_y} (z(i, j) - z_{mean})^4}{R_q^4} - 3$$
(8)

### *3.4. Acquisition of mechanical parameters*

In the PeakForce QNM<sup>TM</sup> mode, the cantilever oscillated Z piezoelectricity at a rate far below the resonant frequency of the probe to quantitatively characterize the mechanical properties [45]. To obtain a constant imaging force, the mechanical curve of each pixel was obtained by measuring the sample surface through the deflection of the cantilever beam, and then the peak force of each tap was used as the control signal. Subsequently, the spring constant and deflection sensitivity of the probe were modified by standard methods to obtain the adhesion force for different coal samples [15, 20]. Since there were differences in the roughness of different measurement points resulting in an uneven baseline distribution, the baseline calibration in nanoscope analysis was used to obtain the correct adhesion force  $F_{adh}$ . The calculation equations are as follows:

305 
$$F_{adh} = F_{tip} - \frac{4}{3}E^*\sqrt{Rd^3}$$
 (9)

where  $F_{tip}$  indicates the tip force; *R* shows the tip radius; and *d* represents the amount of sample deformation. The reduced modulus  $E^*$  is obtained as follows:

308 
$$E^* = \left(\frac{1 - v_s^2}{E_s} + \frac{1 - v_{tip}^2}{E_{tip}}\right)^{-1}$$
(10)

309 where  $v_s$  and  $v_{tip}$  denote the Poisson's ratio of coal sample and probe, respectively.  $E_s$ 310 and  $E_{tip}$  indicate the Young's modulus of coal sample and probe, respectively.

#### 311 **4. Results and discussion**

#### 312 *4.1. The adsorption of cations to coal*

In the adsorption process, the closer the cation center is to the coal matrix, the stronger the adsorption capacity is in general [51]. As shown in Fig. 4, the medium- and high-rank coals exhibit similar adsorption trends for cations with different chemical valences, decreasing adsorption rates. The adsorption of CZ to cations reaches equilibrium at about 36 h (Fig. 4a), while QY reaches equilibrium 4 h earlier than CZ

318	(Fig. 4b), indicating that the adsorption of cations by medium-rank coal is stronger than
319	that by high-rank coal. This is mainly due to the development of macropores and
320	microfractures in QY than in CZ, resulting in relatively less resistance for cations to
321	enter QY [61]. Moreover, the adsorption capacity of both coal samples for cations
322	shows in the order of $Fe^{3+} > Ca^{2+} > K^+$ . The amount of $Fe^{3+}$ adsorbed at equilibrium is
323	2.6 times higher than that of $K^+$ in CZ, which is mainly attributed to the fact that the
324	higher the ionic valence, the smaller the radius of the hydrated ion, making the cation
325	more easily adsorbed by the coal matrix.

As the reaction time increases, the adsorption of cations in medium- and high-rank coals reaches equilibrium. Due to the substantial increase in the number of conducting ions, the charge propagates more easily in the coal, similar to the research of Zhang et al. [55]. Additionally, coal contains inorganic minerals such as quartz, montmorillonite and kaolinite that possess a large lateral area and numerous free exchange ions [62], causing cations to be more easily adsorbed from solution into the coal.



Fig. 4. The adsorption capacity of different cations at a concentration of 5 mol/L in coal
with time. (a)-the coal sample CZ; (b)-the coal sample QY.



Fig. 5. Fracturing voltages after adsorption of different concentrations of cations in coal.
The fracturing voltage of CZ (a) is generally higher than that of QY (b).

338 4.2. Breakdown field of EPF coal body

As a critical parameter of the EPF coal body, the voltage level controls the degree 339 of coal fragmentation [57, 58]. As shown in Fig. 5, the fracturing voltage of CZ and QY 340 341 decreases in a negative exponential pattern with the change of adsorption concentration of different cations. This is attributed to the fact that more ions go into pore-fracture as 342 the solution concentration increases, leading to enhanced conductivity by connecting 343 the insulating regions in coal [63]. CZ and QY show fracturing voltages of 16.5 kV and 344 13.8 kV, respectively, when no cations are adsorbed, indicating that medium-rank coal 345 conducts electricity better than high-rank coal [64]. The fracturing voltage of CZ 346 reaches equilibrium at the concentration of 1 mol/L for the three cations, lagging behind 347 QY adsorbed cations by 0.2 mol/L. Additionally, CZ requires a fracturing voltage of 348 6.3 kV for adsorption of 1.2 mol/L K<sup>+</sup> (Fig. 5a), higher than that of 2.1 kV for adsorption 349 of  $Fe^{3+}$ . While, A much lower voltage can be observed in the fracturing QY process 350 (Fig. 5b), i.e., a fracturing voltage of only 3.2 kV is enough for QY with adsorbed 1.2 351

mol/L Fe<sup>3+</sup>. The fracturing voltage variation trends of the two coal samples after adsorption of K<sup>+</sup> are greater than those of Ca<sup>2+</sup> and Fe<sup>3+</sup>, demonstrating that coal adsorption of cations with high valence is more likely to form plasma channels [59]. The higher the concentration of cation solution, the more plasma channels are easily generated in coal, which is very favored for EPF.



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Fig. 6. Variation of electric pulse breakdown field with cation adsorption concentration in coal. With the increase of cation concentration, both the breakdown fields of CZ (a) and QY (b) experienced the trend of "rapid decrease-slow decrease-stabilization", and CZ lagged behind QY to reach the equilibrium state.

As illustrated in Fig. 6, the breakdown field in coal shows a trend of "rapid decrease-362 slow decrease-stabilization" with the cation adsorption concentration. In the original 363 state, the breakdown field of QY is 0.8 times higher than that of CZ. The breakdown 364 fields of 25.2 kV/m, 20.4 kV/m and 16.8 kV/m are exhibited when the breakdown 365 voltages of CZ adsorbed 1mol/L K<sup>+</sup>, Ca<sup>2+</sup> and Fe<sup>3+</sup> reach equilibrium (Fig. 5a and Fig. 366 6a), respectively. However, smaller breakdown fields are found in QY (Fig. 6b), that is, 367 QY indicates breakdown fields of 17.6 kV/m, 14.4 kV/m and 132 kV/m at the same 368 cation concentration conditions, respectively. This reveals that the diffusion of  $K^+$ ,  $Ca^{2+}$ 369

and Fe<sup>3+</sup> into the coal improves the electrical conductivity, causing the coal body to fracture more easily [51]. Moreover, with the increase of cation valence, the resistance to current flow in coal decreases, thus reducing the breakdown field [64]. During the whole fracturing process, the breakdown field of QY is always smaller than that of CZ, suggesting that the higher the metamorphism degree of coal, the greater the breakdown field variation.

For the energy change during EPF, the electric field energy required to break CZ is 376 1.4 times higher than QY (Fig. 7). When the breakdown field reaches the equilibrium 377 state, the energy required for CZ with adsorbed  $K^+$  is 2.3 times higher than that with 378 adsorbed Fe<sup>3+</sup> in the fracturing process (Fig. 6a and Fig. 7a). In contrast, less energies 379 are required to fracture OY, with adsorption of  $K^+$ ,  $Ca^{2+}$  and  $Fe^{3+}$  exhibiting electric 380 field energies of 73.96 J, 51.84 J and 40.96 J (Fig. 7b), respectively. Notably, the electric 381 field energy changes of QY adsorbed with different cations are smaller than those of 382 CZ in the EPF process (Fig. 4 and Fig. 7), demonstrating that high-rank coal is more 383 384 sensitive to adsorb different valence cations than medium-rank coal.



**Fig. 7.** Energy variations during EPF. The energy required for CZ (a) is greater than for

387 QY (b) when different coals adsorbed cations reach the fracturing state.

When the electric field is applied to both ends of coal sample, the plasma channels 388 in coal generate huge energy, resulting in the temperature rise of pore-fracture and 389 390 throat [65, 66]. This not only affects the functional groups in coal but also generates large expansion stress, which makes the coal fracture under the action of the shock 391 wave [61]. Since the degree of coal metamorphism can reflect the pore-fracture 392 condition [4], the small pores in CZ are more developed than QY. However, cations are 393 more likely to form plasma channels in the macropores and microfractures in coal, so 394 the energy required to fracture QY is less than CZ. 395

- *4.3. Pore-fracture dynamic evolution induced by electrical pulses*
- 397 *4.3.1. Characteristics of macroscopic fractures*

Due to the uneven development of cleats, exogenous fractures and inherited 398 fractures in different coal seams [67, 68], the macroscopic damage characteristics of 399 400 coal samples after EPF are obviously different. As illustrated in Fig. 8, the degree of 401 EPF fragmentation of coal samples adsorbed with different valence cations is in the order of  $Fe^{3+} > Ca^{2+} > K^+$ , which is similar to the research results of Wang et al. [51]. 402 In other words, the higher the cation valence, the easier the fragmentation of the EPF 403 coal sample. Moreover, the degree of fragmentation of QY is greater than that of CZ 404 regardless of which cation is adsorbed. Another interesting phenomenon is that the 405 fracturing voltages of QY are all smaller than those of CZ, confirming that the cations 406 407 are more likely to enter the pores and fractures of QY.



408

409 Fig. 8. Macroscopic damage characteristics of high-rank coal (a) and medium-rank coal
410 (b) by EPF after adsorption of 1 mol/L different cations.

Coal, being a porous medium, exhibits different dielectric properties [64, 69]. 411 Therefore, different forms of distortion occur under the action of electric fields [70]. 412 413 Throughout the EPF process, the damage of coal is divided into three forms: (i) the strong stress wave generated by the current in the coal exceeds the shear strength 414 leading to the penetration between the original fracture and the newly generated fracture; 415 416 (ii) the accumulation of large amounts of energy in the plasma channel generates thermal expansion stress causing the coal to be burst; (iii) the collapse of the vacuoles 417 formed by the cationic solution in the microfractures adds additional tensile stress. The 418 overall surface area of the broken coal sample increases obviously, and some rock 419 cuttings are formed. With the significant increase in the number of fractures, the pore-420 fracture connections at different scales become more diverse, which is very beneficial 421 422 to methane desorption and fluid transport [34].

FE-SEM, equipped with X-ray energy spectroscopy and high-performance 424 425 cathodoluminescence, is often used to investigate the physical characteristics of coal because of the high-resolution secondary electron images that can be obtained for 426 specific wavelength spectra [59, 71]. As depicted in Fig. 9, the surface morphology of 427 coal sample can be clearly observed after the magnification of 20,000 times. The 428 surface of the raw coal is relatively flat, and there are some minerals and nodules. 429 Influenced by tectonic movement and magmatic thermal metamorphism, the surface 430 431 structures of CZ and QY differ significantly (Fig. 9a and e). Clay minerals are clearly 432 visible in CZ, mainly filled with kaolinite, with occasional pyrite particles. Some pores and microfractures can be observed in QY with regular fiber structure, which is related 433 to coal seam being in the superposition zone of synclinal core and anticlinal wing [9]. 434 After the action of electric pulse, the surfaces of coal samples adsorbed with 435 different cations become uneven, and the pore-fractures of different shapes increase 436 significantly. Many irregular pores can be observed in CZ of adsorbed K+ by the effect 437 of EPF (Fig. 9b), while the slit-like pores are mainly in the adsorption of  $Ca^{2+}$  and  $Fe^{3+}$ 438 (Fig. 9c and d). Additionally, QY adsorbed with  $K^+$  and  $Fe^{3+}$  forms many clustered 439 440 pores (Fig. 9f and h), which is very beneficial to the connection between diffusion pores and seepage pores [58]. In particular, QY adsorbed with Fe<sup>3+</sup> can also be clearly 441 observed with many connected pores, enhancing the permeability of the coal [62]. 442 Moreover, the pore-fractures of QY adsorbed with  $Ca^{2+}$  increase significantly (Fig. 9g), 443 which provides a better condition for gas conversion from Knudsen diffusion to 444

445 Transition diffusion or Fick diffusion in coal. In conclusion, EPF action on the adsorbed
446 cation coal samples significantly increases the pore-fracture network coverage,
447 resulting in a significant improvement in connectivity.





**Fig. 9.** Surface morphological characteristics of different coal samples after EPF observed by FE-SEM. For the high-rank coal CZ (a), the pore-fractures of adsorbed  $K^+$  (b),  $Ca^{2+}$  (c) and Fe<sup>3+</sup> (d) are obviously more than the original. The connectivity of the

452 original QY (e) is significantly lower than that of adsorbed  $K^+$  (f),  $Ca^{2+}$  (g) and  $Fe^{3+}$  (h).

## 453 *4.3.3. Quantification of pore changes after EPF by AFM*

In order to accurately characterize the physical properties of coal, the watershed 454 method was used to analyze the pore dynamics after EPF [20, 45]. According to the 455 interaction effect of diffusion-seepage, the pore-fractures in coal were classified as 456 super-micropores (< 2 nm), micropores (2-10 nm), mesopores ( $10-10^2$  nm), 457 macropores ( $10^2$ - $10^3$  nm), super-pores ( $10^3$ - $10^4$  nm) and microfractures (>  $10^4$  nm) 458 [17]. Independent pores can be observed on the surface of the raw coal (Fig. 10a and 459 e), mainly consisting of micropores, mesopores and macropores. It is obvious that pores 460 of different scales are connected by EPF, leading to a significant increase in connectivity. 461 As indicated in Fig. 10, the number of pores increases from 71 to 229 after the fracturing 462 of CZ with adsorbed  $Fe^{3+}$  (Fig. 10a and d), especially increasing many cluster pores. 463 Additionally, the pore number of QY with adsorbed cations varies from 65 to 185, with 464 an average of 133 (Fig. 10e, f, g and h). The average pore size of CZ adsorbed cations 465 increases with the increase of the valence, ranging from 72.36 to 112.9 nm. While, a 466 larger range of average pore size variation can be observed in QY, with an increase of 467 61.77 nm. Moreover, the porosity of CZ increases from 4.1% to 27.4%, greater than 468 that of QY from 6.7% to 14.5%, which is related to the different degree of coalification 469 of the two coal samples [64]. The higher the degree of coalification, the greater the 470 change in porosity after EPF. 471



472

**Fig. 10.** Pore variations of coal adsorbed with different cations by EPF observed by

474 AFM. (b), (c) and (d) denote CZ (a) with adsorbed  $K^+$ ,  $Ca^{2+}$  and  $Fe^{3+}$ , respectively. (f),

475 (g) and (h) represent QY (e) with adsorbed  $K^+$ ,  $Ca^{2+}$  and  $Fe^{3+}$ , respectively.

476 During the whole process of EPF, the main contribution of macropores to the pore477 volume increases, resulting in a weaker resistance to gas transport in coal. Due to the

478 influence of heterogeneity and anisotropy, the pore variation in different areas of coal





480 to the production of CBM.



**Fig. 11.** Roughness variation of coal in two and three dimensions by AFM. (a), CZ; (b),



As an essential index to characterize the microscopic morphology of objects [14], 485 486 surface roughness plays a crucial role in reflecting contact angle, wettability and capillary resistance. Especially, 3D images can better visualize the spatial 487 characteristics of coal. As depicted in Fig. 11, the roughness of coal changes 488 significantly after the adsorption of different cations. The average of 256 points in a 489  $5 \times 5 \,\mu\text{m}$  area was extracted as the overall surface roughness to improve accuracy. The 490  $R_a$  of CZ with adsorbed K<sup>+</sup> decreases from 27 to 9.73 nm after EPF, with the  $R_q$ 491 492 decreasing from 30.2 nm to 10.1 nm (Fig. 11a and b). This indicates that the surface 493 fluctuations of coal are significantly less complex [20], i.e., there is a shift from rough to smooth, leading to significantly lower resistance to methane transport. Using the 494 same characterization method, the  $R_a$  of QY adsorbed with different cations ranges from 495 4.94 to 28.8 nm, demonstrating a greater average roughness variation. Since a small 496 surface roughness undulation leads to a large  $R_q$  change, the root mean square roughness 497 498 is more sensitive to pore-fracture changes [45]. The variation of  $R_q$  of QY varies from 2.59 to 37.4 nm, illustrating that the roughness change of QY with adsorbed cations 499 500 after EPF is greater than that of CZ.

For the surface skewness, the  $R_{sk}$  of CZ adsorbed with different cations by EPF ranges from -0.82 to -0.17 (Fig. 11), implying that there are more regions in CZ with surface undulations above the average roughness. Since  $R_{sk}$  is negative throughout the EPF process, the surface height of CZ exhibits a normal distribution skewed to the left of the y-axis [20]. Additionally, the  $R_{ku}$  of CZ adsorbed with different cations ranges from 1.92 to 3.6, indicating that the surface height is concentrated around the mean value [15]. Another more interesting phenomenon is the shift from positive to negative values of  $R_{sk}$  for QY adsorbed with different valence cations (i.e., from 1.1 to -0.32 gradually), which indicates the change of coal surface height from the right-skewed normal distribution to the left-skewed one [35]. Moreover, the area where the surface height of QY adsorbed cation is below the average value gradually increases with the increase of the chemical valence.

513 4.5. Micromechanical property

The adhesion force, reflecting the surface energy of the coal sample, is essential for 514 evaluating the adsorption properties of coal [14, 20]. Due to the presence of van der 515 Waals force, electrostatic force and strong interaction force, the adhesion forces on the 516 coal surface vary in various ways [45]. Fig. 12 shows the three-dimensional distribution 517 of the adhesion force of QY adsorbed with different cations after EPF. the adhesion 518 force of QY ranges from -2.4 to 21.8 nN (Fig. 12a), which is strong for the adsorption 519 of methane on the matrix surface [72, 73]. The adhesion force of QY with adsorbed 520 cations shows an overall decreasing trend, ranging from -0.9 to 9.1 nN (Fig. 12b, c and 521 d). It is noteworthy that the adhesion force of QY for adsorbed  $Fe^{3+}$  is 3-6 times higher 522 than that for adsorbed  $K^+$  and  $Ca^{2+}$ , mainly due to the pore-fracture in the sample being 523 filled with minerals. Using similar characterization methods, the adhesion force of CZ 524 varies between 0.9 and 20.7 nN. Overall, the adhesion force of CZ is smaller than that 525 526 of QY. This is mainly due to the weakening of intermolecular forces on the coal surface with the deepening of coalification, resulting in a decrease in adhesion force [7]. 527



**Fig. 12.** Three-dimensional distribution of adhesion force. (a), QY; (b), QY with adsorbed  $K^+$ ; (c), QY with adsorbed  $Ca^{2+}$ ; (d), QY with adsorbed  $Fe^{3+}$ .

528

To investigate the distribution pattern of the micromechanics, Fig. 13 shows the 531 frequency distribution of the adhesion force in Fig. 12. The adhesion force of QY is 532 relatively dispersed, with the most frequency distribution of 13.1nN (Fig. 13a). After 533 the adsorption of cations, the adhesion force distribution of QY becomes relatively 534 concentrated. With the increase of the cation valence, the concentration of the adhesion 535 force distribution increases (Fig. 13b, c and d). Particularly, the adhesion force of QY 536 with adsorbed  $Fe^{3+}$  is mainly distributed at 8.1 nN, accounting for nearly 1% (Fig. 13d). 537 This indicates that the heterogeneity of the adhesion force of coal adsorbed with 538 different cations after EPF is diminished, which is favorable for better fluid transport 539

in the fracture channels [14]. For coal seams containing abundant groundwater, different cations in solution can lead to differences in the adhesion of coal [45], which provides new insights into the differences in gas production mechanisms of CBM wells in the same coal seam from different regions. Furthermore, different concentrations of cations also cause changes in adhesion, which gives good indications for the fluid geology of CBM production in different sedimentary environments.



546 547

**Fig. 13.** Frequency distribution of adhesive forces in different ranges.

# 548 **5. Conclusions**

In this work, the breakdown field response processes of medium- and high-rank coals adsorbed with different cations after EPF were investigated, followed by the analysis of microscopic pore-fracture evolution and surface roughness changes. To evaluate the micromechanical properties of coal, the distribution characteristics of the adhesion with increasing cation valence were characterized. The following conclusions are drawn:

(1) With the increase of cation concentration, the breakdown field in coal shows a trend
of "rapid decrease-slow decrease-stabilization". During the whole EPF period, the
electric field energy change of QY adsorbed with different cations is smaller than
that of CZ, demonstrating that high-rank coal is more sensitive to adsorb different
valence cations than medium-rank coal.

(2) Affected by EPF, the average pore size of CZ adsorbed cations increases with the
increase of the valence, ranging from 72.36 to 112.9 nm. While, a larger range of
average pore size variation can be observed in QY, with an increase of 61.77 nm.
Moreover, the porosity of CZ increases from 4.1% to 27.4%, greater than that of

564 QY from 6.7% to 14.5%.

(3) The  $R_a$  of CZ with adsorbed K<sup>+</sup> decreases from 27 to 9.73 nm after EPF, with the  $R_q$  decreasing from 30.2 nm to 10.1 nm. For the surface skewness, the  $R_{sk}$  of QY adsorbed with different valence cations shifts from positive to negative values, which reflects the change of coal surface height from the right-skewed normal distribution to the left-skewed one.

570 (4) The adhesion force of QY for adsorbed  $Fe^{3+}$  is 3-6 times higher than that for 571 adsorbed K<sup>+</sup> and Ca<sup>2+</sup>, mainly due to the pore-fracture in the sample being filled 572 with minerals. With the increase of the cation valence, the concentration of the 573 adhesion force distribution increases, which is favorable for better fluid transport in 574 the fracture channels.

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#### 575 CRediT authorship contribution statement

Qifeng Jia: Investigation, Writing - original draft, Methodology, Data curation.
Dameng Liu: Supervision, Conceptualization, Visualization, Funding acquisition.
Yidong Cai: Formal analysis, Supervision, Funding acquisition. Yingfang Zhou:
Supervision, Validation, Writing - review & editing. Zheng Zhao: Data curation, Image
Processing. Yanqing Yang: Resources, Investigation.

## 581 **Declaration of Competing interests**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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- 592  $\Gamma$  Adsorption capacity [mg/g]
- 593  $C_i$  Initial concentration of the cation solution [mol/L]
- 594  $C_e$  Concentration after adsorption equilibrium [mol/L]
- 595  $\triangle C$  Difference in ion concentration after correction of the original solution [mol/L]

596	$V_i$	Solution volume [L]
597	М	Molar mass [g/mol]
598	$m_0$	Sample mineral mass [g]
599	Ε	Breakdown field [kV/m]
600	W	Electric field energy [J]
601	U	Fracturing voltage [kV]
602	L	Length of coal sample [kV]
603	С	Capacitance [F]
604	$R_a$	Mean roughness [nm]
605	$R_q$	Root mean square roughness [nm]
606	$R_{sk}$	Surface skewness
607	$R_{ku}$	Surface kurtosis
608	$N_x$	The number of scanned points in the <i>x</i> direction
609	$N_y$	The number of scanned points in the <i>y</i> direction
610	Ζ	The height of a single measurement point [nm]
611	Zmean	The average height of all measurement points [nm]
612	$F_{adh}$	Adhesion force [nN]
613	$F_{tip}$	Tip force [nN]
614	R	Tip radius [nm]
615	d	Amount of sample deformation [nm]
616	$E^{*}$	Reduced modulus [nm]
617	Vs	The Poisson's ratio of coal sample

- 618  $v_{tip}$  The Poisson's ratio of probe
- 619  $E_s$  The Young's modulus of coal sample [GPa]
- 620  $E_{tip}$  The Young's modulus of probe [GPa]

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# **1** AFM characterization of physical properties in coal adsorbed

# 2 with different cations induced by electric pulse fracturing

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#### 12 Abstract

13 Pore system in coal is highly heterogeneous, while it is the main occurrence space and 14 transport channel for coalbed methane (CBM). Electric pulse fracturing (EPF) has been 15 considered as an effective approach to improve the coal physical properties for better CBM production. In this work, based on AFM measurement of 40 coal samples 16 collected from Qinshui Basin, we evaluated the physical properties of coal, adsorbed 17 with different cations, after EPF. This was accomplished by first analyzing the 18 19 breakdown field response process of coal adsorbed with different cations, and then determining the dynamic changes of pore and surface roughness using the watershed 20