Javanese discourse particles: interlocutor perspective is in the syntax, kok!

Rebecca Woods¹, Jozina Vander Klok² and Johannes Heim³

¹Newcastle University (UK), ²Humboldt Universität zu Berlin (DE) and ³University of Aberdeen (UK)

1. Background

- Discourse particles in Javanese conversation as spoken on Java, including mixed Javanese-Indonesian utterances, are ubiquitous [NB: Indonesian in examples is marked in *italics*].
- Our focus: the syntax of the oft-described particle **kok**; has not yet been formally analysed

1.1 Distribution within the clause

- Kok may occur utterance-initially, -medially or -finally (see 1-3) (Errington 1998; Arps et al. 2000; Wedhawati et al. 2006; Widhyasmaramurti 2008)
 [NB: glosses added by J.Vander Klok for all these references, except Widhyasmaramurti 2008]
- (1) **Kok** isa-isa-né ng-lako-ni urip be-bareng-an karo wong kasar PRT RED-can-DEF AV-happen-APPL live RED-together-NMLZ with people coarse "Why/how is it possible to live together with rude people."

 [Ind: "Mengherankan (ia) dapat hidup dengan orang yang kasar."] Wedhawati et al. 2006:407
- (2) Iki **kok** larang!
 DEM PRT expensive
 "Why, this is expensive!"

Errington 1998: 40

- (3) Dhèwèké ora turu, kok.3 NEG sleep PRT
 - "He/She is actually not asleep".

[Ind: 'Ia (sebenarnya) tidak tidur.']

Wedhawati et al. 2006: 406

1.2 Distribution across clause types

1.2.1. Declarative: see also (1)-(3)

(4) **Kok** lucu, bocah wani karo wong tuwa-né.
PRT cute child brave with person old-DEF
'It's funny/cute how brave the child is with their parents.'

[Ind. 'Lucu sekali anak berani pada orang tuanya.'] Wedhawati et al. 2006: 407

(5) Kucing-e ora ng-gondhol ikan neng mulut-e **kok**Cat-DEF NEG ACT-carry.by.mouth fish at mouth-POSS PRT
'The cat did not carry a fish in its mouth!' (and you are supposed to know that!)

Widhyasmaramurti 2008: 58

1.2.2. Imperative:

(6) Context: An elderly woman Islamic leader (Ustadzah) is preaching at a women's religious gathering. She says: My intention is to do it. My intention is adhang. I hope for my family to be strong in worship... (Javanese: Nggeh niku niate di toto. Aku niat adhang. Mugo-mugo kanggo keluargaku iso kuat ibadah.)

Ojok **kok** jek wareg NEG.IMP PRT still full

'Don't be satisfied (how could you think of being otherwise!)' Paciran (East Java) dialect

Some speakers of Javanese, e.g. in Central Java (Surakarta dialect) only allow final *kok* in imperatives (elicited); others again disallow *kok* in imperatives altogether:

(7) (*Kok) antem-en aku (*kok) yen pancen kowe wani (%kok)!

PRT punch-IMP 1SG PRT if certainly 2 brave PRT

"Hit me if you dare (I'm so angry with you!)" Surakarta dialect, Norwanto, p.c.

1.2.3. Interrogative

(8) **Kok** mulih? PRT AV.go.home

'Why go home?' [Ind. 'Kenapa pulang?'] Wedhawati et al. 2006: 405

(9) Q: **Kok** Slamet bojo-ne loro? A: Wong sugih **[k]ok!**PRT Slamet spouse-DEF two person rich PRT

Dutch: 'Hoezo heeft Slamet twee vrouwen?' 'Hij is rijk (lett.: een rijkaard) hoor!'

'Why does Slamet have two wives?'

'He's rich (you know)!' Arps et al. 2000:137

Note that *kok* is not straightforwardly a question particle; final *kok* does not induce question meanings, and *kok* can co-occur with wh-phrases:

(10) Malang Javanese

Opo-'o arèk megel-no iku kok yo órép? Opo-'o kok gak matèk aé? what-sbjv child AV.annoy-caus dem PRT also live what-sbjv PRT NEG AV.die just Opo-'o kok gak ilang aé? Opo-'o kok gak mampós aé? what-sbjv PRT NEG disappear just what-sbjv PRT NEG croak just Opo'-o kok gak bongko aé? what-sbjv PRT NEG croak.SL just

"Why does such an irritating kid live at all? Why doesn't he just die? Why doesn't he just vanish? Why doesn't he just croak? Why doesn't he just snuff it?"

Krauße 2017: 68-69

1.2.4. Fragment utterances:

(11) kok loro?!

PRT two

"Only two?!"

Adapted from Errington 1998: 101

(12) "Bapakku si Menado itu maunya aku jadi orang Jawa saja, ikut Ibu."

"Kok?"

"Kok apa? Memangnya kami hidup di mana?"

[My father, Menado, wants me to just be Javanese, to follow my mother.]

[**Kok** = why?]

[Kok what? Where do we live?]

Sapardi Djoko Damono, "Hujan Bulan Juni", 2015: 34

1.3. Homophony with second-person clitic pronoun

Kok is homophonous with second-person clitic pronoun in some dialects

• Little evidence to build a diachronic case, but others have speculated about a relationship: "The origin of *kok*- is most probably the Old Javanese (OJ) unbound pronoun *ko* "you (SG)" with the same glottal paragoge as *tak* from OJ (*ki*)*ta*."

Krauße 2017: 36, see also Nurhayani 2014: 126

Differentiation from clitic pronoun kok (cooccurrence)

• The clitic pronoun *kok* occurs as a proclitic to the verb stem in Patient Voice (or Object Voice) and refers to a 2nd person singular agent (Robson 2002; Wedhawati et al 2006)

(13) a. Actor Voice

Kowe ng-gawa klambi-ne ibu menyang penjahit 2.SG ACT-take clothes-POSS mother to tailor 'You take mother's clothes to a tailor.'

b. Patient Voice

Klambi-ne ibu <u>kok</u>=gawa menyang penjahit clothes-POSS mother 2.SG=take to tailor

'Mother's clothes were taken to a tailor by you.' Widhyasmaramurti 2008: 34

• Proclitic kok can co-occur with particle kok (in any position; Widhyasmaramurti 2008)

(14) **(Kok)** ibu-mu **(kok)** <u>kok</u>=ter-ake mulih maneh **(kok)**PRT mother-2POSS PRT 2SG=take.s.o.to-BEN go.home again PRT

"(Why did) you return your mother to the home again?!" Widhyasmaramurti 2008: 55-56

1.4. Semantic contribution of kok

Contradiction and softening?

- Wedhawati et al. (2006: 405) refer to *kok* as a 'softening' particle that marks the informal variety of the language.
- They also say, "Secara mendasar 'kok' menyatakan arti kontradiktif. Arti kontradiktif dapat terjadi pada 'kok' sebagai pembentuk gatra utama maupun gatra pelengkap." [In a way, kok basically expresses contradiction. This contradictory meaning can occur when kok marks the main gatra (semantic unit)¹ as well as when it forms the complementary/supplementary gatra] (Wedhawati et al 2006: 407)

Others suggest that *kok* contributes to the speaker's perspective to the utterance in two different ways depending on its position in the clause.

• Initial and medial kok

"express[es] surprise toward an unexpected circumstance" (Widhyasmaramurti 2008: 55; see also Arps et al. 2001), "arti ketidakpercayaan atau keheranan"
 [meaning of astonishment or disbelief] (Wedhawati et al 2006: 407; initial kok only).

- In this case initial *kok* forms part of the 'anticipatory' or 'predecessor' gatra (Wedhawati et al 2006: 407).
- It otherwise marks the main focal gatra of the utterance (Wedhawati 2006: 406).

• Final kok

.

 "emphasizes a speaker's [...] concern that [a state of affair's] truth or relevance be recognized by the addressee" (Errington 1998: 102) or "remind[s] the hearer of [something] they should know" (SEAlang Library).

¹ A 'gatra' is a unit of melody in Gamelan music (the smallest unit of a gamelan composition). It can also mean a 'sense-unit' in poetry or a clause (SEALang Library).

• Final *kok* forms a 'supplementary' gatra, often on its own, which follows the focal gatra (Wedhawati et al 2006: 406)

1.5. Some other syntactico-semantic restrictions

Root clauses only:

(15) Kowe ngucap kok=pangan pitik kok

You AV.say 2SG=eat chicken DM

"You really said chicken (#really) was eaten by you!"

Norwanto, p.c.

Seemingly final only in answers to questions

(16) EN: Lha **kok** isá mempengaruhi seluruh badan?

S: Ha isá no, lha kontraksi kok.

EN: Kontraksi?

S: Kontraksi dinding rahim **kok**.

EN: So how can it affect the entire body?S: Huh, it can, well, contractions, y'know.

EN: Contractions?

S: Contractions in the wall of the uterus,

y'know

Errington 1998: 110

2. Proposal

Observations:

- A root-only discourse-linked element is likely to have some relationship to the leftperiphery, particularly when it also frequently appears in that position
- Kok interacts with information structure (e.g., it is final only in responses to questions)
- Fundamentally the same interpretation in initial-/medial-positions; same core meaning in final-position just with decentering of speaker perspective

Assumptions:

- Despite its adverb-like meaning, we assume that kok is a head, hence referring to it as a
 particle (PRT)
 - Pronoun > particle well attested diachronically, pronoun > adverb not typically attested
 - o Kok does not show adverb-like morphological behaviour
 - No reduplication (*kok-kok, *kok-ok)
 - Incompatible with derivational suffix -e (*kok-e)
- Basic meaning of kok is to mark that the speaker recognises a contradiction between a
 previous assumption and some new information that has become apparent, either in the
 discourse or in the broader context.

Proposal:

- Unified analysis
 - There is just one kok merged in one high-left peripheral position
 - Different linear positions derived by movement of propositional material into high information structural positions above kok
 - Precedents in the literature (from Indo-European): analyses of discourse particles (Haegeman 2014, Heim 2019), vocatives (Hill 2013), interrogative slifting (Haddican et al 2014)

2.1. Background

2.1.1. Syntax of Speech Acts

As proposed for Indo-European, Indo-Aryan, Sino-Tibetan:

(17) [SpeechAct Phrase [PerspectiveP [CP [TP ...]]]] Woods (2016, 2021), also Dayal (2023)

SpeechAct Phrase = Discourse-linked material including calls on the addressee

PerspectiveP = Speaker intent, modifiers of clause type

CP = clause-typing

Kok positioned in PerspectiveP

- Below SpeechAct Phrase, as preceded by other discourse particles e.g. Indonesian *lha* and Javanese *lho*
 - Where *lha/lho* comment on previous/future utterances in the discourse, looking outside the utterance, *kok* comments on the propositional content of the utterance
- (18) **Lha kok** isá *mempengaruhi seluruh badan*? "So how can it affect the entire body?"

Errington 1998: 11

(19) Seharusnya kan gitu. Cuman ini orang yang dimintain tolong itu **ló kok** pergi.

Well, it should be like that. But it's just that the person I asked for help just went away.

Krauße 2017: 4

- Above CP given:
 - Compatibility with a range of clause-types
 - Position relative to initial wh-phrases
- (20) Context: Lolly is reading on live Instagram a question by one account holder, that asks when will they marry. Then Lolly asked her boyfriend again next to her:

Kok *kapan nikah* sih? Astagfirullah PRT when marry PRT Oh.my.God

'When are [we] going to get married?! Astagfirullah!'

News article, 09.12.2022²

2.1.2. Javanese high left-periphery

(21) [TopP [FocP [CP [MoodP [TP ...]]]

Vander Klok 2023

- (22) Evidence for high focus position from auxiliary position in polar question answers Evidence for high topic position from location of argument above high auxiliary
 - Q: Wong Indonesia kudu nggowo paspor reng bandera toh? person Indonesia ROOT.NEC AV.bring passport to airport FOC 'Do Indonesians have to bring their passport to the airport?'
 - A: [TopP Wong Indonesia [FocP kudu [MoodP ketok-e [TP nggowo paspor]]]].

 person Indonesia ROOT.NEC DIR.EVID-E AV.bring passport

 'Indonesians must, it seems, bring their passport.' Vander Klok 2023

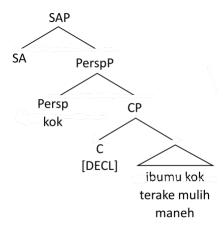
² https://hot.detik.com/celeb/d-6451773/jawaban-sean-mikael-ditanya-kapan-nikahi-anak-nikita-mirzani

-

2.2. Analyses of kok by position

2.2.1 Initial kok

(23) [SAP [PerspP [kok] [CP ibumu kok=ter-ake mulih maneh]]]
PRT mother-2POSS 2SG=take.s.o.to-BEN go.home again
"(Why did) you return your mother to the home again?!" Widhyasmaramurti 2008: 55



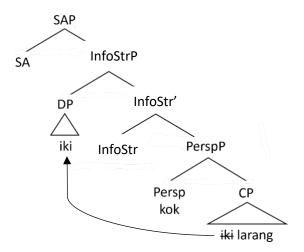
- Kok is merged in the head of PerspP
- In the absence of any information-structural movement, the rest of the proposition follows *kok*
- Effect on meaning: kok introduces a perception of contradiction from the speaker's point of view
 - Particles and other discourse-oriented material (e.g. vocatives) that can occur in multiple positions tend to, when initial, foreground speaker intention/speaker perspective with respect to the content of the utterance, or draw particular attention to the content of the utterance (e.g. Haegeman 2014 on West Flemish, Hill 2013 on vocatives)

2.3. Medial kok

(24) [SAP [InfoStrP iki [PerspP [kok] [CP iki larang]]]]

DEM PRT expensive

"Why, this is expensive!"



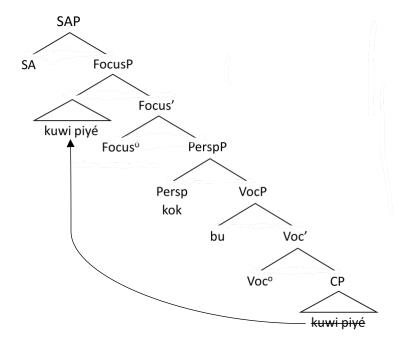
- The subject, here iki, is promoted for information structural purposes and moves to SpecInfoStrP
- The rest of the proposition remains low in CP
- Prosodic support to follow in section 3
- Effect on meaning: *kok* also speaker-oriented (i.e. speaker feels a contradiction between the proposition and their previous assumptions)

2.4. Final kok

(25) [SAP [FocusP kuwi piyé [PerspP [kok] [VocP Bu [CP kuwi piyé]]]]]

DEM how PRT Mrs

'How is that, Bu?' Errington 1998: 110



- Kok is merged in the head of PerspP
- The entire proposition in CP raises via focus movement to SpecFocP
- Prediction: In answers to questions, the CP must be focused and raise above kok; only final kok can occur in answers to questions
 - Empirical data: as stated above, we do not have any examples of initial-/medial-kok in responses to questions; moreover, the majority of final kok examples are found in responses to questions
- Effect on meaning: final kok orients to the speaker's perception of the addressee perspective, i.e. that they may perceive a contradiction between the proposition and a previous assumption
 - Final particles/vocatives have a 'bonding' meaning or look to "manipulate the addressee's consent" (Hill 2013: 11 on vocatives)
 - o In wh-slifting (e.g. *Who is coming, do you think?*) the 'host' clause becomes defocused and the epistemic commitment expressed by the 'host' predicate (here *think*) is bleached; extra material e.g. predicate modifiers are generally degraded in acceptability (Haddican et al 2014 on English wh-slifting)

3. Support: Prosodic contours in Javanese

Wedhawati et al (2006) claim that *kok* always occurs at the left edge of an intonational phrase (IP). They demonstrate this for initial and final *kok* (they do not discuss clause-medial *kok*; 2006: 406-407, *glosses and English translation added*):

- (26) [**Kok** ora teka-teka _{IP}] [ya_{IP}].

 PRT NEG RED-come PRT.yes

 'Why haven't you come yet, huh?'
- (27) [Aku ora lunga $_{\mathbb{P}}$] [kok $_{\mathbb{P}}$].

 1 NEG go PRT

 'I didn't go.'
 - Distributional variation of *kok* seems to correlate with prosodic variation:
 - o Initial kok introduces a rise-fall contour with fall at the right edge of an IP (28)
 - o Final kok is deaccented (29)
- (28) ↑Kok LUCU↓ [bocah wani karo wong.tuwa-né Deaccented]
 PRT cute child brave with parent-DEF
 'It's funny/cute how brave a child is to their parents.'
- (29) ↑Dhèwèké ora turu↓ [kok Deaccented] 3 NEG sleep PRT 'He actually didn't sleep.'

Recordings analysed through Praat by us suggest (very tentatively) a different picture:

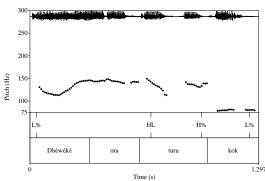


Figure 1: Deaccented final kok

Recording of example from Wedhawati et al (2006) by Norwanto (Surakarta speaker, Central Java)

- Main gatra on proposition
- Kok is deaccented

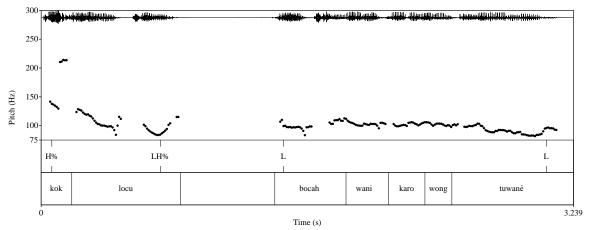


Figure 2: Initial kok with fall-rise and deaccented remnant (also recorded by Norwanto)

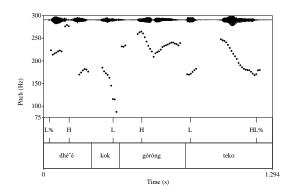


Figure 3: medial kok with falling contour

Recording from Krauße's corpus (Surabayan speaker, East Java)

- Kok pronounced with falling contour
- Not clear that kok introduces a new intonational phrase here

Possible analysis:

- Final kok is deaccented because all material has been moved to the left periphery
- Initial *kok* introduces a contour that marks any fronted material as information-structurally prominent; in the absence of fronted material the entire proposition is marked
 - What type of information structural marking is yet to be determined
- Medial kok also marks fronted material as information structurally prominent, but potentially less likely that this is focus marking
- Intonational breaks confirm boundaries between moved phrase and remnant

4. Conclusion and broader implications

Main claim: evidence from Javanese for articulated, discourse-linked left-peripheral syntactic projections

 Supports analyses from Indo-European about the interaction of how speaker/hearer perspectives are expressed and clausal position (linearisation of clausal material relative to discourse-linked material)

Future work:

• Discourse particles so integral to communication in Javanese – they deserve more formal attention!

- Formal semantic denotation of *kok* to fully flesh out how its interpretation interacts with information-structural movement (or is it all in the pragmatics?)
- Better understanding of intonation patterns in Javanese needed to:
 - test/tease apart simple contradiction from contradiction+surprise meanings
 - o understand how high focus and high topics are marked prosodically

Acknowledgements

- Daniel Krauße for generously sharing the .wav files from his corpus;
- Norwanto (UIN Salatiga) for generously providing judgements and recordings, as well as the initial inspiration for this work from Field Linguistics (2015-16) at University of Huddersfield;
- Paciran Javanese speakers from J. Vander Klok's corpus for sharing their knowledge;
- The SPAGAD group at ZAS Berlin for early ears and feedback, and the Syntax Reading Group at Newcastle University for more recent feedback and support

References

Arps, Ben, Els Bogaerts, Willem van der Molen, Ignatius Supriyanto and Jan van de Veerdonk (with assistance from Betty Litamahuputty). 2000. *Hedendaags Javaans: Een Leerboek* (Semaian 20). Leiden: Opleiding Talen en Culturen van Zuidost-Azië en Oceanië

Dayal, Veneeta. 2023. The Interrogative Left Periphery: How a Clause Becomes a Question. *Linguistic Inquiry* First View. doi: https://doi.org/10.1162/ling-a-00507

Errington, J. Joseph. 1998. Shifting languages. Cambridge: CUP

Haddican, Bill, Anders Holmberg, Hidekazu Tanaka and George Tsoulas. 2014. Interrogative slifting in English, *Lingua* 138, 86-106. https://doi.org/10.1016/j.lingua.2013.10.006

Haegeman, Liliane. 2014. West Flemish verb-based discouse markers and the articulation of the speech act layer, *Studia Linguistica* 68(1), 116-139

Heim, Johannes. 2019. Turn-peripheral management of Common Ground: a study of Swabian gell, Journal of Pragmatics 141, 130-146

Hill, Virginia. 2013. Vocatives: How syntax meets with pragmatics. Leiden: Brill

Krauße, Daniel. 2017. A description of Surabayan Javanese with special reference to its linguistic etiquette. MA Thesis, Goethe Universität Frankfurt am Main.

Nurhayani, Ika. 2014. A unified account of the syntax of valence in Javanese. PhD dissertation: Cornell University

Robson, Stuart O. 2002. *Javanese grammar for students*. Clayton, Vic.: Monash Asia Institute, Monash University.

Sapardi Djoko Damono. 2015. Hujan Bulan Juni. Jakarta: PT Gramedia Pustaka Utama.

SEAlang Library. http://sealang.net/java/dictionary.htm [accessed 28 Apr 23]

Vander Klok, Jozina. 2023. The syntax of polar answers in Javanese: New evidence for distinguishing topics from subjects. *Manuscript*. Humboldt-Universität zu Berlin.

Wedhawati, Nurlina, Wiwin Erni Siti, Setiyanto, Edi, Marsono, Sukesti, Restu, and Baryadi, I. Praptomo 2006. *Tata Bahasa Jawa Mutakhir* [Contemporary Javanese Grammar]. Yogyakarta: Penerbit Kanisius.

Widhyasmaramurti. 2008. 'Tak' and 'kok' in Javanese Language. MA Thesis: Utrecht University. Woods, Rebecca. 2016. Investigating the syntax of speech acts: embedding illocutionary force. PhD dissertation, University of York (UK)

Woods, Rebecca. 2021. Towards a model of the syntax-discourse interface: a syntactic analysis of please. English Language and Linguistics 25(1), 121-153