

# 1 Planetary Boundaries and the Doughnut 2 frameworks: A review of their local operability

## 3 Abstract

4 The concept of Planetary Boundaries has sparked debate around tipping points and the limits of the Earth  
5 System for over a decade. One of the most investigated aspects is how to downscale this global concept to a  
6 local level, in order to make it operative at the scales at which decisions are made, and policies applied. It  
7 remains unclear, however, how the Planetary Boundaries could be downscaled and applied locally while  
8 keeping their original meaning. We therefore review the concept in detail as it pertains to its operability  
9 locally, including the challenges for their application at a smaller scale. We also examine the importance of  
10 climate change in shaping the future and hence in influencing the future SIOS, which might be constrained  
11 by stricter boundaries and by a lower level of ecosystem services available for the population.

## 12 1 Introduction

13 Since the beginning of the Industrial Revolution, the Earth System has experienced changes extending far  
14 beyond natural variability (Steffen et al, 2015a), particularly in relation to both the magnitude and the speed  
15 of change. The change has been particularly acute in the last sixty years, concurrently with global economic  
16 growth and with the substantial increase in human population. The correlation of global change with human  
17 activities is not coincidental, as much evidence exists (Steffen et al., 2006; Millennium Ecosystem  
18 Assessment, 2005; Galloway et al., 2008; IPCC, 2013). As human activity has become the main forcing factor  
19 on the Earth System, “Anthropocene” has become the term to indicate the geological era in which we live  
20 today (Crutzen, 2002). Steffen et al. (2018) also highlighted the role of humanity in shaping the future of our  
21 planet, in pointing out how our actions are directing towards a “Hothouse Earth”, where disruptions to  
22 ecosystems, society, and economies will be inevitable and irreversible. The only way to avoid this outcome  
23 is a strong transformation of our societies, able to direct us towards a “Stabilised Earth” which would keep  
24 us below dangerous thresholds that could trigger cascade effects impossible to revert (Steffen et al., 2018).

25 The existence of critical thresholds in the functioning of the Earth System is the core concept of the Planetary  
26 Boundaries framework (Rockström et al., 2009a and 2009b). Its main aim indicates a safe space in which  
27 humanity can operate without exceeding tipping points, beyond which sudden and irreversible  
28 transformations occur. These transformations would threaten especially the stability that has characterized  
29 the Holocene period, in which societies have thrived. The boundaries are conceived as ‘guardrails’ that keep  
30 humanity safe from crossing global tipping points and causing regime shifts with potential to harm societies  
31 as we know them. In fact, the boundaries are set conservatively, to account for uncertainties around the  
32 true positions of these global thresholds (Rockström et al., 2009a and 2009b). If the boundaries are not

33 respected, the risk of exceeding a threshold becomes real, and if the threshold is exceeded, a regime shift  
34 can occur. Appendix A reports the boundaries identified in Rockström et al. (2009a, 2009b) and in the first  
35 update of the study (Steffen et al., 2015b).

36 The concept of Planetary Boundaries has stimulated considerable debate. Numerous studies have suggested  
37 new boundaries (Running, 2012; Newbold et al., 2016, Villarrubia-Gómez et al., 2018), and appropriate  
38 variables to define the boundaries (Persson et al., 2013; Mace et al., 2014; Gleeson et al., 2020), and  
39 discussed their relevance in global policies (Biermann, 2012, Galaz et al., 2012). Others have focused on  
40 downscaling the global boundaries to a regional/country level (Cole et al., 2014, Dearing et al., 2014, Hoff et  
41 al., 2014, Lucas & Wilting, 2018, Priyadarshini & Abhilash, 2020, Andersen et al., 2020) and even at smaller  
42 scales (Hoornweg et al., 2016, Meyer & Newman, 2018).

43 The incorporation of a social aspect to the Planetary Boundaries is another development. A planet with  
44 sudden changes, unpredictable conditions, and extreme events is less hospitable and it will not be able to  
45 feed 9.7 billion people, as forecasted for 2050 (United Nations, 2019), or allow all of them to live a safe and  
46 worthwhile life. the “Doughnut” concept subsequently developed (Raworth, 2012) from merges a “social  
47 foundation” with the Planetary Boundaries (named “ecological ceiling” in Raworth’s work). Within the  
48 doughnut model, the outer circle represents the planetary boundaries, whereas the social foundation  
49 comprises the inner circle. That is, a set of characteristics that make life worthwhile and without deprivation  
50 (food security, adequate income, improved water and sanitation, health care, education, decent work,  
51 modern energy services, resilience to shocks, gender equality, social equity, and having a political voice). The  
52 area between the two circles is the “safe and just space”, where humanity should aim to live, not exceeding  
53 the Planetary Boundaries and guaranteeing everyone a decent life. The pursuit of these social priorities does  
54 not mean that the environmental aspect must be sacrificed. On the contrary, the environmental issues and  
55 the social aspects go hand in hand, and the idea of the Doughnut is an easy image that can address policies  
56 in order to gain both goals.

57 Many interactions exist, in fact, between the Planetary Boundaries and the Social Foundation. Environmental  
58 stress can exacerbate poverty and *vice versa*, for example, and policies aiming to reduce environmental  
59 pressure, if not well designed, can exacerbate poverty and *vice versa*. The safe and just operating space (SJOS)  
60 for humanity is meant to promote those policies that aim both to stay above the Social Foundation and below  
61 the Environmental Ceiling. Since its introduction in 2012 (Reference), the idea of the Doughnut has received  
62 much attention. The easy and appealing concept and the adaptability of the Doughnut have stimulated  
63 interest from different actors (from policy makers, to NGOs, to academia). They have tried to downscale it to  
64 countries (Cole et al., 2014; Sayers et al., 2014), regions (Dearing et al., 2014), cities (Amsterdam City, 2020)  
65 and companies (Houdini, 2018).

66 Several aspects, however, remain unclear. First is how to use the Planetary Boundaries and the Doughnut  
67 concepts together (?) to implement policies that account for both the global scale of the Planetary  
68 Boundaries and the local scale to which they can be implemented, toward living with the SJOS. At the same  
69 time, effective policies into the future require clearer understanding of the trajectories of the Planetary  
70 Boundaries and their tipping points, not just snapshots of current situations. Finally, although most of the  
71 Planetary Boundaries and aspects of the social foundation already have indicators, these two aspects of the  
72 Doughnut are unrelated to one another, and an indicator is not yet available to link them together and  
73 assesses where we lie in the SJOS.

74 this paper reviews these aspects of the Planetary Boundaries and the Doughnut to close the gaps in  
75 knowledge.

76

77 The review is organized around three key questions:

78 1- How can one downscale a global concept (with physical borders) for operability for a country (within  
79 political borders)? (Section 2)

80 2- What is the role of interactions among different boundaries? (Section 3)

81 3- How can maintaining and fairly delivering ecosystem services achieve a SJOS? (Section 4)

82 By synthesizing knowledge around these questions, we aim to reveal the obstacles that still prevent the  
83 application of these important concepts at wide scale in the real world. Such insight also helps to identify  
84 ways to overcome the obstacles.

## 85 2 Making the Planetary Boundaries operative

86 As Planetary Boundaries are a global concept, as suggested in the name itself, downscaling might be  
87 unjustifiable or unnecessary. Staying within the Planetary Boundaries should help to prevent abrupt shifts  
88 capable of putting at risk critical Earth System processes or eroding its resilience (Rockström et al., 2009b).  
89 If one keeps this definition, downscaling the boundaries seems a distortion of this idea. Steffen et al. (2015b)  
90 clearly stated that “The Planetary Boundaries framework is not designed to be downscaled or disaggregated  
91 to smaller levels, such as nations or local communities”. Nevertheless, the fact that policies are developed  
92 and applied locally, within political borders, has led to the development of many downscaled versions of the  
93 Planetary Boundaries (for example Nykvist et al., 2013; Hoff et al., 2014). Although these efforts might drive  
94 the concept of Planetary Boundaries beyond their initial scope, it offers advantage of applicability from a  
95 policy perspective. As highlighted by Nilsson & Persson (2012), international environmental governance has  
96 not always been effective, and multi-level governance is needed to effect change. In particular, when linking  
97 the social foundation to the Planetary Boundaries, social indicators do not depend only on the health of the

98 Earth System as a whole but are also deeply influenced by local policies and local environmental conditions.  
99 Hence, a country perspective that accounts for local aspects and circumstances is particularly useful when  
100 exploring the Doughnut concept (Drees et al., 2021). From a pragmatic point of view, to the ability to  
101 downscale the boundaries is necessary to make them operative.

102 A strand of the Planetary Boundaries framework, aimed at making them operational, is the use of footprints.  
103 Fang et al. (2015) have highlighted the complementary nature of Planetary Boundary and environmental  
104 footprints, including the benefits of using them to implement each other. If, from one side, the  
105 environmental footprint can measure the impacts of human activities, on the other side, the Planetary  
106 Boundaries give a reference value to the footprints. Footprints have been developed to calculate different  
107 impacts, which now cover most of the Planetary Boundaries: carbon footprint (Wiedmann & Minx, 2008),  
108 water footprint (Hoekstra & Mekonnen, 2012), land use footprint (Weinzettel et al., 2013), chemical footprint  
109 (Sala & Goralczyk, 2013), nitrogen footprint (Leach et al., 2012), phosphorus footprint (Wang et al., 2011)  
110 and biodiversity footprint (Lenzen et al., 2012). Vanham et al. (2019) have made this relationship clearer,  
111 showing how different footprints relate to the Planetary Boundaries framework. Some studies have used  
112 footprints to downscale the Planetary Boundaries to a national level (Dao et al., 2015, Hoff et al. 2015, Häyhä  
113 et al., 2018, O'Neill et al., 2018). This approach has the advantage of being very flexible. A country can, in  
114 fact, calculate footprints with a production approach (considering the environmental impact of what is  
115 produced within a country). A consumption approach can also consider the impact of the products imported  
116 in a country, which allows highlighting the impacts generated somewhere else by the internal consumption.

117 Although the use of footprints has many advantages and can track a country's pressure globally, it is not yet  
118 fully suitable for the boundaries with a regional component, because most of the footprints do not account  
119 for regional contexts (Häyhä et al., 2018). In fact, according to Steffen et al. (2015b) and Häyhä et al. (2016),  
120 the Planetary Boundaries comprise two categories: first, those directly related to a planetary threshold,  
121 where what matters is the absolute magnitude of the pressure no matter where it occurs (climate change,  
122 ocean acidification, ozone depletion and novel entities); and second, boundaries that operate at regional  
123 scales but that become a global issue when aggregated (biodiversity integrity, biogeochemical flows, land-  
124 system change, freshwater use and aerosol loading). In the first case, one can compute national boundaries  
125 by simply dividing the global budget among the different countries. In the second case, information about  
126 local scarcity, vulnerability, hot spots and so on are important, and must be considered.

127 Although for the first category of boundaries, the downscaling process might seem straightforward in theory,  
128 when it comes to practical application, some obstacles are apparent to overcome, in particular equity issues.  
129 The main problem is how to distribute the global budget among countries. Lucas et al. (2020) have explored  
130 the remaining budget of the European Union (EU), United States (USA), China and India in relation to some  
131 of the Planetary Boundaries. They have clearly shown that the choice of the sharing principle can lead to very  
132 different outcomes. Hjalsted et al. (2021) reported the same conclusion for the dairy industry in India,

133 Denmark and at global level by calculating their position in the safe operating space. Additionally, while the  
134 scientific concept of Planetary Boundaries is “normatively neutral”, its operationalization is not, because it  
135 depends on the risks that humankind is willing to take (Biermann, 2012). In this regard, each country may  
136 have a different perspective. As Downing et al. (2019) explained, the Planetary Boundaries define a safe  
137 operating space for “humanity”, but this humanity comprises very different actors, whose different needs,  
138 behaviours and impacts must be understood to successfully apply this concept. This is, for example, what  
139 happened during the negotiations for the Paris agreement (Reference?). While for some countries, limiting  
140 the increase of temperature to 2°C was considered as a reasonable target, other countries that would suffer  
141 major risks (especially small island countries) pushed for a target of 1.5 °C.

142 If the operationalization process is complicated for this type of boundaries, it is even more so for the  
143 boundaries with a regional component. A further step is necessary, not only how to share the safe operating  
144 space but also how to downscale the boundary taking into consideration any spatial heterogeneity. Almost  
145 all the past attempts at downscaling the boundaries have focused on either the local or global points of view.  
146 On one side, Nykvist et al. (2013), Hoff et al. (2015), Dao et al. (2015), Lucas & Wilting (2018), Andersen et al.  
147 (2020) and O’Neill et al. (2018) downscaled the Planetary Boundaries for Sweden, the EU, Switzerland, the  
148 Netherlands, New Zealand and 150 countries respectively. They used different top-down approaches that  
149 followed the original framework (with some omissions and some changes), without considering regional  
150 conditions. On the other side, Cole et al. (2014), Dearing et al. (2014) and Cole et al. (2017) downscaled the  
151 boundaries for South Africa, two Chinese regions and single provinces of South Africa, considering national,  
152 regional and provincial peculiarities, but without a strong link to the global picture and with the original  
153 boundaries. Comparing Cole et al. (2014) and Cole et al. (2017), the need to account for regional  
154 heterogeneity for some boundaries clearly emerges from the fact that, in the same country for some  
155 domains, the boundary is exceeded at provincial level, although the national boundary is not. Finally,  
156 Priyadarshini & Abhilash (2020), downscaling the boundaries for India, kept continuity with Rockström et al.  
157 (2009a, 2009b) and/or Steffen et al. (2015b) when possible (for land-system change and freshwater use), but  
158 the safe operating space that they delineated is still more focussed on shaping the boundaries using the  
159 current national policies, instead of using the Planetary Boundaries framework to set local policies which  
160 include global implications.

161 In reviewing the application of the freshwater Planetary Boundary, which has a strong regional component,  
162 Bunsen et al. (2021) came to the same conclusion. Studies published so far either use a per-capita approach  
163 that assigns a value derived from the global threshold, whether it can have consequences on the stability of  
164 the Earth System or not, or they calculate a local boundary which ignores the global relevance of the concept.  
165 Only Zipper et al. (2020) have developed a framework for the regional application of the freshwater Planetary  
166 Boundary. This framework is able to combine both a fair share based on the global boundary and a local safe  
167 operating space based on locally relevant control and response variables. They divided the water Planetary

168 Boundary into six sub-boundaries as per Gleeson et al. (2019), which reflect the different functions of water  
169 within the Earth System, and represent five different stores of water (atmospheric water, soil moisture,  
170 surface water, groundwater and frozen water). Each store of water can either have a boundary only at the  
171 global/local level, in which case only the relevant boundary will be used, or it can be relevant at both the  
172 scales. In this case, if the control variable of the boundary is different for the global and the local scale, two  
173 boundaries will result with two different control variables. If the control variable is the same, the more  
174 conservative boundary will be selected. This framework has not been applied yet except in a theoretical way,  
175 and the control and response variables have been defined only for a particular case-study (a Colombian  
176 wetland with a mangrove ecosystem).

177 A recent study by Zhang et al. (2022) has tried to set the local Planetary Boundaries for the Chinese industrial  
178 sector. It combined a bottom-up approach that aggregates the environmental performances of the industries  
179 at provincial level through their environmental footprint intensity, with a top-down approach that adjusts  
180 the first value if it transgresses the national share of the boundary, derived applying the egalitarian principle  
181 to the global boundary. Although this approach manages to consider the local impact of Chinese industry  
182 with an eye toward the global Planetary Boundaries, by using the national share of the global boundaries, it  
183 still does not account for the local peculiarities of the Chinese environment and for the eventual insurgence  
184 of tipping points at regional level.

185 The problem with boundaries that have a regional component is that it is not possible to translate a threshold  
186 based on biophysical parameters into a boundary for a nation. In fact, each country can host different  
187 ecosystems, whose boundaries rarely coincide with political borders. The next section therefore reviews the  
188 process of downscaling the boundaries globally by ecosystem. With this approach, biophysical thresholds  
189 and changes in resilience are investigated, and a boundary can be set with a scientific criterion (as it is in the  
190 original paper), then using the results of this global exercise to set national boundaries for each ecosystem  
191 within the country and make them operational where political decisions are being made. National boundaries  
192 set in this way could help to establish local policies that aim to preserve global boundaries but that, at the  
193 same time, are focussed on the peculiarities of the country itself. This would also make all the national  
194 versions of the Planetary Boundaries directly comparable to one another, because they would be based on  
195 the same variables and would contribute to staying within the same global boundaries. Häyhä et al. (2016),  
196 facing the issue of how to bridge the scale between the original boundaries and their national versions,  
197 reports a lack of consistence in these studies, which is instead necessary if the aim is to support the Planetary  
198 Boundaries framework (McLaughlin, 2018). Currently, the only study that compares many countries using  
199 the same metrics was conducted by O'Neill et al. (2018), but they used a top-down, per capita approach, not  
200 considering regional diversities.

## 201 2.1 Downscaling the Boundaries by ecosystem

202 Operating at ecosystem level would be essentially an extension of what Steffen et al. (2015b) already  
203 suggested for the land-system change. The boundary is set using the area of forested land as % of original  
204 forest cover, with differences according to the type of forest (tropical, temperate and boreal). In this case,  
205 specific global boundaries apply for specific biomes to account for regional differences. Toward applicability  
206 at country level, though, this distinction must be broadened. For example, in a country like Scotland, where  
207 ancient native forests had already long gone before the industrial revolution and most of the current  
208 woodlands are afforested plantations made of non-native species, this boundary does not appear sufficient.  
209 In this case, a land-system boundary that, for example, set a limit of peatlands in a good condition would be  
210 much more relevant, not only for Scotland itself, whose territory is more than 23% peatlands (Bruneau et al.,  
211 2014), but also at the global level, considering the internationally recognized importance of peatlands for  
212 climate change (Humpenöder et al., 2020). The same argument holds also for the other regional boundaries.  
213 A global boundary for freshwater use, for example, that accounted for the diversity of the ecosystems  
214 (calibrated on rainforests to avoid the risk of their dieback, or on peatlands to keep a sufficient water table  
215 level, and so on) would be easier to downscale for a nation and would help in shaping local water policies  
216 that, together, build global resilience. In the same direction, Sheffer et al. (2015) have suggested the  
217 definition of a safe operating space for “iconic ecosystems” to help their local management, arguing that it  
218 would also build resilience to climate change. The follow up by Green et al. (2017) started investigating a  
219 global boundary for wetlands, accounting also for the interactions among different boundaries. With an  
220 ecosystem focus, the boundaries are manageable (see also section 3) because processes and feedbacks are  
221 better known. In this regard, the “Regime Shift Database” (<https://www.regimeshifts.org/>) is a very useful  
222 tool. It collects many regime shifts documented in socio-ecological systems and those that affect ecosystem  
223 services and human wellbeing, at different scales (global, sub-global/regional, local/landscape). This  
224 database contains information about drivers, feedbacks, ecosystem services involved, temporal and spatial  
225 scale, reversibility and confidence related to each observed regime shift.

226 Zipper et al. (2020) showed that integrating local and global aspects of a regional boundary is possible in  
227 theory, as in the case of the freshwater boundary. They implicitly developed a direction of focusing on  
228 ecosystems (?), by providing an example focused on a mangrove ecosystem in Colombia and proposing a  
229 linkage between a control variable (water salinity) to some thresholds in that particular ecosystem. This  
230 variable would differ for evaluating the freshwater boundary in another Colombian ecosystem, but it is  
231 presumably similar for the same ecosystem elsewhere.

232 McLaughlin (2018) also tackled the issue of downscaling boundaries to local level, developing a regional  
233 boundary framework (applied to a county in the state of Washington in the USA, and its related river basin).  
234 He created a safe operating space addressing those boundaries with a regional component (land-system  
235 change, freshwater use, nitrogen and phosphorus flows and biodiversity). This approach has the advantage

236 of being locally manageable and coherent with the information about local processes, but at the same time,  
237 it can be upscaled to broader areas as part of the Planetary Boundaries framework. Despite the fact that this  
238 study addressed the scale issue in the opposite way (from a local framework to the global picture), it is based  
239 on the same consideration that boundaries should account for ecological processes in homogeneous regions.  
240 What this study shows, in fact, is that for the boundaries with a regional component, with a focus on the  
241 ecosystem, locally manageable policies can be implemented, maintaining at the same time the global aspect  
242 that underpins the Planetary Boundaries framework.

### 243 **3 Interactions among the Planetary Boundaries**

244 Even though the Planetary Boundaries are derived (?) separately and their thresholds are set independently,  
245 many interactions occur among them in reality. The Planetary Boundaries influence each other's thresholds.  
246 Although these interactions have been acknowledged since the beginning (Rockström et al., 2009b), they are  
247 difficult to quantify and thus have not been applied in practice (Downing et al., 2019). Lade et al. (2020) made  
248 a first attempt to address the issue recently. They considered all possible interactions among the different  
249 boundaries and tried to quantify them. The study did not claim to inform policies because of the strong  
250 simplifications used in their model, but it brought up the importance of the interactions in shaping the safe  
251 operating space and revived the debate and research around this point.

252 The concept of the Planetary Boundaries is a way to keep humanity far from hazardous tipping points that,  
253 if exceeded, could trigger sudden shifts in the functioning of the Earth System (Rockström et al., 2009b). The  
254 literature about tipping points and regime shifts is clear on the fact that a system can be exposed to an  
255 increasing pressure without showing any sign of change. Then, all of a sudden, the system changes to a  
256 different state of equilibrium (Sheffer et al., 2001, Sheffer & Carpenter, 2003; Groffman et al., 2006). What  
257 keeps the system away from this tipping point, even when the pressure starts increasing, is its resilience,  
258 which external factors can also enhance or reduce (Gunderson, 2000; Sheffer & Carpenter, 2003; Folke et al.,  
259 2004). The interactions among different domains are exactly some of the processes that can increase or  
260 decrease the resilience of a system, and hence play an important role in setting a boundary.

261 In peatland habitats, for example, climate change can trigger a shift from a state characterized by *Sphagnum*  
262 cover, typical of bogs, to a state where vascular plants dominate (Eppinga et al., 2009). Climate change can,  
263 in fact, increase temperature and decrease rainfall, lowering the water table and favouring vascular plants  
264 over *Sphagnum*, which needs a waterlogged environment to thrive (Dieleman et al., 2015). But climate  
265 change is not the only driver involved, and other conditions can reduce the resilience of the bog system to  
266 change and speed up the shift. Nutrient input is, for example, a key factor in the process, because it stimulates  
267 vascular plant growth that is otherwise inhibited by *Sphagnum*, which maintains a low flux of nutrients due  
268 to a slow decay process (Limpens et al., 2003). In this example, if one considered the climate change boundary



269 alone, the climate threshold that triggers the shift would be less stringent. But, given the interaction with the  
270 nutrient input, a lower level of climate change can trigger the shift.

271 It is also for this reason that the boundaries of Rockström et al. (2009a, 2009b) followed the precautionary  
272 principle. The safe operating space is wide enough to include the uncertainties linked, among other things,  
273 to these interactions. The boundaries are also set away from the thresholds that could trigger a shift in the  
274 Earth System.

275 Sheffer et al. (2015) also explained this concept by arguing that managing local stressors could enhance  
276 climate resilience and contain the negative effects of climate change. In fact, if it is true that multiple stressors  
277 can add up and erode resilience, it is also true that alleviating the pressure from one stressor can build  
278 resilience. They explained how to create a safe operating space for iconic ecosystems (the Doñana wetlands  
279 in Spain, the Amazon rainforest, and the Great Barrier Reef) that are in critical danger primarily because of  
280 climate change. By acting on locally manageable stressors, their resilience to climate change could increase,  
281 making them less vulnerable to the effects of climate change itself.

282 After over a decade since the introduction (?) of the Planetary Boundaries' framework (reference),  
283 understanding the interactions among the boundaries is still a high priority to achieve multiple sustainability  
284 goals (Häyhä et al., 2018). Discussing the biodiversity integrity boundary, Mace et al. (2014), argued that  
285 interactions and feedbacks should be addressed with more urgency than defining stand-alone measures of  
286 biodiversity. Other authors have instead proposed boundaries that include in themselves more biophysical  
287 dimensions. Running (2012) suggested adding a boundary for net primary production (NPP) that would be  
288 easy to monitor and model. It would incorporate land use, freshwater use, biogeochemical cycles, climate  
289 change and impacts on biodiversity. O'Neill et al. (2018) and Priyadarshini & Abhilash (2020) have added the  
290 ecological footprint to account for the cumulative effect of different pressures on the environment.

291 Following the study of Sheffer et al. (2015), Green et al. (2017) started building a framework for wetland  
292 management that applies the Planetary Boundaries concept and accounts for some of their interactions.  
293 They considered three different domains (climate change, nutrient loading and freshwater use) and assessed  
294 their interactions in the wetlands. They argued that, at the ecosystem level where interactions among the  
295 boundaries are better known, managing one stressor to enhance the ecosystem resilience and reduce the  
296 impact of another stressor is possible.

### 297 3.1 Climate Change as a Core Boundary (?)

298

299 Among all the boundaries, some are more interconnected than others. Steffen et al. (2015b) have defined  
300 climate change and biodiversity integrity as "core boundaries." This is because they influence and are  
301 influenced by all the other boundaries, and because a large change in the climate or in the biodiversity

302 integrity could be sufficient to tip the earth system out of the current Holocene state. Lade et al. (2020) found  
303 that the climate change and biodiversity integrity boundaries have interactions with all the other boundaries,  
304 which contribute around half the strength of all the interactions. This example makes it even more important  
305 to consider the interactions that link these two core boundaries to the others.

306 At a global level, this linkage is more challenging for the biodiversity integrity boundary because of numerous  
307 factors. First is its heterogeneous nature. The extinction rate and reduction of the Biodiversity Intactness  
308 Index - the two variables for defining the biodiversity integrity boundary - have a different weight on the  
309 basis of the species involved (for example the extinction of a keystone species or a top predator have  
310 disproportionately high impacts on the functioning of an ecosystem), and on where they are considered (for  
311 example, a tropical forest vs a boreal forest). This is reflected in the fact that the Biodiversity Intactness Index  
312 must be assessed by biomes or over large-scale areas and there is not a single boundary for it (Steffen et al.,  
313 2015b). The second factor is the complexity of biodiversity itself, which is governed by a network of relations  
314 among different species that act in different context and with different combinations of pressures, making it  
315 difficult to identify global patterns. Tylianakis et al., (2008), reviewing the literature, have examined how  
316 single drivers (climate change, enrichment of carbon dioxide (CO<sub>2</sub>), nitrogen deposition, land use change and  
317 biotic invasion) affect the interactions between species (mutualism, competition, food webs). They found  
318 that the interactions depend heavily on the species involved and on the environmental context. They also  
319 argued that these differences are partly due to the fact that changes in multiple drivers can exacerbate or  
320 mitigate the effect of a single driver, making the interactions among drivers just as important, although much  
321 less studied. Finally, the biodiversity integrity boundary is still perceived by the scientific community as  
322 “provisional” or “incomplete”. An improvement compared to the first formulation in Rockström et al. (2009a,  
323 2009b), where they considered the extinction rate (with the boundary set at less than 10 extinctions per  
324 million species per year), came with the Biodiversity Intactness Index (BII). Scholes & Biggs (2005) defined  
325 the BII as “an indicator of the average abundance of a large and diverse set of organisms in a given  
326 geographical area, relative to their reference population.” Mace et al. (2014) also suggested it as a potential  
327 biodiversity boundary. This index has been included in the updated version of the Planetary Boundaries  
328 provided by Steffen et al. (2015b).

329 Although, with the BII, the representation of the biodiversity boundary has improved, which now accounts  
330 for the role of biodiversity in the functioning of the Earth-System and includes both global and biome levels,  
331 the uncertainty around this boundary is still wide. The relationship between BII and Earth-System responses  
332 is, in fact, not fully clear. The scientific community is still pursuing a way to integrate it with a better variable.  
333 The boundary itself includes this uncertainty, with the range set at 90-30% of the BII to be maintained (Steffen  
334 et al., 2015b). The actual calculation of the current situation against the boundary was initially available only  
335 for the south African region, where it has been estimated a value of 84% of the BII (Scholes & Biggs, 2005).  
336 Newbold et al. (2016) then calculated it for all the terrestrial biomes. They found that 9 out of 14 of them

337 have, on average, transgressed the boundary. To calculate the BII, they modelled the response of biodiversity  
338 to land use and its related pressures, assessing not only species richness, but also species abundance. This is  
339 also a way of considering the interaction with land use change, although a direct link with the land use  
340 change boundary does not exist.

341 The problems with the biodiversity boundary are also evident in attempts to downscale the boundary to the  
342 national level. Most studies either did not consider the biodiversity boundary due to a lack of data (Nykvist  
343 et al., 2013; Sayers et al., 2014), or it was changed to another variable considered more suitable for the local  
344 conditions (Cole et al., 2014; Dao et al., 2015; Priyadarshini & Abhilash, 2020). The need for a better  
345 understanding of the relationships between biodiversity and the other Planetary Boundaries is a  
346 fundamental factor to consider when assessing the safe operating space and its future trajectory. Until now,  
347 however, only few attempts in this direction have been made, and many other aspects of the biodiversity  
348 boundary are not fully understood yet.

349 Climate change, the other core boundary, is different from the biodiversity boundary in many ways. The  
350 boundary is defined through two variables: the total [CO<sub>2</sub>] in the atmosphere, which is set at 350 ppm (350 -  
351 450 ppm considering the zone of uncertainty), and the energy imbalance at the top of the atmosphere, which  
352 is set at +1 W m<sup>-2</sup> (between +1 and +1.5 W m<sup>-2</sup> considering the zone of uncertainty) compared to the  
353 preindustrial level. The definition of this boundary is deemed quite robust and has not changed between  
354 Rockström et al.(2009a, 2009b) and their update (Steffen et al., 2015b), except for the upper limit of the  
355 uncertainty zone, which has been reduced from 550 ppm to 450 ppm. Second, regardless of where an  
356 increase or decrease of [CO<sub>2</sub>] takes place, the effects on the climate change boundary are the same because  
357 what matters is the total amount of CO<sub>2</sub> in the atmosphere. This also makes the exercise of downscaling the  
358 boundary much easier than for biodiversity integrity. Agreement exists in the selection of the variable that  
359 can be used in this process, which is usually the amount of CO<sub>2</sub> emissions of the country or of the region  
360 considered (Nykvist et al., 2013; Sayers et al., 2014, Cole et al., 2014; Hoff et al., 2015; Dao et al., 2015; Häyhä  
361 et al., 2018; Lucas & Wilting, 2018; Andersen et al., 2020; Priyadarshini & Abhilash, 2020).

362 Discussion on how to downscale the climate change boundary has now become a political and equity issue  
363 more than a scientific issue. For example, how does one decide the allocation of the CO<sub>2</sub> emissions? Should  
364 the past emissions be considered? Should the amount of emissions account for the current welfare of the  
365 countries, allowing less developed countries to emit more? Or, is it sufficient to calculate a global *per capita*  
366 value that is the same everywhere? Regarding this point, Nykvist et al. (2013) and Hoff et al. (2015) divided  
367 the global carbon budget equally *per capita* worldwide and per next 100 years. Dao et al. (2015) used a  
368 hybrid approach by allocating the emissions to the country first (considering also past emissions) and dividing  
369 them by the population to calculate a per capita value (which, naturally, changes if the population increases  
370 or decreases). Lucas & Wilting (2018) and Andersen et al. (2020) used the remaining global budget to meet

371 the Paris agreement goal of staying below a 1.5° C increase and from it they calculated a per capita value, in  
372 the first case comparing different allocation approaches, in the second case with an equal per capita  
373 approach based on current population. Cole et al. (2014) and Priyadarshini & Abhilash (2020), instead, used  
374 a political boundary represented by the total amount of CO<sub>2</sub> emissions pledged by the South African  
375 government in the first case, and by the Indian projected emissions for 2020 under the Paris Agreement in  
376 the second case.

377 Given its robustness and its global nature, which makes it adaptable to different scales, the discussion around  
378 the climate change boundary could probably now focus on how to make it operative and useful in the long  
379 term. In the meantime, the focus can shift toward the interactions with the other boundaries. This shift  
380 means that, instead of having only the present snapshot of the safe operating space, its future trajectories  
381 could be explored, using climate change scenarios (for example the Representative Concentration Pathways  
382 used also in the most recent IPCC report – IPCC, 2022) to adjust the values of the other Planetary Boundaries.  
383 In fact, if climate change is in some measure unavoidable, its effects can be tackled at local level through  
384 targeted actions on the other boundaries, in order to increase the system’s resilience (Sheffer et al., 2015).  
385 Climate change is, in fact, capable of changing the future size of the safe operating space, lowering the  
386 position of the other boundaries. But given that these interactions go both ways, respecting the other  
387 boundaries would make this reduction smaller (increased resilience). So, if the Planetary Boundaries are  
388 usable in policymaking that looks at the future, they would be more valuable if the effects of climate change  
389 on them - and *vice versa* - was accounted for. Irrespective of the scale, the key question in this context would  
390 be “what are the management options that maximise the safe operating space in a climate change  
391 scenario?”. This is not a straightforward question to answer, but some studies in this direction would enable  
392 the Planetary Boundaries framework to be relevant for policy makers in the long run.

393

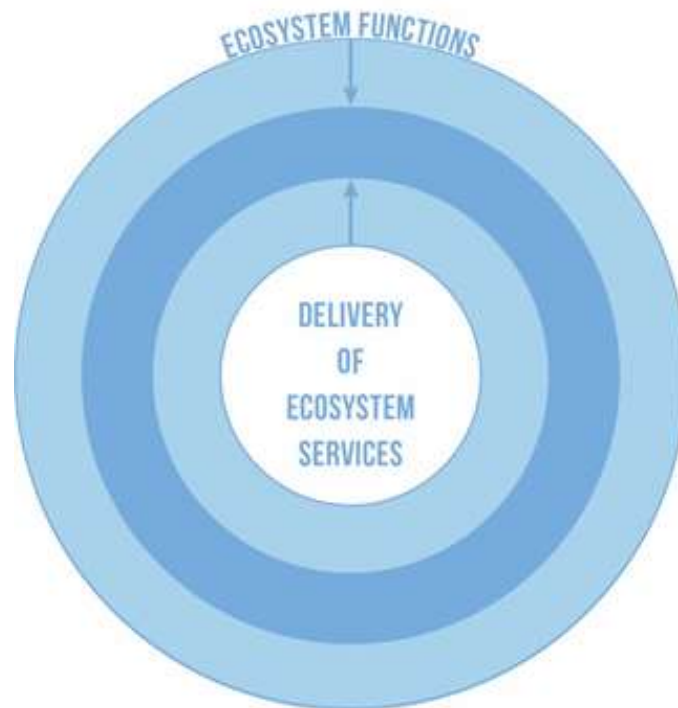
#### 394 **4 “Living within the Doughnut”**

395 Living within the Doughnut means to operate in the space situated below the Planetary Boundaries and  
396 above the social foundation. This definition refers to the safe and just space where humanity can thrive  
397 without harming the planet, while also fulfilling everyone’s basic needs (Raworth, 2012). Put in other words,  
398 living within the Doughnut corresponds with achieving all the Sustainable Development Goals (SDGs). In this  
399 respect, as the Doughnut concept was developed in 2012, it responded to the fact that no plan was present  
400 at the time to put in practice the Sustainable Development Goals (the SDGs were defined only three years  
401 later, in 2015). The Doughnut and the Planetary Boundaries, although not mentioned directly, been  
402 influential in shaping the SDGs, which include all the aspects of the social foundation and of the Planetary  
403 Boundaries, either as a goal or as a target within the goal.

404 As for the Planetary Boundaries concept, some attempts to downscale the Doughnut have been made to  
405 calculate a national or regional SJOS (Sayers et al., 2014; Dearing et al., 2014; Cole et al., 2017). Reviewing  
406 these studies, Hossian & Speranza (2020) lamented a scarce attention to the social side of the doughnut and  
407 the lack of a framework that can standardise the downscaling process. They also highlighted all the challenges  
408 when the SJOS is downscaled to a regional level. One of these challenges is the choice of a set of indicators  
409 able to capture all the economic, social and environmental processes and that fit the local context  
410 maintaining the global relevance of the Planetary Boundaries. Below, we review how quantification of  
411 ecosystem services provided by each ecosystem within a particular area (a country, a region, a city) could  
412 help defining where it sits in relation to the SJOS.

#### 413 **4.1 Ecosystem services as a measure of life within the Doughnut**

414 Following the synthesis in Section 2.1, if the Planetary Boundaries are downscaled ecosystem by ecosystem,  
415 considering the Doughnut - which adds a social component - the discussion can focus on ecosystem services.  
416 Ecosystem services are defined as “the benefits provided by ecosystems that contribute to making human  
417 life both possible and worth living” (MA, 2015), which is what, in the end, underpins the SJOS defined by the  
418 Doughnut. Both ecosystem services and the Doughnut concept are based on the consideration that the  
419 economic and social assets are embodied in the natural assets, and hence they depend on it. This is also in  
420 line with the SDGs, whose primary aim is to “promote human dignity and prosperity while safeguarding the  
421 Earth’s vital biophysical processes and ecosystem services” (United Nations, 2015). Ecosystem services and  
422 their fair delivery to humanity could then provide a practical policy tool to assess life within the Doughnut. If  
423 Planetary Boundaries exist for each ecosystem, once crossed, they also undermine their ecosystem functions,  
424 and this in turn puts at risk the ecosystem services that the ecosystem currently delivers, narrowing the SJOS  
425 on both sides; the environmental ceiling lowers, as do the services provided to the population, hampering  
426 the goals of the social foundation (fig.1). To put it another way, to live within the Doughnut, the ecosystems  
427 should be maintained in a state that safeguards their services. These services must be adequately delivered  
428 to the population. This is a simplification, and it does not account for other services that could eventually  
429 emerge from a new configuration of the environment that follows a regime shift. Nevertheless, ecosystem  
430 services can be monitored and modelled, offering insights to assess life within the Doughnut, by considering  
431 the ecosystem services that are currently available and evaluating their trends. This would not be a substitute  
432 for the Planetary Boundaries, but a further metric that could help local governments to track the balance  
433 between the opposite sides of the Doughnut. Once a set of global boundaries is defined for an ecosystem, to  
434 understand why locally we are/we are not living within the Doughnut, we could first assess the ecosystem  
435 services provided by that ecosystem and how they are distributed. The ecosystem services would link the  
436 outer and the inner circle of the Doughnut, giving insights on why we are falling short on the social foundation  
437 side or why we are exceeding the Planetary Boundaries for that ecosystem. In this case, the problem could  
438 be addressed through a better management of the ecosystem itself.



439

440 **Figure 1** Conceptual ecosystem Doughnut: Planetary Boundaries allow the ecosystem to perform certain  
 441 functions which underpin certain ecosystem services, which in turns help humanity to live above the  
 442 standards of the social foundation (the light-blue Doughnut). When the planetary boundaries are exceeded,  
 443 the ecosystem functions are lower and so are the ecosystem services provided: the safe and just operating  
 444 space becomes smaller (the dark-blue Doughnut).

445 Other studies have also addressed the close relationship between Planetary Boundaries and ecosystem  
 446 services. Bogardi et al. (2013) used the example of water to show that a safe operating space is defined by  
 447 planetary resources, ecosystem-based resources and human societies. These aspects together constitute a  
 448 “balanced triangle of services appropriation”, where the needs of societies are met, and the ecosystem and  
 449 planetary services are kept below their tipping points. Jonas et al. (2014) advocated the need for a roadmap  
 450 for sustainable land use with the aim of sustaining natural capital and ecosystem services. They suggested a  
 451 framework that uses the Planetary Boundaries as a global constraint, within which local and regional  
 452 decisions are accounted for and where a safe socio-ecological space is defined. Mace et al. (2014) suggested  
 453 a biodiversity integrity boundary based on functional diversity that is biome-specific. They argued that the  
 454 good functioning of biomes provides ecosystem services that maintain Earth System processes. Even if their  
 455 scope is broader, they made the link between the biome functionality and the provision of ecosystem  
 456 services. This, flipping the perspective, give importance to the management of the ecosystem services in  
 457 relation to the functioning of ecosystems and, at higher level, biomes.

458 In analysing the literature that developed the Planetary Boundaries concept and the key words used in the  
 459 papers, Downing et al (2019) provided some clarity. “Ecosystem services” is a key word only in those papers  
 460 that the authors defined as “commentary” (*i.e.*, they discussed the concept but did not attempt to use it),

461 whereas in papers that used the Planetary Boundaries concept, ecosystem services were not mentioned. So,  
462 while the link between the safe operating space defined by the boundaries and ecosystem services has been  
463 discussed, the utilization of ecosystem services as a metric to assess the safe operating space has not been  
464 implemented yet.

465 In a study that combined Planetary Boundaries and ecosystem services, Vargas et al. (2018) suggested linking  
466 the Planetary Boundaries framework with ecosystem accounting. They argued that, while the first is focussed  
467 on global sustainability, the latter can support national policy making for sustainable use of natural resources,  
468 and that their common ground is the focus on sustainable development. They applied this concept to the  
469 Orinoco River basin in Colombia, where the boundaries of land-system change, nitrogen and phosphorus  
470 flows and freshwater use provided the basis for a comparison between the extent, condition and capacity to  
471 supply ecosystem services, and the supply of ecosystem services of palm oil plantations and tropical forest.  
472 The approach of this study is informative from a Doughnut perspective. With ecosystem accounting, socio-  
473 economic aspects are considered and a SJOS is defined and addressed in a practical way, where a trade-off  
474 exists between the use of ecosystem services and their future availability, but with consideration of global  
475 sustainability provided by the Planetary Boundaries framework.

476 In essence, both the Planetary Boundaries and the ecosystem services concepts have an anthropocentric  
477 component. They look at Earth System stability and at the benefits provided by ecosystems with  
478 consideration that they are necessary to maintain and/or reach human wellbeing. Importantly, however,  
479 ecosystem services can provide a link between the Planetary Boundaries and the socio-economic aspects of  
480 the Doughnut. Loss of biodiversity can lead to lower pollination, for example, which means less food.  
481 Pollution and high loads of nitrogen and phosphorus can pollute water, which means less clean drinking water  
482 availability. The loss of vegetation due to land-use change, combined with high level of pollutants, leads to a  
483 less clean air, which leads to health problems, and so on. On the other hand, policies to reduce CO<sub>2</sub> emissions  
484 require a change from using fossil fuels, which, if not adequately replaced, mean less available energy. This  
485 link is evident particularly for the material aspects of the social foundation (food, water, energy, income), but  
486 also the other aspects (*e.g.* equity, political voice, education) are indirectly linked because they are a cause  
487 and/or consequence of a fair distribution of the ecosystem services, and when the ecosystem services are  
488 reduced, these aspects also suffer; *vice versa*, when these social aspects are not achieved, less attention is  
489 given to the ecosystems, which tend to be overexploited for the benefit of few people. The Millennium  
490 Ecosystem Assessment (2003) discussed in greater detail the links and interconnections between ecosystem  
491 services and human well-being.

492 Hence, the SJOS within the Doughnut represents a sort of balance between the social well-being and the  
493 environmental constraints. This balance is achievable by maintaining the ecosystem services provided by  
494 nature and ensuring that everyone benefits from them.

## 495 5 Conclusion

496 Despite the fact that the Planetary Boundaries have been developed as a global concept, their ability to  
497 influence policies requires application at a local scale. Over ten years of research has not yet produced a clear  
498 and generalised way to achieve this applicationThe main obstacle is to account for local characteristics while  
499 keeping the original global relevance. Thus, to gain greater clarity on this challenge, we synthesized the  
500 literature by considering the problem of scale (Section 2),We addressed the interactions between the  
501 boundaries and the role of the climate change boundary in influencing the other boundaries (Section 3). We  
502 highlighted the link between the SJOS identified by the Doughnut and the maintenance of ecosystem  
503 services, which overlaps for many aspects.

504 Synthesis of the literature on these issues lead us to the following concluding points:

- 505 • Xxx
- 506 • Xxx
- 507 • xxx

508

509 Putting all the pieces together, setting Planetary Boundaries at the ecosystem level - where similar  
510 interactions take place and for which there is a better knowledge of the processes involved - and considering  
511 different climate projections, could be a way to improve the operationalization of the Planetary Boundaries,  
512 creating a future safe operating space that is monitored through the evaluation of the maintenance of  
513 ecosystem services.

514

515

516

517 We also suggest several areas of future studies to meet the outstanding issues identified. on the downscaling  
518 process should not focus on constraining the boundaries, that derive from physical thresholds, within political  
519 borders. Instead, we suggest calculating the boundaries for each ecosystem and only then applying them at  
520 a country level. This would require a lot of work because meaningful ecosystem boundaries should be set  
521 first, but it could be a way to overcome the mismatch between the physical and the political dimensions..  
522 Another future direction in the Planetary Boundaries development is the inclusion of different climate  
523 scenarios for the evaluation of the trajectories of the SJOS: climate change influences all the other boundaries  
524 and climate scenarios are available and could be used to show how the size of the SJOS could change  
525 accordingly. We also suggest that the ecosystem services, being a link between the Planetary Boundaries and  
526 the social foundation, could be used to practically operate within the Doughnut: acting on their management,



527 we can find a balance that allows us to stay within the Planetary Boundaries and above the social foundation.  
528 This is just a theoretical exercise which still needs a lot of work to be implemented in practice, but we think  
529 that if refined and applied to many important ecosystems and countries, it could contribute to make the  
530 Planetary Boundaries operative, and their downscaled versions coherent and comparable with one another.  
531 In this way, the global perspective of the Planetary Boundaries is maintained, and the local environmental  
532 and social peculiarity of a nation (or a smaller entity) are considered, as well as the fact that any policy that  
533 is going to be implemented will be inevitably influenced by climate change.

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