1	Systemic Hypertension and Postoperative Symptomatic
2	Spinal Epidural Haematoma: A Scoping Review
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# 20 Abstract:

Postoperative symptomatic spinal epidural haematoma (PSSEH) is a serious complication of spinal 21 22 surgery and is associated with significant morbidity. Studies suggest that hypertension is a risk 23 factor for developing PSSEH. The purpose of this review is to identify and evaluate the existing literature on the association between hypertension and the incidence of postoperative symptomatic 24 25 spinal epidural haematoma. A comprehensive literature search was conducted using the databases MEDLINE/PubMed, Embase and Cochrane Library to identify studies that investigated PSSEH 26 27 and included data on hypertension status or blood pressure values. References were also screened 28 to include as many relevant studies as possible. Eighteen studies were included in the review, which explored the association between blood pressure and PSSEH to some degree. Although most 29 studies did not provide detailed information on blood pressure beyond demographics, some 30 observational data suggested that preoperative uncontrolled and untreated hypertension, blood 31 pressure rise at the time of extubation, and postoperative systolic pressure were all associated with 32 33 an increased risk of PSSEH. However, the level of certainty was considered very low due to the retrospective nature of the studies, the heterogeneity, incoherence, and lack of precision. Further 34 research is needed to explore the relationship between blood pressure and mild PSSEH. Although 35 36 the current literature is limited, our findings suggest that preoperative hypertension status and blood pressure monitoring during and after surgery may be factors associated with PSSEH. These 37 findings can inform clinical decision-making, while considering risk factors for PSSEH in spinal 38 surgery. 39

# 40 Keywords:

41 Hematoma, Epidural, Spinal – Hemostasis, Surgical – Hypertension – Spinal Cord Compression

## 42 Introduction:

Postoperative symptomatic spinal epidural haematoma (PSSEH) is a rare but significant 43 44 complication of spinal surgery that can result in prolonged neurological damage and paralysis if 45 left untreated.<sup>1</sup> In the postoperative period, it can present as severe pain over the operation site, with radiation to the lower extremities, and new onset neurological deficit. If there is sufficient 46 47 cord compression, patients can also experience autonomic dysfunction in the form of urinary retention and sexual dysfunction.<sup>1</sup> On examination, these patients may experience flaccid 48 hypotonia and hyporeflexia.<sup>2</sup> The distribution of these neurological deficits corresponds to the 49 50 spinal level of and below the compressive haematoma. The differential diagnosis of a postoperative patient experiencing acute neurological deficit is wide and includes conditions such as direct neural 51 52 tissue trauma, spinal cord infarction, compression from bony or ligamentous structures and PSSEH, all of which need to be considered rapidly to prevent lasting neurological injury.<sup>3</sup> 53 Investigation for PSSEH is best conducted using MRI, at the region of neurological deficit or 54 operated spinal level. PSSEH can appear as heterogenous hyperintense/isointense signals on T2 55 weighted images or isointense/ slightly hyperintense signals on T1-weighted images with dural sac 56 compression.<sup>4</sup> To complicate the diagnosis further, PSSEH, most commonly, presents in the early 57 58 postoperative period however there have been cases of delayed-onset PSSEH (>72 hours postsurgery).<sup>5</sup> This is a time sensitive complication as patient prognosis is positively correlated with 59 timely diagnosis and intervention. One study demonstrated that patients operated within 12 hours 60 61 of symptom onset had higher average postoperative Frankel grades and a greater rate of complete neurological recovery.<sup>1</sup> 62

Although bleeding in the epidural space is a common occurrence after spinal surgery, it rarely leads
to symptomatic cord compression. Several prospective studies have demonstrated an incidence of

asymptomatic spinal epidural haematoma ranging from 33% to 100% after spinal surgery, as determined by regular MRI scans in the postoperative period.<sup>6-8</sup> However, symptomatic haemorrhage has a documented incidence of 0.5%, according to a recent meta-analysis of 40 studies involving over 160,000 patients who underwent spinal surgery.<sup>9</sup> This meta-analysis identified various risk factors for PSSEH, including spinal level (thoracic > lumbar > cervical), minimally invasive spinal surgery, and posterior approach surgery. However, hypertension was not analysed at different points during the surgical admission as a risk factor.<sup>9</sup>

72 The mechanism by which PSSEH occurs is unclear and there is debate between authors as to the 73 exact cause. Some studies hypothesise state in their discussions that the dorsal venous plexus can be easily injured in transforaminal lumbar interbody fusion surgery, and this could partially explain 74 the aetiology of the condition.<sup>10-11</sup> Another study expands on this by highlighting that multilevel 75 spinal surgery is a risk factor for development of PSSEH due to increased exposure and risk of 76 trauma to the dorsal venous plexus.<sup>12</sup> The dorsal venous plexus surrounding the spine is also at 77 increased risk when operating on a highly vascular tumour. Angiogenic tumours increase the risk 78 of venous plexus rupture and therefore increase intraoperative blood loss which has been 79 identified, by one study, to increase the risk of PSSEH.<sup>13-14</sup> Alternatively, there has been 80 speculation that some bleeding can be arterial in nature from the epidural arteries. There is potential 81 for this to be missed intraoperatively if the patient is hypotensive and there is inadequate 82 haemostasis.<sup>15</sup> As neither a unique mechanism for PSSEH has been found, then risk factor 83 identification may help stratify patients and guide perioperative management. 84

While there has been debate regarding whether hypertension is a risk factor for spontaneous spinal
epidural haematomas, a review conducted in 1990 analysed case reports and found an association

between hypertension and PSSEH. However, the causation was deemed unlikely due to the
 percentage of patients who were hypertensive aligning with that of the wider population.<sup>16</sup>

To our knowledge, there has not been a dedicated review exploring the relationship between blood pressure, hypertension, and PSSEH. Therefore, the objective of this review is to identify all literature that analyses the incidence of PSSEH and hypertension as a potential risk factor. We will summarise the existing studies and identify gaps in the literature in order to make recommendations for further research. This will assist future meta-analyses and help inform clinical decision-making.

# 95 Methods:

A study protocol was created and uploaded to the Open Science Framework database. A brief
summary of the background, methods of data gathering, and data charting is contained in this
protocol. Link: <u>https://doi.org/10.17605/OSF.IO/JU6VD</u>

We conducted an inclusive search without restrictions on publication year. Studies were only included in, or translated to, English. We included retrospective studies, prospective studies, casecontrol studies, systematic reviews, and meta-analyses. We excluded case reports. In order to be eligible for inclusion, studies had to compare preoperative hypertension status or perioperative/postoperative blood pressure values during the surgical admission and perform statistical analysis between groups. We did not contact authors for additional data.

A comprehensive search was conducted using three major databases (Ovid MEDLINE, Embase,
and Cochrane Library) using the following search terms: "exp spinal hematoma," "exp Hematoma,
Epidural, Spinal/ OR exp Hematoma, Subdural, Spinal," "spinal epidural hematoma" AND "exp
Hypertension," and "Hypertension." The University of Aberdeen Library database on Primo and

PubMed were also searched to locate relevant literature. In addition, references were screened
(backwards snowballing) to identify studies that were not captured by the electronic searches. The
search was not restricted by language or year of publication.

The search process was conducted by a single author (LR), in accordance with the aforementioned methodology. In order to be considered eligible for inclusion, studies had to provide radiological confirmation and/or documentation of return to the operating theatre for PSSEH evacuation. The JBI template for evidence source evaluation was utilized to further exclude studies that did not meet the criteria of this review.

117 The relevant data points for this review were preoperative hypertension status (with a preference 118 for additional information on medication status or severity of hypertension), blood pressure values 119 recorded throughout the surgical admission, and any statistical analysis conducted between these 120 data points in both the control and effect groups.

121 **Results:** 

The study flow diagram gives a visual representation of the literature yield from the database search and the characteristics that lead to elimination (Figure 1). After full text reading, we identified 18 studies relevant to the review question. Twelve were case control studies, and 6 were retrospective cohort studies. These studies are presented in a summary table identifying the component of blood pressure investigated with respect to PSSEH (Table 1).

All 18 studies included in this review reported preoperative demographic data on blood pressure.<sup>2,5,10,12-15,17-27</sup> Ten studies found a statistically significant difference in preoperative hypertension status between patients who suffered PSSEH versus their control or "nocomplication" group on univariable analysis.<sup>2,5,10,12,14,15,19,22-24</sup> Two studies, Fujiwara et al.<sup>19</sup> and

Park et al.<sup>22</sup>, found a significant difference on multivariable analysis. Four studies provided more 131 detail about preoperative hypertension, including mean blood pressure values or subdividing 132 patients into "controlled" versus "uncontrolled" hypertension.<sup>5,12,19,21</sup> Fujiwara et al.<sup>19</sup> found that a 133 significantly greater proportion of patients in the PSSEH group had either "uncontrolled" or 134 "untreated" hypertension ( $p=\leq 0.001$ ). Wang et al.<sup>5</sup> found that preoperative systolic blood pressures 135 were higher in both of the PSSEH groups when compared with the control. This was despite the 136 sample size of the delayed group being less than half of the early group (n=15 versus n=32). The 137 majority of other studies, however, did not comment on the degree of hypertension in their 138 patients.<sup>2,10,13-15,18,20,22-27</sup> Two studies subdivided patients into those who were being treated with 139 anti-hypertensives and those who were not.<sup>5,19</sup> A summary table (Table 2) is given compiling the 140 mean preoperative blood pressure values of studies which included them in their results. 141

Five studies explored blood pressure rise at extubation and compared this with the incidence of 142 PSSEH.<sup>5,19,24-26</sup> Two of these studies found no significant difference<sup>24,25</sup>, while three studies found 143 significant differences.<sup>5,19,26</sup> Soejima et al.<sup>24</sup> included comparison of blood pressure after 144 extubation amongst all patients and those who specifically underwent multilevel procedures. They 145 also included data specifically at the L2/L3 surgical site. Neither of these smaller, specific 146 subdivisions showed significant differences between the PSSEH group and control group.<sup>24</sup> Tsuge 147 et al.<sup>25</sup> did not find a significant difference when comparing blood pressure rise at extubation 148 between groups, however, when they calculated a ratio of systolic blood pressure at 149 extubation/systolic blood pressure at rest, they found a significant difference on multivariant 150 analysis with an odds ratio of 3.9. It is worth noting that Tsuge et al.<sup>25</sup> is not case controlled, and 151 their "control" group is vastly larger than the PSSEH group leading to unreliability in their results. 152 Fujiwara et al.<sup>19</sup> found significant differences in both the number of patients experiencing a 153

50mmHg rise in SBP at extubation between groups and the mean SBP and DBP at the time of extubation. Wang et al.<sup>5</sup> found significant differences between mean SBP and DBP at extubation; however, the number of patients with an SBP rise of  $\geq$ 50mmHg was not significant. Contrasting this, Yamada et al.<sup>26</sup> found a significant difference on multivariable analysis in the PSSEH group where patients experienced an SBP rise of  $\geq$ 50mmHg at extubation. This was clearly defined as a rise from the intraoperative median SBP.

Five studies looked at blood pressure in the immediate postoperative period<sup>5,12,19,24,27</sup> Four of these 160 studies presented mean systolic and diastolic BP values of the PSSEH and control groups whilst 161 Yang et al.<sup>27</sup> omitted these values. Fujita et al.<sup>12</sup> found no significant difference in mean SBP or 162 DBP between groups. In contrast, both Fujiwara et al.<sup>19</sup> and Soejima et al.<sup>24</sup> found a statistically 163 significantly higher mean SBP in the PSSEH group when compared to the control. Wang et al.<sup>5</sup> 164 found that mean postoperative SBP in both their early and delayed onset groups were significantly 165 higher on multivariable analysis when compared to mean SBP in their control group. They elicited 166 an adjusted odds ratio of 1.1. Despite this being a very small increase in relative risk, it was 167 statistically significant. Finally, Yang et al.<sup>27</sup> found that if postoperative SBP was to rise by 168 10mmHg from a baseline of 120 mmHg, then this significantly increased the incidence of PSSEH 169 170 on multivariable analysis with an adjusted odds ratio of 1.68. We made a second summary table (Table 3) showing postoperative mean blood pressure values to illustrate the differences between 171 studies. 172

173 Quality of Evidence and Risk of Bias:

All the current evidence exploring hypertension in relation to PSSEH is retrospective, with most studies being case-controlled. However, the small number of patients in each group adds variance to the results, affecting their reliability. The inclusion criteria between these control groups differed between studies, which could add bias to their results. Some studies established their control groups by purely randomizing patients, while others controlled based on the same surgeon in a similar time period.<sup>10,14,15,17,26</sup> Some studies attempted to reduce bias by controlling for already proposed risk factors from previous observational studies. For example, Yamada et al.<sup>27</sup> controlled patients according to matching spinal levels of the PSSEH cases, as multiple studies have suggested that multilevel spinal surgery increases the incidence of PSSEH.<sup>12,14,15,17,21</sup>

Additionally, the low incidence rate of PSSEH leads to large heterogeneity between studies due to 183 the individuality of cases. Hypertension, in the demographics table of most studies, is often poorly 184 185 defined or not defined at all. In these studies, authors did not focus on blood pressure as a primary outcome and have charted patients that are diagnosed with hypertension but not elaborated on their 186 present condition or treatment status. Therefore, subdividing these patients into more detailed 187 groups is essential when considering their overall cardiovascular risk. Treated patients have 188 significantly lower cardiovascular risk, and combining these patients with untreated ones will lead 189 to inconsistency in results. Fujiwara et al.<sup>19</sup> has the most comprehensive analysis, dividing 190 hypertensive patients into four defined groups, including well- and poorly treated hypertension. 191 Saitta et al.<sup>2</sup> separates patients into uncomplicated and complicated hypertension but does not give 192 193 criteria of these groups.

Furthermore, there is also inconsistency among studies at how they define PSSEH. All studies, in this review, identified PSSEH on MRI after developing neurological deficit in the postoperative period. Where they differ, is that most studies charted patients as suffering a PSSEH if the haematoma required surgical evacuation.<sup>2,5,10,13-15,17-23,25-27</sup> Soejima et al.<sup>24</sup> investigated a much lower cohort of patients (n=313) and found a disproportionately high incidence rate of 13.1% postoperative symptomatic spinal haematoma's because most patients did not require revision

surgery. Only 7/313 patients (2.24%) underwent surgical revision. Similarly, Fujita et al.'s<sup>12</sup> 200 inclusion criteria did not require patients to have surgical evacuation and found an incidence rate 201 of 3.0% from a cohort of 1007 patients. Since there is a large difference in incidence between 202 mildly and severely symptomatic patients, it would be useful having a study, focussing on 203 preoperative and perioperative blood pressure, which investigated patients who were symptomatic 204 205 but did not require revision surgery. This may give us a larger sample size to discuss if risk factors differ between the mild and severe haematomas. To our knowledge there has been no study 206 exploring hypertension or blood pressure in the context of mild PSSEH. 207

The compounding quality of evidence would be graded as low due to the retrospective nature of studies, overarching heterogenicity, inconsistency and the lack of precision related to the low number of PSSEH events.

#### 211 **Discussion:**

Only limited data was available regarding the odds ratios for PSSEH and perioperative hypertension in our search. The modestly increased risk of increased mean postoperative blood pressure documented in Wang et al.<sup>5</sup> and Yang et al.<sup>27</sup> are statistically significant although we cannot exclude the existence of confounders, being other risk factors influencing both the risk of hypertension and the risk of PSSEH, like a history of vascular disease. However, a signal clearly appeared allowing us to consider a possible association between hypertension as a risk factor for PSSEH.

The British Hypertension Society has established guidelines for blood pressure targets for elective surgery referrals.<sup>28</sup> According to these guidelines, patients with a blood pressure reading of less than 160/100mmHg in primary care should be able to undergo surgery. However, if no blood

pressure readings are taken in primary care, patients with a preoperative clinic blood pressure 222 reading of 180/110mmHg should be referred to the General Practitioner for further investigation. 223 Interestingly, the quoted blood pressure values, on this guideline, are much higher than the mean 224 preoperative blood pressures found in studies on postoperative symptomatic spinal epidural 225 haematoma (PSSEH) identified in this review.<sup>5,12,19,21</sup> Awareness that postoperative systolic blood 226 pressure above 140mmHg has observational evidence to suggest increased risk of PSSEH, despite 227 being below treatment threshold, is useful information for clinicians when reviewing patients 228 229 postoperatively.

230 Four studies in this review have provided a significant link between blood pressure rise at extubation and the incidence of PSSEH.<sup>5,19,25,26</sup> It is worth noting that Tsuge et al.<sup>25</sup> and Yamada 231 et al.<sup>26</sup> both use a method of "hypotensive anaesthesia" (defined as a mean intraoperative systolic 232 blood pressure of <100mmHg) when performing spinal surgery to mitigate blood loss and improve 233 surgical exposure. Yamada et al.<sup>26</sup> specifies that this is not performed in patients who have poorly 234 controlled hypertension or ischaemic disease but amounted to almost 90% of cases. Due to this 235 anaesthetic variance, their intraoperative blood pressure results will be subject to bias since some 236 patients will be subjected to different haemodynamic parameters. Despite this, Yamada et al's<sup>26</sup> 237 238 recommendation is to gradually return to normal blood pressure and wean from anaesthesia gently to prevent these blood pressure spikes. 239

The primary objective of this study is to focus on symptomatic haematoma, given its severity. Although postoperative asymptomatic haematoma is much more common, it is more challenging to study due to the prospective nature of the study and the need for regular MRI scans to radiologically assess the haematoma in all patients. Therefore, it would be helpful to conduct a study robustly investigating blood pressure as a potential risk factor to substantiate some of the evidence regarding PSSEH. A study by Modi et al.<sup>29</sup> found that preoperative hypertension was not
significant for increasing the risk of asymptomatic haematoma, while another study by Izeki et
al.<sup>30</sup> found hypertension to be significant for increasing postoperative asymptomatic subdural
bleeding. Investigating hypertension and admission blood pressure in a prospective observational
study regarding asymptomatic bleeding would help interpret blood pressure in the much rarer
PSSEH.

This study has limitations, mainly due to the nature and characteristics of the included studies. The evidence is of low grade, due to the aforementioned concerns, and therefore hard to draw concrete conclusions from. That being said, this study does have some significant strengths including our large patient cohort from a robust literature search. Included studies originated from 8 different countries across 3 continents. We included 110,403 patients in total with the mean patient number per study being 6,334. This wide cohort increases the generalisability of our findings since the studies are so diverse.

# 258 **Conclusion:**

Several studies have shown an association between preoperative hypertension and the development of postoperative symptomatic spinal epidural haematoma (PSSEH). However, due to the nature and the limitation of these studies, we can only conclude with a very low degree of certainty that uncontrolled and untreated hypertension is a risk factor for PSSEH.

To advance our understanding in the area, future research should stratify patients into categories of treated, poorly treated, and untreated hypertension. Additionally, observational studies on symptomatic, and asymptomatic, patients who do not require surgical evacuation of haematoma could provide valuable insight into potentially modifiable risk factors associated PSSEH.

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# **398** Figure Legends:

- Figure 1: Study flow diagram showing study selection process and the points at which studies were
- 400 eliminated.