## 1 Title

Vaginal estrogen therapy use and survival in women with breast cancer: Analysis of
population-based cohorts from Scotland and Wales.

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32 Key points

Question: Do women with breast cancer who use vaginal estrogen therapy (vaginal
 estrogen tablets or creams) have higher risk of breast cancer-specific mortality?

Findings: In two breast cancer cohorts, including 49,237 women, there was no
 evidence of an increase in early breast cancer-specific mortality with use of vaginal
 estrogen therapy, compared with no hormone replacement therapy use, after breast
 cancer diagnosis.

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Meaning: These findings should provide some reassurance to clinicians prescribing
vaginal estrogen therapy and support guidelines suggesting that vaginal estrogen
therapy can be considered in breast cancer patients with genitourinary symptoms if
non-hormonal treatments have been unsuccessful.

#### 45 **Abstract**

46 **Importance:** Genitourinary syndrome of menopause can be treated with vaginal

47 estrogen therapy. However, there are concerns about the safety of vaginal estrogen

therapy in breast cancer patients.

49 **Objective:** To determine whether women with breast cancer who use vaginal

50 estrogen therapy, compared with women with breast cancer who do not use

51 hormone replacement therapy, have a higher risk of breast cancer-specific mortality.

52 **Design:** In Scotland and Wales, cohorts of women newly diagnosed with breast

cancer from 2000 to 2017 were identified and followed for breast cancer-specific

54 mortality up to 2020.

Setting: Population-based breast cancer cohorts were identified from national
 cancer registry records in Scotland and Wales.

Participants: Participants were women aged 40 to 79 newly diagnosed with breast
cancer. Women were excluded if they had a previous cancer diagnosis (except nonmelanoma skin cancer).

60 **Exposure:** Vaginal estrogen therapy (including vaginal tablets and creams) was

ascertained using pharmacy dispensing records from the Prescribing Information

62 System in Scotland and general practice prescription records in Wales.

Main Outcome and Measures: The primary outcome was time to breast cancerspecific mortality from national mortality records. Time-dependent cox regression models were used to calculate Hazard Ratios (HR) and 95% Confidence Intervals (95% CIs) for breast cancer-specific mortality comparing vaginal estrogen therapy users with hormone replacement therapy non-users adjusting for confounders including stage and grade.

Results: The two cohorts contained 49,237 breast cancer patients and included
5,795 breast cancer-specific deaths. Around 5% (2,551) of breast cancer patients
used vaginal estrogen therapy after breast cancer diagnosis. In vaginal estrogen
therapy users, compared with hormone replacement therapy non-users, there was
no evidence of a higher risk of breast cancer-specific mortality (pooled fully adjusted
HR 0.77 95% CI 0.63, 0.94).
Conclusions and Relevance: In these large population-based breast cancer

cohorts, there was no evidence of increased early breast cancer-specific mortality in

patients using vaginal estrogen therapy compared with patients not using hormone

replacement therapy.

### 79 Introduction

Many breast cancer patients experience genitourinary syndrome of menopause<sup>1</sup> 80 (such as vaginal itchiness, burning, pain with sexual activity and urinary 81 incontinence). These symptoms may be precipitated by endocrine treatments and 82 contribute to non-compliance to endocrine therapy<sup>2</sup>. Vaginal estrogen therapy is an 83 effective treatment for genitourinary syndrome of menopause<sup>3</sup>. Trials have shown 84 increased recurrence in breast cancer patients using systemic Hormone 85 Replacement Therapy (HRT)<sup>4</sup>. A recent trial observed a small increase in serum 86 87 estradiol with use of a vaginal estradiol tablet (10µg)<sup>5</sup>. There have been no large randomised controlled trials of vaginal estrogen therapy in breast cancer patients 88 powered to investigate recurrence or mortality<sup>6</sup> and observational studies have been 89 limited by small sample size<sup>7, 8</sup> and unavailable confounders<sup>9</sup>. A recent observational 90 Danish study showed no increase in recurrence in breast cancer patients receiving 91 vaginal estrogen therapy, apart from a subgroup receiving both vaginal estrogen 92 therapy and aromatase inhibitors<sup>10</sup>. Consequently, we investigated vaginal estrogen 93 therapy and breast cancer-specific mortality in two large breast cancer cohorts. 94 95

### 96 Methods

97 We utilised the Prescribing Information System (Scotland)<sup>11</sup> and SAIL databank

98 (Wales)<sup>12</sup>. Approvals were obtained from SAIL Databank Information Governance

- 99 Review Panel (Reference: 0965) and the Privacy Advisory Committee of the National
- 100 Health Service National Services Scotland (number:1617–0374).
- 101
- 102 Cohorts
- 103 Population-based cohorts of women, aged 40 to 79, newly diagnosed with breast
- 104 cancer (ICD code C50) were identified from cancer registries in Scotland (2010 to
- 105 2017) and Wales (2000 to 2016). Patients previously diagnosed with other invasive
- 106 cancers (except non-melanoma skin cancer) were excluded.

107

- 108 Exposure
- 109 Medication use was ascertained from general practitioner (GP) prescribing records
- 110 (Wales) or pharmacy dispensing records (Scotland). Vaginal estrogen therapy
- 111 (mainly estriol creams and estradiol tablets) and systemic HRT (including estrogen
- or tibolone containing products) were identified based upon the British National
- 113 Formulary classification<sup>13</sup>.
- 114

115 Outcome

Breast cancer-specific mortality was identified from national mortality records (an
underlying cause of death of C50) up to June 2019 in Scotland and June 2020 in
Wales.

119

120 Covariates

Cancer registry records provided stage, grade, radiotherapy, chemotherapy, surgery
 and, in Scotland, hormone receptor status. Tamoxifen, aromatase inhibitor and other
 medication use were identified from prescribing/dispensing records. Charlson
 comorbidities, anaemia, and hysterectomy/oophorectomy were determined from GP
 diagnoses and hospital admissions in Wales and from hospital admissions alone in
 Scotland. Deprivation was based upon the Index of Multiple Deprivation. GP records
 provided smoking and BMI (Wales only).

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### 129 Statistical analysis

In the primary analysis (see eFigure 1), patients were followed from 6 months after 130 cancer diagnosis to breast cancer-specific mortality (censored on the earliest of 131 death from other causes, end of mortality follow-up and additionally end of GP 132 records in Wales and date of emigration in Scotland). The exposure was modelled 133 as a single time-varying variable, with a lag of 6 months, into the following 134 hierarchical categories: systemic HRT (with or without vaginal estrogen therapy), 135 vaginal estrogen therapy alone and HRT non-user. Analyses were conducted by 136 number of prescriptions and separately for higher dose vaginal estrogen therapy 137 (considered 25µg estradiol tablets). Time-dependent cox regression models were 138 used to calculate Hazard Ratios (HRs), and 95% Confidence Intervals (CIs), by 139 140 exposure adjusting for age, year, deprivation, surgery, chemotherapy, radiotherapy, tamoxifen/aromatase inhibitor use (modelled as time varying covariates with 6 month 141 lags), Charlson comorbidity (before diagnosis), anaemia (before diagnosis), other 142 medication use (including statins, aspirin, metformin and oral contraceptives before 143 diagnosis), hysterectomy/oophorectomy (anytime up to 6 months after diagnosis), 144 cancer stage and grade. Where missing, stage and grade were imputed using 145

- 146 multiple imputation with chained equations. Estimates were calculated within each
- cohort and pooled using random effects meta-analysis models. See the eMethods
- 148 for further details.
- 149

#### 150 **Results**

The cohorts contained 49,237 breast cancer patients and 5,795 cancer-specific
deaths, with medians of 8 (IQR 5-12) and 5 (IQR 3-7) years of follow-up in Wales
and Scotland, respectively. Overall, 5% (2,551) of women used vaginal estrogen
therapy after diagnosis and 1% (556) systemic HRT.

156 Patient characteristics are shown in Table 1, eTable 1 and the eResults. Table 2 shows there was no evidence of higher cancer-specific mortality in vaginal estrogen 157 158 therapy users compared with HRT non-users; indeed, there was a slight reduction (pooled fully adjusted HR 0.77 95% CI 0.63, 0.94). This estimate was similar in users 159 of 5 or more prescriptions and with higher dose therapy use. Table 3 shows that in 160 161 most sensitivity analyses the associations were similar. In particular, there were no increased risks observed after restricting to women with estrogen receptor positive 162 breast cancer, or women on aromatase inhibitors. See the eResults for further 163 description of findings. 164

165

### 167 **Discussion**

In these large contemporary population-based breast cancer cohorts, there was no
 evidence that vaginal estrogen therapy was associated with increased risk of early
 breast cancer-specific mortality.

171

Our null finding is similar to that of a Danish study of 8,461 breast cancer patients 172 173 that observed no association between vaginal estrogen therapy and cancer recurrence (adjusted HR 1.08 95% CI 0.89, 1.32). However, that study observed a 174 175 39% increase in recurrence in users of both vaginal estrogen therapy and aromatase inhibitors<sup>10</sup>. We did not study recurrence, but observed no evidence of an increase in 176 cancer-specific mortality in this subgroup. A case-control study also showed no 177 association between vaginal estrogen therapy and breast cancer recurrence 178 (identified from GP records) in tamoxifen users but did not adjust for stage<sup>9</sup>. Two 179 small cohort studies also showed no increase in cancer recurrence in breast cancer 180 patients using vaginal estrogen therapy<sup>7, 8</sup> but both included fewer than 10 181 recurrences in the exposed group. Finally, a recent Swedish case-control study 182 showed no increase in cancer-specific mortality in breast cancer patients using 183 estrogen but did not distinguish between vaginal or systemic estrogen<sup>14</sup>. 184

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In the absence of trials of vaginal estrogen therapy in breast cancer, our findings
 provide some reassurance that breast cancer patients receiving vaginal estrogen
 therapy are not at markedly higher risk of cancer-specific mortality and would appear
 to support guidelines suggesting that vaginal estrogen therapy can be considered for
 genitourinary symptoms if non-hormonal treatments have been unsuccessful<sup>3, 15</sup>.
 The systemic HRT associations were included for completeness but should not

influence clinical decisions given our wide confidence intervals and the fact that
 previous trials have observed increased risks of recurrence with systemic HRT use<sup>4</sup>.

Strengths were the large population-based cohorts with up to 20 years of follow-up 195 with linked prescribing/dispensing records, eliminating recall bias, and capturing all 196 HRT prescriptions. However, we cannot confirm medication adherence. The duration 197 198 of follow-up did not allow the investigation of later cancer-specific mortality and further research with extended follow-up is recommended. We adjusted for many 199 200 important confounders including stage and grade and, in a sensitivity analysis, BMI and smoking status, but we cannot rule out residual confounding from poorly 201 recorded or unavailable variables (such as physical activity and menopausal 202 203 status)<sup>3</sup>. Estrogen receptor status of the tumor was not complete, but results were similar in endocrine therapy users (who will have estrogen receptor positive 204 disease). Finally, patients receiving treatment for genitourinary syndrome of 205 menopause may have lower estradiol levels, and/or better compliance to endocrine 206 therapies, and have lower breast cancer-specific mortality anyway. 207

208

## 209 Conclusion

In summary, in this large real-world analysis, there was no evidence of increased
early cancer-specific mortality in breast cancer patients using vaginal estrogen
therapy providing some reassurance to clinicians prescribing, and patients using
vaginal estrogen therapy.

214

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- Acquisition, analysis and interpretation of data: McVicker, Labeit, Coupland, Hicks,
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- 222 Drafting of the manuscript: Cardwell.
- 223 Critical revision of the manuscript for important intellectual content: McVicker, Labeit,
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Table 1: Patient	characteristics b	ov HRT u	ise after	diagnosis.
		, <b>.</b>		anagrieerer

Table 1: Patient characteri		Scotland	agnosis.		Wales	
	No HRT	Systemic HRT	Only vaginal estrogen	No HRT	Systemic HRT	Only vaginal estrogen
Age			oollogon			oollogon
40-49	4207 (17%)	32 (15%)	184 (14%)	3491 (17%)	49 (14%)	184 (15%)
50-59	7444 (29%)	86 (39%)	455 (34%)	6143 (30%)	153 (45%)	411 (34%)
60-69						
	8231 (32%)	71 (33%)	436 (32%)	6685 (32%)	104 (31%)	394 (33%)
70-79 Veer of diagnosis	5506 (22%)	29 (13%)	281 (21%)	4423 (21%)	32 (9%)	206 (17%)
Year of diagnosis				4705 (000()	400 (440/)	440 (070/)
2000-2004				4795 (23%)	139 (41%)	443 (37%)
2005-2009	45074 (000()	455/740/)	4.045/770()	6030 (29%)	94 (28%)	422 (35%)
2010-2014	15674 (62%)	155(71%)	1,045(77%)	6967 (34%)	86 (25%)	270 (23%)
2015-2017	9714 (38%)	63(29%)	311(23%)	2950 (14%)	19 (6%)	60 (5%)
Deprivation						
1 <sup>st</sup> fifth (most deprived)	5580 (22%)	44 (20%)	342 (25%)	3387 (16%)	70 (21%)	158 (13%)
5 <sup>th</sup> fifth (least deprived)	4240 (17%)	38 (17%)	202 (15%)	4634 (22%)	73 (22%)	313 (26%)
Hysterectomy / oophorectomy <sup>1</sup>						
Before or at cancer diagnosis	1034 (4%)	23-28 <sup>2</sup> (11%)	50-55² (4%)	1476 (7%)	41 (12%)	87 (7%)
After cancer diagnosis	740 (3%)	< 5 <sup>2</sup>	53 (4 <sup>°</sup> )	1092 (5%)	33 (10%)	110 (9%)
Select comorbidity (any time before			( )	X /	( )	( <i>'</i> /
COPD	1413 (6%) <sup>′</sup>	24 (11%)	90 (7%)	781 (4%)	23 (7%)	33 (3%)
Diabetes	1760 (7%)	12 (6%)	101 (7%)	1653 (8%)	21 (6%)	77 (6%)
Chronic kidney disease	250 (1%)	< 5 <sup>2</sup>	16 (1%)	1093 (5%)	8 (2%)	48 (4%)
Anaemia	480 (2%)	< 5 <sup>2</sup>	33 (2%)	1135 (5%)	18 (5%)	55 (5%)
Medication use (any time before			00 (270)	1100 (070)	10 (070)	00 (070)
Statin	6254 (25%)	59 (27%)	361 (27%)	4920 (24%)	69 (20%)	263 (22%)
		( /	213 (16%)		53 (16%)	
Aspirin Metformin	3742 (15%)	35 (16%)		3360 (16%)		174 (15%)
	1302 (5%)	8 (4%)	73 (5%)	1054 (5%)	18 (5%)	54 (5%)
Oral contraceptive	1666 (7%)	13 (6%)	83 (6%)	1841 (9%)	23 (7%)	90 (8%)
Hormone receptor status	04007 (040()	474 (700()	4400 (040()			
Estrogen receptor positive	21287 (84%)	171 (78%)	1136 (84%)			
Progesterone receptor positive		136 (62%)	706 (52%)			
HER2 receptor positive	3581 (14%)	25 (12%)	198 (15%)			
Cancer stage						
1	11150 (44%)	119 (55%)	710 (52%)	8475 (41%)	179 (53%)	554 (46%)
2	9513 (38%)	70 (32%)	490 (36%)	6812 (33%)	80 (24%)	331 (28%)
3	1903 (8%)	9 (4%)	65 (5%)	1698 (8%)	8-18 <sup>2</sup>	45-55 <sup>2</sup>
4	1183 (5%)	7 (3%)	21 (2%)	378 (2%)	<10 <sup>2</sup>	<10 <sup>2</sup>
Missing	1639 (7%)	13 (6%)	70 (5%)	3379 (16%)	61 (18%)	255 (21%)
Cancer grade				· · · ·	· · · · ·	
1	3204 (13%)	39 (18%)	214 (16%)	3120 (15%)	66 (20%)	224 (19%)
2	11899 (47%)	105 (48%)	680 (50%)	9390 (45%)	155 (46%)	535 (45%)
3	8827 (35%)	59 (27%)	406 (30%)	5205 (25%)	60 (18%)	266 (22%)
Missing	1458 (6%)	15 (7%)	56 (4%)	3027 (15%)	57 (17%)	170 (14%)
Cancer treatment	1100 (070)	10 (170)	00 (170)		01 (11 /0)	
Surgery	21257 (84%)	196 (90%)	1234 (91%)	18699 (90%)	304 (90%)	1110 (93%)
Chemotherapy	9393 (37%)	67 (31%)	465 (34%)	1500 (7%)	26 (8%)	85 (7%)
	10726 (42%)	95 (44%)	650 (48%)	6030 (29%)	63 (19%)	315 (26%)
Radiotherapy		33 (4470)	000 (40%)	0000 (29%)	00 (19%)	515 (2070)
Hormonal treatment (any time af		100 (500/)	705 (540/)	10701 (640/)	106 (500/)	600 (590/)
Tamoxifen	13864 (55%)	109 (50%)	725 (54%)	12721 (61%)	196 (58%)	690 (58%)
Aromatase inhibitor	12191 (48%)	115 (53%)	769 (57%)	8722 (42%)	164 (49%)	648 (54%)

<sup>1</sup>Hysterectomy/ ophorectomy in the following time periods: before cancer or at cancer diagnosis (anytime up to 6 months after cancer diagnosis), and after cancer diagnosis (more than 6 months after cancer diagnosis). <sup>2</sup>Range shown to maintain statistical disclosure control.

Analysis	Events	Person years	Unadjusted HR (95% CI)	Р	Adjusted <sup>1</sup> HR (95% CI)	Р	Fully adjusted <sup>2</sup> HR (95% CI)	Р
			Pooled					
No HRT use	5624	285342	1.00 (ref. cat.)		1.00 (ref. cat.)		1.00 (ref. cat.)	
Systemic HRT use	51	3894	0.75 (0.57, 0.98)	0.038	0.90 (0.63, 1.28)	0.555	0.98 (0.68, 1.40)	0.902
Only vaginal estrogen therapy use	120	11437	0.66 (0.55, 0.80)	<0.001	0.72 (0.60, 0.86)	<0.001	0.77 (0.63, 0.94)	0.011
1-4 vaginal estrogen therapy prescriptions	105	9374	0.70 (0.58, 0.85)	<0.001	0.75 (0.62, 0.92)	0.005	0.81 (0.67, 0.99)	0.04
5+ vaginal estrogen therapy prescriptions	15	2062	0.49 (0.30, 0.82)	0.007	0.55 (0.32, 0.97)	0.04	0.57 (0.34, 0.96)	0.033
Lower dose vaginal estrogen therapy	92-97 <sup>4</sup>	9098	0.65 (0.53, 0.80)	<0.001	0.71 (0.55, 0.93)	0.011	0.77 (0.56, 1.07)	0.122
Higher dose vaginal estrogen therapy <sup>3</sup>	23-28 <sup>4</sup>	2339	0.69 (0.39, 1.21)	0.197	0.78 (0.53, 1.15)	0.215	0.81 (0.55, 1.21)	0.311
			Scotland		· · ·			
No HRT use	2293	115520	1.00 (ref. cat.)		1.00 (ref. cat.)		1.00 (ref. cat.)	
Systemic HRT use	15	859	0.91 (0.55, 1.51)	0.72	1.14 (0.69, 1.90)	0.61	1.26 (0.73, 2.16)	0.41
Only vaginal estrogen therapy use	45	3979	0.65 (0.48, 0.88)	<0.001	0.78 (0.58, 1.05)	0.1	0.88 (0.65, 1.19)	0.4
			Wales		· · ·			
No HRT use	3331	169822	1.00 (ref. cat.)		1.00 (ref. cat.)		1.00 (ref. cat.)	
Systemic HRT use	36	3035	0.69 (0.49, 0.95)	0.025	0.78 (0.56, 1.09)	0.145	0.86 (0.61, 1.21)	0.383
Only vaginal estrogen therapy use	75	7458	0.67 (0.53, 0.85)	0.001	0.68 (0.54, 0.86)	0.001	0.71 (0.56, 0.90)	0.005

Table 2: Vaginal estrogen therapy use after diagnosis and cancer-specific mortality pooled in Scotland and Wales.

<sup>1</sup>Adjusted model for age, year, deprivation, cancer treatment (surgery, radio, chemo), tamoxifen (as time varying covariate), aromatase inhibitors (as time varying covariate), Charlson comorbidities (before diagnosis), anaemia (before diagnosis), medication use (before diagnosis: statin, aspirin, metformin, oral contraceptives) and hysterectomy/oophorectomy (before or at diagnosis).<sup>2</sup>Model contains variables in <sup>1</sup> and stage and grade using multiple imputation. <sup>3</sup>Higher dose vaginal estrogen therapy contains 25µg estradiol tablets and lower dose consists of all other vaginal estrogen therapy. <sup>4</sup>Range shown to maintain statistical disclosure control.

Table 3: Sensitivity analyses for the association between vaginal estrogen therapy use compared with no HRT use after cancer diagnosis.

Analysis	Non-user events (person years)	Vaginal estrogen events (person years)	Unadjusted HR (95% CI)	Adjusted <sup>1</sup> HR (95% CI)	Fully adjusted² HR (95%Cl)
Main analysis	5624 (285342)	120 (11437)	0.66 (0.55, 0.80)	0.72 (0.60, 0.86)	0.77 (0.63, 0.94)
Using 1 year lag	5132 (262441)	104 (10202)	0.67 (0.55, 0.81)	0.72 (0.59, 0.87)	0.77 (0.63, 0.94)
Using 2 year lag	3932 (218204)	76 (8046)	0.63 (0.42, 0.95)	0.72 (0.57, 0.90)	0.75 (0.60, 0.95)
Restricted to age 55 to 79 years at diagnosis	3880 (187722)	86 (7745)	0.67 (0.54, 0.83)	0.76 (0.61, 0.95)	0.82 (0.63, 1.07)
Including age 18 to 79 years at diagnosis	6062 (299018)	121 (11725)	0.64 (0.53, 0.77)	0.69 (0.57, 0.82)	0.74 (0.61, 0.90)
Restricted to stage 1 to 3	3551 (243892)	90 (9329)	0.73 (0.59, 0.90)	0.75 (0.60, 0.92)	0.80 (0.65, 0.99)
New HRT users <sup>3</sup>	5046 (233546)	68 (6572)	0.66 (0.52, 0.84)	0.70 (0.55, 0.90)	0.76 (0.59, 0.97)
Adjusting for prior HRT use	5624 (285342)	120 (11437)	0.66 (0.55, 0.80)	0.77 (0.64, 0.92)	0.81 (0.67, 0.98)
Estrogen receptor positive breast cancer <sup>4</sup>	1516 (98591)	35 (3366)	0.69 (0.49, 0.97)	0.83 (0.59, 1.16)	0.88 (0.62, 1.25)
Estrogen receptor negative breast cancer <sup>4</sup>	732 (15438)	10 (579)	0.53 (0.28, 0.98)	0.55 (0.29, 1.03)	0.68 (0.36, 1.28)
Stratifying entire cohort <sup>5</sup> No tamoxifen or aromatase inhibitor use Tamoxifen only use Aromatase inhibitor use (with or without tamoxifen)	1752 (60805) 595 (88062) 3277 (136474)	21 (2207) 14 (3433) 85 (5797)	0.51 (0.33, 0.78) 0.86 (0.51, 1.48) 0.68 (0.54, 0.84)	0.56 (0.36, 0.86) 0.89 (0.52, 1.53) 0.70 (0.57, 0.87)	0.67 (0.43, 1.04) 1.01 (0.52, 1.95) 0.72 (0.58, 0.91)
Stratifying only vaginal estrogen therapy users <sup>6</sup> No tamoxifen or aromatase inhibitor use Tamoxifen only use Aromatase inhibitor use (with or without tamoxifen)	5624 (285342) 5624 (285342) 5624 (285342)	21 (2207) 14 (3433) 85 (5797)	0.61 (0.37, 1.01) 0.26 (0.15, 0.43) 0.94 (0.76, 1.17)	0.58 (0.36, 0.94) 0.33 (0.20, 0.56) 0.98 (0.79, 1.22)	0.68 (0.35, 1.33) 0.41 (0.21, 0.79) 0.99 (0.79, 1.24)
Adjusting for stage and grade (complete case) <sup>7</sup>	3788 (231575)	88 (8886)	0.71 (0.54, 0.93)	0.72 (0.54, 0.94)	0.82 (0.66, 1.01)
Additionally adjusting for smoking and BMI (multiple imputation) <sup>7</sup>	3331 (169822)	75 (7458)	0.67 (0.53, 0.85)	0.68 (0.54, 0.86)	0.73 (0.57, 0.92)
Breast cancer as any cause of death	6489 (285342)	144 (11437)	0.68 (0.58, 0.80)	0.73 (0.62, 0.86)	0.77 (0.65, 0.92)
Cardiovascular death	919 (285342)	42-47 (11437)	0.80 (0.30, 2.11)	0.77 (0.28, 2.15)	0.78 (0.28, 2.16)
All-cause mortality	9612 (285342)	290 (11437)	0.73 (0.58, 0.91)	0.78 (0.69, 0.88)	0.80 (0.71, 0.90)

<sup>1</sup>Adjusted model contains, except where otherwise stated, age, year, deprivation, cancer treatment (surgery, radio, chemo), tamoxifen (as time varying covariate), aromatase inhibitors (as time varying covariate), Charlson comorbidities (before diagnosis), anaemia (before diagnosis), medication use (before diagnosis: statin, aspirin, metformin, oral contraceptives) and

hysterectomy/oophorectomy (anytime before or up to 6 months after diagnosis). <sup>2</sup>Fully adjusted model contains, except where otherwise stated, variables in <sup>1</sup> and stage and grade using multiple imputation. <sup>3</sup>Restricted to individuals not using HRT before breast cancer diagnosis. <sup>4</sup>Scotland only. <sup>5</sup>Statifying entire cohort by endocrine therapy use e.g. vaginal estrogen therapy users not on tamoxifen or aromatase inhibitors. <sup>6</sup>Stratifying only vaginal estrogen therapy users by endocrine therapy use and hence the comparison group is all HRT non-users in each analysis e.g. vaginal estrogen therapy users not on tamoxifen or aromatase inhibitor compared with all HRT non-users. <sup>7</sup>Wales only.

## **1** Supplementary Online Content

- 2 2 MaViakar I. Laboit AM. Courland C. Hicks P. Hughes C.
- McVicker L, Labeit AM, Coupland C, Hicks B, Hughes C, McMenamin Ú, McIntosh SA,
  Murchie P, Cardwell CR. Vaginal estrogen therapy use and survival in women with breast
- 5 cancer: Analysis of population-based cohorts from Scotland and Wales.
- 6
- 7 eMethods
- 8 eResults
- 9 **eTable 1**. Additional patient characteristics by HRT use after diagnosis in patients.
- 10
- **eFigure 1**. Figure illustrating the study design for the main analysis of vaginal estrogen
- 12 therapy.

#### 13 eMethods

- 14 The study is based upon the Scottish National Prescribing Information System (Scotland)<sup>1</sup> and the SAIL
- 15 databank (Wales)<sup>2</sup>.
- 16

#### 17 Cohorts

18 Population-based cohorts of females, aged 40 to 79, newly diagnosed with breast cancer (ICD code C50) were

identified from cancer registry records between 2010 to 2017 in Scotland (Scottish Cancer Registry) and 2000 to
 2016 in Wales (Welsh Cancer Intelligence and Surveillance Unit). Patients previously diagnosed with other

21 invasive cancer diagnoses (apart from non-melanoma skin cancer) were excluded.

# 2223 *Exposure*

HRT use was ascertained from electronic general practitioner (GP) prescribing records (Wales) or dispensing
 records (Scotland). Systemic HRT was based upon estrogen (and tibolone) containing products used for
 menopausal symptoms based upon the British National Formulary<sup>3</sup> classification (Section 6.8.1). Vaginal
 estrogen therapy (mainly estriol creams and estradiol vaginal tablets) was based upon the British National
 Formulary classification (Section 7.6.2).

2930 *Outcome* 

The primary outcome was breast cancer-specific mortality from national mortality records (based upon C50 as
 the underlying cause of death), available up to June 2019 in Scotland and June 2020 in Wales.

3334 *Covariates* 

35 Cancer treatment (including radiotherapy, chemotherapy and surgery) was determined from cancer registry

records in Scotland and Wales. Tamoxifen and aromatase inhibitor use was taken from GP prescribing (Wales)
 or dispensing records (Scotland). Cancer registry records provided stage, grade and hormone receptor status

38 (only available in Scotland). Charlson comorbidities (including myocardial infarction, congestive heart failure,

- 39 peripheral vascular disease, stroke, hemiplegia, dementia, liver diseases, peptic ulcer, chronic obstructive
- pulmonary disease, diabetes and chronic kidney disease) and anaemia were determined before cancer diagnosis
   from GP records and hospital admissions in Wales and from hospital admissions alone in Scotland (other than
- 41 from OF records and hospital admissions in wates and from hospital admissions afone in Scotland (other that 42 diabetes which was also identified from dispensed diabetes medications). Other medication use (including
- 43 aspirin, statins, metformin and oral contraceptives) was determined at any time before diagnosis from GP
- 44 prescribing (Wales) or dispensing records (Scotland). Hysterectomy/oophorectomy was determined from
- 45 hospital admissions in Scotland and hospital admissions and GP records in Wales. Deprivation of home address

46 was based upon the 2009 and 2011 Index of Multiple Deprivation in Scotland and Wales<sup>1, 2</sup>, respectively.

- 47 Smoking and BMI were determined from GP records (not available in Scotland).48
- 49 Statistical analysis

50 In the primary analysis of vaginal estrogen therapy (described in Supplementary eFigure 1), patients were 51 followed from 6 months after cancer diagnosis to breast cancer-specific mortality (censored on death from other 52 causes, end of mortality follow-up and additionally end of GP records in Wales and date of emigration in Scotland). Consequently, patients who died in the first 6 months after cancer diagnosis were excluded as it 53 54 seemed unlikely that HRT use after diagnosis could impact such deaths (in sensitivity analyses this duration was 55 altered). Exposure was modelled as a single time-varying variable<sup>4</sup>, with a  $lag^5$  of 6 months, into the following 56 hierarchical categories: systemic HRT use (with or without vaginal estrogen), vaginal estrogen therapy only use 57 and HRT non-use, i.e. patients were considered initially non-users (unexposed) from cancer diagnosis and were 58 considered exposed to vaginal estrogen therapy after a lag of 6 months following their first vaginal estrogen 59 therapy prescription and were considered exposed to systemic HRT after a lag of 6 months following their first 60 systemic HRT prescription (regardless of vaginal estrogen therapy use). An analysis was conducted by number 61 of vaginal estrogen prescriptions with individuals considered a non-user prior to 6 months after their first 62 prescription, a short-term user from 6 months after their first prescription to 6 months after their 5th 63 prescription, and a longer term user after this time. A separate analysis was conducted investigating higher dose 64 vaginal estrogen products which was restricted to vaginal estrogen therapy users who used vaginal tablets 65 containing 25µg or more of estradiol. Time-dependent cox regression models (with time from breast cancer 66 diagnosis as the underlying time scale) were used to calculate Hazard Ratios (HRs), and 95% Confidence

- 67 Intervals (CIs), by exposure from 6 months after cancer diagnosis adjusting for age (as continuous), year of
- diagnosis (as continuous), deprivation, cancer treatment (including surgery, chemotherapy and radiotherapy),
   tamoxifen and aromatase inhibitor user (both modelled as time varying covariates with a 6 month lag), Charlson
- ramoxinen and aromatase innibitor user (both modelled as time varying covariates with a 6 month lag), Charls
   comorbidity (before diagnosis, modelling each condition separately), anaemia (before diagnosis), other
- 71 medication use (including statins, aspirin, metformin and oral contraceptives before diagnosis), and
- 72 hysterectomy/oophorectomy (anytime before diagnosis up to 6 months after cancer diagnosis). The Cox PH

73 assumption was checked by visual inspection of log(-log) plots and appeared to be largely satisfied. The main 74 analyses were repeated adjusting for stage and grade using multiple imputation. Stage and grade were imputed 75 using chained equations with ordered logistic regression models with cancer-specific death status, cumulative 76 hazard and other confounders (including age, year, deprivation, cancer treatment, comorbidity, other medication 77 use and hysterectomy/oophorectomy) in imputation models<sup>6</sup>. Twenty datasets were imputed in Scotland and 10 78 in Wales (with a total of 200 iterations performed in Scotland and 100 in Wales) and results were combined 79 using Rubin's rules. Imputation was implemented using STATA's mi impute routine. Estimates were calculated 80 within each cohort and then pooled using random effects meta-analysis models<sup>7</sup>.

81 82 Various sensitivity analyses were conducted. First, the lag was increased to one year and two years (with follow-83 up starting at one year and two years after cancer diagnosis respectively). Second, analyses were conducted 84 varying the age at diagnosis range: restricting to women over 55 years (probably post-menopausal), and 85 widening to women 18 to 79 years. Third, an analysis was conducted restricted to patients with stage 1 to 3 86 disease. Fourth, to account for prior use, a new user analysis was conducted restricted to women who had no 87 record of HRT use before breast cancer diagnosis and a separate analysis was conducted adjusting for vaginal 88 estrogen therapy and systemic HRT use before diagnosis. Fifth, an analysis was conducted stratifying by ER 89 status (in Scotland only). Sixth, an analysis was conducted stratifying the cohort by endocrine therapy use and 90 comparing vaginal estrogen therapy users with HRT non-users within the following strata: tamoxifen and 91 aromatase inhibitor non-users, tamoxifen only users (without aromatase inhibitors), and aromatase inhibitor 92 users (with or without tamoxifen use); based upon use of aromatase inhibitor and tamoxifen as time varying 93 covariates lagged by 6 months. To allow comparison with an earlier study<sup>8</sup>, this analysis was repeated

stratifying only the vaginal estrogen therapy users e.g. vaginal estrogen therapy users not on tamoxifen or
 aromatase inhibitors were compared with all HRT non-users. Seventh, an analysis was conducted adjusting for

stage and grade (as a complete case analysis). Eighth, an analysis was conducted adjusting for smoking status
 and BMI (in Wales only, using multiple imputation). Ninth, an analysis was conducted on breast cancer-specific

98 mortality based upon breast cancer anywhere on the death certificate. Finally, analyses were conducted on the

99 outcome of all-cause mortality and cardiovascular death (based upon ICD10 codes I20 to I99 or G45 as the

underlying cause of death). STATA 16/17 was used for all analyses.

#### 102 eResults

# 103104 *Patient Characteristics*

105

In the Scottish cohort, after breast cancer diagnosis, 25,388 patients did not use any HRT, 218 used systemic HRT and 1,356 used vaginal estrogen therapy (with 735 [54%] using vaginal tablets alone, 448 [33%] using vaginal creams alone, 145 [11%] using vaginal tablets and creams, and 28 [2%] using vaginal rings). In the Welsh cohort, after breast cancer diagnosis, 20,742 patients did not use any HRT, 338 used systemic HRT and 1,195 used vaginal estrogen therapy (with 383 [32%] using vaginal tablets alone, 661 [55%] using vaginal

111 creams alone, 142-151 [12-13%] using vaginal tablets and creams, and <10 [<1%] using vaginal rings).

112

Patient characteristics are shown in Table 1. Many characteristics of vaginal estrogen therapy users were similar 113 114 to HRT non-users including age, deprivation, comorbidities, medication use, hysterectomy/oophorectomy, 115 hormone receptor status and tamoxifen use. For instance, in Scotland, 22% of the HRT non-users were aged 70 to 79 years and 21% of vaginal estrogen therapy users were aged 70 to 79 years. Similarly, in Scotland, 4% of 116 117 HRT non-users had a hysterectomy/oophorectomy before or at diagnosis, compared with 4% of vaginal estrogen 118 therapy users. Also, in Scotland, 25% of HRT non-users had recorded use of a statin before diagnosis compared 119 with 27% of vaginal estrogen therapy users. However, there were some differences as vaginal estrogen therapy 120 users, compared with HRT non-users, had slightly lower stage and grade, were more likely to have had surgery, 121 use aromatase inhibitors and less likely to smoke. For example, in Scotland, 84% of HRT non-users had surgery

122 compared with 91% of vaginal estrogen therapy users.123

#### 124 Sensitivity Analyses

125

Sensitivity analyses are contained in Table 3. Our main finding of no evidence of higher cancer-specific
 mortality in vaginal estrogen therapy users compared with HRT non-users (pooled fully adjusted HR 0.77 95%
 CI 0.63, 0.94) was similar when varying the lag duration, when varying the included age range, when restricting

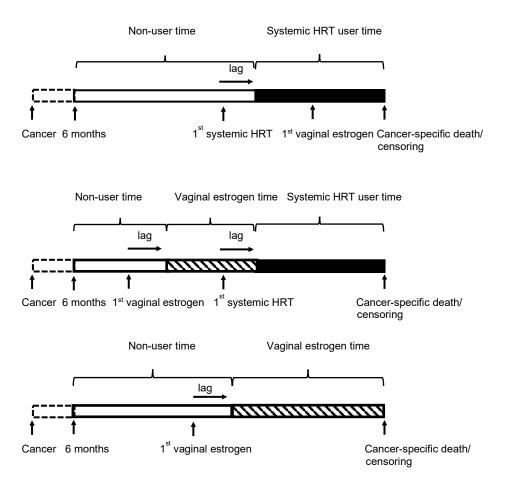
129 to early stage disease and or adjusting for HRT use before diagnosis. The observed association was also similar

- when investigating new vaginal estrogen therapy use after breast cancer diagnosis (fully adjusted HR 0.76 95%
   CI 0.59, 0.97). The observed estimate was slightly increased after restricting to women with estrogen receptor
- positive breast cancer (fully adjusted HR 0.88 95% CI 0.62, 1.25). Table 3 also shows associations by use of
- endocrine therapy. For instance, there was no evidence of an increase in cancer-specific mortality when
- 134 comparing vaginal estrogen therapy users on aromatase inhibitors with HRT non-users on aromatase inhibitors
- (pooled fully adjusted HR 0.72 95% CI 0.58, 0.91), or when comparing vaginal estrogen therapy users on
   aromatase inhibitors with all HRT non-users (pooled fully adjusted HR 0.99 95% CI 0.79, 1.24), allowing more
- direct comparison with an earlier study<sup>8</sup>. A null association was observed when adjusting for stage and grade
- 138 using a complete case approach (pooled fully adjusted HR 0.82 95% CI 0.66, 1.01). Also, the association was
- similar after additionally adjusting for smoking and BMI (pooled fully adjusted HR 0.73 95% CI 0.57, 0.92).
- 140 The findings were also similar when breast cancer-specific death was based upon a breast cancer code anywhere
- on the death certificate. Finally, there was also no association between vaginal estrogen therapy and all-cause
   mortality (pooled fully adjusted HR 0.80 95% CI 0.71, 0.90) or cardiovascular mortality (pooled fully adjusted
- 143 HR 0.78 95% CI 0.28, 2.16).

	Scotland			Wales			
	No HRT	Systemic HRT	Only vaginal estrogen	No HRT	Systemic HRT	Only vaginal estrogen	
Smoking							
Never				9773 (47%)	132 (39%)	545 (46%)	
Ex				3947 (19%)	49 (14%)	238 (20%)	
Current				3400 (16%)	58 (17%)	108 (9%)	
Missing				3622 (17%)	99 (29%)	304 (25%)	
BMI :n				14,558	207	753	
mean (sd)				28.5 (6.1)	27.5 (5.8)	27.5 (5.4)	
Comorbidity (any time before dia	ignosis)				. ,		
Myocardial infarction	416 (2%)	< 5	27 (2%)	304 (1%)	<5	13 (1%)	
Congestive heart failure	265 (1%)	< 5	18 (1%)	321 (2%)	<5	10 (1%)	
Peripheral vascular disease	240 (1%)	< 5	13 (1%)	381 (2%)	10 (3%)	20 (2%)	
Stroke	307 (1%)	< 5	13 (1%)	517 (2%)	9 (3%)	15 (1%)	
Hemiplegia	87 (<1%)	< 5	< 5 (<1%)	137 (1%)	<5	12 (1%)	
Dementia	67 (<1%)	< 5	0 (<1%)	119 (1%)	<5	<5 (<1%)	
Liver diseases	237 (1%)	< 5	10 (1%)	224 (1%)	<5	6 (1%)	
Peptic ulcer	303 (1%)	< 5	16 (1%)	328 (2%)	7 (2%)	21 (2%)	

## eTable 1: Additional patient characteristics by HRT use after diagnosis in patients.

eFigure 1. Figure illustrating the study design for the main analysis of vaginal estrogen.



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