



## Research Article

# Zebrafish Embryo Neonicotinoid Developmental Neurotoxicity in the FET Test and Behavioral Assays

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### Abstract

The need for reliable, sensitive (developmental) neurotoxicity testing of chemicals has steadily increased. Given the limited capacities for routine testing according to accepted regulatory guidelines, there is potential risk to human health and the environment. Most toxicity studies are based on mammalian test systems, which have been questioned for low sensitivity, limited relevance for humans, and animal welfare considerations. This increased the need for alternative models, one of which is the zebrafish (*Danio rerio*) embryo. This study assessed selected neonicotinoids at sub-lethal concentrations for their effects on embryonic development and behavior. The fish embryo acute toxicity test (OECD TG 236) determined the lowest observable effective concentrations, which were used as the highest test concentrations in subsequent behavioral assays. In the FET test, no severe compound-induced sublethal effects were seen at < 100  $\mu\text{M}$ . In the coiling assay, exposure to  $\geq 1.25$   $\mu\text{M}$  nicotine (positive control) affected both the burst duration and burst count per minute, whereas  $\geq 50$   $\mu\text{M}$  thiacloprid affected the mean burst duration. Exposure to  $\geq 50$   $\mu\text{M}$  acetamiprid and imidacloprid induced significant alterations in both mean burst duration and burst count per minute. In the swimming assay, 100  $\mu\text{M}$  acetamiprid induced alterations in the frequency and extent of movements, whilst nicotine exposure only induced non-significant changes. All behavioral changes could be correlated to findings in mammalian studies. Given the quest for alternative test methods of (developmental) neurotoxicity, zebrafish embryo behavior testing could be integrated into a future tiered testing scheme.

## 1 Introduction

Therapeutic disasters such as the thalidomide tragedy (Franks et al., 2004; Vargesson, 2015, 2019) are the most prominent examples of a long list of drug scandals<sup>1</sup>. Together with “environmental accidents” like Minamata disease caused by mercury poisoning (Health & Chemicals, 2002; Kitamura et al., 2020), these fatal errors underlined the need for stricter regulations and more in-depth testing to prevent effects of drugs on the developing brain and nervous system. Neurotoxicity (NT) has since

been defined as biological, chemical, or physical agent-induced adverse effect(s) on the structure or functioning of the nervous system (Cunha-Oliveira et al., 2008). Developmental neurotoxicity (DNT) has frequently been defined as an inhibition or alteration of the nervous system development leading to irreversible changes (Delp et al., 2018). Whilst DNT due to chemical exposure during fetal development is commonly observed in early life, one cannot exclude neurological symptoms after fetal exposure only presenting in adult individuals (Dubovický et al., 2008). Thus, the timepoint of exposure and the timepoint of

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<sup>1</sup> The list of drug scandals started in 1937 with the sulphanilamide catastrophe (Paine, 2017), and from then, included a long list of drugs such as Acompla, Avandia, Bufomedil, Clinafloxacin, Clobutinol, Coxigon, Enoxacin, Fleroxacin, Gatifloxacin, Gemifloxacin, Grepafloxacin, Lipobay, Lomefloxacin, Lumiracoxib, Mibefradil, Nefazodon, Pefloxacin, Sparfloxacin, Temafloxacin, Trasylol, Trovafloxacin, Valdecoxib, Vioxx, Ximelagatran, and Zelmac.



DNT endpoint observation are not necessarily the same. Examples of DNT endpoints are changes in the migration or interaction of cells and structural deformations of the brain and/or nervous system (Chang, 1998; Aschner et al., 2017).

Usually, assays to determine the (D)NT potential of a compound are based on mammalian model organisms, e.g., the DNT study in accordance with the Organization for Economic Co-operation and Development (OECD) Test Guidelines (TG) 426 (OECD, 2007) and 443 (OECD, 2018). Whereas these *in vivo* protocols based on one- or two-generation animal experiments are very time- and cost-intensive, modern *in vitro* models such as the NeuroTox or the PeriTox tests assess well-defined aspects of the nervous system (Hoelting et al., 2016; Delp et al., 2018). Along with increasing concerns regarding the sensitivity of mammalian test systems for the determination of potential risks to human health (Bailey et al., 2014; Aschner et al., 2017; Fritsche, 2017; Monticello et al., 2017; Clark and Steger-Hartmann, 2018), and specifically DNT effects (Paparella et al., 2020), the quest for alternative test methods following the 3Rs principles to reduce, refine, and replace animal testing (Russell and Burch, 1959) has increased the demand for new approaches to (D)NT testing.

As one potential candidate for alternative test systems, the zebrafish (*Danio rerio*) embryo is receiving increasing attention in the scientific and regulatory community, since it combines the benefits of an *in vivo* model with compatibility with European animal welfare legislation (EU, 2010; Strähle et al., 2012) and the 3Rs principle (Russell and Burch, 1959). In practical terms, the zebrafish embryo is more time-, cost- and resource-efficient than classic mammalian models and can be made accessible for high-throughput methods (Braunbeck et al., 2015).

Neonicotinoids (“new-nicotine-like pesticides”; EFSA, 2013), a group of insecticides originally advertised for high target-specificity, are a group of chemicals associated with DNT in rodents. Second to glyphosate, neonicotinoids have continued to be the best-selling pesticides globally (Sparks and Nauen, 2015), although recently their use in Europe has been severely restricted (Auteri et al., 2017). In insects, neonicotinoids bind to the nicotine acetylcholine receptor (nAChR), resulting in altered nerve excitation, paralysis, and ultimately death (Tomizawa and Casida, 2005). Evidence suggests that neonicotinoids also adversely affect pollinating insects, birds, as well as mammals, finally disrupting entire ecosystems (Tomizawa and Casida, 2005; Millot et al., 2017; Pisa et al., 2021). Studies into the effect of neonicotinoids in aquatic vertebrates have documented impaired neurotransmission (Zhang and Zhao, 2017) along with early-life and long-term effects on neurodevelopment and behavior (Crosby et al., 2015).

For the detection and quantification of early effects of neuroactive compounds, behavioral assays have frequently been employed, since they provide insight into changes associated with

nervous system functioning through movement and behavior (Klüver et al., 2015). Technical progress has allowed for sophisticated recording coupled with subsequent computer algorithm-based data analysis. Zebrafish behavioral patterns have been studied in great detail (Kalueff et al., 2013) in both embryos and adults (Bass and Gerlai, 2008; Rihel et al., 2011; Zindler et al., 2019a,b, 2020), providing a solid basis for the assessment of behavioral changes elicited by chemical exposure. The earliest locomotor behavior in the zebrafish embryo is spontaneous tail coiling, which is initiated 17 hours post-fertilization (hpf) and based on spontaneous neuronal firing before becoming a controlled cyclical movement to support hatching by 72 hpf (Kimmel et al., 1974). Following a strict developmental timeline (see Fig. S1<sup>2</sup>), the coiling behavior has been found to be affected by various compounds in a mode of action (MoA)-specific manner (Kokel et al., 2010; Raftery and Volz, 2015; Vliet et al., 2017; Zindler et al., 2019b). Usually, a distinction is made between post-hatching early swimming behavior and later mature beat-and-glide swimming patterns (Saint-Amant and Drapeau, 1998; Drapeau et al., 2002). Due to the susceptibility to toxicants, the so-called basal swimming activity assay has frequently been used to study behavioral endpoints at later developmental stages (Letcher et al., 2010; Basnet et al., 2019).

The purpose of the present study was to analyze the potential of the coiling assay and the swimming assay to detect (D)NT-related effects of neonicotinoid exposure. Exposure during critical early life-stages was hypothesized to trigger behavioral changes in zebrafish embryos at concentrations too low to cause overt morphological teratogenic effects. Due to structural similarities of neonicotinoids with nicotine, similar behavior alternations such as concentration- and time-dependent hyper- and hypoactivity or anxiety responses were expected (Freeman et al., 1987; Bencan and Levin, 2008). Therefore, effects of acetamiprid, clothianidin, dinotefuran, imidacloprid, thiacloprid and thiamethoxam were compared to those of nicotine, a known developmental neurotoxicant (positive control). The upper limits of test concentrations in the coiling assay (Zindler et al., 2019b) and the swimming assay (Zindler et al., 2020) were determined as the concentrations causing 10% sub-lethal effect (EC<sub>10</sub>) in the OECD fish embryo acute toxicity (FET) test (OECD, 2013).

## 2 Animals, materials and methods

### 2.1 Zebrafish handling and maintenance

Adult “Westaquarium” strain zebrafish were obtained from the breeding facilities at the Aquatic Ecology and Toxicology Group (Centre for Organismal Studies, University of Heidelberg, Heidelberg, Germany; license no. 35-9185.64/BH). Fish maintenance,

#### Abbreviations

ACh, acetylcholine; ANOVA, analysis of variance; DCA, 3,4-dichloroaniline; DMSO, dimethyl sulfoxide; (D)NT, (developmental) neurotoxicity; EC, effective concentration; FET, fish embryo acute toxicity test (OECD TG 236); hpf, hours post fertilization; LC, lethal concentration; MS, mass spectrometry; MW, molecular weight; nAChR, nicotinic acetylcholine receptor; OECD, Organization for Economic Co-operation and Development; SC, step change; TG, Test Guideline.

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**Tab. 1: Concentration range in  $\mu\text{M}$  tested in the FET test, the coiling assay (21-47 hpf), and the swimming assay (83-120 hpf)**

Compound	FET test	Coiling assay	Swimming assay
Acetamiprid	6.25-100	2.50-100	2.50-100
Clothianidin	6.25-100	–	–
Dinotefuran	6.25-100	–	–
Imidacloprid	6.25-100	2.50-100	–
Nicotine	6.25-100	1.25-25	1.25-25
Thiacloprid	6.25-100	2.50-100	–
Thiamethoxam	6.25-100	–	–

–, not tested in the respective assay: Since clothianidin, dinotefuran and thiamethoxam did not induce increased spontaneous movements at 24 hpf in the FET test, they were not tested further in the coiling assay. Acetamiprid was selected for the swimming assay as a proof of concept.

breeding conditions and egg production was conducted based on internationally accepted standards (Lammer et al., 2009). For all assays, OECD TG 236 standardized water was prepared freshly in-house. For the behavioral assays, the embryos were reared in crystallizing dishes. In brief, freshly laid eggs (< 1 hpf) were transferred to 50 mL crystallizing dishes filled with the respective test solutions. Total medium renewal was done daily (semi-static exposure) and, where recordings were part of the protocol, renewal was conducted between recordings, replacing the well plate at least 20 minutes prior to the next recording for re-acclimatization.

## 2.2 Chemicals

The neonicotinoids were distributed by the Joint Research Centre (JRC, Ispra, Italy); shipping and storage were conducted in accordance with the manufacturers' instructions. Acetamiprid, clothianidin, dinotefuran, imidacloprid, nicotine (positive control), thiamethoxam and thiacloprid were obtained from Merck (Darmstadt, Germany), whilst 3,4-dichloroaniline (DCA; used as FET test positive control) was obtained from Sigma Aldrich (Deisenhofen, Germany), and dimethyl sulfoxide (DMSO; used as solvent control) was obtained from Honeywell International (Offenbach, Germany). In the FET tests, artificial water was used as negative control, along with DMSO as solvent control. In the coiling and swimming assays, only DMSO was used as solvent control. Additional information regarding the neonicotinoids and nicotine can be found in Table S1<sup>2</sup>. Neonicotinoid stock solutions were prepared once at 100 mM in DMSO, aliquoted for each run and stored at  $-20^{\circ}\text{C}$  until required. All medium samples were prepared with a final DMSO concentration of 0.1%; final test concentrations in the FET, the coiling assay, and the swimming assay are summarized in Table 1. During the experimental procedures, the stock solution aliquots were individually thawed and stored at  $4^{\circ}\text{C}$ .

## 2.3 Chemical analysis of medium samples

### Mass spectrometry (MS) sample preparation

For each replicate and concentration (including negative and solvent controls), 2 mL of the exposure medium was collected at the

end of the 120 hpf exposure and immediately frozen in liquid nitrogen along with 2 mL freshly prepared stock. The samples were stored at  $-80^{\circ}\text{C}$  until shipping on dry ice for chemical analysis.

### Compound quantification by liquid chromatography-mass spectrometry (LC-MS)/MS

Samples containing the tested neonicotinoids were analyzed on a system consisting of an Acquity<sup>TM</sup> Binary Solvent Manager (BSM), an Acquity<sup>TM</sup> 4 position heated column manager, 2777 Ultra High Pressure Autosampler, and a Xevo TQ MS Triple Quadrupole mass spectrometer (Waters Ltd, Herts, UK). The analysis was performed using an Acquity<sup>TM</sup> HSS T3 column (1.8  $\mu\text{m}$ ) 2.1 x 30 mm (Waters Ltd, Herts, UK) fitted with a SecurityGuard<sup>TM</sup> ULTRA Fully Porous Polar C18 cartridge (Phenomenex, Cheshire, UK). The column was maintained at  $40^{\circ}\text{C}$ , and the injection volume was 4  $\mu\text{L}$ ; details are given in Table S2<sup>2</sup>.

## 2.4 Experimental procedures

### FET test

The acute toxicity of the test substances was determined according to OECD TG 236 (OECD, 2013) with 3 biological replicates utilizing eggs from different breeding groups, which were thus biologically distinct from one another. Each biological replicate was run with 10 eggs per treatment group, which here are termed as "technical replicates". These definitions also apply to the coiling and swimming assays. Collected eggs were individually transferred into 24-well plates (TPP, Trasadingen, Switzerland) containing 2 mL of fresh test solution at room temperature per well by 2 hpf latest. The well plates had been pre-exposed to the respective concentration medium to account for compound adsorption. Subsequently, well plates were sealed with self-adhesive foil (SealPlate<sup>®</sup> by EXCEL Scientific; Dunn, Asbach, Germany) to avoid evaporation and placed into an incubator at  $26 \pm 1^{\circ}\text{C}$  set to a light/dark cycle of 14/10 h. All developmental alterations of the embryos were documented at 24, 48, 72, 96, and 120 hpf after the daily total medium renewal. FET tests with a minimum mortality rate of 30% in the positive control



(4 mg/L DCA) and a maximum sub-lethal effective rate of 10% (i.e., EC<sub>10</sub>, in accordance with the OECD TG 236: OECD, 2013) in the negative control (standardized water; Lammer et al., 2009) at 96 hpf were classified as valid. Endpoints were recorded as defined by von Hellfeld et al. (2020). Examples of typical alterations induced by exposure to nicotine are given in Figure S2<sup>2</sup>.

#### *Coiling assay (21-47 hpf)*

Embryos were initially treated as outlined for the FET test (3.3.1). Five 7 h old zebrafish embryos per well were transferred into a 24-well plate (TPP) containing 2 mL fresh test solution (3 biological replicates with 20 technical replicates each). The well plates had previously been pre-exposed to the respective concentration medium to account for compound adsorption. The embryos were centered with a 5.3 mm diameter polytetrafluoroethylene ring (ESSKA, Hamburg, Germany). The localization of the test concentrations within the 24-well plate was randomized; each plate contained four test concentrations as well as a solvent control (0.1% DMSO; negative control). The plate was placed on an acrylic glass-covered light box (twelve infrared lights, 880 nm, 40°, 5 mm, Knightbright, Taiwan) within a HettCube 600R incubator (Hettich, Tuttingen, Germany) at 26 ± 1°C set to a light/dark cycle of 14/10 h, equipped with a large water reservoir to prevent medium evaporation from the open well plate. The first dark phase covers the beginning of the coiling assay (until 23.5 hpf), followed by the light phase (between 23.5 and 37.5 hpf). The second dark phase covers the end of the coiling assay time (from 37.5 hpf onwards). Videos with a duration of 8 min (mpeg-4, 25 frames/s) were taped between 21 and 47 hpf (camera: Basler acA1920-155µm, Ahrensburg, Germany; lens: M7528-MP F2.8 f75mm, Computar®, Basler, Ahrensburg, Germany; Filter: RG850 heliopan, Gräfelfing, Germany) utilizing the Ethovision® Software (Noldus, Wageningen, Netherlands). To avoid unnecessary movement of the recording set-up through the vibrations of the capacitor, the incubator was switched off for 15 minutes every hour (3 min prior to the onset of recording) as outlined by Zindler et al. (2019a). Lighting was not affected by the hourly incubator switch-off. An example video is provided as Video S1<sup>3</sup>. Software settings for the coiling assay can be found in Table S3<sup>2</sup>.

#### *Swimming assay (83-120 hpf)*

Acetamiprid was selected for the swimming assay as a proof of concept. Embryos were initially treated as outlined for the FET test (3.3.1). At an age of 72 h, one embryo per well was transferred into 96-well plates (TPP) containing 360 µL fresh test solution per well (3 biological replicates with 19 technical replicates each for nicotine; 2 biological replicates with 19 technical replicates each for acetamiprid). The well plates had previously been pre-exposed to the respective concentration medium to account for compound adsorption. The well plate was then placed in the DanioVision® Observation Chamber (Noldus, Wageningen, Netherlands) and thermostatically controlled through-flow (DanioVision® external Temperature Control Unit) ensured a constant water temperature of 26 ± 1°C and a light/dark cy-

cle of 14/10 h. Videos with a 15-minute duration were recorded every hour between 83 and 120 hpf using EthoVision Software (Noldus, Wageningen, Netherlands) (Zindler et al., 2019a). The videos were then analyzed with DanioScope® Software (Version 1.1). An example video is provided as Video S23. Software settings for the swimming assay can be found in Table S3<sup>2</sup>.

#### *Mammalian data*

The PubChem database was consulted for data with the test compounds from mammalian studies, and all studies listed for mammalian models were assessed. Where key words such as “developmental alterations/abnormalities”, “embryo toxicity”, “behavior”, “gait”, “anxiety”, “activity”, “motility” or similar terms were found, the findings were analyzed in-depth for exposure conditions and study duration. Additional studies were retrieved from PubMed using search keywords such as “((compound name) AND (development)) AND (mammal)”, “((compound name) AND (behavior)) AND (mammal)” and “((compound name) AND (embryo)) AND (mammal)”. Finally, targeted searches for specific effects observed in the FET test (such as pericardial area enlargement) were conducted. A summary of the experimental set-ups and significant findings of all referenced studies is provided in Table S4<sup>2</sup>.

## **2.5 Statistical analysis**

Each biological replicate was evaluated individually and compared to its corresponding control. ToxRat® (version 2.10.03; ToxRat™ Solutions, Alsdorf, Germany), GraphPad Prism version 7.03 for Windows (GraphPad, La Jolla, California, USA), and the open-source statistical software RStudio (Version 1.3.959) interface running R software (Version 4.0.2 for Windows; R Developmental Core Team, 2020) were used for statistical computing. SigmaPlot Version 14.0 (Systat Software, Erkrath, Germany) was used for data visualization. Additionally, the free software Inkscape (Software 0.92.4, 5da689c313, 2019-01-14) was used for post-editing of vector graphs. Differences from corresponding controls were considered statistically significant at  $p < 0.05$ .

#### *FET test*

EC<sub>10</sub> and EC<sub>50</sub> were calculated based on probit analysis using linear maximum likelihood regression with ToxRat® (Braunbeck et al., 2015). Here, all observed sub-lethal developmental alterations were considered for the computation of the EC values. Due to the overall low frequency of sub-lethal effects observed, however, all three replicates were assessed simultaneously with ToxRat®, thus not allowing the determination of a standard deviation (Tab. 3).

#### *Coiling assay (behavioral changes in early-stage zebrafish embryos)*

The videos were analyzed with the DanioScope Software (Version 1.1; Noldus, Wageningen, The Netherlands), and the read-out data for mean burst duration [seconds] and burst count per

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minute were obtained as excel spread sheets. ANOVA-on-ranks (Kruskal Wallis test) was utilized to analyze mean differences of behavior parameters versus negative controls, followed by the Dunn's *post-hoc* test against controls; data were visualized separately for each replicate, using GraphPad Prism. Time points at which at least two out of three replicates were found statistically significant ( $p \leq 0.05$ ) were considered as an effect caused by the exposure. All significant  $p$ -values are listed in Table S5<sup>2</sup>. Furthermore, the step change (SC) in both behavioral parameters between 37 and 38 hpf was analyzed as the difference between quantified estimates at both time points to analyze the effect of light change on the zebrafish embryo behavior. The standard deviations were derived in the same way. Then the values deviating from the control group by 10% (SC<sub>10</sub>) and 50% (SC<sub>50</sub>), both negative and positive, were computed. The assessment of the step change is only used as a representation of the data and to determine potential trends, not as a test for a statistically significant difference between the treatment groups.

#### *Swimming assay (behavioral changes in late-stage zebrafish embryos)*

Swimming distance in the swimming assay was evaluated by summarizing the data into bins of 3 to 5 hours. Outlier screening was conducted with the R function "identify\_outliers" (rstatix package, Version 0.5.0) for each biological replicate. The distance moved parameter was handled as follows: (1) The raw data was analyzed, estimates scored  $> 1.0\%$  (the cut-off threshold) in "missed samples" were removed, and the remaining data were pooled into 3-5 h bins to account for the missing/removed data as well as to avoid the over-interpretation of potential differences of behavior-based biological variability rather than exposure-induced differences. An outlier check with R was implemented, followed by non-parametric analysis and Dunn's *post-hoc* test (for significant  $p$ -values see Tab. S6<sup>2</sup>). (2) The total distance moved over the recorded 25 h was estimated by summing the distance moved per individual over all time points. These data were assessed by parametric one-way analysis-of-variance (ANOVA) and multiple comparisons of means from treatment groups against a control group (Dunnett's test, package "multcomp", Version 1.4.13). Effect size was estimated in terms of eta-squared ( $\eta^2$ ) and can be interpreted as follows: 0-0.01: no effect; 0.01-0.06: small effect; 0.06-0.14: intermediate effect; and 0.14-0.20: strong effect. For statistically significant findings,  $p$ -values are summarized in Table S7<sup>2</sup>. To determine swimming frequency, raw data were assessed in time-steps of 0.4 s and considered as a movement in events where activity was registered after a period of at least 5 seconds without movement, allowing to distinguish between actual movement and background noise. For statistically significant findings,  $p$ -values are given in Table S6<sup>2</sup>.

#### *Analysis of LC-MS/MS data*

Separate calibration curves were created for each neonicotinoid by spiking water samples with known concentrations of these compounds. For all compounds, the calibration curve showed a detection threshold at low spike-in concentrations. The peak areas were adjusted by dividing the values by a machine inter-

nal standard value that was determined separately for each data point, and by dividing by the estimated volume of all organisms, resulting in a value proportional to the concentration within individuals. The limit of detection (LOD) values are summarized in Table S2<sup>2</sup>.

Additionally, the percentage difference in actual and nominal concentrations in the fresh and 24 h old samples was computed as follows:

$$\% \text{ difference} = \frac{\text{actual-nominal}}{\text{nominal}} \times 100 \quad \text{Eq. 1}$$

## 3 Results

### 3.1 Chemical analysis of test chemicals

The chemical analysis of actual test concentrations was conducted with freshly prepared samples as well as samples taken at the end of the 120 h experiment, when organogenesis is mostly concluded (Kimmel et al., 1995) and an increase in metabolic activity and compound interaction may be expected. Actual concentrations of acetamiprid in the 120 h samples were about 70% of the nominal concentrations in the 50 and 100  $\mu\text{M}$  samples, but  $< 35\%$  in the lower exposure groups (Tab. 2). The clothianidin concentration was reduced by at least 97% in comparison to the nominal concentration in all exposure groups. Dinotefuran behaved similarly to acetamiprid, with the actual concentrations in the three highest exposure groups being between 70 and 80% of the nominal concentrations and up to 37% in the remaining treatment groups. Imidacloprid showed an inverted trend compared to other neonicotinoids tested. At the lowest nominal concentration, the actual concentration was about 40% of the nominal concentration but decreased to 15% in the highest exposure group. Nicotine concentrations were found to be 51% of the nominal 100  $\mu\text{M}$ , and the concentration declined to 25% of the nominal concentration in the 6.25  $\mu\text{M}$  treatment group. Interestingly, the concentrations of thiacloprid and thiamethoxam after 120 h exposure were higher than the nominal concentrations. In the 50 and 100  $\mu\text{M}$  medium, the actual thiacloprid concentrations were 238 and 198%, respectively. These values declined to between 20 and 32% for the lower test concentrations. Thiamethoxam was measured at 180% in the two highest exposure groups and values between 20 and 40% in the remaining three exposure groups (Tab. 2).

### 3.2 FET tests

Throughout any treatment, no acute mortality was observed, and EC<sub>50</sub> data were determined at higher than the highest test concentration (100  $\mu\text{M}$ ) for all neonicotinoids tested except for acetamiprid after 120 h exposure (Tab. 3). Nicotine was the only tested compound for which the EC values could be adequately determined by ToxRat<sup>®</sup>, as more than 50% of exposed individuals showed sub-lethal effects (EC<sub>50</sub>).

Following nicotine exposure, increased spontaneous movement in embryos exposed to 6.25 and 12.5  $\mu\text{M}$  as well as reduced movement at the higher concentrations was observed as early



**Tab. 2: Actual concentrations ( $\mu\text{M}$ ; mean  $\pm$  SD) measured in freshly prepared medium and samples collected at the end of the FET test (120 hpf) after the embryos had been exposed to the medium for 24 h ( $n = 3$ )**

The percentage change between mean nominal and actual concentration of the fresh and 24 h old samples is given to the nearest integer.

	Nominal concentration ( $\mu\text{M}$ )									
	6.25		12.5		25		50		100	
	Fresh	24 h	Fresh	24 h	Fresh	24 h	Fresh	24 h	Fresh	24 h
Acetamiprid <sup>a</sup>	1.95 $\pm$ 0.13	2.20 $\pm$ 0.20	2.47 $\pm$ 0.49	3.34 $\pm$ 0.64	1.05 $\pm$ 2.12	7.52 $\pm$ 0.13	26.03 $\pm$ 0.26	35.21 $\pm$ 1.64	74.56 $\pm$ 2.79	74.67 $\pm$ 10.66
Clothianidin	-0.02 $\pm$ 0.05	0.05 $\pm$ 0.08	0.07 $\pm$ 0.08	0.21 $\pm$ 0.02	0.50 $\pm$ 0.03	0.56 $\pm$ 0.13	0.64 $\pm$ 0.15	1.57 $\pm$ 0.16	1.77 $\pm$ 0.31	2.75 $\pm$ 0.16
Dinotefuran <sup>a</sup>	1.23 $\pm$ 0.57	3.30 $\pm$ 0.65	2.71 $\pm$ 0.24	4.65 $\pm$ 0.13	13.48 $\pm$ 1.86	20.17 $\pm$ 0.89	34.72 $\pm$ 0.30	36.64 $\pm$ 4.82	71.12 $\pm$ 2.37	70.98 $\pm$ 11.82
Imidacloprid	1.26 $\pm$ 0.04	2.53 $\pm$ 0.19	3.05 $\pm$ 0.41	4.03 $\pm$ 0.25	3.64 $\pm$ 0.59	7.41 $\pm$ 0.29	7.45 $\pm$ 0.96	10.95 $\pm$ 0.39	13.53 $\pm$ 1.77	15.80 $\pm$ 0.38
Nicotine	2.38 $\pm$ 0.50	1.57 $\pm$ 0.22	4.41 $\pm$ 1.39	3.64 $\pm$ 0.55	15.93 $\pm$ 3.22	8.67 $\pm$ 1.93	43.84 $\pm$ 9.07	22.62 $\pm$ 3.35	73.65 $\pm$ 16.18	51.49 $\pm$ 4.38
Thiacloprid	1.62 $\pm$ 0.5	1.97 $\pm$ 0.20	2.64 $\pm$ 0.54	3.88 $\pm$ 0.29	3.52 $\pm$ 0.76	5.40 $\pm$ 0.46	42.94 $\pm$ 13.33	118.84 $\pm$ 8.26	156.80 $\pm$ 26.45	197.90 $\pm$ 22.02
Thiamethoxam <sup>a</sup>	1.56 $\pm$ 0.00	2.51 $\pm$ 0.90	2.69 $\pm$ 0.09	3.67 $\pm$ 0.31	3.92 $\pm$ 1.15	5.34 $\pm$ 1.05	31.99 $\pm$ 6.10	89.86 $\pm$ 11.88	98.60 $\pm$ 12.90	179.25 $\pm$ 16.54
Percentage change between the mean actual concentrations measured in fresh and 24 h old samples (%)										
Acetamiprid	-69	-65	-80	-73	-96	-70	-50	-49	-25	-25
Clothianidin	-100	-99	-99	-98	-98	-98	-99	-97	-98	-97
Dinotefuran	-80	-47	-78	-63	-46	-19	-31	-27	-29	-29
Imidacloprid	-80	-60	-76	-68	-85	-70	-85	-78	-86	-84
Nicotine	-62	-60	-65	-72	-36	-65	-12	-55	-26	-49
Thiacloprid	-74	-60	-79	-69	-86	-78	-14	+138	+57	+98
Thiamethoxam	-75	-60	-78	-71	-84	-79	-36	+80	-1	+79

<sup>a</sup> Fresh sample data based on 2 replicates only

as after 24 h of exposure (Tab. 4). At 48 hpf, the most frequent effect was reduced blood circulation in conjunction with blood congestion in yolk blood vessels; at 72 hpf, hatching of embryos exposed to 100  $\mu\text{M}$  nicotine was delayed. In the present study, lordosis and kyphosis (Fig. S1<sup>2</sup>), as defined in von Hellfeld et al. (2020), were observed post-hatching in more than 50% of embryos exposed to 50  $\mu\text{M}$  nicotine and in all embryos exposed to 100  $\mu\text{M}$  nicotine. Further sublethal effects observed after exposure to nicotine included tremor, craniofacial deformation, and pericardial edema. Moreover, in controls, gentle tapping of the 24-well plates caused the embryos to swim towards the well walls and remain there. This response was attenuated with increasing nicotine exposure concentration, and the response was no longer observable at 100  $\mu\text{M}$ , which resulted in erratic tremor and no clear directional movement.

For all neonicotinoids tested, no lethal effects as defined by OECD TG 236 (OECD, 2013) (i.e., lack of somite formation or

tail detachment, coagulation, and lack of heart beat) could be recorded at concentrations up to 100  $\mu\text{M}$ . Likewise, none of the neonicotinoids significantly impaired hatching. Overall, effects were observed in less than 50% of individual embryos per treatment group, leading to non-computability of certain effective concentration values in Table 3.

Despite a lack of lethal effects after exposure to the neonicotinoids for up to 120 h, various sub-lethal effects were observed (Tab. 4; Tab. S8<sup>2</sup>). Exposure to acetamiprid induced a slight increase in macroscopically discernible spontaneous movement at 24 hpf, followed by reduced blood circulation and congestion along with pericardial edema from 48 hpf onwards, as well as increased activity at 120 hpf. Exposure to clothianidin induced no observable effects at 24 hpf in the FET test. However, at 48 and 72 hpf a reduction in pigmentation was noted, as well as a mild reduction of blood flow at 72 hpf and reduced hatching success. At 96 hpf, only mild circulatory alterations and spinal deformation

**Tab. 3: EC<sub>10</sub> and EC<sub>50</sub> of nicotine and selected neonicotinoids ( $\mu\text{M}$ ; based on nominal concentrations) computed with ToxRat<sup>®</sup>**

Compound	96 hpf		120 hpf	
	EC <sub>10</sub>	EC <sub>50</sub>	EC <sub>10</sub>	EC <sub>50</sub>
Acetamiprid	13.7	195 <sup>§</sup>	0.3	63.8
Clothianidin	N/A	N/A	LDR	LDR
Dinotefuran	N/A	N/A	LDR	LDR
Imidacloprid	N/A	N/A	N/A	N/A
Nicotine	22.0	44.7	6.1	23.5
Thiacloprid	LDR	LDR	3.3 <sup>§</sup>	351 <sup>§</sup>
Thiamethoxam	59.2	254 <sup>§</sup>	12.4	256

LDR, effects only observed in one replicate and thus not suitable for determination of an effective concentration. <sup>§</sup>, data computed based on two replicates (no observed effects at given time point in the remaining replicate). N/A, data could not be computed by ToxRat<sup>®</sup> since no or too few effects were recorded at the given time point.

**Tab. 4: List of all endpoints observed in the FET test for the neonicotinoids tested**

A detailed listing of all observations and concentrations can be found in Table S8<sup>2</sup>.

Endpoint	Developmental timepoint				
	24 hpf	48 hpf	72 hpf	96 hpf	120 hpf
Spontaneous movement (↓, ↓↓)	A, D, I, N, TC, TM				
Spontaneous movement (↑)	N				
Delayed hatching			A, C, D, I, N, TC, TM	N, TM	
Heartbeat (↓, ↓↓, ↓↓↓)		A, I, TM		A	N
Blood flow (↓, ↓↓, ↓↓↓)		A, I, TM		C	N
Spinal deformation (K, L)			A, C, D, I, N, TM	A, C, N, TM	A, N
Reduced body length			I, N	A, N	A, N
Edema		I	N	A, N	N
Otolith deformation					N
Pigmentation (↓, ↓↓, ↓↓↓)		A, C, D, I, N, TC, TM	A, C, D, I, N, TC, TM	A, N	
Enlarged pericardial area		A	A	A	
Craniofacial deformation			N	A, N	A, N
Reduced yolk resorption				N	N
Tremor/twitching					N
Increased late activity				TC, TM	A, C, D, N, TC, TM

A, acetamiprid; C, clothianidin; D, dinotefuran; I, imidacloprid; N, nicotine; TC, thiacloprid; TM, thiamethoxam. ↓, reduced; ↓↓, severely reduced; ↓↓↓, not detectable; ↑, increased. K, kyphosis; L, lordosis. Areas shaded blue: time points during which this endpoint cannot be observed.

were observed. At 120 hpf, only increased motility in the 50 and 100  $\mu\text{M}$  treatment groups was observed. At 120 hpf, dinotefuran exposure induced a trend similar to that of clothianidin. These observations were preceded by increased spontaneous movement (24 hpf) and pigmentation reduction (48 and 72 hpf) as well as spinal deformation and delayed hatching (72 hpf). Imidacloprid

increased spontaneous movement at 24 hpf and induced morphological effects until 72 hpf, including circulatory reduction, reduced pigmentation, and spinal deformation. Embryos exposed to thiacloprid displayed a slight increase in motility at 24 and 96 hpf and an increased excitability upon agitation of the well-plates. Furthermore, reduced pigmentation (48 and 72 hpf) and



reduced heartbeat (72 hpf) were noted. Thiamethoxam-exposed embryos expressed increased spontaneous movement after 24 hpf as well as reduced circulation and pigmentation at 48 hpf. At 72 hpf, reduced hatching, spinal deformation, and reduced pigmentation were observed. At 96 hpf, hatching success was still reduced and spinal deformation was obvious. Increased activity was observed between 96 and 120 hpf.

### 3.3 Effects of neonicotinoids on behavior in embryonic zebrafish (coiling assay)

Nicotine-exposed zebrafish embryos showed a delayed onset of tail coiling, with the mean burst duration decreasing in a concentration-dependent manner (Fig. 1A). Before 37 hpf, exposure to  $> 1.25 \mu\text{M}$  induced significant alterations; however, after the onset of the second dark phase (37.5 hpf), all exposure concentrations induced a significant reduction in mean burst duration. Assessing the normalized mean burst duration (Fig. 1B), a clear concentration-dependent decrease is evident. The mean burst count per minute was further impaired by nicotine exposure (Fig. 1C), where  $25 \mu\text{M}$  induced an immediate lack of burst initiations, whereas concentrations  $< 25 \mu\text{M}$  induced a concentration-dependent delay in the early burst count peak (around 24 hpf); the second peak at around 37 hpf was increased. Normalization to negative controls (0.1% DMSO) corroborated these trends: only  $25 \mu\text{M}$  nicotine caused a persistent reduction in burst count, whereas exposure to  $< 25 \mu\text{M}$  induced a transient decrease followed by an increase (Fig. 1D).

Following thiacloprid exposure, the mean burst duration at later developmental stages was significantly reduced in a concentration-dependent manner, whereas early developmental stages were unaffected (Fig. 2A). Normalization to 0.1% DMSO confirmed the trend (Fig. 2B), since only exposure to  $< 100 \mu\text{M}$  induced short-term hyperactivity around 30 hpf. A non-significant reverse concentration-dependent hyperactivity after 26 hpf was observed for the burst counts per minute (Fig. 2C,D).

Exposure to  $100 \mu\text{M}$  acetamiprid induced a significant reduction in the mean burst duration observed at 23 and 24 hpf, indicating a mild response to the onset of light (Fig. 3A). For the developmental phase between 37 and 47 hpf, a concentration-dependent reduction in burst duration was observed. Based on normalized data (Fig. 3B), the hyperactivity induced by acetamiprid as well as the subsequent hypoactivity became more evident. Effects of acetamiprid on burst counts per minute were less persistent; although statistical significance did not change from 23 and 24 hpf (Fig. 3C,D). In stages older than 38 hpf, exposure to 25 and  $50 \mu\text{M}$  induced a significant increase in burst count (hyperactivity).

During early development, imidacloprid exposure significantly affected the burst duration behavior during light onset as well as causing a concentration-dependent decrease in late coiling behavior (Fig. 4A,B). The burst count per minute was less frequently significantly impacted by imidacloprid exposure. However, individuals also expressed a reduced response to the onset of light at 24 hpf. This is more clearly visible from the normalized data (Fig. 4C,D), as is the following hyperactivity in both analyzed parameters.

To further analyze zebrafish embryo behavior during the light/dark regime, changes induced in burst duration and burst count were computed from 37 to 38 hpf. For nicotine and thiacloprid (Fig. 5), all coiling assay replicates were utilized, whereas only one replicate ( $n = 1$ , 20 embryos per exposure group) was utilized for acetamiprid and imidacloprid (Fig. 6). Since the coiling assay data are given as mean values by automatic video analysis (Noldus software), standard deviation could be computed for 1 biological replicate (with 20 technical replicates). Thus, results presented in Figures 5 and 6 should be regarded as trend observations of zebrafish embryo behavior after exposure to neonicotinoids.

Nicotine exposure induced a trend towards the reduction of burst duration below the 10% threshold in all exposure groups (Fig. 5A), whilst more strongly affecting the burst frequency (Fig. 5B). Exposure to  $\leq 25 \mu\text{M}$  induced burst count hyperactivity to beyond the +50% threshold ( $SC_{50}$ ), whereas exposure to  $25 \mu\text{M}$  strongly reduced burst counts. In contrast, thiacloprid exposure induced mild reduction in the burst duration to below 10% threshold ( $SC_{10}$ ; Fig. 5C) and burst counts per minute increased in a concentration-dependent manner (Fig. 5D). These observations are based on trends in the data.

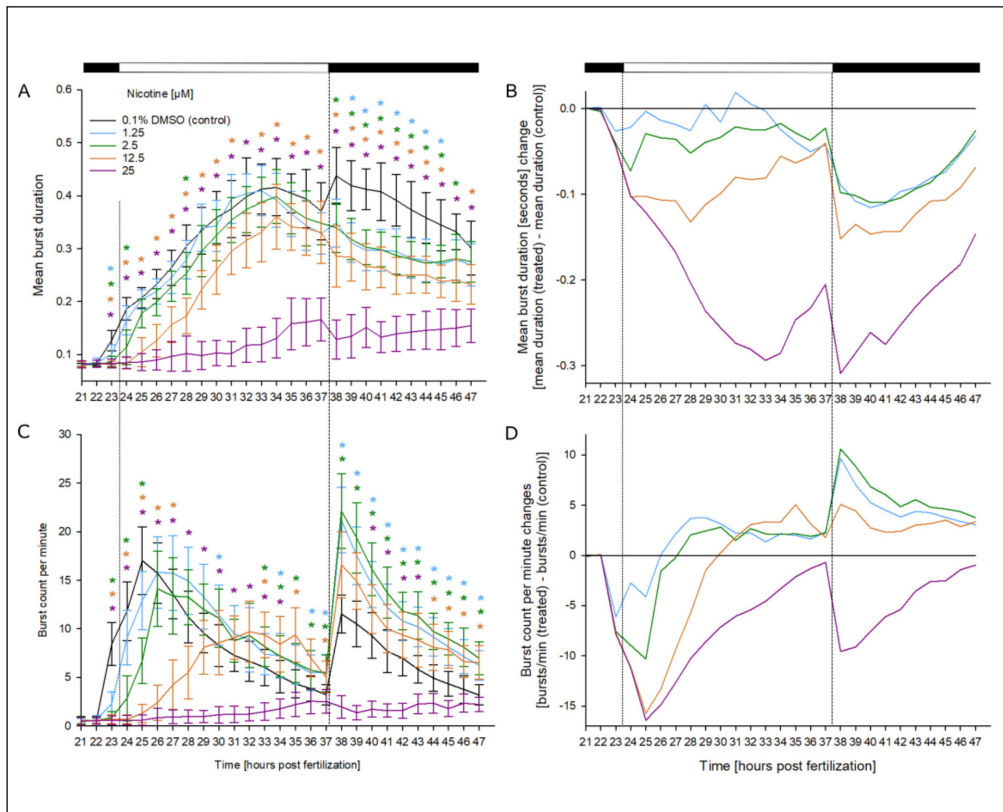
Acetamiprid and imidacloprid did not affect burst duration during the light change (Fig. 6A,C). Burst counts per minute, however, were increased following acetamiprid exposure, with individuals exposed to 25 and  $100 \mu\text{M}$  surpassing the  $SC_{10}$ , while individuals exposed to 2.5 and  $50 \mu\text{M}$  remained below the  $SC_{10}$  (Fig. 6B). Imidacloprid-exposed individuals initially showed a concentration-dependent increase in burst count per minute past the  $SC_{10}$  in response to the light change, reaching a plateau at  $\geq 50 \mu\text{M}$  (Fig. 6D).

### 3.4 Effects of neonicotinoids on behavior in early larval stages of zebrafish (swimming assay)

In the swimming assay, no significant changes were observed in the movement distances or frequencies of zebrafish larvae exposed to  $\leq 25 \mu\text{M}$  nicotine (Fig. 7A,C). However, upon normalization to the negative control, total distances moved were increased in a concentration-dependent fashion (Fig. 7B). Moreover, exposure to  $1.25 \mu\text{M}$  nicotine induced an initial reduction in distance moved, followed by a transient activity peak and a subsequent reduction. In contrast, movement frequencies showed a transient reduction in all treatment groups (Fig. 7D), followed by an increase in frequency (i.e., similar to the behavior of untreated controls).

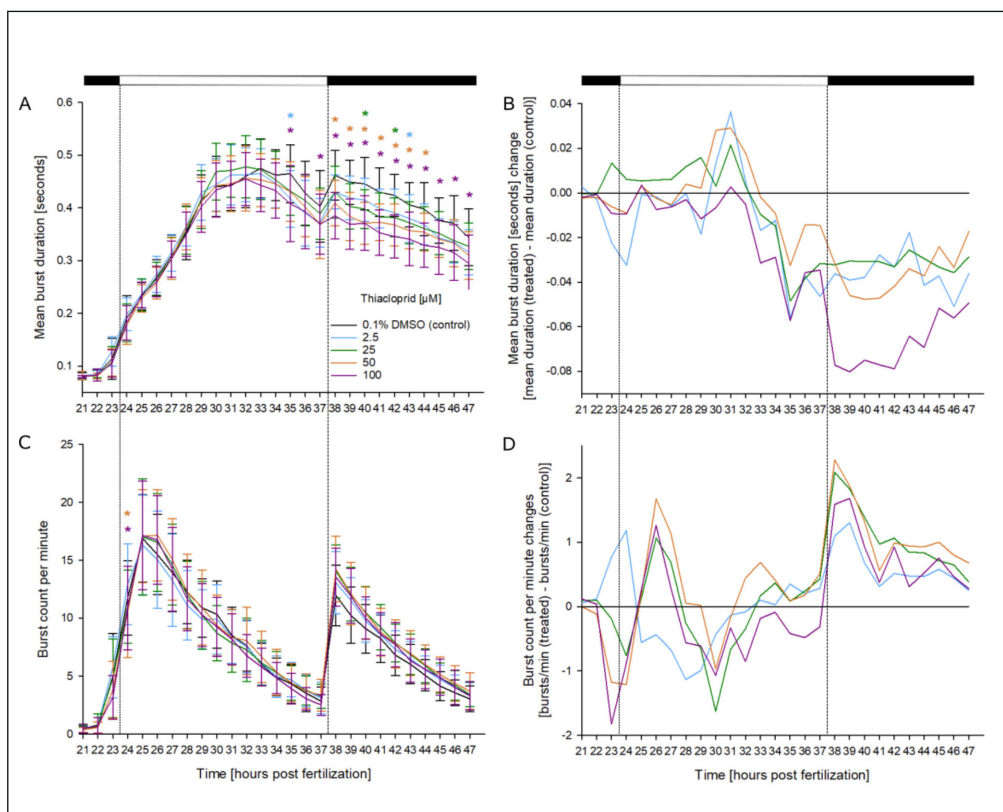
Individuals exposed to  $\geq 50 \mu\text{M}$  acetamiprid displayed a reduction in total distance moved in comparison to the control group, whereas exposure to  $\leq 25 \mu\text{M}$  initially led to an increase in distance moved (Fig. 8A). Normalization confirmed this trend, with only the  $2.5 \mu\text{M}$  treatment group showing an increased distance moved throughout the swimming assay (Fig. 8B). Likewise, movement frequency was decreased upon exposure to  $\leq 25 \mu\text{M}$  acetamiprid, whereas treatment with  $\geq 50 \mu\text{M}$  acetamiprid returned movement frequencies to control levels or even slightly beyond controls (Fig. 8C). This trend was even more evident upon normalization (Fig. 8D).





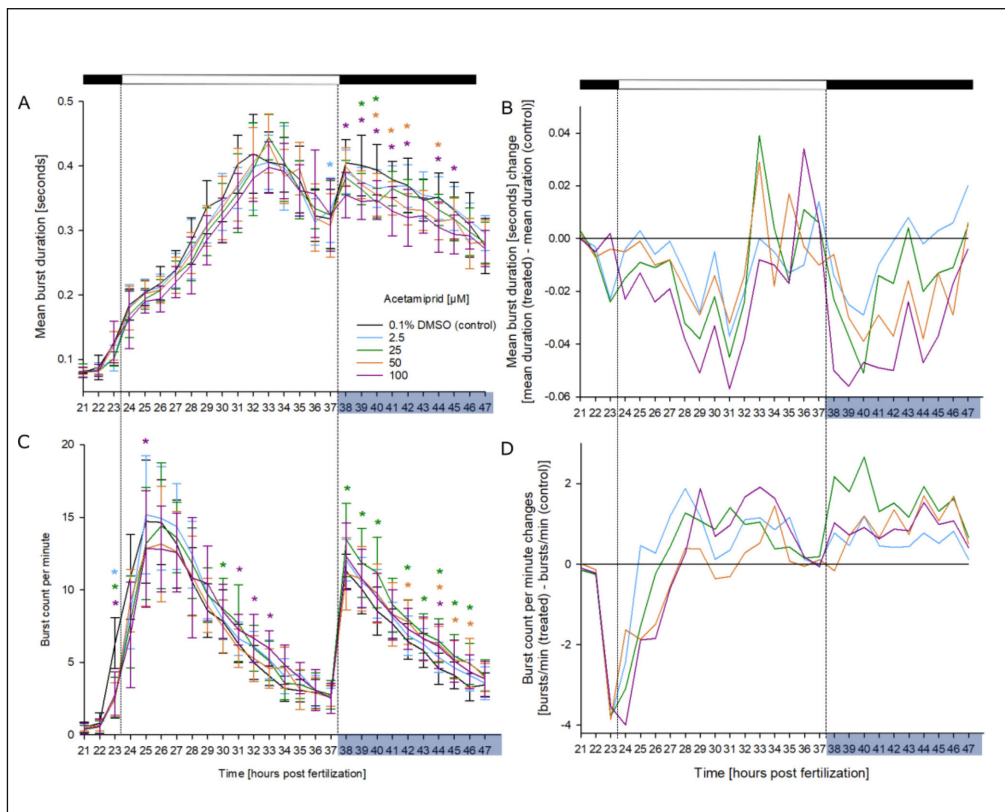
**Fig. 1: Effects of nicotine on burst counts per minute and mean burst duration of zebrafish embryos during light/dark cycles in the coiling assay**

Mean burst duration (sec; A) and normalized burst duration (B) as well as mean burst counts per minute (C) and normalized burst counts (D) between 21 and 47 h exposure to various concentrations of nicotine ( $n = 3$ ; 20 embryos per concentration/replicate). Data given as mean  $\pm$  standard deviation; B and D normalized to 0.1% DMSO. Top bars indicate phases of illumination.  $p$ -values of significant changes over DMSO controls can be found in Table S5<sup>2</sup>.



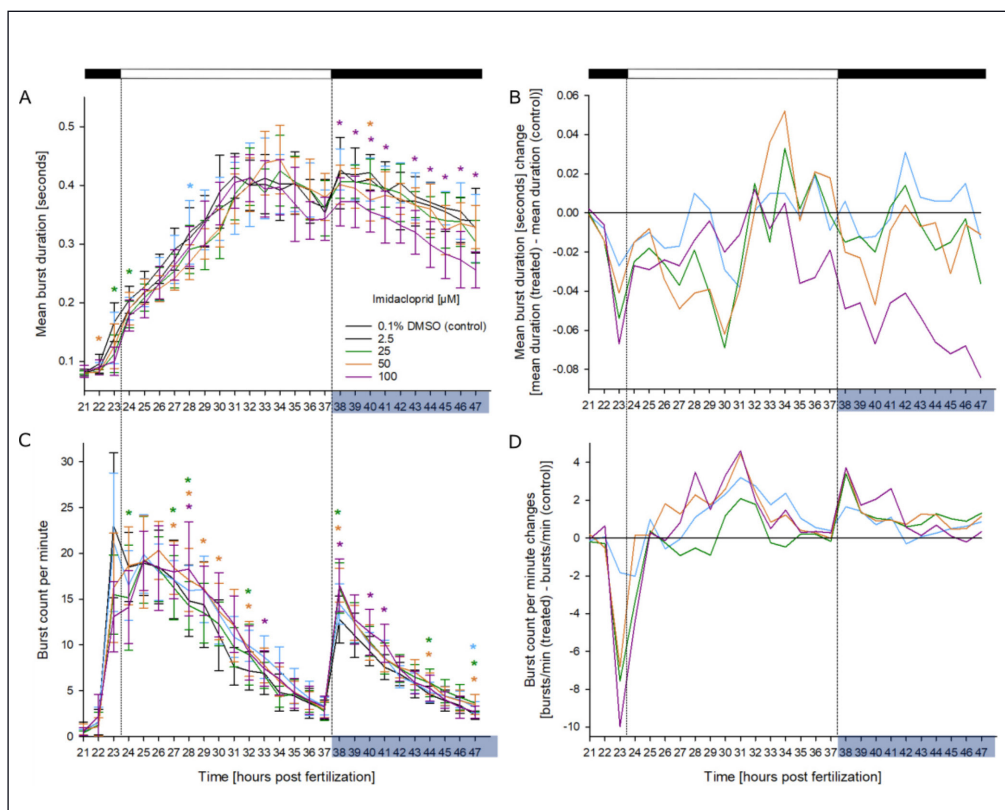
**Fig. 2: Effects of thiacloprid on burst counts per minute and mean burst duration of zebrafish embryos during light/dark cycles in the coiling assay**

Mean burst duration (sec; A) and normalized burst duration (B) as well as mean burst counts per minute (C) and normalized burst counts (D) between 21 and 47 h exposure to various concentrations of thiacloprid ( $n = 3$ ; 20 embryos per concentration/replicate). Data given as mean  $\pm$  standard deviation; B and D normalized to 0.1% DMSO. Top bars indicate phases of illumination.  $p$ -values of significant changes over DMSO controls can be found in Table S5<sup>2</sup>.



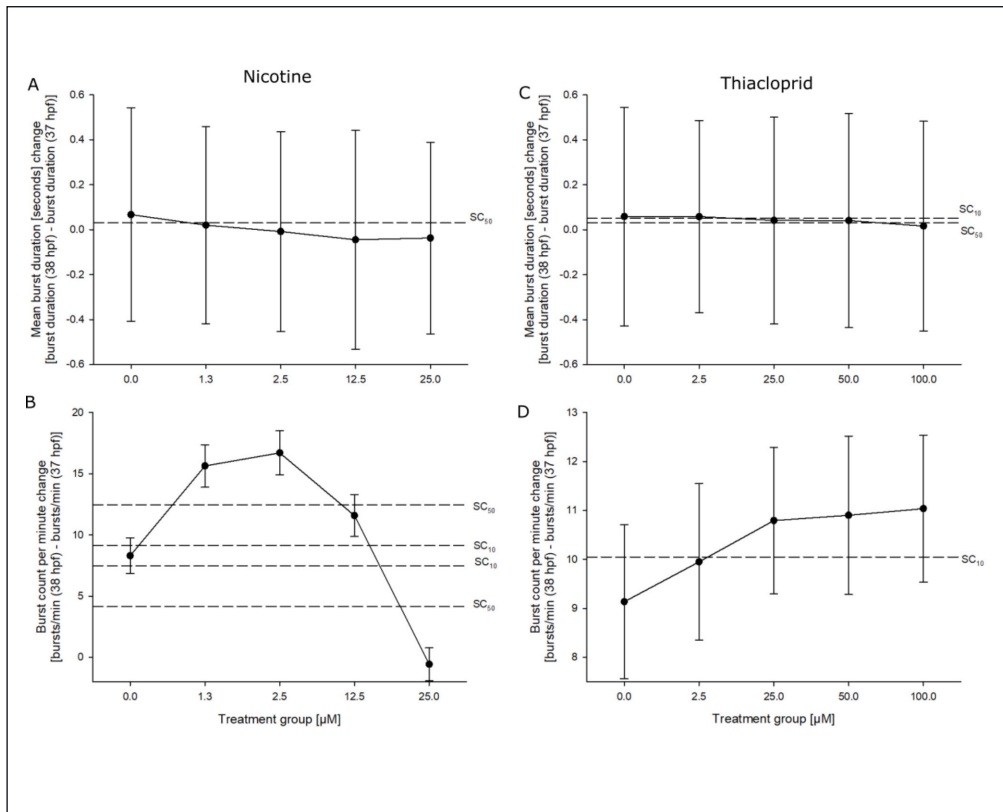
**Fig. 3: Effects of acetaminiprid on burst counts per minute and mean burst duration of zebrafish embryos during light/dark cycles in the coiling assay**

Mean burst duration (sec; A) and normalized burst duration (B) as well as mean burst counts per minute (C) and normalized burst counts (D) between 21 and 47 h exposure to various concentrations of acetaminiprid (n = 4 (21-37 hpf); n = 1 (38-47 hpf, indicated by the blue shading on the x-axis; 20 embryos per concentration/replicate). Data given as mean ± standard deviation; B and D normalized to 0.1% DMSO. Top bars indicate phases of illumination. *p*-Values of significant changes over DMSO controls can be found in Table S5<sup>2</sup>.

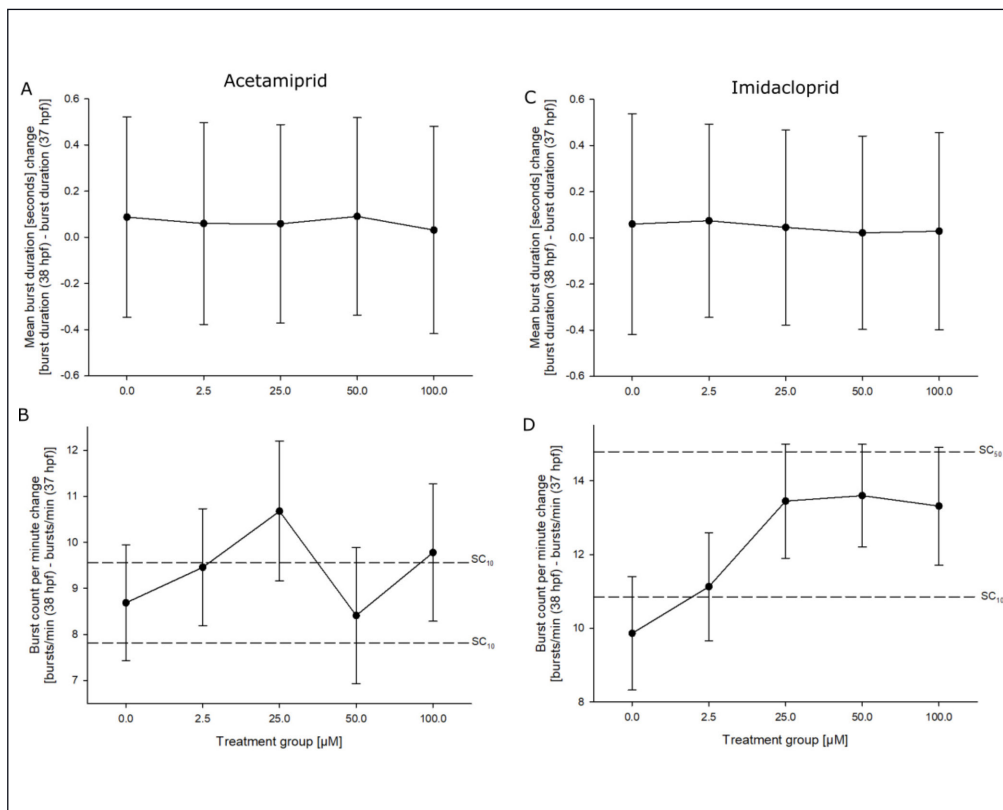


**Fig. 4: Effects of imidacloprid on burst counts per minute and mean burst duration of zebrafish embryos during light/dark cycles in the coiling assay**

Mean burst duration (sec; A) and normalized burst duration (B) as well as mean burst counts per minute (C) and normalized burst counts (D) between 21 and 47 h exposure to various concentrations of imidacloprid (n = 4 (21-37 hpf); n = 1 (38-47 hpf, indicated by the blue shading on the x-axis; 20 embryos per concentration/replicate). Data given as mean ± standard deviation; B and D normalized to 0.1% DMSO. Top bars indicate phases of illumination. *p*-Values of significant changes over DMSO controls can be found in Table S5<sup>2</sup>.



**Fig. 5: Effects of nicotine and thiacloprid on burst counts per minute and mean burst duration of zebrafish embryos during change at 37.5 hpf in the coiling assay** Mean burst duration (sec; A) and burst count per minute (B) after exposure to various nicotine concentrations as well as the mean burst duration (sec; C) and burst count per minute (D) change after thiacloprid exposure ( $n = 3$ , 20 embryos per concentration). Data given as difference between the two time points  $\pm$  standard deviation.  $SC_{10}$  and  $SC_{50}$  indicate thresholds for 10 and 50% deviation from the solvent control group, respectively. This presents a data trend but not a statistically significant difference between the exposure groups.



**Fig. 6: Effects of acetamiprid and imidacloprid on burst counts per minute and mean burst duration of zebrafish embryos during change at 37.5 hpf in the coiling assay** Mean burst duration (sec; A) and burst count per minute change (B) after exposure to various acetamiprid concentrations, as well as the mean burst duration (sec; C) and burst count per minute change (D) after imidacloprid exposure ( $n = 1$ , 20 embryos per concentration). Data given as difference between the two time points  $\pm$  standard deviation.  $SC_{10}$  and  $SC_{50}$  indicate thresholds for 10 and 50% deviation from the solvent control group, respectively. This presents a data trend but not a statistically significant difference between the exposure groups.



The total distance moved was analyzed statistically over the entire swimming assay duration: Acetamiprid exposure decreased the distance moved with increasing concentration; exposure to  $\geq 50$   $\mu\text{M}$  acetamiprid, however, led to a statistically significant reduction in movement distance (Fig. 9A). Nicotine exposure increased the distance moved in a concentration-dependent fashion (statistically significant from  $> 2.5$   $\mu\text{M}$ ; Fig. 9B).

## 4 Discussion

The present study provided insight into the low acute toxicity of the tested neonicotinoids to zebrafish embryos, with compounds such as clothianidin, dinotefuran, and imidacloprid not reaching an  $\text{EC}_{50}$  with exposure concentrations as high as 100  $\mu\text{M}$ . Previous FET data have only been published for acetamiprid, imidacloprid, nicotine, and thiacloprid, of which not all were concurrent with the data presented here and are discussed in more detail below. For the remaining neonicotinoids, no published FET test data could be obtained.

Whilst the determination of (D)NT in the zebrafish has found its application in ecotoxicological studies (e.g., Bambino and Chu, 2017; Galloway et al., 2017), its use for human risk assessment has only recently become a topic of interest. The use of behavioral changes as an endpoint for potential neurotoxic damage has been widely accepted, as it allows for the determination of whether, e.g., cellular damage has functional consequences for an individual (Vorhees et al., 2021). Given that, at least in the European Union, zebrafish embryos are not regarded as protected life-stages in the sense of the current animal welfare regulation (EU, 2010; Strähle et al., 2012), the present study exclusively used protocols that can be applied to zebrafish developmental stages up to 120 hpf: (1) The coiling assay (21–47 hpf) records spontaneous swimming behavior in non-hatched zebrafish embryos (Saint-Amant and Drapeau, 1998; Selderslaghs et al., 2010, 2013; Richendrfer et al., 2014; Zindler et al., 2019a,b); it is thus indicative for neurotoxic effects in early to intermediate developmental stages of zebrafish development. (2) The swimming assay (83–120 hpf) records the free-swimming behavior in hatched zebrafish embryos (Zindler et al., 2020) and is thus indicative of effects at later stages of development. For more details on effects of the neonicotinoids investigated, please refer to Table S3<sup>2</sup>. Given that comparably little information is available regarding the effects of neonicotinoids on fish, this publication aimed to provide a first report on the overall impact of these compounds on the neurodevelopment of zebrafish embryos using predefined behavioral assays. Although not providing detailed insight into effects on, e.g., specific receptors or indications of which (molecular or cellular) pathways might be disrupted through exposure, the macroscopically detectable behavioral alterations nonetheless provide insight into the compounds' potential toxicity and thus guide the way for potential future testing.

Since not all the compounds tested in this study were previously assessed, this publication provides an overview of existing *in vivo* data where present. Comparing mammalian assays with fish-based experiments comes with many challenges, in-

cluding the different dosing regimens, exposure routes, statistical analysis, and experimental set-ups. Assessing the observed endpoints, however, it becomes evident that the tested neonicotinoids induce similar types of effects in the different test systems. With mammalian behavior being better understood (Bayne et al., 2015) and zebrafish behavior having received increasing attention in recent years (Levin and Cerutti, 2009), parallels can be drawn between the systems.

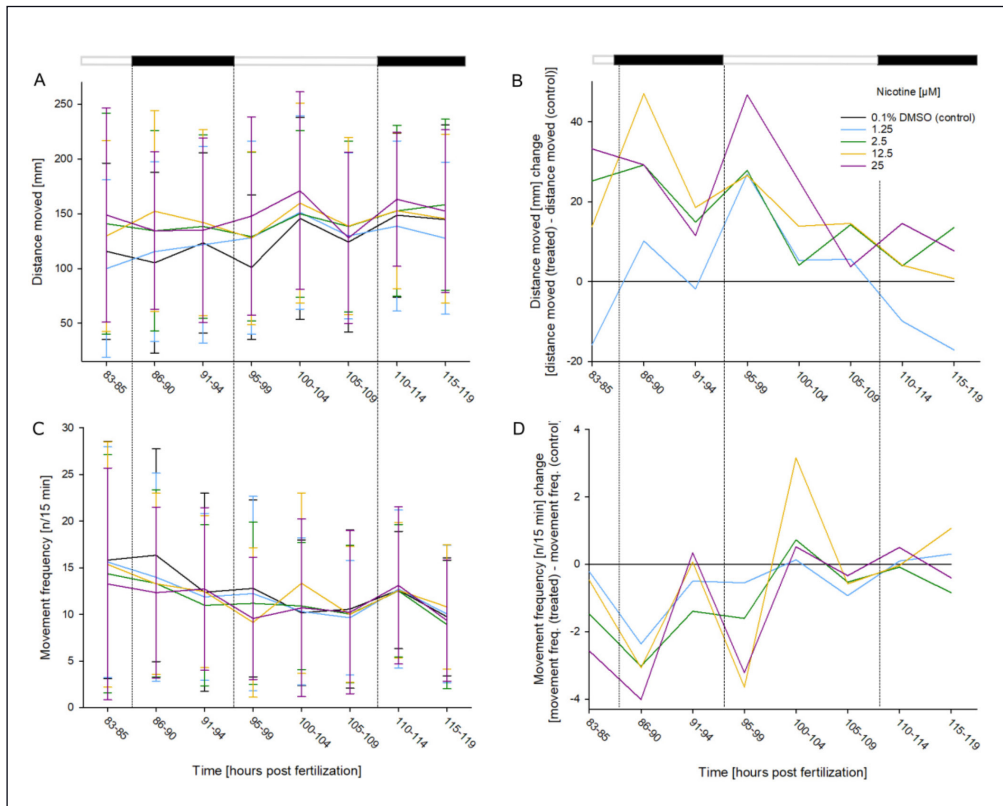
### 4.1 Medium sample analysis

Considering the medium analysis, it should be considered that repeated changes in temperature, especially freeze-thawing cycles, may affect the stability of neonicotinoids (Kozikowski et al., 2003). Although measures were taken to avoid repeated freeze-thawing cycles of the stock solution, handling of defrosted stock samples could not be avoided during daily handling. All medium samples collected were frozen in liquid nitrogen and transferred to  $-80^{\circ}\text{C}$  for storage before being shipped on dry ice, thus leading again to variable temperatures. Although in the FET and behavioral test protocols standardized measures were taken to prevent evaporation (sealing of plates in the FET test, standardizing high humidity in the coiling and swimming assay test chambers), some evaporation cannot be ruled out. The aspect of solubility and potential influence of this parameter on the behavior and accumulation of each compound is addressed in the respective section of the discussion in more detail. This includes considerations that the hydrophobic nature of the neonicotinoids may, in part, be responsible for the reduced number and severity of effects observed in the assays conducted in the present study.

### 4.2 Nicotine

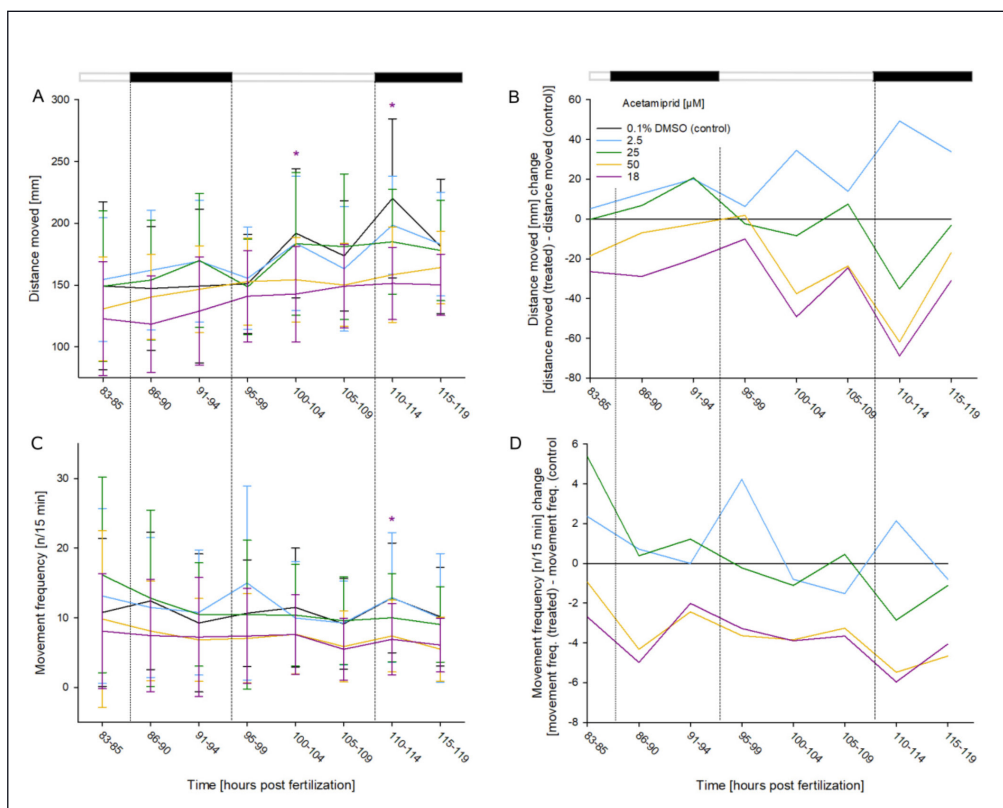
The FET test conducted in the present study determined the 96 hpf  $\text{EC}_{50}$  value of nicotine to be 23.5  $\mu\text{M}$ . Similarly, Yoo et al. (2018) determined a 72 hpf  $\text{LC}_{44}$  of 40  $\mu\text{M}$ , which corresponds with the 96 hpf  $\text{EC}_{50}$  value of the present study (44.7  $\mu\text{M}$ ). In the presented FET test, nicotine induced developmental alterations including spinal deformations such as lordosis and kyphosis, as well as enlarged pericardial volume. Previous studies with juvenile zebrafish also observed spinal deformation in conjunction with a reduction in overall body length after a 10-d exposure to nicotine (Parker and Connaughton, 2007). This is in line with the hypothesis that there is a direct link between spinal motoneurons and somite development (Wilt and Hake, 2003), meaning that compounds affecting the pathfinding ability of spinal motoneurons might also have an impact on the formation of musculature and overall physiology (Svoboda et al., 2002). Previous studies conducted with zebrafish also documented cardiovascular alterations (Yoo et al., 2018), linking heart deformation, edema, and reduced circulation to delayed transition of stem cells through the mesoderm (Palpant et al., 2015), with malignant arrhythmia and an abnormal cardiac conduction system linked to prenatal nicotine exposure in humans (Matturri et al., 2000), as well as in rats and sheep (Feng et al., 2010). The tremor observed in the present FET study might be related to the well-documented effect on nicotinic acetylcholine receptors (nAChRs), which are already present during embryogenesis and are vital for early neural





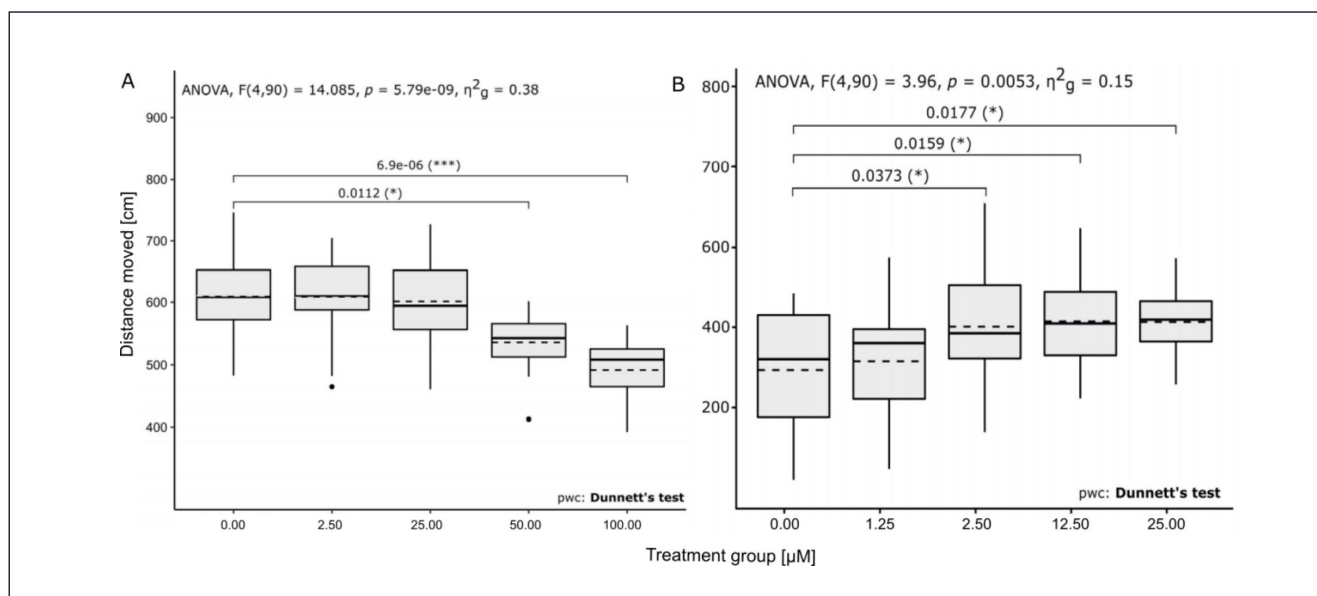
**Fig. 7: Effects of nicotine on total distances moved and movement frequencies of zebrafish embryos during light/dark cycles in the swimming assay**

The grouped total distance moved (min; A) and normalized grouped distance moved (B) as well as grouped frequencies of movement (n/15min; C) and normalized grouped frequency moved (D) between 83 and 120 hpf after exposure to various concentrations of nicotine (n = 3, 19 embryos per concentration/replicate). Data given as means  $\pm$  standard deviation; B and D normalized to 0.1% DMSO. Top bars indicate phases of illumination



**Fig. 8: Effects of acetaminiprid on the total distance moved and movement frequency of zebrafish embryos during light/dark cycles in the swimming assay**

The grouped total distance moved (min; A) and normalized grouped distance moved (B), as well as grouped frequencies of movement (n/15min; C) and normalized grouped frequency moved (D) between 83 and 120 hpf after exposure to various concentrations of acetaminiprid (n = 2, 19 embryos per concentration/replicate). Data given as means  $\pm$  standard deviation; B and D normalized to 0.1 % DMSO. Top bars indicate phases of illumination. p-Values of significant changes over DMSO controls can be found in Table S6<sup>2</sup>.



**Fig. 9: Total distance moved (cm) from 83 to 120 hpf by zebrafish embryos exposed to acetamiprid (A) and nicotine (B) in the swimming assay**

An ANOVA was conducted on the total distance moved per treatment group ( $N = 19/\text{treatment group/replicate}$ ) and *post-hoc* pair-wise comparison (Dunnett's test) was run against the "0.00" treatment group (control group). The actual value was estimated as the mean square of each independent variable divided by the mean square of residuals.  $\eta^2_g$ : generalized eta squared as an indicator for the strength of relationships between variables; solid lines: median; dashed lines: mean; \*,  $p < 0.05$ ; \*\*\*,  $p < 0.0001$ .  $p$ -Values are given in Table S7<sup>2</sup>.

plate development (Svoboda et al., 2002). Finally, the craniofacial deformations seen in zebrafish embryos might well be related to the occurrence of cleft palates observed in offspring of mice dosed during gestation (Nishimura and Nakai, 1958), linking it to early neurodevelopmental disruptions.

Nicotine has been shown to cause concentration-dependent behavioral effects in zebrafish embryos (Ali et al., 2012), and the findings presented here are in line with these previous observations of studies showing paralysis after exposure to 33  $\mu\text{M}$  nicotine linked to the activation and subsequent desensitization of muscle-specific nAChRs (Svoboda et al., 2002). Elevated concentrations of nicotine have been hypothesized to result in muscle degeneration and myopathy (Leonard and Salpeter, 1979), which were also noted after prolonged nicotine exposure in 7 d old zebrafish embryos (Welsh et al., 2009), possibly based on a post-excitatory increase in muscular calcium levels (Engel et al., 1982, 2003; Gomez et al., 2002).

Whilst the swimming assay conducted here was less indicative, Crosby et al. (2015) found that exposure to  $> 40 \mu\text{M}$  nicotine significantly reduced the swimming activity observed during dark conditions of 144 h old zebrafish juveniles. Bencan and Levin (2008) determined increased zebrafish swimming after exposure to  $> 600 \mu\text{M}$  nicotine and linked these findings to the  $\alpha 7$  and  $\alpha 4\beta 2$  nAChRs, which play a vital role not only in anxiolytic responses, but also in normal brain development (Papke

et al., 2012). Thus, nicotine is able to directly interact with the central nervous system by altering the motoneuron axon pathway (Welsh et al., 2009). Adolescent zebrafish expressed increased startle responses and swimming activity after sub-lethal nicotine exposure, whereas high doses progressively desensitized them to stimuli with prolonged exposure, whilst adult zebrafish exposed to 45 or 60  $\mu\text{M}$  nicotine had a significantly reduced diving (i.e., hiding) response (Crosby et al., 2015). Conversely, nicotine caused an increase in activity at low doses, but led to reduced distance moved and rearing (standing on the hind legs) with increasing doses in mice (Freeman et al., 1987) and rats (Fung and Lau, 1989). Thus, hyperactivity has been documented as a symptom of low-dose nicotine exposure in both zebrafish and mammalian systems, whereas elevated doses resulted in a progressive decrease of activity in either test system.

### 4.3 Acetamiprid

Acetamiprid is considered highly water soluble, which may indicate that it is less able to penetrate the chorion and thus reach the embryo prior to hatching (de Koning et al., 2015). This might further provide an explanation for the effects observed here, as the exposure to acetamiprid in the FET test and coiling assay were less conclusive. It has also been determined that acetamiprid degrades moderately rapidly under the present experimental conditions<sup>4</sup> (EC, 2019), and the discrepancies observed be-

<sup>4</sup> [https://www3.epa.gov/pesticides/chem\\_search/reg\\_actions/registration/fs\\_PC-099050\\_15-Mar-02.pdf](https://www3.epa.gov/pesticides/chem_search/reg_actions/registration/fs_PC-099050_15-Mar-02.pdf)



tween nominal and actual concentrations measured in medium were found to be within acceptable ranges.

In the present study, the 120 hpf  $EC_{50}$  value for acetamiprid was 63.8  $\mu$ M, being notably more acutely toxic than in a previous study, where the 120 hpf  $EC_{50}$  value was determined to be 1.4 mM (Ma et al., 2019). The concentration range for the FET test presented here was limited to  $\leq 100 \mu$ M. Developmental alterations such as circulatory modulation, spinal distortion, and craniofacial deformation were noted at low frequencies (only a few individuals were affected). This is in line with a previous study presenting an 120 h  $LC_{50}$  value of  $> 2$  mM, with severe spinal malformations and edema among other observations (Ma et al., 2019). With respect to effects in mammals, a prenatal repeated dose experiment documented cortical plate hypoplasia and decreased neurogenesis in mice after exposure to 5 mg/kg body weight acetamiprid from day 6 to 13 of embryonic development. Exposure of newborn mice to the same exposure concentration, however, was found to induce abnormal neuronal distribution (Kagawa and Nagao, 2018). Further effects recorded in mice were reduced nAChR expression in the olfactory bulb and midbrain (Terayama et al., 2016).

As observed in the present coiling assay, a recent study also showed that exposure of zebrafish embryos to acetamiprid induced a concentration-dependent decrease in early “number of movements/min”, as well as slightly delayed development, with a complete lack of response occurring after treatment with 4.4 mM. Additionally, the touch response of embryos between 27 and 36 hpf was significantly reduced after exposure to  $\geq 2.4$  mM (Ma et al., 2019). Given the significant difference in the concentration range used in the present study and by Ma et al. (2019), it is interesting to note that the present study revealed similar, although less prominent effects. Moreover, whilst their study noted that the touch response was not a sensitive endpoint, the response to light changes in our study was found to induce a significant change in burst counts per minute. No studies could be found on acetamiprid effects in early behavior in mammalian test species.

In the swimming assay presented here, acetamiprid exposure led to reduced swimming distance and frequency in hatched embryos. Since effects changed with prolonged exposure, Osterauer and Köhler (2008) speculated about the ability of the chorion to prevent higher acetamiprid levels from penetration and thus contact with the embryo (see also Vignet et al., 2019). However, the relatively low molecular weight (MW) of 222.68 g/mol as well as the structure of the molecule ( $\log P_{ow}$  0.79) make an important effect of the chorion highly unlikely (Henn and Braunbeck, 2011). In fact, 8 d old zebrafish exposed to environmentally relevant concentrations of acetamiprid showed a significant reduction in startle response habituation, whereas higher concentrations led to further reductions in habituation but an increase in the startle response itself (Faria et al., 2020). F1 male mice exposed to acetamiprid showed significantly reduced anxiety levels, whereas low-dose exposed adult males expressed an increase in sexual and aggressive behavior (Sano et al., 2016). Thus, the zebrafish embryo presented effects seen in other studies conducted at higher concentrations. The reduction

seen in movement frequency and distance in the swimming assay is thus likely to be a good indicator of a general decline in activity after exposure to acetamiprid.

#### 4.4 Imidacloprid

The low measured concentrations of imidacloprid in the medium samples can be linked to photolysis rates of  $< 1$  d, with degradation rates being increased at higher oxygen saturation (EC, 2011). The stability of imidacloprid is under debate, since there are reports that imidacloprid in water samples at environmentally relevant pH is stable (Yoshida, 1989), whereas other authors concluded rapid degradation by photolysis (Hellpointner, 1989; Krohn and Hellpointner, 2002). Additionally, similar to acetamiprid, imidacloprid is a pesticide of low lipophilicity (Gervais et al., 2012), which may affect its ability to cross the chorion in unhatched zebrafish embryos.

In the presented FET test, imidacloprid did not induce any effects after 48 hpf. Effects induced by imidacloprid in the present work included circulatory disturbances, delayed hatching, spinal deformation, and reduced pigmentation; changes, however, were only present until 72 hpf. In the only two publications assessing the compound in the FET test, however, imidacloprid exposure induced a 96 hpf  $LC_{50}$  between 475 and 562  $\mu$ M (Wang et al., 2017; Wu et al., 2018). A potential explanation for the difference in observations may be the limited concentration range in the present publication. In another study, no mortality or any developmental or behavioral anomalies were seen at imidacloprid concentrations up to 7.8 mM (Vignet et al., 2019). The latter authors also considered the chorion to be a strong barrier during the first two days of exposure (MW 255.66 g/mol;  $\log P_{ow}$  0.57; barrier function of the chorion not likely; Henn and Braunbeck, 2011). In chicken embryos, heart tube malformation was observed after exposure during early development (Gao et al., 2016), which can be considered as an analogy to what was seen in the present FET study.

In the coiling assay, exposure to 100  $\mu$ M imidacloprid induced a decrease in burst duration and an increase in burst counts per minute at a later stage of coiling behavior development. This is only the second time imidacloprid was studied in the zebrafish coiling assay (Ogungbemi et al., 2020); similar behavioral changes were observed in a study conducted with nicotine, thus similar explanations may be assumed (Leonard and Salpeter, 1979; Welsh et al., 2009). In hatched zebrafish embryos, exposure to  $> 25 \mu$ M imidacloprid reduced the distance moved after external stimuli such as tapping (Faria et al., 2019), which is again in line with the trends observed in the present study. It was found that imidacloprid impaired cognitive functions in rats, shown by a decline in learning abilities in both infants and adults after exposure to 2 and 8 mg/kg, respectively (Kara et al., 2015). In young adult offspring of mice dosed with 0.5 mg/kg/day during pre- and postnatal development, motor activity and social dominance was elevated, for example. Moreover, in an open field test, the total distance moved was increased significantly (Burke et al., 2018). Similar behavioral observations were previously made by Sheets (1994), who also highlighted a reduction in absolute brain weight after necropsy. Together, these findings in



mammals further support the relevance and sensitivity of behavioral alterations observed in the coiling assay with zebrafish embryos.

#### 4.5 Thiachloprid

To date, there is no explanation for the > 100% actual concentrations of thiachloprid and thiamethoxam in the 50 and 100  $\mu\text{M}$  nominal concentration samples; the three lower exposure samples followed the trend observed for the other neonicotinoids. Based on its high hydrophilicity, older publications explained the overall lack of effects by thiachloprid exposure in zebrafish embryos by the assumed barrier function of the lipophilic zebrafish chorion preventing substantial uptake (EC, 2019), although such barrier function had never been proven (Henn and Braunbeck, 2011).

The only previous study assessing thiachloprid in the zebrafish FET test determined a 96 hpf  $\text{LC}_{50}$  of 1.4 nM (Wang et al., 2020), thus being more acutely toxic than in the present study (96 hpf  $\text{LC}_{50}$ : > 200 mM). Embryos exposed to thiachloprid in the present study were more active in both early and late developmental stages, whereas only few morphological alterations were observed during the FET test. Osterauer and Köhler (2008) observed an increase in heartbeat rate followed by a reduction of heartbeat at higher concentrations in zebrafish embryo exposure to < 40  $\mu\text{M}$  thiachloprid, but no developmental deformations were noted and hatching was not affected. The increased heartbeat in thiachloprid-exposed zebrafish embryos observed in the present study might provide an explanation for the increase in burst duration and unaffected burst counts.

Studies on effects of thiachloprid on embryonic development in mammals are scarce. One single study reported reduced blastomeres and a higher percentage of dead blastocysts in isolated mouse and rabbit embryos (Babel'ová et al., 2017). Both in fish and mammals, more studies are needed for an in-depth understanding of effects by thiachloprid during vertebrate development.

#### 4.6 Clothianidin

The overall very low actual concentrations of clothianidin samples may be explained by accelerated photolysis rates (half-life time of 3.3 h at 25°C) leading to essentially non-existence after handling (FAO, 2016). Moreover, the lack of observations in comparison with nicotine may again be due to the more hydrophilic properties of clothianidin in comparison to nicotine<sup>5</sup>.

The present study is the first to report effects of clothianidin on zebrafish embryos in the FET test. However, only minor morphological effects in zebrafish embryos exposed to clothianidin for 48 and 96 h were observed. With respect to behavioral effects, clothianidin exposure for 120 h stimulated motor activity at low exposure concentrations, but reduced activity at higher concentrations. This may be due, in part, to the photolytic degradation and subsequently very low clothianidin levels found in

the exposure medium (FAO, 2016) or relate to the nAChR desensitizing effects of the neonicotinoids in general (Giniatullin et al., 2005). In the literature, there is just one study on the startle response of zebrafish at an age of 8 d, which observed a significant increase in response to external stimuli, however, above environmental concentrations (Faria et al., 2020).

In pregnant mice, clothianidin was rapidly transferred to the fetuses (Ohno et al., 2020), and isolated mouse embryos showed reduced embryonic development (Babel'ová et al., 2017). Behavioral studies revealed significant alterations in both adult and juvenile mouse behavior after dietary uptake of clothianidin (Tanaka, 2012). Single dosing of male mice led to increased anxiety in the elevated plus-maze test at concentrations below the no observable adverse effect level (NOAEL) (Hirano et al., 2018). In rats, clothianidin had no effect on memory and learning abilities (Özdemir et al., 2014).

#### 4.7 Dinotefuran

Dinotefuran has been determined to degrade only moderately rapidly under the present experimental conditions<sup>4</sup> (EC, 2019), and the discrepancies between nominal and actual concentrations observed in the analyzed medium samples were determined to be within acceptable ranges. The overall lack of observations in early developmental stages of zebrafish embryo assays may be influenced by its hydrophilicity<sup>6</sup>, as outlined for the previously discussed neonicotinoids.

During later stages of development, dinotefuran exposure induced similar effects as clothianidin; however, these were already evident from 24 h. Since nominal and actual concentrations did not differ significantly, dinotefuran may thus be assumed to not produce severe effects in zebrafish embryos. Whereas there are no data on acute toxicity of dinotefuran in zebrafish embryos, a study into the startle response in 8 d old zebrafish exposed to environmentally relevant concentrations showed a significant reduction of the habituation response to external stimuli (Faria et al., 2020). In juvenile Chinese rare minnow (*Gobiocypris rarus*), exposure to 2.5  $\mu\text{M}$  dinotefuran affected antioxidant enzymes along with various gene transcription levels (Tian et al., 2020).

Again, data for effects by dinotefuran in mammals are scarce. Isolated mouse embryos showed reduced embryonic development after prenatal exposure (Babel'ová et al., 2017), while male mice showed a dose-dependent increase in activity in open field experiments (Yoneda et al., 2018) but no depression-like symptoms, as previously assumed (Takada et al., 2018).

#### 4.8 Thiamethoxam

To date, there is no explanation for the > 100% actual concentrations of thiamethoxam in the 50 and 100  $\mu\text{M}$  nominal concentration samples; the three lower exposure samples followed the trend observed for the other neonicotinoids. Thiamethoxam, like the remaining neonicotinoids, is hydrophilic, which is likely

<sup>5</sup> <https://www.federalregister.gov/documents/2009/12/09/E9-29339/clothianidin-pesticide-tolerances>

<sup>6</sup> [https://www3.epa.gov/pesticides/chem\\_search/reg\\_actions/registration/fs\\_PC-044312\\_01-Sep-04.pdf](https://www3.epa.gov/pesticides/chem_search/reg_actions/registration/fs_PC-044312_01-Sep-04.pdf)



to affect its ability to cross the chorion and will thus likely influence the exposure during early developmental stages of the zebrafish embryo.

Whereas thiamethoxam induced only few early developmental changes, 120 h exposure resulted in obvious changes of activity in the FET test. One study determined that exposure to 292  $\mu\text{M}$  thiamethoxam did not affect 48 h survival and subsequent hatching, overall growth, eye and head size as well as head-body angle; even chorion surface tension was unaffected by the exposure to thiamethoxam (Liu et al., 2018). In isolated mouse embryos, there is only one study reporting a negative impact of thiamethoxam on development (Babeřová et al., 2017). Thus, there is an urgent need for additional studies on thiamethoxam-related effects in both fish and mammalian development.

## 5 Conclusions

This study aimed to determine the suitability of the two selected behavioral assays to identify the DNT potential of neonicotinoids in zebrafish embryos at different developmental stages. The data presented clearly document that exposure to the neonicotinoids induced changes in both coiling and swimming behavior at sub-lethal exposure concentrations. Here, the chemical properties of the compounds need to be taken into account, as lipophilicity and molecule size have an impact on their ability to cross the chorion in early developmental stages and will thus have a profound influence on the exposure scenario.

The complexity of the human nervous system, especially the brain, has remained a major challenge when it comes to the determination of the site(s) affected by a toxicant and to the identification of processes leading to (D)NT. Especially when the outcome is unknown, such studies have most frequently been conducted *in vivo*. However, in light of the quest for the refinement, reduction, and replacement of animal tests (Russell and Burch, 1959), alternative test systems like zebrafish embryos are highly sought after. They allow for a compromise combining *in vivo* complexity whilst addressing the ethical questions linked to animal studies. Especially in combination with behavioral assays, the present study has successfully documented the capacity of the fish embryo test (FET) to detect the (D)NT potential of neonicotinoids. Both the coiling (21-47 hpf) and swimming assay (83-120 hpf) revealed behavioral alterations that could be interpreted as indicative of observations in mammalian studies. Following a “positive hit” in these indicator assays, modified exposure regimes or external stimuli might be introduced to discriminate the pathway(s) affected and understand the toxic potential of the compound further. Such findings could then be supported by targeted *in vitro* analyses as well as *in silico* modelling, thus forming an alternative (D)NT test battery of new approach methods (Masjosthusmann et al., 2020; Loser et al., 2021). However, for these assays to become generally acceptable, standardization of protocols, fine-tuning of data analysis, and more mechanism-based research in the field of DNT at sub-lethal concentrations should be next steps. Although the FET test has been

challenged to be insensitive to neurotoxicants (Sobanska et al., 2018), the present study clearly documents that implementation of additional endpoints makes this test a promising candidate component of a test battery suited for even high-throughput NT and DNT research.

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#### Data availability

Original datasets of the current study and analyses generated are available in the BioStudies repository (<https://www.dev.ebi.ac.uk/biostudies/EU-ToxRisk/>).

#### Conflict of interest

The authors declare that they have no competing interests.

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#### Author contributions

RvH conceptualized the experiments, created the figures and tables, and drafted the manuscript. VO conducted the experiments, analyzed the data, created some of the figures and tables, and conducted literature research. SB and MAL conducted the medium sample analysis at Cyprotex, with the involvement of CB and PW. AF, SHB and TB significantly contributed to the manuscript and data visualization and manuscript review. TB further provided funding and laboratory facilities. All authors read and approved the final manuscript.