- 1 Plausible self-reported dietary intakes in a residential facility are not
- 2 necessarily reliable.
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- 19 Running Head: Misreporting of energy intakes
- 20 Conflicts of interest
- 21 The authors declare no conflict of interest.

22 Abstract 23 **BACKGROUND/OBJECTIVES** Comparing reported energy intakes to estimated energy 24 requirements as multiples of Basal Metabolic Rate (Ein:BMR) is an established method of 25 identifying implausible food intake records. The present study aimed to examine the validity 26 of self-reported food intakes believed to be plausible. 27 **SUBJECTS/METHODS** One hundred and eighty men and women were provided with all 28 food and beverages for two consecutive days in a residential laboratory setting. Subjects self-29 reported their food and beverage intakes using the weighed food diary method (WDR). 30 Investigators covertly measured subjects' actual consumption over the same period. Subjects 31 also reported intakes over four consecutive days at home. Basal Metabolic Rate was 32 measured by indirect calorimetry. **RESULTS** Average reported energy intakes were significantly lower than actual intakes 33 34 (11.2MJ/d and 11.8MJ/d respectively, p < 0.001). Two-thirds (121) of the WDR were under-35 reported to varying degrees. Only five of these were considered as implausible using an 36 Ein:BMR cut-off value of 1.03 x BMR. Under-reporting of food and beverage intakes, as 37 measured by the difference between reported and actual intake, was evident at all levels of 38 Ein;BMR. Reported energy intakes were lower still (10.2MJ/d) while subjects were at home. 39 **CONCLUSION** Under-recording of self-reported food intake records was extensive but very 40 few under-reported food intake records were identified as implausible using energy intake to 41 BMR ratios. Under-recording was evident at all levels of energy intake. 42

Key words: Misreporting, under-reporting, under-eating, dietary intake.

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Introduction

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46 Almost all dietary intake measurements are self-reported and therefore prone to distortion by 47 subjects inaccurately or incompletely reporting their diets. Based on the fundamental 48 principles of energy balance, it is now generally accepted that under-reporting, or 49 misreporting, of food intake is widespread if not universal (1-4). Many subjects in diet 50 surveys misreport their food intake to an extent that may distort the relationships between diet 51 and health that inform policy decisions (e.g. 5). 52 Aside from technical errors in the recording of food intake (such as inadequate descriptions 53 of foods, accuracy of food weighing scales or unclear instructions given to participants) the 54 misreporting of food intake can be considered as having two components. Firstly participants 55 choose different foods from normal when they are aware that their diet is being monitored 56 (the observation effect), either to report a diet that they believe is closer to the recommended, 57 or for convenience as some foods and meals are simply easier to weigh than others (6). 58 Secondly participants fail to record all of the foods that they actually consume, either 59 consciously or accidently (the recording effect) (7). 60 It is usually assumed that misreporting of food intake is biased more towards reporting lower 61 rather than higher energy intakes, and there is indirect evidence to support this when reported 62 energy intakes are compared against energy expenditure (see below). More direct evidence is 63 harder to find, although weight stable obese subjects under-reported energy intake from a 64 buffet meal, whereas normal weight subjects accurately reported intakes (8). Perhaps 65 unsurprisingly weight restored patients with anorexia nervosa over-reported energy intake in 66 the same study (8). When a measure of true food intake is available for periods of a day or 67 two-weeks, group average reported energy intakes are lower than actual energy intakes, and 68 most individuals under-report their food intake, although a small number do over-report (7, 69 9). 70 When direct observation of food intake is not possible, the most widely used methods of 71 identifying individuals suspected of reporting low energy intakes are the Goldberg cut-off 72 method and by comparison to energy expenditure through indirect calorimetry, viz. the 73 doubly labelled water technique (10). A major problem is that these methods rely on 74 measures of energy expenditure that are imperfect, or estimates of energy expenditure based 75 on assumptions about levels of physical activity and regression equations to estimate BMR.

The Goldberg cut-off method aims, statistically, to identify subjects who report implausibly 77 low energy intake to BMR ratios either for long-term habitual intake (cut-off 1) or for intake 78 over the measurement period (cut-off 2)(11). The cut-off values are based on the assumption 79 that subjects are in energy balance and that their energy requirements have been accurately 80 estimated, with the cut-off value being adjusted to account for the uncertainty in estimating 81 BMR and the duration of the diet recording period. Predicting BMR can be difficult, 82 especially so in the obese as common regression methods over-estimate BMR at higher body 83 weights (12), and assumptions have to be made about physical activity levels. Subsequent 84 recommendations were made that measurements or estimates of individual physical activity 85 levels are necessary (13). In addition higher reported intakes may also be affected by 86 misreporting and higher intakes are more likely in those with higher activity levels. 87 Furthermore, most subjects tend to be in a negative energy balance (as estimated by change in 88 body weight) when completing food intake records (14-16). 89 The use of energy intake to BMR ratios to identify low reported energy intakes has also been 90 compared to that of using biomarkers of diet, the most widely used being the ratio of urinary 91 to dietary nitrogen (17), a method that is also not without its limitations. Thus, self-reported 92 dietary intakes have been compared to indirect measures of energy expenditure (as an indirect 93 measure of energy intake assuming energy balance), or indirect measures of protein intake (as 94 an indirect measure of energy intake). What is missing, and is needed, is a direct, precise and 95 concurrent measure of food intake against which to test the ability of energy intake to BMR 96 ratios to identify misreporting of energy intake. 97 We have previously developed and validated a "gold standard" method of measuring food 98 intake, and used it to quantify the nature and extent of misreporting of diet in the laboratory, 99 albeit under conditions that were as close to free-living as practicable i.e. in a residential 100 metabolic facility (7). This gold standard method, the laboratory weight intake (LWI) allows 101 a direct comparison to be made between food intake reported by subjects and their actual 102 food intake. The current study aimed to assess the validity of self-reported weighed food 103 intake records completed in a laboratory setting and that would be considered plausible using 104 the criterion of reported energy intake to BMR ratios. Effects of recording food intake under 105 more usual, real world, diet survey conditions on reported energy intake were then 106 considered.

107 **Methods and Materials** 108 Study design 109 **Subjects** 110 One hundred and eighty, apparently healthy, men and women were recruited from the 111 Aberdeen area. The real purpose of the study was, necessarily, not explained to the subjects 112 and they were informed that it was to examine the relationships between diet and lifestyle. 113 Recruitment and ethics 114 Prospective volunteers were invited to the Human Nutrition Unit (HNU) of the Rowett 115 Institute of Nutrition and Health where all procedures involved in the study and any 116 discomfort or risk they may have posed were explained. This study was conducted according 117 to the guidelines laid down in the Declaration of Helsinki and all procedures involving human 118 subjects were approved by the Joint Ethical Committee of the Grampian Health Board and 119 the University of Aberdeen. Written informed consent was obtained from all subjects. 120 **Protocol** 121 Each subject was studied using a randomized cross over design for two consecutive days in 122 the laboratory and four consecutive days in their natural environment (home). The days of the 123 week on which subjects completed the measurements was balanced between the laboratory 124 and home phases. 125 Laboratory phase 126 Subjects each completed a one-day maintenance period (at home) during which they were 127 provided with a fixed diet designed to maintain energy balance estimated at 1.6 and 1.5 times 128 BMR for men and women respectively. For the following two days (one week-day and one 129 weekend-day, randomized to Friday and Saturday or Sunday and Monday) subjects were 130 resident at the HNU where food intake was covertly quantified on a daily basis by the 131 investigators, using a previously described LWI method (7). 132 Each subject was provided with an individual larder and had ad libitum access to variety of 133 familiar foods, and food intake was continuously and covertly monitored and quantified by

134 trained staff. All food items were weighed by research staff before they were placed into each 135 subject's personal larder. Each subject received bottled water for drinking, and their own 136 individual kettle, in order to allow an estimate of water consumption. Full verbal and written 137 instructions regarding the kitchens including information on waste and packaging, and use of 138 kettles and water were given to each subject. Subjects were instructed not to throw any waste 139 away including packaging of food items and peelings, and uneaten food from meals. Every 140 kitchen contained a special bin for all waste and packaging, with all waste items being 141 individually wrapped. Subjects were also instructed not to wash any dishes. 142 An investigator entered the kitchen each morning before the subject awoke and re-weighed 143 all food items, any leftovers including peelings, and packaging found in the subjects' 144 individual bins. This enabled accurate estimates of 24-hour food intake to be calculated. 145 Subjects were unaware of this procedure, and this constituted the "gold standard" against 146 which to compare self-reported food intakes (7). Each subject was asked to weigh and record 147 all food items eaten and all fluids drunk using the Weighed Dietary Record (WDR) method 148 (18). Full written and verbal information on how to carry this out was given at the beginning 149 of the study. 150 Thus, the LWI was investigator measured actual food intake, and the WDR was food intake 151 as self-reported by subjects during the residential stay in the laboratory (WDR-L). The 152 difference between the LWI and WDR-L was therefore the reporting effect (the difference 153 between what subjects actually ate and reported eating). The observation effect (change in 154 diet) as a result of the subject being aware that their diet was being evaluated was not 155 measured and would have been an additional source of misreporting error (7). 156 Home phase 157 The five-day home study consisted of a one-day maintenance, with the same maintenance 158 diet as during the laboratory phase, and two weekdays and two weekend days (randomized to 159 Thursday – Sunday or Saturday – Tuesday) within the subject's natural environment (i.e. at 160 home). Subjects were asked to complete a four-day WDR (WDR-H) on days two-five using 161 the same procedure as during the laboratory phase.

163 Dietary analysis 164 Dietary data for all methods were analysed using Diet 5 (Robert Gordon University, 165 Aberdeen), a computerized version of McCance and Widdowson composition of foods, and 166 supplements (19). 167 **Basal Metabolic Rate** 168 Respiratory exchange was measured using a ventilated hood system (Deltatrac II, MBM-200, 169 Datex Instrumentarium Corporation, Helsiniki) under standardized conditions in subjects who 170 were fasted for 12 hours from the previous night. BMR was calculated using the equations of 171 Elia and Livesy (20). 172 **Anthropometry** 173 Body weight was measured on each morning of the study when subjects were resident in the 174 HNU, and at the start and end of the WDR-H period when subjects were at home, using a digital platform scale (DIGI DS-410 CMS Weighing Equipment, London) to the nearest 0.01 175 176 kg after voiding and before eating. Subjects were weighed in dressing gowns of a known 177 weight and body weight was then corrected back to nude. 178 Height was measured to the nearest 0.5cm before subjects started the study using a portable 179 stadiometer (Holtain Ltd., Crymych, Dyfed, Wales). 180 **Statistics** 181 The cut-off value for weighed intake records and measured BMR was calculated as 182 1.03*BMR for the two-day WDR-L and 1.10*BMR for the four-day WDR-H following the 183 method of Goldberg et al. (1991). All analyses were performed using Statistical Package of 184 Social Sciences software (SPSS Inc., Chicago, IL, USA; Version 21.0.0.1). T-tests were used 185 for comparison of the reporting effect (WDR-L - LWI) between groups of male and female,

and lean and overweight subjects. Pearson's correlations were used to assess the strength of

the relationship between energy intake and energy requirements. Differences were accepted

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as statistically different at the 5% level.

- 189 Results
- 190 **Table 1** gives the age, height, weight, BMI and BMR of the subjects. Mean daily absolute
- energy intakes, and energy intake relative to BMR from subjects' self-reported food intakes
- 192 (WDR-L) were significantly lower than those from the LWI (table 2). Both actual (LWI) and
- reported energy intakes (WDR-L) were positively correlated with BMR (r = 0.487, P < 0.001
- 194 and r = 0.516, P < 0.001 respectively).
- 195 < TABLE 1 NEAR HERE >
- 196 < TABLE 2 NEAR HERE >
- 197 The reporting effect (WDR-L LWI) was significantly greater in males than it was in females
- 198 (p = 0.025). There was no significant difference in the reporting effect between lean (BMI \leq
- 199 $25 \text{kg} \cdot \text{m}^{-2}$) and overweight (BMI > $25 \text{kg} \cdot \text{m}^{-2}$) subjects (p=0.539).
- 200 Six subjects (3.3%) reported energy intakes that were below the Goldberg cut-off value of
- 201 1.03 * BMR. Of these, five had actual energy intake that were less than 1.03 * BMR.
- Mean change in body weight over the two-days was significantly different from zero for
- males (+0.21kg, P = 0.001) and all subjects combined (+0.09kg, P = 0.025), but not for
- 204 females (-0.02kg, NS).
- Figure 1 shows the difference in mean daily energy intake calculated from each subjects'
- self-reported food intake and that calculated from the investigator measured intake (WDR-L -
- 207 LWI). Values less than zero show those subjects who under-reported their food intake (67%
- of subjects), and values greater than zero show those subjects who over-reported their food
- intake (33% of subjects). The appropriate cut-off value (1.03*BMR) is shown by the vertical
- 210 line, values to the left of this line would be considered as implausible measures of the food
- consumed over the two-day recording period, whereas values to the right would be
- 212 considered as acceptable. The same data are presented in **figure 2** but with the WDR-L
- 213 expressed as a percentage of the LWI for each subject.
- 214 < FIGURE 1 NEAR HERE >
- 215 < FIGURE 2 NEAR HERE >

216	Self-reported energy intakes during the home phase (WDR-H) were significantly lower than
217	the WDR-L energy intakes (table 2). Few people (20 or 11%) who reported implausible
218	energy intakes (< 1.10 * BMR) during the home phase of the study had also reported energy
219	intakes that were less than the LWI during the laboratory phase (figure 3). Almost half (101
220	or 56%) of the participants who under-reported energy intake in the laboratory reported
221	plausible levels of energy intake at home.
222	< FIGURE 3 NEAR HERE >
223	Mean change in body weight over the four-day WDR-H period was similar to the WDR-L
224	period with males gaining a small, and borderline statistically significant, amount of weight
225	(+0.14kg, $P = 0.057$). Change in weight for females and all subjects combined was not
226	significantly different from zero (-0.08kg and +0.03kg respectively).
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Discussion

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229 This study explored whether plausible reports of energy intake, as determined by energy 230 intake to BMR ratios, are always valid and accurate under residential laboratory conditions. 231 Low reported energy intakes – those that would normally be considered implausible - can be 232 valid, and of greater concern is that the majority of plausible food intake record are under or 233 over-reported to varying degrees. It is not simply a case of too lenient a cut-off values. 234 Increasing it does not solve the problem of misreporting, which is a continuous trait that is 235 not easily accounted for by categorical cut-offs. Mis-reporting of food intake under free-236 living conditions appears to be greater than in the laboratory. 237 In a prior study, when a different group of subjects recorded their food intake they changed 238 their diet such that energy intake decreased by 5.3% (the observation effect), the difference 239 between what they are and what they reported was a further decrease in energy intake of 240 5.1% (the reporting effect) (7). In the current study the reporting effect was a similar 3.8% of 241 actual energy intake. 242 The prevalence of low energy reporting as determined using an energy intake to BMR cut-off 243 value was only 5% in our previous study and 3% in the current study (and 18% when subjects 244 were at home), considerably lower than the average of 33% (range 14% to 39%) reported by 245 Poslusna et al. (10) in a review of misreporting of energy intakes, and when considering 246 weighed food records. It appears, therefore, that subjects in both studies, reported more 247 complete food records, or at least higher energy intakes, than is typical during free-living 248 studies. It is quite possible that the residential nature of the study, with fewer of the usual 249 day-to-day distractions, increased the completeness of food recording. It is also likely that 250 subjects were in positive energy balance over the two-days residential stay as the nature of 251 the protocol meant that subjects were sedentary whereas the average observed energy intake 252 was 1.82*BMR. This is higher than the estimated physical activity level of 1.78*BMR of 253 groups judged to be more active than average (21). This is supported by the small, but 254 statistically significant average change in body weight, although using change in body weight 255 as an estimate of change in energy balance over such a short period is only an approximation. 256 Therefore, reported energy intakes were more likely to be above the misreporting cut-off than 257 would be expected, as any misreporting was from a level that was probably higher than 258 habitual. Even when low energy reporting was much less than usual there was still a large

260 energy intakes and actually misreporting food intake. 261 Under-reporting, and even over-reporting, were evident in both plausible and implausible 262 food records, not just below or near the low-energy reporting cut-off value. Under-reporting 263 of 12MJ/d was seen in one subject with a reported energy intake of almost 3*BMR (subject X 264 in figure 1). In contrast another subject accurately reported an energy intake that was less 265 than half of BMR (subject Y in figure 1). 266 Most studies report an association between BMI and misreporting; subjects with higher BMIs 267 being more likely to be classified as low-energy reporters, or a positive correlation between 268 BMI and the difference between energy intake calculated from reported food intake and 269 either estimated energy requirements or measured energy expenditure (10). An effect of BMI 270 on the degree of misreporting was not apparent in the current study, or our previous study (7). 271 The few studies that have used a covertly measured food intake as the reference have shown 272 mixed results - either no effect of BMI on the degree of misreporting (9, 22), that obese 273 subjects are more accurate in reporting their food intake than are overweight or lean subjects 274 (23), or less accurate (8). Most of these studies have used diet recalls completed after the 275 covert food intake measurement rather than concurrent measures thereby introducing a 276 further source of uncertainty into the dietary intake method since the recall method relies on 277 the ability and motivation of subjects to remember what was eaten. The difference in the 278 apparent effect of BMI on the degree of misreporting when using estimated energy 279 requirements compared to actual food intake may reflect a difficulty in estimating energy 280 requirements in individuals with higher BMIs. BMR is often estimated using well established 281 linear regression equations (24, 25). These equations tend to overestimate BMR at higher 282 body weights because the increase in BMR with body weight is curvilinear. Increases in 283 metabolically active fat-free-mass and metabolically less-active fat-mass do not occur at a 284 linear rate as body weight increases (12). Overestimating BMR will lower the ratio of 285 reported energy intake to BMR, and result in subjects with higher BMIs being more likely to 286 be identified as low-energy-reporters than are lean subjects. Additionally, the Schofield 287 equations underestimate BMR at lower body weights (25) resulting in leaner subjects being 288 more likely to have reported energy intake to BMR ratios within the plausible range. 289 However, the overweight and obese are still more likely to be classified as low-energy-290 reporters than are the "normal" weight after accounting for differences in body composition

discrepancy between the numbers of people identified as reporting implausible levels of

291 by estimating BMR from estimated fat-free-mass (26). Therefore the difference in prevalence 292 of misreporting between the lean and overweight may still exist, but might not be as great as 293 is generally reported. 294 It has been argued that removing subjects who report implausibly low energy intakes 295 introduces bias into any analyses (10), because subjects with higher energy requirements are 296 also likely to under-report their food intake. The current study supports this. 297 Reported energy intakes were lower over the home phase than the residential phase, possibly 298 because the residential environment of the HNU encouraged more complete food records, or 299 the home environment hindered record keeping – or both. It is also possible that subjects 300 altered their behaviour when in the HNU, which resulted in higher than habitual energy 301 intakes. Food and drink were provided free to the subjects, and they probably had more time 302 to prepare and eat meals than they would have had at home. 303 That so few subjects reported low energy intakes during both the home and laboratory phases 304 suggests that people cannot be classified as consistently plausible reporters or consistently 305 implausible reporters. Furthermore, misreporting of food intake is continuous and is not 306 resolved with categorical cut-offs. 307 Plausible records that are invalid present difficulties for intervention and epidemiological 308 studies, to the extent that some have argued that reliance on self-reported dietary intakes 309 should be discontinued (27). 310 Limitations 311 The results of this study, and therefore the conclusions drawn from it, are subject to a number 312 of limitations. 313 Actual, and reported, energy intakes were higher during the laboratory phase than would be 314 expected for sedentary subjects, and it is likely that the cut-off value would have identified 315 more subjects with low reported energy intakes had subjects been studied in their natural 316 environment. This would, however, have precluded an accurate measure of true food intake. 317 A lack of a covert and objective measure of food intake during the home phase of the study is 318 an unavoidable limitation.

319	In the present study energy expenditure was not measured during the time that subjects were
320	completing the food records. However, energy intake when subjects were resident in the
321	HNU was measured under identical conditions to a previous study where measured energy
322	intake matched measured energy expenditure (7).
323	Summary
324	Comparing reported energy intakes to estimates of energy expenditure has become an
325	established method to identify implausible food intake records. We have previously shown
326	that low-energy reporting, when compared to the gold standard Laboratory Weighed Intake
327	method, occurs at all levels of energy turn-over (7). In this study we demonstrated that
328	misreporting occurs at all levels of energy intake and found that the many plausible records
329	of energy intake were inaccurate to variable degrees. The method of using energy intake to
330	BMR ratios probably introduces bias by only excluding misreporters with low reported
331	energy intakes and retaining misreporters with higher reported energy intakes. It may also
332	have given researchers, and readers of the literature, a false confidence in the completeness of
333	dietary data.
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335	Acknowledgements.
336	The original study, from which the current data were taken, was funded by the Food
337	Standards Agency, UK.
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339	Conflicts of interest
340	The authors declare no conflict of interest.

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Figure legends.

Figure 1. Difference in mean daily energy intake calculated from each subjects' self-reported food intake and that calculated from the investigator measured intake (WDR-L - LWI) against estimated energy requirements. WDR, weighed dietary record - laboratory. LWI, laboratory weighed intake.

Section A; Subjects identified as low energy reporters by the Goldberg method, but with valid/over reports of energy intake.

Section B; Subjects identified as acceptable reporters by the Goldberg method, but with valid/over reports of energy intake.

Section C; Subjects identified as low energy reporters by the Goldberg method, and under reported energy intake.

Section D; Subjects identified as acceptable reporters by the Goldberg method, and with valid/over reports of energy intake.

Figure 2. Reporting effect against estimated energy requirements. WDR-L, weighed dietary record - laboratory. LWI, laboratory weighed intake.

Section A; Subjects identified as low energy reporters by the Goldberg method, but with valid/over reports of energy intake.

Section B; Subjects identified as acceptable reporters by the Goldberg method, but with valid/over reports of energy intake.

Section C; Subjects identified as low energy reporters by the Goldberg method, and under reported energy intake.

Section D; Subjects identified as acceptable reporters by the Goldberg method, and with valid/over reports of energy intake.

Figure 3. Reported energy intake during the home phase of the study relative to BMR against reported energy intake relative to actual energy intake during the residential phase of the study. WDR-H, weighed dietary record – home. WDR-L, weighed dietary record – laboratory. LWI, laboratory weighed intake.

Table 1: Baseline characteristics of participants by sex, age and BMI group. (Mean values with their sta

Sex	ВМІ	n	Age (Years)		Height (m)		Weight (kg)	
	Category kg/m ²		Mean	SD	Mean	SD	Mean	SD
Females	20-25	47	41.6	12.9	1.65	0.06	60.2	5.9
Females	>25	48	45.0	11.8	1.62	0.05	75.4	9.1
Males	20-25	32	39.8	12.8	1.76	80.0	69.5	6.9
Males	>25	53	42.3	11.8	1.78	0.07	89.4	10.7

BMI: Body Mass Index. BMR: Basal Metabolic Rate

andard deviations)				
BM	IR	BMI		
(MJ	/d)	(kg/m²)		
Mean	SD	Mean	SD	
5.5	8.0	22.3	1.8	
6.0	0.8	28.6	3.0	
6.7	1.3	22.4	1.4	
7.6	1.0	28.3	2.8	

Table 2: Average daily energy intake and energy intake relative to BMR over the WDR-L and WDR

	L\	ΝI	WD	WDR-L	
_	MJ/d	SE	MJ/d	SE	
Energy					
Females	9.6	0.28	9.2	0.24	0.007
Males	14.2	0.44	13.3	0.38	< 0.001
All	11.8	0.3	11.2	0.27	<0.001
Energy/BMR					
Females	1.68	0.05	1.62	0.04	0.011
Males	1.98	0.06	1.85	0.05	< 0.001
All	1.82	0.04	1.73	0.03	<0.001

LWI: Laboratory Weighed Intakes, WDR-L: Weighed Dietary Record Lab., WDR-H: Weighed Dietary

t-H measurement periods

WDI	R-H	P (WDR-H and WDR-L)		
MJ/d	SE			
8.5	0.20	< 0.001		
12.1	0.34	< 0.001		
10.2	0.23	<0.001		
1.49	0.03	< 0.001		
1.67	0.04	< 0.001		
1.57	0.03	<0.001		

y Record Home. BMR: Basal Metabolic Rate.





