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Uncertainty in Structural Interpretation: Lessons to be learnt

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1 Uncertainty in Structural Interpretation: lessons to be learnt

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9 Uncertainty, interpretation, structure, models

10

## 11 **Abstract**

12 Uncertainty in the interpretation of geological data is an inherent element of geology. Datasets  
13 from different sources: remotely sensed seismic imagery, field data and borehole data, are often  
14 combined and interpreted to create a geological model of the sub-surface. The data have limited  
15 resolution and spatial distribution that results in uncertainty in the interpretation of the data and  
16 in the subsequent geological model(s) created. Methods to determine the extent of  
17 interpretational uncertainty of a dataset, how to capture and express that uncertainty, and  
18 consideration of uncertainties in terms of risk have been investigated. Here I review the work  
19 that has taken place and discuss best practice in accounting for uncertainties in structural  
20 interpretation workflows. Barriers to best practice are reflected on, including the use of software  
21 packages for interpretation. Experimental evidence suggests that minimising interpretation error  
22 through the use of geological reasoning and rules can help decrease interpretation uncertainty;  
23 through identification of inadmissible interpretations and in highlighting areas of uncertainty.  
24 Understanding expert thought processes and reasoning, including the use of visuospatial skills,  
25 during interpretation may aid in the identification of uncertainties, and in the education of new  
26 geoscientists.

27

28

## 29 **1. Introduction – uncertainty in science**

30 Over the last decade uncertainty has become increasingly analysed. Scientific uncertainty is  
31 common vernacular within scientific studies and a familiar topic in popular science journalism  
32 (e.g. Uncertain Science... Uncertain World (Pollack, 2005), The Blind Spot: Science and the  
33 Crisis of Uncertainty (Byers, 2011)). Much of the media focus on scientific uncertainty has

34 concentrated on climate change, aided by political heavyweights taking up the fight against  
35 anthropogenically induced climate change sceptics, e.g. Gore (2006). The fact that uncertainty in  
36 science has become central to the climate change debate, has led to an increase in the profile of  
37 uncertainty in science more broadly (Figure 1). In Earth Science this growing interest in  
38 uncertainty is exemplified by recent conferences e.g. *Capturing uncertainty in geomodels: best  
39 practices and pitfalls* Geological Society of London conference, December 2013, and text books  
40 on the topic e.g. *Modelling Uncertainty in the Earth Sciences* (Caers, 2011). In some sectors of  
41 the discipline the interest is driven by economics, as Earth resources are explored for and  
42 produced in increasingly challenging and expensive environments. In other areas the desire to  
43 predict future responses of environmental systems to present day actions is the driver,  
44 particularly for waste storage, e.g. CO<sub>2</sub> and radioactive waste, and geothermal energy projects  
45 (e.g. Vasco et al., 2000; Sifuentes et al. 2009).

46 Geology is an inherently uncertain science. Uncertainty in geology has been recognised outwith  
47 the discipline by the philosopher and science historian Robert Frodeman. Frodeman (1995)  
48 recognises geology as a science in which: 1) Uncertainty is the norm rather than a special case,  
49 and 2) geological reasoning is seen as a 'unique' and desirable skill that will aid solutions to 21<sup>st</sup>  
50 century problems. This external recognition of geological uncertainty, coupled with the  
51 increasing acknowledgement of geological uncertainty within the discipline (as indicated by  
52 citation metrics, Figure 1); and the public and industrial desire to better understand uncertainties  
53 makes it timely to review current understanding of geological uncertainty. The discipline-  
54 underpinning skills of geological interpretation and reasoning, identified by Frodeman (1995) as  
55 unique and desirable, are important methodologies employed by geologists to enable analysis of  
56 data and the creation and testing of hypotheses within a large uncertainty space. Here I explore

57 recent research on geological uncertainty, interpretation and reasoning skills, with specific  
58 reference to structural geology. Many of the examples and references are given from a petroleum  
59 industry perspective, but are equally applicable to other industrial geology sectors such as  
60 mining, carbon capture and storage, and radioactive waste disposal.

61

### 62 *1.1 Uncertainty*

63 The term uncertainty, encompasses known errors or variability, as well as those that we are  
64 unable to predict or have no knowledge of (e.g. Donald Rumsfeld's "unknown, unknowns" – as  
65 made famous by his 2002 US, Department of Defence briefing (Rumsfeld, 2002)). It  
66 encompasses aleatoric uncertainty (these are known, or expected and are irreducible) as well as  
67 epistemic (those we could know in practice, and are reducible). Aleatoric uncertainty is most  
68 often described through the concept of rolling a dice and comes from the Latin *alea*, to roll a  
69 dice. The probability of rolling a six for each roll is 1 in 6 and, unless the dice is biased, and  
70 therefore the uncertainty cannot be reduced. In a geological context it may be thought of as the  
71 known uncertainty in a measurement, for example: the geological age of a fossil, or the precision  
72 of a radiometric date. Epistemic uncertainty is an uncertainty that may be reduced if more  
73 knowledge or data is obtained e.g. if more structural data is collected to characterise a fold. The  
74 word epistemic derived from the Greek *episteme*, knowledge. A useful overview of aleatory and  
75 epistemic uncertainty is given by Der Kiureghian and Ditlevsen (2007).

76

77 The range in possible uncertainties in any given subject area, or scenario, are many and complex.  
78 Taxonomic approaches have been proposed to classify uncertainties in environmental systems  
79 (e.g. Walker et al., 2003; Refsgaard et al., 2007). These papers (e.g. Refsgaard et al., 2007) offer

80 taxonomies and classifications of uncertainties as a method to identify, quantify and integrate  
81 different types of uncertainty within a system, with positive impacts for communication and  
82 management of the overall uncertainty. These papers also highlight the complexities in  
83 communicating uncertainties between disciplines, due to different terminology usage and  
84 conceptualisations of different ‘types’ of uncertainty (e.g. Janssen et al., 2005).

85  
86 For discipline-specific geological uncertainty there are potentially fewer categorisations, but the  
87 concept of multiple types and levels of interacting uncertainty should not be ignored. Figure 2  
88 shows a simple tree based classification of uncertainty; at the first branch uncertainty is divided  
89 into subjective and objective uncertainties. Walker et al. (2003) argues that explicitly separating  
90 subjective and objective uncertainty, through labelling, should aid the identification of  
91 uncertainties that we may potentially otherwise miss. In the example in figure 2 a seismic  
92 reflection image is used to represent the different types of uncertainty: e.g. subjectivity in fault  
93 placement, or existence; versus the objective (error bound) position of a seismic reflection  
94 amplitude. Within this simple taxonomy there are further levels and categorisations, but it serves  
95 as an example of how uncertainties may be broken down and considered in a geological context.

96

## 97 **2. Geological Uncertainty**

98 Traditionally geological uncertainties have been thought of in a ‘classical’ science context with a  
99 focus on objective uncertainty, such as the errors on a reading or measurement. Many of these  
100 types of geological errors, for example the error in reading a strike and dip measurement of a  
101 bedding surface in the field, are small compared to the natural variability in the data itself; and  
102 are sensibly ignored given a big enough sample (Bond et al., 2007a). Technological

103 improvements are constantly improving analytical precision in measurements of many natural  
104 phenomena to the extent that other assumptions or simplifications introduce more significant  
105 errors than the errors of the data measurements (Figure 3). This is particularly significant in  
106 geology where we extrapolate observations over significant distances and our uncertainty space  
107 is much greater than that constrained by data.

108

109 In terms of subjective uncertainties, geoscience has generally been quite poor in both  
110 acknowledging and providing methods to communicate these types of uncertainty. This would  
111 seem a negative statement, but working within a context of large subjective uncertainty is  
112 actually the core strength of a geologist, as recognised by Frodeman (1995); in that a geologist  
113 has a skill set that allows construction of an interpretation when faced with a high level of  
114 uncertainty. It also stems from the culture of the discipline, in which subjective uncertainty is an  
115 implicit element of the data collection and interpretation process. In geology decisions and  
116 hypotheses are made at each step in this process, creating an evolving ‘working model’. To some  
117 degree a culture of iterative hypothesis creation and testing starts in the first basic training  
118 programs of any degree program (see section 2.1 – the working model) and is a continuous  
119 feature for most professional geoscientists (e.g. undertaking seismic interpretation, or  
120 constructing geological models). But human biases, including anchoring to an initial model (see  
121 section 2.3 – cognitive biases) have the potential to limit this process.

122

### 123 *2.1 The Working Model*

124 Conceptual or mental models are used commonly, for example in the association of causal  
125 relationships such as the burning of fossil fuels and climate change (Sloman and Fernbach, 2011;

126 Newell et al., 2014). Mental models are based on prior experience and beliefs, and can change or  
127 be updated as more experience or knowledge is acquired. Such mental models and their  
128 evolution are fundamental to geological interpretation and are described here in the context of a  
129 working model. The working model is best exemplified by a geological mapping exercise in  
130 which a geology student makes observations, collects data (recorded in a notebook and/or on a  
131 map) and then decides where to walk next (i.e. what data they will next collect to inform their  
132 working model). The strategy taken will be informed by the task and the geology, but will likely,  
133 for a trained geoscientist, be a variation of the following: walk across strike to locate the next  
134 unit, continue this process to build a picture of the rock units in the area (lithologies, initial  
135 geometrical relationship, thickness etc. – create an initial cross section ‘the working model’),  
136 followed by mapping boundaries of these units away from the transect line (updating the cross-  
137 section and 3D geometrical understanding – revising the working model).

138  
139 Studies using GPS tracking technology have demonstrated the impact of geological training and  
140 experience on decisions made to complete a geological map in the field (e.g. Baker and Libarkin,  
141 2007; Riggs et al., 2009), these geological specific findings chime with earlier work on spatial  
142 choice in large scale environments (Gärling et al., 1997) and the use of analogues and experience  
143 to inform conceptual models (Bond et al., 2008; Newell et al., 2014). The more experienced  
144 geologist makes conscious predictable decisions about their field routing (sampling the geology  
145 to complete the map most efficiently), whilst those with less experience (mapping without a  
146 working model, or reasoning for their route choice) produce a chaotic route track.

147



148 Imagine trying to complete the geological boundaries on a map containing only outcrop  
149 information. The geologist can evoke rules and reasoning (e.g. V-ing into valleys) but without  
150 contour information many of these fall down; it is a bit like trying to complete an un-numbered  
151 dot-dot puzzle. Visuospatial skills to conceptualise how geological boundaries will interact with  
152 topography are a key skill for the geological mapper. In work by Hambrick et al. (2012)  
153 individuals with good visuospatial skills but little geological knowledge were shown to out-  
154 perform those with similar, low, levels of geological experience in a geological mapping  
155 exercise. These findings are echoed by Liben (2014) who used childrens' scores in a well-known  
156 test of space conception to demonstrate a link between these and spatial reasoning using a map.  
157 The employment of a working model, that relies on visuospatial reasoning skills, during data  
158 collection in the field allows the geologist to construct a sensible narrative for the data collected.  
159 This model will be refined as new data is collected, or even thrown away completely when the  
160 original working model falls-down or a more elegant solution appears; but it allows the geologist  
161 to build an interpretation. This methodology ensures the practiced geologist is never left with un-  
162 interpreted outcrop locations as their geological map at the end of the day (an unnumbered dot-  
163 dot puzzle). For an experienced field geologist even the simplest observations at an initial  
164 outcrop, such as the bedding-cleavage relationship, should allow a working cross section to be  
165 built (Figure 4a), with additional data collected along a transect enabling refinement of the  
166 predictions.

167

## 168 *2.2 Multiple working hypotheses and scientific culture*

169 Working models are generally non-unique and more than one working model or hypothesis can  
170 be run in parallel (Figure 4b), with models refined, or disposed of, as new data is collected. The

171 ability to work with multiple working hypotheses, has long been recognised as having a positive  
172 effect on interpretation, minimising the potential for the interpreter to favour an initial model  
173 (Chamberlin, 1965)<sup>1</sup>. In practice however this is rarely done; partly because the possibility of  
174 conceptual uncertainty (or multiple potential models) is rarely recognised, compounded by other  
175 psychological barriers to the employment of multiple models. Chamberlin himself recognised  
176 several issues in pursuing multiple working hypotheses: 1) the human brain has a limited  
177 capacity to deal with and express more than one model at a time, 2) a favour towards single  
178 model solutions, they are simpler to deal with and their uniqueness has an elegance, 3) the  
179 ‘danger of vacillation’, or preference for one model. Although, further studies have shown that  
180 vacillation, or uncertainty over which model to choose is uncommon with early anchoring to a  
181 single model being the norm (e.g. Rankey and Mitchell, 2003).

182  
183 Multiple conceptual models are not employed frequently in professional geoscience. One reason  
184 for this is scientific culture, which is dominated by the scientific publication process in which  
185 scientists generally advocate a single model or idea that is peer-reviewed. Promoting multiple  
186 possible solutions does not sit easily in this style of review system where advocating and  
187 defending a hypothesis is the norm. It also conforms to our psychological bias, as described by  
188 Chamberlin, to preferably converge on a single model or solution. Chamberlin was writing about  
189 what is now the established psychological field of cognitive bias, particularly with respect to  
190 judgement and decision making under uncertainty.

191

### 192 *2.3 Cognitive biases*

---

<sup>1</sup> Chamberlin was a geologist and his paper on multiple working hypothesis was originally published in 1896 in the Journal of Geology, which he founded.

193 The leading paper of Tversky and Khaneman (1974), and their other contributions in the area of  
194 cognitive bias, judgement and decision making under uncertainty (e.g. Tversky and Khaneman,  
195 1973; Khaneman et al., 1982)) was recognised by a Nobel prize for Khaneman in 2002. In their  
196 Science paper *Judgement Under Uncertainty: Heuristics and Biases* (Tversky and Khaneman,  
197 1974) they used a simple set of experiments to demonstrate for the first time the effect of  
198 *anchoring* on judgements. Development of these and other cognitive bias theories have since  
199 evolved, but application to, and assessment of, their impact on geological uncertainty and  
200 decision-making has been limited. Table 1. provides a summary of classic cognitive biases, that  
201 affect geologists undertaking interpretation of geological data.

202

203 Early work on cognitive bias in geology was undertaken by (Chadwick, 1975) who showed that  
204 geologists see what they think they should see in the rocks rather than what is actually there. He  
205 demonstrated that geologists see more antiforms than synforms and tend to recall fold cleavage  
206 fans with text book geometries rather than as they actually are. Discussion and identification of  
207 cognitive biases affecting geological interpretation are discussed by Baddley et al. (2004) in the  
208 introduction to the Geological Society Special Publication on Geological Prior Information  
209 (Curtis and Wood, 2004). This volume contains reference to geological uncertainty from a  
210 perspective of prior knowledge. Other geological papers that discuss the subject of cognitive bias  
211 and the implications for uncertainty and risk in geology from an oil industry perspective include  
212 Rankey and Mitchell (2003), Bond et al. (2007b, 2008; 2012), Polson and Curtis (2010),  
213 Rowbotham (2010) and a general overview by Curtis (2012). Key outcomes of the papers are  
214 discussed below.

215

216 Rankey and Mitchell (2003) undertook the first experiment to investigate the interpretations of  
217 multiple geologists to the same dataset. In their experiment six geoscientists interpreted seismic  
218 and well data for a carbonate reef system. The authors identified evidence of model uncertainty,  
219 particularly in net- gross predictions, and evidence of *anchoring* after the interpreters were  
220 provided with additional data part way through the experiment. Their experiment was followed  
221 by the work by Bond et al. (2007b) who published the first demonstration of geological  
222 conceptual uncertainty at a ‘whole’ geological model scale, with a significant number of  
223 interpreters. The work of Bond et al. (2007b) gathered interpretations to a single synthetic  
224 seismic dataset from 412 geoscientists. The participants evoked a range of structural and  
225 sedimentary styles returning interpretations spanning coral reefs and sequence stratigraphy  
226 through to extension and compression tectonic styles and salt or shale based tectonism. The  
227 synthetic seismic image had been created from a forward model so the authors were able to  
228 appraise the interpretations against the initial model, which was an inverted normal growth fault.  
229 Only 21% of the interpreters applied the ‘correct’ inversion concept to the model (Bond et al.,  
230 2007b), highlighting the potential conceptual uncertainty for a dataset and the potential risks in  
231 using single deterministic models.

232  
233 As well as showing the range of conceptual uncertainty to a single synthetic seismic image and  
234 evidence of availability bias, Bond et al. (2007b) showed evidence of interpreter desire to use  
235 *confirmation* bias through provision of an initial model to aid their interpretation. In the  
236 experiment the participant interpreters were stripped of their normal working practices: they had  
237 no regional context, including no regional seismic data, and no well data. The unannotated  
238 seismic image shown in figure 5b was all the knowledge the interpreters had. This proved a

239 challenge both in terms of data collection - people do not like to be taken out of their comfort  
240 zone, but also for the interpreters who did not have a contextual basis from which to start their  
241 interpretation. During the data collection process many participants asked “*Where in the World*  
242 *is it?*” and/or wrote on their interpretation ideas of locations e.g. “*Gulf of Mexico?*” (Bond et al.,  
243 2007b). The participants were not only trying to retain their normal working practice comfort  
244 zones, but were attempting to evoke their prior knowledge of an area to aid them in their  
245 interpretation. The use of prior knowledge in this way can impart elements of *confirmation* and  
246 *initial model* bias on the interpretation.

247  
248 If interpreters use geographical locations to inform interpretational style, you might expect this  
249 process to be reversible. i.e. an interpreter could complete an interpretation and then give an  
250 indication of the likely global location. At the Geological Society of London Tectonic Studies  
251 Group meeting in 2006, this theory was tested with a ‘Where in the World?’ poster. Participants  
252 interpreted the Bond et al. (2007b) seismic image (figure 5b) and then placed a sticky dot on a  
253 World map to indicate the approximate location of the seismic image globally (figure 5a). The  
254 numbers on the dots in figure 5b indicate the order starting at 1 in which the dots were placed on  
255 the map. There is some evidence of spatial clustering or *herding*, but the global spread is  
256 significant.

257  
258 The concept of *herding* is discussed in a geological context by Baddley et al. (2004), and  
259 demonstrated in the elicitation experiment of Polson and Curtis (2010). In the latter the authors  
260 tracked the decisions of experts as they made probability judgements on the existence of key  
261 features in a geological reservoir and discussed their own judgements with others, revising their

262 initial probabilities during the elicitation process. In many ways the concept of *herding* around  
263 an influential individual, is similar to a conceptual bandwagon to which scientists' anchor their  
264 ideas or opinions. In geology we may think about the concepts of listric faulting or inversion in  
265 the UK North Sea that dominated thinking in the 1980s and 1990s, or the change in  
266 understanding of salt tectonics through improved seismic imaging and new conceptual models  
267 (e.g. Jackson, 1995).

268  
269 The types of cognitive biases discussed: confirmation, initial model, herding, availability have a  
270 tendency to restrict or slow-down the progress of scientific discovery. Interpreters are safe in  
271 their interpretations favouring the accepted dogma over a new or radical idea. In essence  
272 application of existing models and hypotheses are a form of heuristic (or rules of thumb), as  
273 referred to by Tversky and Khaneman (1974). Heuristics allow us to make complex decisions  
274 quickly and play an important role in decision making in all aspects of life. Heuristics are often  
275 used when the brain is over-loaded with multiple complex pieces of information, or information  
276 that cannot be processed quickly enough. e.g. when assessing if we have enough time to cross a  
277 road before the next car comes. Heuristics can be used as a time saving device, allowing complex  
278 tasks to be completed efficiently, and can be an effective decision making tool, see Gigerenzer  
279 and Gaissmaier (2011) for a review of current research understanding of heuristic use.

280  
281 As Munier et al. (2003), Bond et al. (2008) and Rowbotham et al. (2010) suggest garnering  
282 multiple hypotheses or solutions can help explore the interpretational space for a model  
283 supporting the suggestion of Curtis (2012) that this may then lead to new ideas. As Thomas  
284 Khun describes in '*The structure of scientific revolutions*' (Khun, 1962), science generally

285 progresses in simple small sequential steps that build on existing research. Rarely are new  
286 concepts or ideas generated and when they do they are often a mistake (penicillin – discovered in  
287 a petri dish) or from the coming together of data or thoughts from other disciplines.

288

289 In summary, humans are very good at applying conceptual analogues to data, and if our  
290 analogues do not fit the data our brains will try and fit what is there to our concepts  
291 (preconceptions and notions). Simply we will try to find the best analogue from our knowledge  
292 base. This may sound unscientific but it is the basis for heuristics and the building of concepts,  
293 scientific knowledge and understanding. In geology, as in other areas, our analogue database  
294 works a lot of the time, but given the large uncertainty space in which geologists work there is  
295 scope to look beyond and make better predictions that span a broader range of possibilities. Both  
296 Rowbotham et al. (2010) and Curtis (2012) discuss the implications of uncertainty and  
297 subjectivity in interpretation highlighting the need to embrace this subjectivity. Curtis (2012)  
298 advocates that by striving to recognise and quantify uncertainties potential outcomes are  
299 maximised. Indeed Curtis (2012) suggests that recognizing subjectivity explicitly may lead to  
300 novel hypotheses. In recognizing subjective uncertainty, as long as we conform to the disciplines  
301 rule's, we have the potential to better recognise uncertainties and decrease risk in geological  
302 models.

303

#### 304 *2.4 Geological Reasoning and Rules*

305 Frodeman's (1995) paper entitled *Geological reasoning: geology as an interpretative and*  
306 *historical science* focused on the employment of reasoning and rules. This is not a process that is  
307 entirely unique to geology, but geological interpretation is heavily reliant on it. Some of the rules

308 employed by geologists are based on mathematical or topological/geometric rules e.g. how  
309 surfaces intersect, and the lines and patterns geological boundaries make at their intersection  
310 with topography, such as V's in valleys. Other physical rules include time, such as superposition  
311 and cross-cutting relationships (e.g. Hutton, 1788; Chiaruttini et al., 1998); conservation of  
312 volume, area and line length when thinking about balancing and restoring sections (Chamberlin,  
313 1910; Dhalstrom, 1969). These rules, or reasonings, are fundamental to a geologist's skill set,  
314 allowing creation of models that both honour data points, but that are also 'valid' geometrically  
315 and philosophically, conforming to the 'rules' of nature.

316

317 Working models and geological reasoning go hand-in-hand. The two examples in figure 4, both  
318 rely on geological knowledge and reasoning for model construction. Figure 4a, requires  
319 knowledge of cleavage-bedding relationships in folded strata to predict the presence of folds, and  
320 facing relationships to predict antiform or synform fold closure. The multiple hypothesis  
321 example in Figure 4b, requires knowledge of possible geological concepts (e.g. faulting and  
322 folding) that would allow the same strata to be seen at a lower level on one side of an escarpment  
323 than the other. Both the single 'working model' solution (figure 4a) and the multiple hypotheses  
324 (figure 4b), provide a basis for further exploration and testing of the model, to recognise the  
325 uncertainties in the solution (or solutions) that will be presented as the final model. Specific  
326 discussion on rules and reasoning to test models with reference to structural geology are covered  
327 in section 4.

328

### 329 **3. Structural Uncertainty**



330 A geological framework model represents the large-scale architecture of the sub-surface, and as  
331 such combines structural and stratigraphic information into an overall framework. It is  
332 essentially a 3D representation of the key geological geometries in a given rock volume. Here I  
333 consider structural uncertainty in the context of the creation of a geological framework model.  
334 There are of course other structural uncertainties, many at a finer scale, but focusing on the  
335 uncertainties in structural model creation also highlights the extent to which populating the large  
336 scale framework with other structural features (e.g. fractures) is confounded by uncertainties in  
337 the framework model. In the discussion of structural uncertainty that follows I focus on  
338 geological framework models created from sub-surface data mainly from a petroleum context,  
339 but the points made and associated discussion are equally applicable to other geological sectors  
340 e.g. mining.

341

### 342 *3.1 Data*

343 To create most 3D models of the geology of the subsurface, multiple datasets are used as a basis  
344 for the interpretation. These consist of reasonably constrained elements such as borehole data,  
345 and less constrained data such as seismic images. Data can also be collected in different  
346 geographical coordinate systems and some remotely sensed geophysical data (such as seismic  
347 imagery) has a time-based vertical scale, as compared to depth-based borehole data. Conversion  
348 of co-ordinate systems and time-depth relationships add, generally uncommunicated,  
349 uncertainties to stratigraphic horizon correlations between datasets.

350

351 There are inherent uncertainties in each data type, from the original data collection strategies, to  
352 the processing of the data collected. At each stage assumptions and simplifications are made,

353 documentation of these assumptions are generally not passed along the data collection-  
354 processing workflow to the interpreter who creates the geological framework model. For  
355 example, the processing of seismic data to create seismic images requires the geophysicist to  
356 stack the seismic data, and in doing so makes assumptions. For geological framework models  
357 created using seismic imagery, understanding the geophysical processing of seismic data can be  
358 critical to the seismic interpretation strategy. This is particularly the case for areas in which the  
359 dips of beds are steep, because reflection seismic imaging best images horizontal beds and is  
360 often processed on the basis that most beds will be horizontal to sub-horizontal and continuous.  
361 Zones of steeply-dipping beds tend to be in areas of structural complexity, therefore  
362 understanding the processing assumptions of the geophysicist when interpreting structures is  
363 critical. The example presented by Kostenko et al. (2008) of a single fold-thrust structure in the  
364 Niger Delta highlights the issue of interpreting seismic data in areas of steeply dipping beds.  
365 Kostenko et al. (2008) document the changes in conceptual model for the fold-thrust, the original  
366 model was based on data from a single well and seismic imagery. Data from an off-shoot drilled  
367 from the well changed the model and the predicted hydrocarbon reserves.

368

369 Each dataset used will have uncertainty, as will the methods by which they are integrated, so  
370 even with 'hard' data uncertainties exist before a model is constructed.

371

### 372 *3.2 Fault and horizon interpretation*

373 In creating a geological framework model often very little interpretation is actually completed in  
374 3D. The volume representation is created from 2D geological map and cross-section  
375 interpretations, based on surface mapping, correlation between boreholes, and seismic surveys.

376 This information is then used to create surfaces. e.g. top surfaces of formations and faults, which  
377 together form the 3D model. For structural geology the uncertainties in field data collection,  
378 seismic data, or borehole logs, are generally small compared with the interpretational space  
379 across which this 'hard' data is then extrapolated to create a 3D model. Various software  
380 programmes are available to fill the space between cross-sections to create surfaces within a  
381 volume, and a 3D model. The model is hence created from a mixture of subjective interpretation  
382 and mathematical interpolation (Tacher et al. 2006). 3D modelling software packages span a  
383 range from interpretation driven through to fully automated model construction techniques, see  
384 Jessell et al., (2014). Increasingly the availability of 3D seismic surveys; allows for pseudo-3D  
385 interpretation. Interpreters working with 3D seismic data often utilise a gridding system  
386 effectively allowing interpretation of a closely spaced 2D mesh, working between 2D and 3D  
387 visualisations.

388  
389 Seismic reflections around faults are perturbed by distributed damage associated with faulting  
390 (Sibson, 1977), making seismic imaging difficult (Iacopini and Butler, 2011). For all types of  
391 seismically imaged faults, tying the interpreted adjacent horizons to the fault, requires  
392 assumptions to be made by the interpreter. These assumptions may include: fault drag and/or  
393 rollover, multiple fault strands, over-turned beds etc. Each assumption will have implications for  
394 the final model, as the fault off-set will vary with each assumption. It is easiest to think about the  
395 implications in terms of an Allan, or fault cut-off, diagram (Allan, 1989) that depicts, horizon  
396 offsets across a fault. Figure 7 shows two alternative interpretation scenarios for a normal fault,  
397 and the associated Allan diagrams. If it is assumed that sand-sand juxtaposition across a fault  
398 could provide a fluid-flow pathway, the uncertainty in the interpretation results in very different

399 risks for across fault flow. Different modelling software packages, permit different methods for  
400 tying horizons to faults, many will simply project the line or horizon at the same dip angle onto  
401 the fault unless the interpreter manually defines the tie.

402

403 The use of software for seismic interpretation restricts the interpreter's view and workflow. The  
404 workflows inherent in the software do not allow for example easy transition between horizon and  
405 fault picking, which if interpreting on paper would perhaps be normal. In seismic interpretation  
406 software most interpreters will work with vertically exaggerated data. Understanding the true dip  
407 and geometry of faults in a vertically exaggerated workspace is not simple. Stewart (2011)  
408 provides evidence of the extent of vertically exaggerated seismic imagery in publications  
409 between 2006 and 2010, and plots the true dip of interpreted faults to illustrate the potential  
410 interpretation issues of working in a vertically exaggerated framework including mis-interpreting  
411 fault dip and geometry. In a further paper Stewart (2012) considers the implications of validating  
412 vertically exaggerated sections concluding that the aspect ratios of 1:1 are required for section  
413 validation by restoration.

414

415 Interpretation of fault geometries and linkages in 3D space add a further dimension of  
416 uncertainty to fault interpretation. Figure 8 shows two hypothetical sub-surface top horizon maps  
417 in which the interpretations of fault linkage provide very different pictures of the connectivity of  
418 the fault system, with impacts for reservoir connectivity, potential sediment distribution etc.

419 Because the horizon offsets at the linkage points may be below seismic resolution, it may not be  
420 possible to distinguish between linked and non-linked faults in a seismic image. These examples

421 of interpretational uncertainty in fault linkage allows for multiple concepts as well as positions  
422 for horizons or faults to be chosen. i.e. there is interpretational uncertainty.

423

### 424 *3.3 Uncertainties and Risks*

425 The terms uncertainty and risk are often expressed together, with uncertainty in a geological  
426 model creating a source of potential risk to the final user of that model. Determining risk requires  
427 an understanding of how a model will be used and how the uncertainties in that model will  
428 impact on the answers to questions asked of the model (e.g. will a fault seal?). Figure 9  
429 summarises some elements of structural uncertainty and their potential impact when 'risking' a  
430 structural interpretation and model. Note that some uncertainties do not matter if they do not  
431 impact on the question asked of the model.

432

433 Geological framework models are based on cumulative uncertainties, from the original data  
434 collection and processing through to final interpretation. Static geological framework models are  
435 often used to predict other properties, for example fracture attributes, from forward modelling  
436 strain, or mapping curvature (e.g. Fischer and Wilkerson, 2000; Hennings et al., 2000), or monte  
437 carlo simulations of fluid flow. This is often done without considering the uncertainties in the  
438 original model. Although, it is often time consuming to consider multiple models they can be  
439 used to help define the uncertainty space and allow predictions to be made based on a range of  
440 uncertainty. If these uncertainties are then translated into risk it is easier to determine what  
441 uncertainties cause the greatest risk for the decision maker, enabling improved decisions for new  
442 data acquisition strategies and focused understanding of remaining uncertainties.

443

#### 444 **4 Rules and Reasoning to test models.**

445 Rules and reasoning may be used to test or risk geological models. Such tests can highlight  
446 where interpretational uncertainty has resulted in the creation of a model that does not conform  
447 to geological reasoning, and hence is unlikely or high risk. Some key techniques for model  
448 testing and their efficacy are discussed for the testing of different structural features.

449

##### 450 *4.1 Balancing and restoration*

451 For much structural geology it is perhaps fair to say that “*it’s all about geometry*”. Indeed in  
452 creating a static structural model ‘that works’ geometry is of utmost importance. Understanding  
453 geometrical relationships in 2D and 3D is critical to achieving a valid model. Model validation in  
454 structural geology is based on the concepts of restoration and structural balance: see Groshong et  
455 al. (2012) for a recent review, and Butler (2013) for examples. These concepts evoke  
456 assumptions of preservation of line length and/or area (Bally, 1996). Essentially when a  
457 geological framework model or cross section is restored sequentially to show the original  
458 stratigraphic relationships there should be no gaps or overlaps of material and the restored  
459 section should balance, in terms of line length or area, or in the case of a 3D model volume.  
460 Validating a cross-section through restoration or forward modelling is one method to test if a  
461 model ‘works’ geometrically.

462

463 The assumption of volume preservation in balancing models is in the broadest sense valid. i.e. it  
464 provides a good initial test of model validity. The geomechanical properties of the rocks and  
465 their dynamic evolution are generally not considered, although many kinematic back-stripping  
466 and restoration software packages use algorithms to account for burial compaction. As

467 geomechanical rock properties are not taken into account the assumptions of line, area or volume  
468 balance must be applied with care. In some instances they may not be valid, i.e. Butler and Paton  
469 (2010), suggest that lateral compaction accounts for area balance mis-matches in the deep-water  
470 fold-thrust belt of the off shore Orange Basin. In this way structural balance can be used to  
471 evaluate the extent of other processes (e.g. strain or compaction) highlighting factors that may be  
472 of importance. See Woodward (2012) for a discussion on using balanced cross-sections to  
473 analyse interpretations. Judge and Allmendinger (2011) have taken the concept further and  
474 investigate methods to assess uncertainties in the balancing of cross-sections.

475

476 Geomechanical models have the potential to provide constraints on properties and improve  
477 understanding of, for example, fracture distributions in rock volumes, but also have their  
478 limitations and assumptions. Despite a vision that geomechanical models are on the brink of  
479 replacing geometrically-based kinematic models (Fletcher and Pollard, 1999), this has not yet  
480 happened. This is mainly because of the difficulties in creating geomechanical models and the  
481 high level of computing power required to run such models. Perhaps it is also because geometry  
482 is an important element of a framework model and provides a test that is relevant to the scale of  
483 the problem and the certainty of the data used to create the model.

484

#### 485 *4.2 Seismic stratigraphy and the concept of regional*

486 In the experimental work of Bond et al. (2007b) several rules could be applied to the seismic  
487 image dataset that would have provided the interpreter with clues of the overall structure. The  
488 first would be the use of seismic stratigraphy matching that allows seismically imaged horizons  
489 to be correlated across the image. In the paper exercise version of Bond et al. (2007b) this could

490 be easily achieved by bending the paper round on itself (figure 10), in software packages there  
491 are tools that allow the interpreter to 'grab' a selection and drag it around the screen for direct  
492 comparison of the 'seismic signature' with other parts of the seismic image. By correlating the  
493 horizons on either side of the deformed zone this allows the interpreter to define the pre-  
494 deformation level of the strata, and the 'regional' (Williams et al, 1989). In the Bond et al.  
495 (2007b) seismic image areas where strata is both below (implying extension) and above  
496 (implying compression) its corresponding regional can be identified (figure 10, dark green line).  
497 Applying the concept of regional allows easy identification of both extension and compression in  
498 the deformed region indicating that the structure must have inverted.

499

#### 500 *4.3 Fault Geometries and Damage*

501 To test normal fault interpretations other rules can be invoked such as displacement distance  
502 characteristics (Chapman and Williams, 1983), in which assumptions are made about the  
503 mechanics of faulting. These assumptions have been bench marked against outcrop studies (e.g.  
504 Peacock and Sanderson, 1991; Peacock, 1991), and in different lithologies (Kim and Sanderson,  
505 2005). A methodology for the use of normal fault displacement patterns to check interpretations  
506 of faults in 3D and their linkage has been outlined by Freeman et al. (1990) and employed by  
507 Needham et al. (1996) and others. Essentially this is an extension of an Allan diagram technique  
508 where fault cut-off patterns can be used to determine throw. For an isolated normal fault  
509 maximum displacement is expect in the centre of the fault (figure 11), for more complicated  
510 faults, with linked fault systems, displacement patterns will be more complex (figure 11). Further  
511 work in this area has evoked the use of empirical rules to determine strain in the wall rocks  
512 adjacent to faults from displacement offset patterns (Freeman et al. 2010). The idea being that a



513 combination of displacement patterns and realistic fault rock strains can aid in the interpretation  
514 of faults and help determine fault linkages in seismic datasets.

515

516 Displacement-distance characterisation of faults has focused almost purely on normal faults, with  
517 poorer constraint for strike-slip, and thrust faults (Kim & Sanderson, 2005). The bow and arrow  
518 rule of Elliot (1976), in which the strike length of a thrust fault is shown to have an  
519 approximately linear relationship with fault displacement is an exception, and provides a good  
520 benchmark for understanding thrust displacement length relationships for isolated thrust faults.  
521 Wilkerson (1992) suggests that the bow and arrow relationship is limited to individual, non-  
522 metamorphic thrust sheets, with a bulk shear angle of 35-40 degrees. Most fold-thrust belts are  
523 however more complex. A range of theoretical models: e.g. fault-bend fold (Suppe, 1983) and its  
524 variants; trishear (Erslev, 1991) allow fold-thrust belts to be forward modelled creating pseudo-  
525 realistic geometries (Jamieson, 1987) and predictions of strain (e.g. Allmendinger, 1998). But the  
526 complexities of fold-thrust structures observed in the field (e.g. Teixell and Koyi, 2003) and  
527 seismic imagery (Iacopini and Butler, 2011), and the mis-match between the existing conceptual  
528 models and actual data (Torvela and Bond, 2011) is great. Recent work by Cardozo and  
529 Brandenburg (2014) show how trishear based algorithms can be used to create some of the  
530 complex geometries seen in natural examples imaged by seismic data offshore Venezuela and in  
531 the Niger Delta, but not how to predict these geometries. Further research is needed to determine  
532 if displacements can be determined from thrust-fault lengths in fold-thrust belts.

533

534 Strike slip fault – geometries, also have complex patterns (Woodcock and Fisher, 1986) and  
535 although faults like the San Andreas, are well studied seismically (e.g. Huang and Turcotte,

536 1990). Predictive models of 3D geometries of strike slip faults based on field analogues are few;  
537 see Kim and Sanderson (2005) for a review of fault displacement-distance characteristics for  
538 strike-slip faults and Stirling et al. (1996) for a global overview of the characteristics of strike-  
539 slip faults.

540

541 Various authors have also attempted to correlate the spatial extent of off-fault damage to fault  
542 displacement (e.g. Beach et al., 1999; Shipton and Cowie, 2001; Shipton and Cowie, 2003;  
543 Childs et al. 2009). In a similar manner to displacement-distance characterisation fault damage  
544 studies have focused almost entirely on normal fault systems and have been dominated by a fault  
545 core-damage zone model (Caine et al., 1996), for high porosity sandstones; notably based on  
546 outcrop descriptions from the Navajo sandstone in Utah, although not exclusively. Well exposed  
547 sandstone outcrops provide a good opportunity to characterise field relationships, but these  
548 observations also come with a bias warning. Much of the data collected is for a single rock type  
549 and the observations made have been undertaken in the best exposed areas. Sampling bias is  
550 clearly a potential issue, and understanding of other systems, i.e. faults and damage in carbonates  
551 is more limited (Billi et al. 2003). Shipton et al. (2006) do summarise relationships in other rock  
552 types, and there are studies in other tectonic regimes, e.g. strike-slip (Kim et al. 2003), but  
553 analogue models are dominated by images of normal faults and damage zones in porous  
554 sandstones.

555

556 In summary, geometrical relationships can be used to test the geometric validity of cross-  
557 sections, or 3D models, through restoration and forward modelling. But the risking of 3D  
558 geological framework models of faulted and deformed systems through the systematic

559 application of relationships such as fault displacement-distance, or off-fault damage in tectonic  
560 settings other than in normal fault settings in porous sandstone are as yet untested.

561

## 562 **5 Quantification and Communication Strategies**

563 Methods and techniques for both quantifying and visualising uncertainties in structural models  
564 are limited, although a series of recent papers have focused on this topic. In the following  
565 sections published techniques for quantifying and visualising uncertainty in 2D and 3D  
566 geological interpretations are reviewed.

567

### 568 *5.1 Quantification of Uncertainty*

569 Uncertainties in structural models can be represented in 2D on cross-sections and maps, or in the  
570 form of probability distribution functions (PDFs). For 3D geological models work has focused  
571 on *probabilistic* methods (e.g. Tacher et al. 2006), *geological inversion* (Wellmann et al., 2010),  
572 and *geological ranges* based on likely values (e.g. Lindsay et al., 2012).

573

574 The *probabilistic* method of Tacher et al. (2006) is based on an initial best guess model and a  
575 variability model that is defined using observations and geological constraints. The variability of  
576 each surface in the model is then expressed as a probability, the result being a set of 3D  
577 probability fields for each rock type. The work of Wellmann et al. (2010) takes a different  
578 approach by utilising *geological inversion* in which probability distributions of data position and  
579 orientation, for simulated datasets are used to construct multiple model realisations. Their  
580 examples show that interaction of uncertainties is important, indicating that the uncertainty is not  
581 simply an aggregate of individual elements within the 3D model.

582  
583 Lindsay et al. (2012) investigate uncertainties in 3D geological models through application of  
584 *geological ranges*. Here, the focus is on orientation data (strike and dip), which is varied within a  
585 10 degree range to create multiple final models. The uncertainty is quantified as two values: L  
586 the number of possible stratigraphic units at a given point, and a P value that represents the  
587 percentage of models in the suite that have the same stratigraphic unit at a given point. The  
588 values can be used in combination or alone to assess model uncertainty. In a more poorly  
589 constrained and complex example Bistachi et al. (2006) use geological rules to extend surface  
590 dip data to depth in a folded and faulted area of the Alps in combination with a predictor of  
591 certainty with depth. Bistachi et al. (2006) highlight the difference in predicting geological  
592 structure at depth from surface data, as compared to interpolating between data points within a  
593 domain; acknowledging that a deterministic or conceptual model must be made to extrapolate  
594 away from data points, and arguing that statistical based analysis of uncertainties for extrapolated  
595 surfaces (e.g. Tacher et al. 2006) do not make sense. Instead Bistachi et al. (2006) predict  
596 angular uncertainties for features with associated predicted uncertainties at depth. The authors  
597 acknowledge that for some geological bodies (e.g. pluton topology) systematic predictions are  
598 not possible and resort to creating a buffer zone to represent the potential uncertainty space based  
599 on knowledge and common-sense.

600  
601 *Expert elicitation* has also been used to quantify uncertainty. Polson and Curtis (2010) used  
602 expert elicitation to predict the probability of the existence of key elements in a structural model  
603 (e.g. a fault). In contrast the approach of Lark et al. (2013), utilising expert elicitation, is based  
604 on a statistical assessment of the placement of surfaces in a 3D geological framework model by

605 five geological interpreters, who were each given a geological map, digital elevation model and a  
606 unique set of boreholes (the authors withheld some boreholes to use as validation tests). In more  
607 recent work (Lark et al., 2014) the confidence of experts in surface positions within a 3D model  
608 has also been investigated, combining a statistical analysis of local geological variability around  
609 boreholes with structured elicitation of expert opinion on the reliability of the data inputs. This  
610 work is similar to that of Lelliott et al. (2009) who used an initial analysis of the uncertainties in  
611 input parameters to a model to create a quality index for each borehole. The reliability of  
612 borehole elevations, data density and geological complexity were assessed and a single index for  
613 the quality of information for the borehole was created. A learning algorithm was then used to  
614 predict expert score at validation sites.

615

616 Although not widely applied the use of *Bayesian* based methodologies in combination with  
617 expert elicitation of opinion (e.g. Polson and Curtis, 2010; Lark et al. 2013) is being used to  
618 provide constraints on geological models. A good review of Bayesian methods for geological  
619 systems is given by Wood and Curtis (2004). In a further paper Curtis and Wood (2004)  
620 demonstrate the use of the theory to utilise expert opinion to create a relative likelihood for 9  
621 possible 3D geological models.

622

### 623 *5.2 The Final Model - Communication and Visualisation of Uncertainty*

624 In areas of scientific uncertainty or complexity scientists evoke models to predict and to simplify  
625 the scenario of interest. A sub-surface geological model is a geologist's summary of the data and  
626 their interpretation of it, a hypothesis of the sub-surface reality. Models are fundamental to  
627 geological sciences, whether they are the creation of a geological map or cross section, or a

628 model for thermal re-equilibration; they form a central facet of all geological disciplines. Some  
629 models (e.g. those based on experimental data) are easier to determine errors for, whilst others  
630 e.g. the location, or even existence, of a fault in an un-sampled sub-surface, are often essentially  
631 unconstrained. A model defines the extent of our interpretation and provides a method for both  
632 data collection and hypothesis testing (section 2.1) and a communication tool for hypotheses. But  
633 models often hide the extent and nature of uncertainties in the data, the interpretation process and  
634 the final model itself.

635  
636 The methods by which geologists' present and communicate models, or geological  
637 interpretations, are influenced by scientific culture (see section 2.2), but also by the methods with  
638 which scientists communicate more broadly. These are controlled by the medium used for  
639 communication: paper maps and sections, power point presentations, and software: including full  
640 3D visualisations of geological models. In all these examples the communication is of the final  
641 model – a 'best' interpretation based on the data available. Few of these methods labour on, or  
642 often show, the data on which a models is built. Traditional working practices, making fair copy  
643 maps from field slips which show only the final interpretation and not the data on which it is  
644 based (figure 6), have set a precedent for how ideas and models are communicated. Does the  
645 end-user of geological maps fully appreciate that a geological map is a model based on data? Not  
646 data itself. Perhaps now as much as anytime, the use of computer realisations of 3D models  
647 project a sense of reality to the virtual reality of the model and hence a perception of certainty.

648  
649 Various methods have been trialled to represent and communicate uncertainty, creating  
650 visualisations from 1D-4D. Uncertainties in 2D cross-sections have been visualised using

651 overlaid interpretations with the frequency of overlap highlighted by a colour-scale (Bond et al.  
652 2015). Lark et al. (2013) represent uncertainty in cross-sections created from borehole data, as a  
653 series of statistical plots. On geological field maps the certainty of geological boundary  
654 placement is represented by different line strokes, and data (outcrop) is often marked by a green  
655 outline and/or heavier shading, however these annotations are generally lost in fair copy maps in  
656 which the final model is simply represented (see figure 6).

657  
658 In the 3D work of Wellmann et al. (2010), uncertainties can be visualised in different dimensions  
659 – 1D borehole histograms, and 2D and 3D colour maps of surfaces. For 3D models Wellmann  
660 and Regenauer-Lieb (2012) develop uncertainty colour mapping of 3D models using *entropy* to  
661 define the uncertainty at points on the model. The entropy is defined for each point across the  
662 model as a value that represents the predictability of the location of the surface at that point. This  
663 workflow allows new data to be added to the model and for entropy to be recalculated allowing  
664 direct comparison of individual points from models created from different datasets, and for  
665 overall model certainty to be quantified, as well as visualised. In comparison to creating a colour  
666 map based on probabilistic determinations the use of entropy allows multiple elements of the  
667 model to be integrated into a single entropy value. Utilising similar methods the work of Lark et  
668 al. (2014) combines expert confidence in data with predictions of local variability to create  
669 colour maps. The work of Lindsay et al. (2012) also allows for coloured voxels and maps to be  
670 generated using single attributes, or a combination.

671  
672 Other suggestions to represent uncertainty include: fuzziness (Bond et al. 2007a), focus and  
673 texture change (MacEachren, 1992, 1994) and pseudo-colouring (Hagen et al. 1992). Pang et al.

674 (1997) provide a detailed overview of uncertainty representation in images including the use of  
675 sound and animation, and MacEachren et al. (2005) and Bond et al. (2007a) give overviews of  
676 uncertainty visualisation strategies for geological data. However, in almost all 2D and 3D cases  
677 uncertainty in geological models is represented by colour mapping (or grey scales) (figure 12),  
678 and in 1D graphically by plots and histograms.

679

680 Colour maps work well for quantitative uncertainties e.g. statistically generated, but the use of  
681 colour maps needs to be carefully considered when used to represent combined statistical and  
682 value-based judgements, or in representing a situation where a surface in a model may be  
683 thought to be in one of two positions, but not in the middle. i.e. consideration needs to be given  
684 for how non-linear uncertainties are aggregated, to create a single representative value, or how  
685 expert judgement is combined with probabilistic determinations.

686

687 Visualisation is one method by which uncertainties may be communicated, and standard 3D  
688 geological modelling software packages now allow the user to assign certainty parameters to  
689 their interpretations, that can be visualised on screen. Cognitive and Earth scientists are also  
690 beginning to consider how Earth science visualisation is best made (Rapp and Uttal, 2006).

691 Other software packages have initiated the use of text notes that allow the interpreter to provide  
692 some reasoning for their choice of interpretation. In an interpretation context the reasoning  
693 behind interpretation choice generally remains in the interpreters head and methods to elicit this  
694 information so it can be utilised for uncertainty analysis and quantification is important. Future  
695 strategies may include pod-cast or video diary style animated context that highlight the



696 uncertainties and choice points associated with interpretations. Rather, than the current strategies  
697 of flying-through perfectly rendered models that give no impression of uncertainty in the model.

698

## 699 **6. Improving Interpretations**

### 700 *6.1 Interpretation and Model Building Workflows*

701 Few studies examine interpretation and model building workflows. This may in part be because  
702 in industrial geology the constraints are not purely about science, but include commercial  
703 pressures such as time and economics. There are perhaps even fewer published examples from  
704 which we can learn where geological uncertainty and model building workflows have resulted in  
705 commercial failure In academia there have been few studies that analyse geological  
706 interpretations or interpretational practice with large numbers of participants. There does  
707 however seem to be recognition that 1) ideas generation and the creation and use of multiple  
708 models early in an interpretation workflow might help mitigate risk and improve interpretations,  
709 and 2) that rules and reasoning can be employed to distinguish between valid and invalid models  
710 and risk different model concepts.

711

712 In industry geological interpretation and model building is undertaken using software packages,  
713 the user interface of which constrains the interpreter (e.g. Stewart, 2011 and 2012). The work of  
714 Bond et al. (2008) suggests that throwing away the constraints of software when creating and  
715 exploring model space can be an important and easy mechanism that facilitates creation of  
716 multiple interpretations and ideas for the same dataset. The idea of creative space is further  
717 investigated by Bond et al. (2015) who investigate the difference in interpretation of the same  
718 synthetic model but with the interpreter either given seismic image data or borehole data; they

719 conclude that the white space between boreholes may create a freedom in interpretation space  
720 that seismic imagery does not. Staged release of data may therefore allow more conceptual  
721 models to be generated initially. Bond et al. (2008) suggest that bringing together geologists with  
722 different backgrounds and with recent exposure to different concepts, in combination with the  
723 removal of context (e.g. regional and tectonic) will also result in greater number of ideas  
724 generated. In industry both software and workflows (e.g. Leahy and Skorstad, 2013) are now  
725 being generated to include multiple deterministic models higher into workflow practices, to  
726 better capture the uncertainty space and risks associated with using single deterministic models  
727  
728 Rowbotham et al. (2010) support the use of multiple conceptual models that may then be put into  
729 geostatistical simulations for stochastic modelling to risk outcomes. The models are generated  
730 based on an understanding of which factors will influence the final outcome when the model is  
731 queried (e.g. sand connectivity). With this approach knowledge of both the final use of the model  
732 and what factors will influence the decision making (the outcome) need to be known. Identifying  
733 the main areas of risk will require some initial interpretation to take place and the issues of  
734 interpreter bias (e.g. anchoring to an initial model) may affect the alternative models generated.  
735 In reality geological models are often created for more than one purpose and are used to satisfy a  
736 range of queries, for example as the exploration or development of a hydrocarbon field  
737 progresses a model will be used to address different questions.

738

739 The use of geological reasoning through validation techniques is highlighted by (Bond et al.  
740 2007b, 2012, and Macrae, 2013) as an important tool to distinguish interpretations that work

741 geometrically and through time. In the work by Macrae (2013) a causal link was established  
742 between thoughts about the geological evolution of an interpretation and better interpretation.  
743 Geometric and kinematic validity is an important consideration for any structural model and can  
744 be used to test models. Further, rules such as displacement-distance relationships for faults  
745 maybe used in some settings to risk valid models prior to stochastic modelling.

746

### 747 *6.2 Educating Improvement*

748 The first geological models created by geology students are normally in the form of cross-  
749 sections based on data from a geological map. As a student's university career progresses they  
750 will be exposed to different data types: field data, borehole data and seismic imagery from which  
751 they will construct geological models. Initially most of this work will be completed on paper  
752 without the constraints, or imposed workflows of software. But what makes an individual good  
753 at interpretation and geological model building? And perhaps more critically can we teach better  
754 interpretation?

755

756 Interdisciplinary work crossing the fields of psychology, cognition and education is robust in its  
757 findings that those with better visuospatial reasoning skills make better geological maps, and 3D  
758 interpretations (e.g. Humphreys et al., 1993; Wai et al., 2009, Lubinski, 2010; Hambrick et al.,  
759 2012; Liben, 2014), see also Manduca and Mogk (2006) and Kastens and Manduca (2012) and  
760 references therein for papers summarising current understanding in this field. There is still debate  
761 however, as to the extent that 3D thinking skills can be taught and nurtured (Libarkin and Brick,  
762 2002; Black, 2005; Titus and Horseman, 2009; Uttal et al., 2013). Although (Liben and Titus,

763 2012) argue that teaching spatial reasoning skills and practical use of these skills should improve  
764 geological interpretation performance.

765

766 A question-posed by Bond et al. (2011) asked how differently experienced cohorts, coped with  
767 there not being a right answer. In a seismic interpretation exercise the student cohorts with least  
768 experience appeared less confident and able to deal with the uncertainty than professionals. Bond  
769 et al. (2011) suggested that this maybe the result of current teaching and learning practices in  
770 which students are taught and examined in the context of ‘correct’ answers. Teaching methods  
771 that challenge the idea of a “correct answer” may therefore be important to develop confidence  
772 and skills to deal with geological uncertainty.

773

774 Finally, the work of Bond et al. (2012) and Macrae (2013) suggests that teaching specific skills  
775 and reasoning techniques can improve interpretation outcome significantly, more so than  
776 education or experience; although there is statistical evidence that those with higher education  
777 experience do better than those without. The latter presumably relating to the number of  
778 conceptual models and hence knowledge available to an individual to apply to an interpretation  
779 problem. So providing multiple analogues or concepts for students to employ in combination  
780 with clear testing and reasoning rules will provide both knowledge and skills to improve  
781 interpretation ability.

782

## 783 **7 Discussion and Conclusions**

784 In recent years interest in uncertainty in geological models, as well as in science generally has  
785 increased. This interest in geological model uncertainty is in-part driven by economics, as Earth

786 resources are exploited from increasingly challenging environments. But public awareness of  
787 global environmental issues particularly those linking energy demand with environmental system  
788 impacts is also a key driver, particularly for waste storage, e.g. CO<sub>2</sub> and radioactive waste,  
789 geothermal energy projects; and currently unconventional resource extraction. On the back of  
790 this efforts have been made to determine the range of uncertainty in geological interpretation  
791 (Bond et al. 2007b) and to investigate the role of bias (Rankey and Mitchell, 2003, Polson and  
792 Curtis (2010). These examples have mainly focused on the interpretation of seismic imagery and  
793 associated data, with a focus on petroleum industry problems. The petroleum industry, and  
794 petroleum focused academic endeavours, maybe leading the way in geological uncertainty  
795 analysis but there are mining focused examples (e.g. Lindsay et al., 2012), and many of the  
796 petroleum focused examples given here are equally applicable to gravity or magnetic data which  
797 is used more commonly in the minerals sector.

798

799 How uncertainties are communicated in geological models is important from a social and  
800 economic perspective, as the public are increasingly empowered to take part in decision-making  
801 processes involving scientific understanding. Engaging the public and communicating Earth  
802 Science, so that the risks and geological uncertainties are clearly presented, is crucial for  
803 effective policies, regulation and public acceptance (if appropriate) to be achieved. In an  
804 industrial setting the same is true for communicating uncertainties transparently in geological  
805 models with an economic or social impact, so that sites may be compared and effective decisions  
806 made.

807

808 Companies that design software for interpretation and geological model construction have taken  
809 on the uncertainty challenge, designing workflows that allow uncertainty judgements to be  
810 included during interpretation (e.g. Leahy and Skorstad, 2013), and in model creation (e.g.  
811 Wellman et al., 2010). These workflows are constrained by the computing environment. At the  
812 interpretation stage this generally ‘forces’ workers to interpret in a vertically exaggerated (e.g.  
813 Stewart, 2011 and 2012) and limited spatial view. Interpretations are constrained by mouse  
814 precision and the interpretation process by the user interface (i.e. difficulties in swapping  
815 between fault and horizon interpretation). Interpretation on paper is a much freer process and has  
816 been advocated as a method to generate multiple initial interpretations to a dataset (Bond et al.  
817 2008). Technological advances (i.e. the increased power of touch screens) may allow a digital  
818 interpretation process to be similar to a paper based exercise, providing the interpreter with much  
819 greater freedom and fewer visual constraints.

820

821 Statistically significant analysis of seismic interpretation experiments on paper (Bond et al. 2012  
822 and Macrae, 2013) suggest that interpretation ability, and hence by inference geological model  
823 creation, can be improved by training and the use of prompts to ensure the interpreter uses  
824 specific validation techniques, such as considering geological evolution. The classic structural  
825 geology techniques of section balancing and forward modelling, which formally consider  
826 geological evolution, and other reasoning techniques (e.g. displacement-distance characteristics)  
827 are key to check interpretational validity, and hence inform understanding of structural  
828 uncertainties.

829

830 Efforts have been made by several authors to use stochastic methods to create multiple 3D  
831 geological models to better represent the structural uncertainties in model creation. In some case  
832 these have been combined with subjective or conceptual models created by experts. The contrast  
833 between the two approaches is significant and the two are not easily married, but efforts to  
834 combine subjectivity into more quantitative approaches may provide fruitful, especially in  
835 combining expert elicitation with Bayesian theory (e.g. Curtis and Wood, 2004). These  
836 techniques may also be employed to consider specific risks associated with uncertainties in  
837 structural models. Methods to visualise these quantitative and subjective approaches have  
838 generally focused on colour mapping, with more novel ideas suggested, but not adopted.

839  
840 The barriers to improve interpretation and model creation include the constraints of time and  
841 computing systems, but also in the way in which science is conducted, through the generation  
842 and advocacy of a single model, and in the way education focuses on 'correct' answers rather  
843 than solutions to problems. Educational studies suggest that 3D visualisation and thinking can be  
844 improved through education and exposure to 3D problems. Understanding how experts tackle  
845 problems with uncertainty at an early stage in geoscientists' career may help the development of  
846 practices and ideas. As Curtis (2012) suggests embracing subjectivity in interpretation and the  
847 uncertainties structural models that this subjectivity creates, provides an opportunity to improve  
848 our understanding of the sub-surface.

849

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1127

## 1128 **Figure Captions**

1129 Figure 1.

1130 Paper publications track the change in usage of the words uncertainty and climate change in  
1131 academic literature. The graph shows the number of articles published per year (left-hand  
1132 vertical axis) between 1995-2013 (horizontal axis) that contain the word 'Climate Change'  
1133 (purple line) and 'Uncertainty' (blue line) in the article title, abstract or key words, using a  
1134 Scopus Search ([www.scopus.com](http://www.scopus.com) August 2014). The green line tracks the increase in use of the  
1135 word 'Uncertainty' in the article title, abstract or key words in the Journal Earth and Planetary  
1136 Science, as the number of articles published per year (left-hand vertical axis). The orange line  
1137 represents the use of the word 'Uncertainty' in the article title, abstract or key words in the  
1138 Journal of Structural Geology as a percentage of the papers published each year (right-hand  
1139 vertical axis), although relatively few articles are published and the line shows significant  
1140 fluctuations the overall trend is of an increase in usage.

1141

1142 Figure 2.

1143 A tree diagram used to define different classifications of uncertainty, after Tannert et al. (2007).  
1144 Uncertainty is divided into objective and subjective components. Objective uncertainties may be  
1145 dealt with through the use of error bounds. For example in the seismic image a chosen velocity  
1146 model could be used for depth conversion, an assessment of the possible range of velocity  
1147 models could be employed to assigned errors or uncertainties to the depth of different horizons.  
1148 Decisions can be made in a quasi-rational knowledge guided way and the uncertainties assessed.  
1149 For subjective uncertainty the different interpretations of the seismic image represent the  
1150 subjective uncertainty in geological interpretation – creating error bounds is not so easy when  
1151 different conceptual models are applied in an interpretation e.g. for fault placement and  
1152 connectivity. Subjective uncertainties may be through of as intuition or rule guided. Seismic  
1153 imagery from the Virtual Seismic Atlas ([www.seismicatlas.org](http://www.seismicatlas.org)), interpretations by Rob Butler  
1154 and Clare Bond.

1155 Figure 3.

1156 Graph of fault throw versus distance along a single fault from three studies (from Bond et al.,  
1157 2007a). Krantz (1988) measured the height of a single bedding plane on either side of a fault  
1158 scarp using traditional mapping techniques, Cowie and Shipton (1998) measured the heights of  
1159 three bedding planes using a total station, and Maerten et al. (2001) used a differential GPS.  
1160 Predicting fault throw with distance along the fault requires projection of the data collected on  
1161 either side of the fault scarp onto a predicted fault surface – assumptions are made about both the  
1162 fault plane and how the beds interact with the fault (i.e. straight along dip projection, assumes no  
1163 bend-in of bedding planes towards the fault). A simple estimate of the errors involved in

1164 propagating bedding readings along dip, suggests that the errors in assumptions are greater than  
1165 the improvements in technology.

1166

1167 Figure 4.

1168 Making observations and predictions to construct and test model(s). A) Simple field  
1169 observations, such as bedding cleavage relationships can be used to make predictions of what  
1170 you would expect to see walking across strike. The scale and geometry of the folds, and other  
1171 complications (e.g. faults) can be determined by further observation, but a reasonable prediction  
1172 of the overall structural model can be made from the initial observation. B) A set of initial data  
1173 or observations allows multiple models to be created that fit the data, there is not a unique  
1174 solution.

1175

1176 Figure 5.

1177 An un-interpreted seismic image and global 'guesstimates' of its location. A) World Map with  
1178 sequentially numbered orange dots representing the global locations where individual  
1179 geoscientists thought the seismic image (B) had come from.

1180 There is an associated movie \*.mov file of Figure 5A.

1181

1182 Figure 6.

1183 Geological maps from the 1880s. A) Field slip of the Inchnadamph area showing the location of



1184 outcrop observations in stream sections. B) the final fair copy map which does not distinguish  
1185 outcrop observations from interpretation. Reproduced with the permission of the British  
1186 Geological Survey ©NERC. All rights Reserved.

1187 Figure 7.

1188 Hypothetical models for fault off-sets and their associated Allan diagrams, with implications for  
1189 fluid flow in an off-set sandstone layers. A) Hypothetical model one is a single fault strand (red)  
1190 that offsets the sandstone layer (orange) such that in the centre of the fault the sandstone layer is  
1191 not juxtaposed on either side of the fault (as shown in the associated Allan diagram). If the fault  
1192 forms a seal due to shale smear fluids will not be able to flow in the sandstone across the fault.  
1193 B) The second model is of distributed faulting, and the sandstone remains juxtaposed across the  
1194 fault zone despite the cumulative offset across the zone being the same as for the single fault in  
1195 A). There will therefore be no shale smear and assuming no other fault seal processes fluids will  
1196 be able to flow in the sandstone layer across the fault.

1197

1198 Figure 8.

1199 Fault maps showing different interpretations of the same dataset. Assuming a limited amount of  
1200 either field or seismic data faults may be linked differently by different interpreters. A) The fault  
1201 interpretation map shows a high degree of linkage between fault strands. B) Fault interpretation  
1202 of the same dataset shows minimal fault linkage. The different implications of the two  
1203 interpretations A) and B) for sediment distribution, and reservoir connectivity in a hydrocarbon  
1204 context would be significant.

1205

1206 Figure 9.

1207 Schematic block diagram of a hypothetical geology. The text in black highlights areas of  
1208 potential structural uncertainty in the geological model, text in red gives indications of risks that  
1209 may be associated with these uncertainties. Note that several uncertainties will impact, or  
1210 contribute to a range of risks.

1211

1212 Figure 10.

1213 Seismic image used for the interpretation exercise in Bond et al. (2007b). The dark green line  
1214 denotes the 'regional', the top of the pre-deformation strata at the level it was at before  
1215 deformation. Two seismically imaged horizons, at the top of the pre-deformation stratigraphy are  
1216 outlined in pink and blue at different positions across the image. Note that they can be observed  
1217 to be both below and above the dark green regional line. This indicates that there has been both  
1218 extension and compression within the central area of the seismic image. Outline boxes A and B  
1219 at either end of the seismic image and their expanded interpretations to the right, show how  
1220 seismic stratigraphy matching outside the deformation zone can be used to interpret a seismic  
1221 stratigraphy and identify the regional. In the paper version the stratigraphy at either side of the  
1222 section could be matched by folding the paper round on itself.

1223

1224 Figure 11.

1225 Displacement – distance graph for hypothetical faults. The turquoise line depicts fault

1226 displacement with distance along a fault for a single isolated normal fault. The orange line  
 1227 depicts a scenario in which two initially isolated normal faults have linked to create a single  
 1228 fault. Fault lengths can be used to predict displacements, but linked faults do not conform to  
 1229 simple central displacement maxima. In-turn fault displacement patterns can be used to make  
 1230 predictions of fault linkage and fault linkage timing.

1231

1232 Figure 12.

1233 Examples of commonly used methods to highlight uncertainty on 3D surfaces. A) colour  
 1234 mapping, B) contouring, C) combined colour map and contour. This is a hypothetical example,  
 1235 but the colours and contours could represent for example: uncertainty in horizon top height or  
 1236 facies type. The scale bar is common to all models. Examples created in Move software.

1237

1238

## 1239 **Tables**

1240

<i>Bias</i>	<i>Description</i>
Availability bias	The decision, model, or interpretation that is most readily 'available' in the mind or most dominant (e.g. as seen in textbooks).
Confirmation bias	To seek out opinions and facts that support 'confirm' ones own beliefs or hypotheses.
Anchoring bias	Failure to adjust from experts' beliefs, dominant approaches or initial

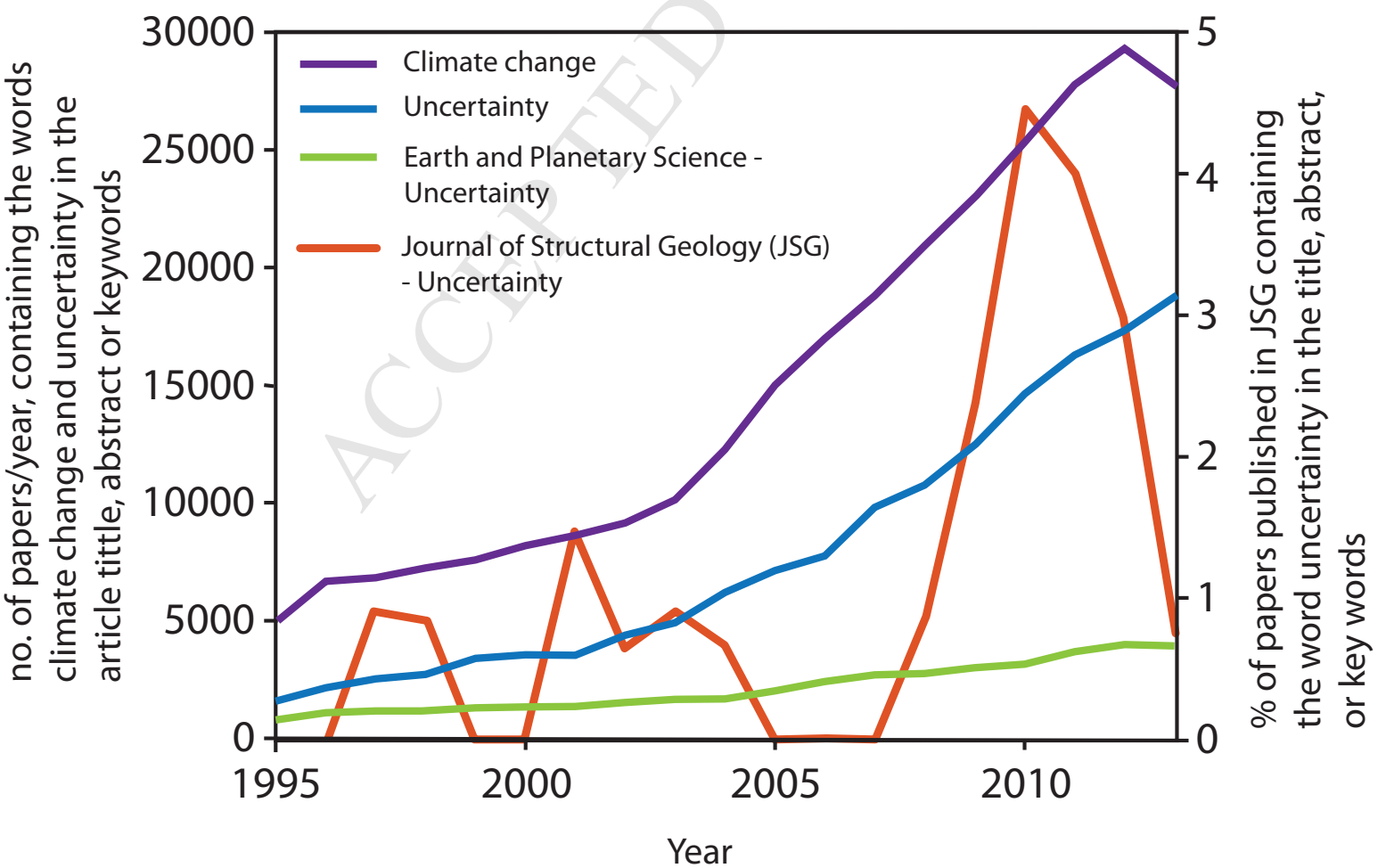
	ideas. For example having come up with an idea of the geology to then change this view.
Optimistic bias	It won't happen to me mentality, or there is definitely oil in this prospect, where the interpretation puts a positive spin on the desired outcome.
Positive outcome bias	Wanting things to turn out for the best, the interpretation maximizes positive outcomes (similar to optimistic bias).
Hypothesis testing bias	Starting with an initial hypothesis and trying to fit the data to it (similar to confirmation bias).

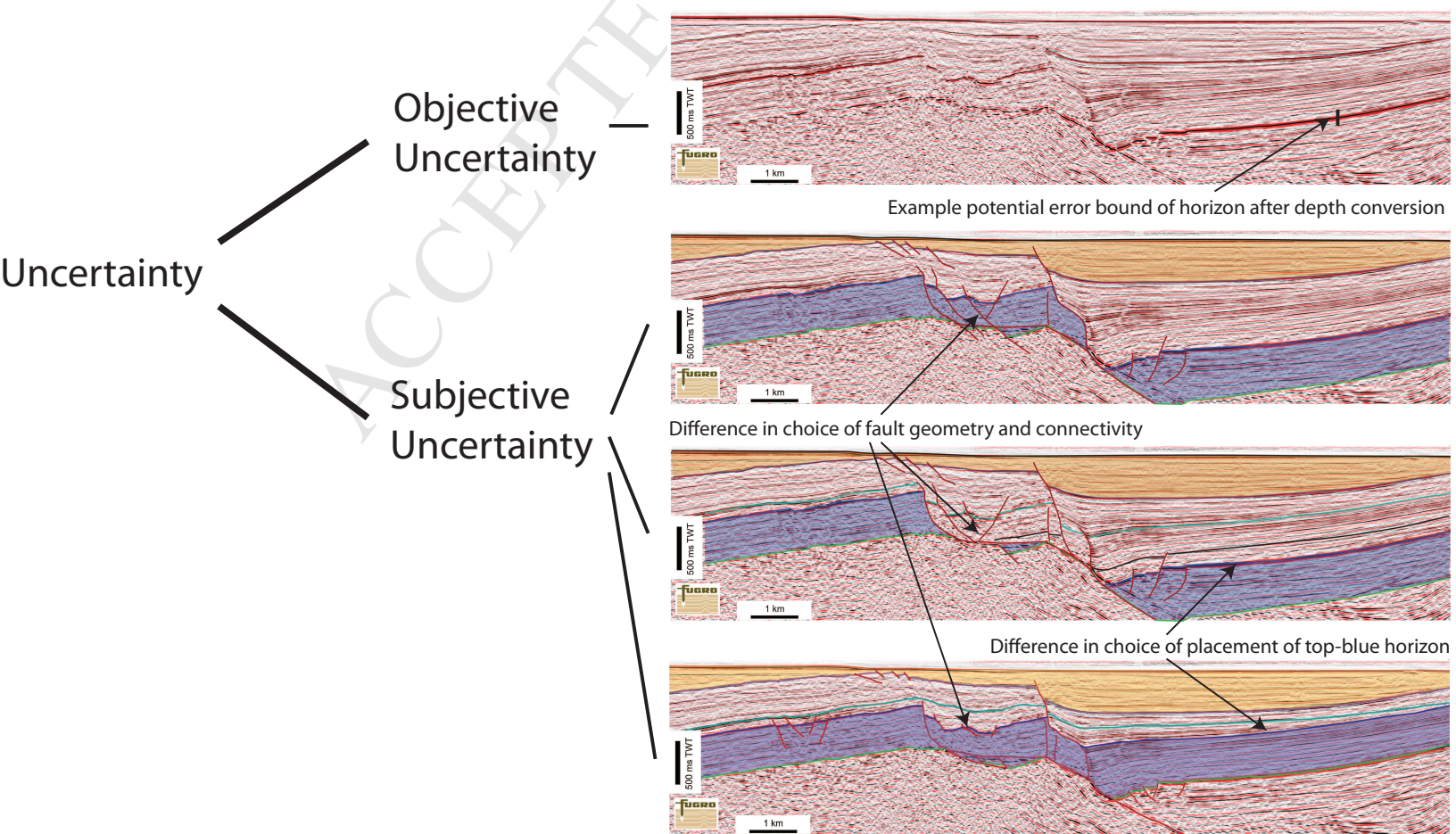
1241

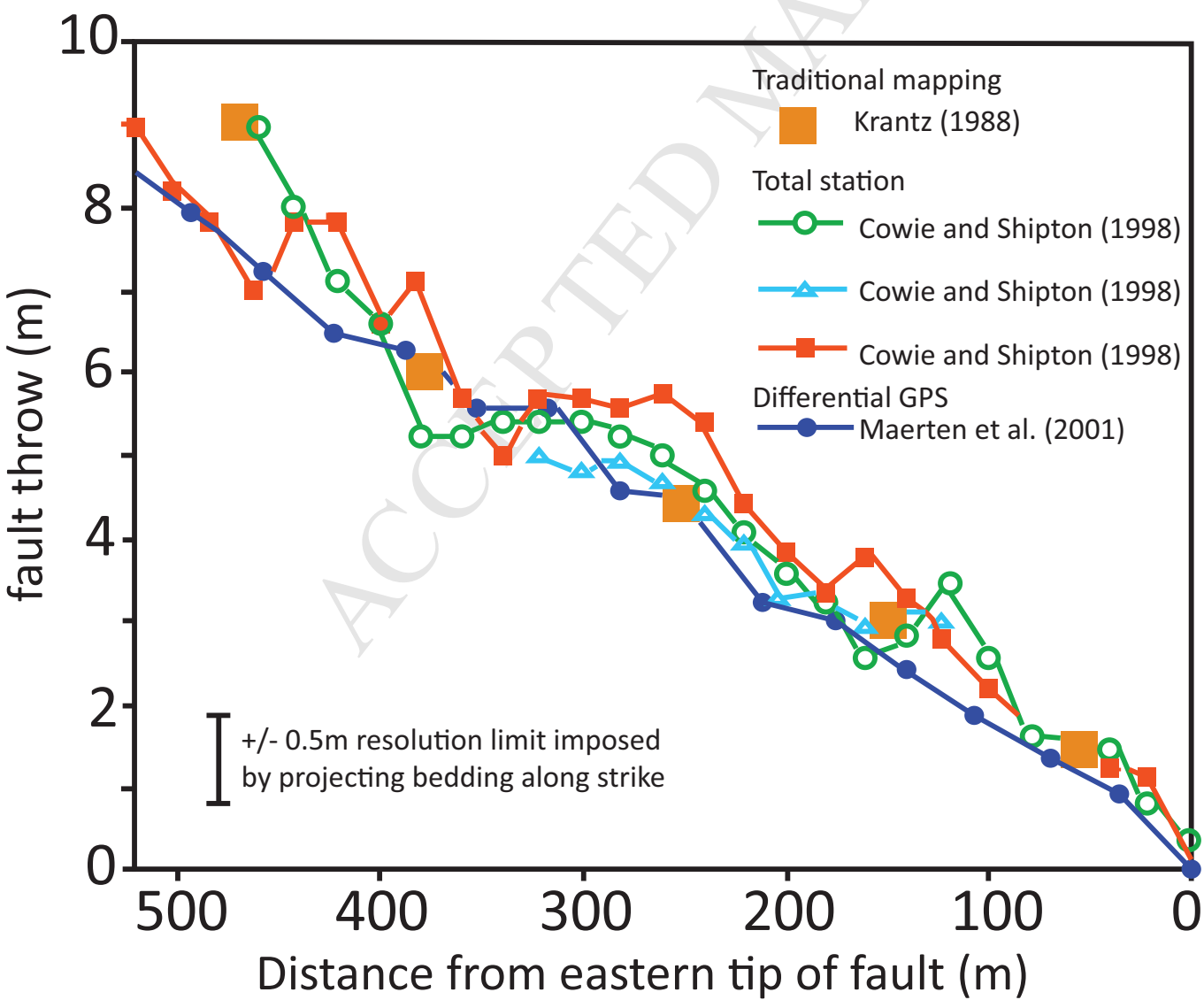
1242 Table 1. A summary of common biases described in cognitive science literature that may affect

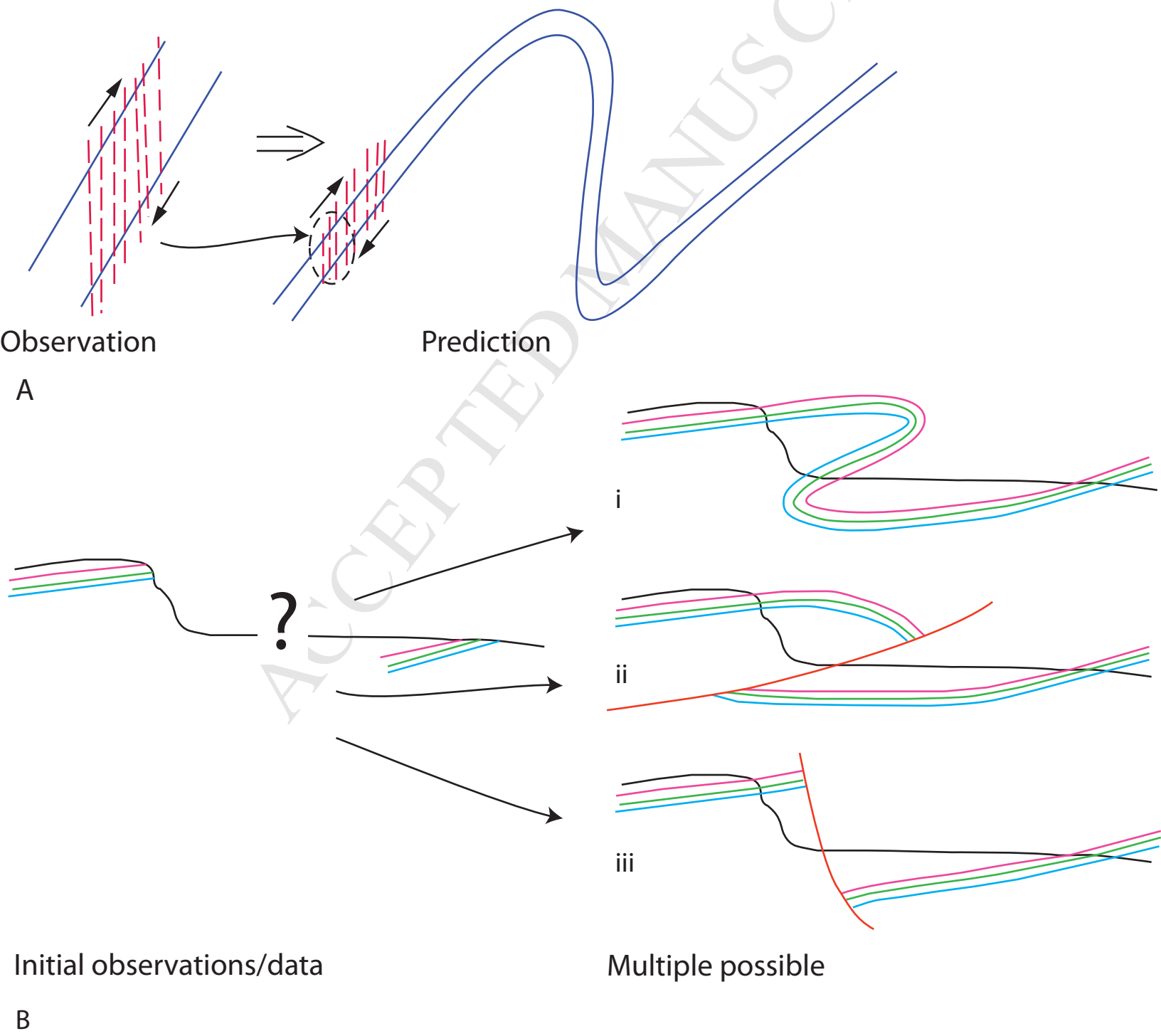
1243 the interpretation of geological data. The descriptions are based on those given in Krueger and

1244 Funder (2004), after Bond et al., (2008)











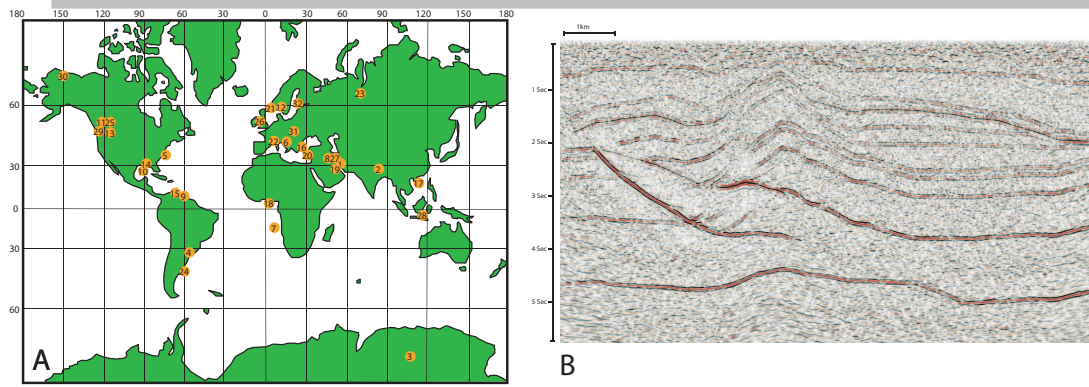
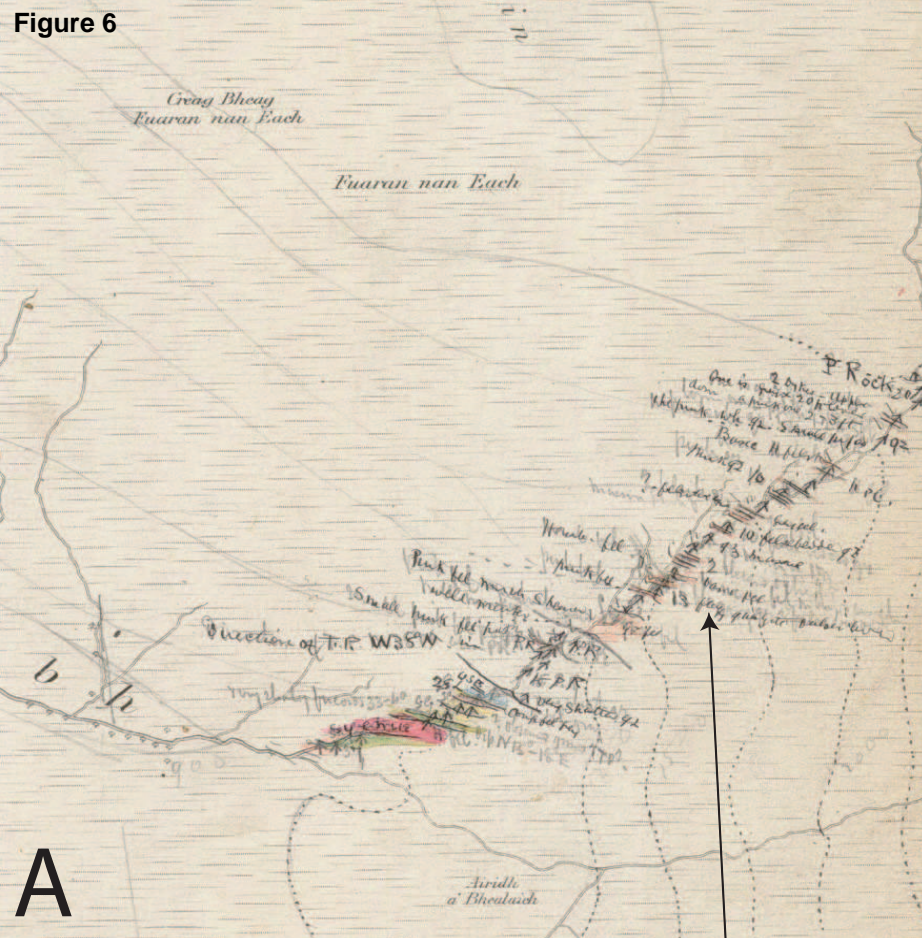
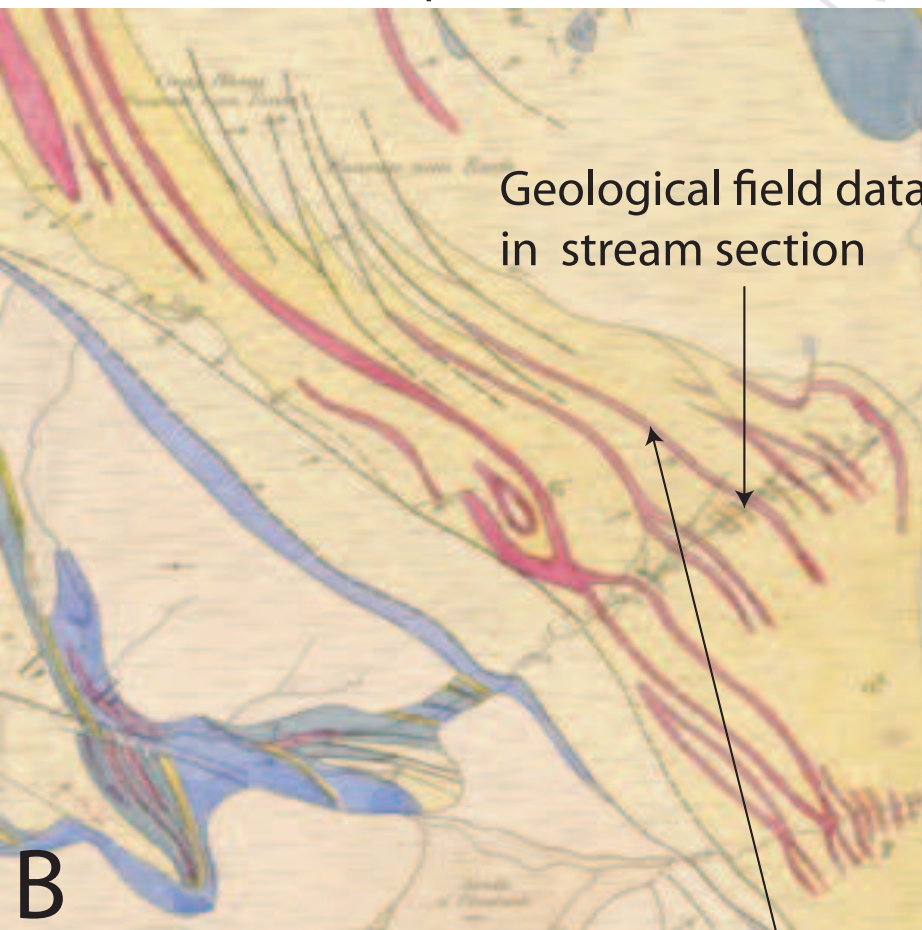


Figure 6



A

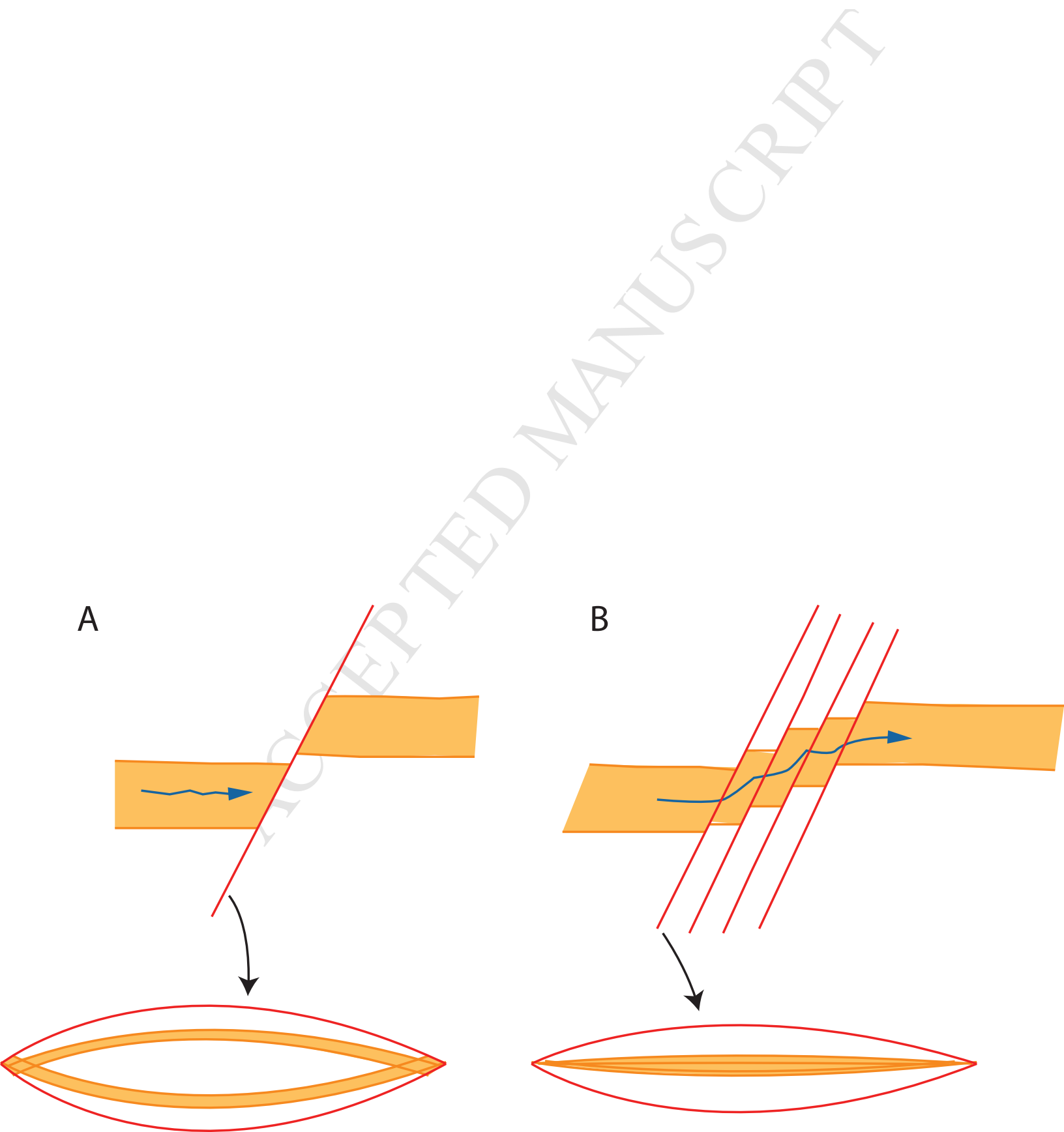
Geological field data  
acquired in stream sections

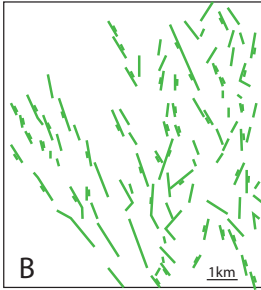
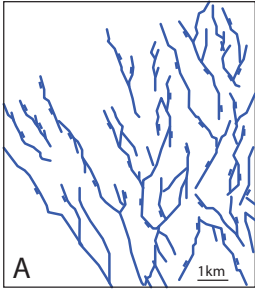


B

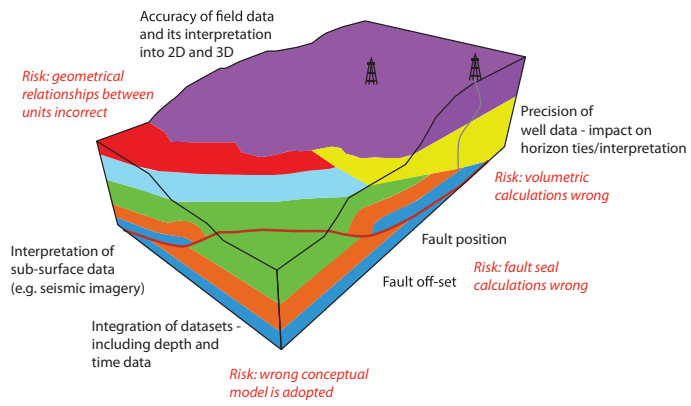
Geological field data  
in stream section

Extrapolation and interpretation of the  
field data away from the stream.

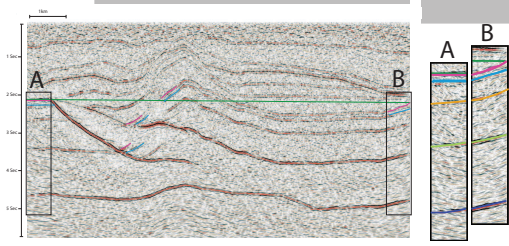




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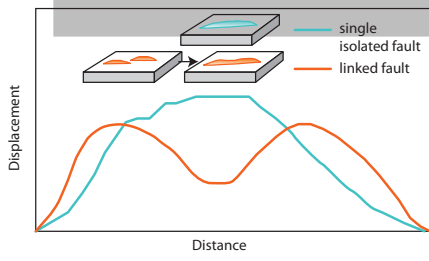


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Figure 11



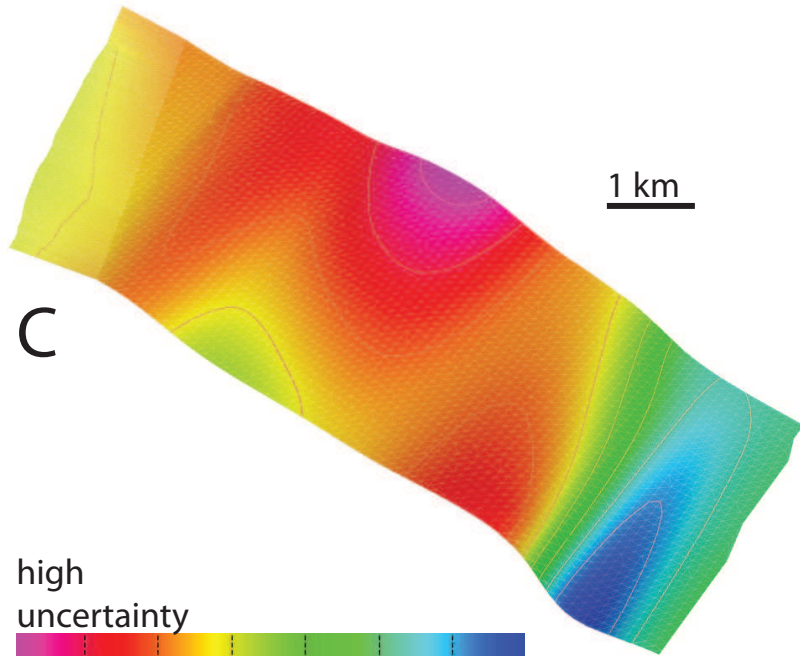
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Figure 12

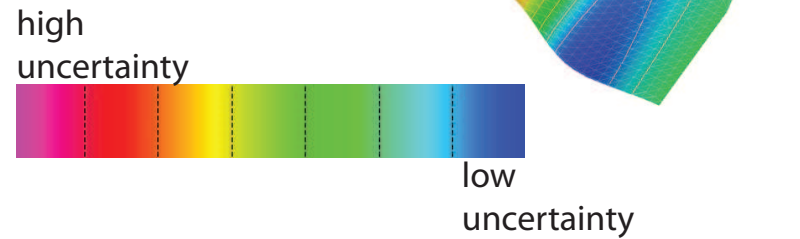
A



B



C



high uncertainty



low uncertainty