

**Comparison of Threshold Hydrologic Response across Northern Catchments**

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Keywords:	thresholds, rainfall, snowmelt, quickflow, dynamic storage deficit, North-Watch program

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6 2 **Comparison of Threshold Hydrologic Response across Northern Catchments**  
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4 23 **Abstract**  
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9 25           Nine mid- to high-latitude headwater catchments —part of the North-Watch (Northern  
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11 26 Watershed Ecosystem Response to Climate Change) program — were used to analyze threshold  
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14 27 response to rainfall and snowmelt-driven events, and link the different responses to the  
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16 28 catchment characteristics of the nine sites. The North-Watch data include daily time-series of  
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19 29 various lengths of multiple variables such as air temperature, precipitation and discharge.  
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21 30 Rainfall and meltwater inputs were differentiated using a degree-day snowmelt approach.  
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24 31 Distinct hydrological events were identified, and precipitation-runoff response curves were  
25  
26 32 visually assessed. Results showed that eight of nine catchments showed runoff initiation  
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29 33 thresholds and effective precipitation input thresholds. For rainfall-triggered events, catchment  
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31 34 hydroclimatic and physical characteristics (e.g., mean annual air temperature, median flow path  
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34 35 distance to the stream, median sub-catchment area) were strong predictors of threshold  
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36 36 strength. For snowmelt-driven events, however, thresholds and their governing factors  
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39 37 controlling precipitation-runoff response were difficult to identify. The variability in catchments  
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41 38 responses to snowmelt was not fully explained by runoff initiation thresholds and input  
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43  
44 39 magnitude thresholds. The quantification of input intensity thresholds (e.g., snow melting and  
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46 40 permafrost thawing rates) is likely required for an adequate characterization of nonlinear spring  
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49 41 runoff generation in such northern environments.

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51 42 **Keywords:** thresholds, rainfall, snowmelt, quickflow, dynamic storage deficit, North-Watch  
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4 44 **1 Introduction**  
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10 Understanding of the response of streams to precipitation inputs in northern headwater  
11 catchments is still limited (Tetzlaff *et al.*, 2013a). In many temperate humid catchments where  
12 most of the process work has been done, hydrological threshold behaviours have been  
13 described where changes in runoff response are strongly dependent on antecedent soil  
14 moisture conditions and/or disproportional to forcing inputs across the whole possible range of  
15 inputs (e.g., Dickinson and Whiteley, 1970; Tani, 1997; Phillips, 2003; Tromp-Van Meerveld and  
16 McDonnell, 2006a; Detty and McGuire, 2010). Many studies have shown that critical values of  
17 precipitation amounts or (soil moisture) storage capacities need to be exceeded for hydrological  
18 response initiation (e.g., Whipkey, 1965; Mosley, 1979; Tani, 1997; Uchida *et al.*, 2005; Tromp-  
19 Van Meerveld and McDonnell, 2006a); these precipitation input thresholds have been  
20 considered by some to be emergent catchment properties (Weiler *et al.*, 2005; Lehmann *et al.*,  
21 2007) and by others as catchment hydrological signatures (Spence, 2007). Thresholds may also  
22 provide a useful tool for catchment comparison and model calibration and validation, as they  
23 facilitate the grouping of similar hydrological responses (e.g., Sivakumar, 2005; Graham and  
24 McDonnell, 2010).  
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62 While threshold detection and explanation appears to be a useful research avenue for  
63 advancing catchment process understanding, work to date has focused mostly on small  
64 catchments and hillslopes (Tani, 1997), has been highly qualitative in the quantification of  
65 threshold strength (Tromp-Van Meerveld and McDonnell, 2006a) and has not yet explored

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4 66 these dynamics in northern watersheds, as experimental work in high-latitude environments is  
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6 67 much harder to conduct. Indeed, with only a few exceptions (e.g., Detty and McGuire, 2010;  
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9 68 Graham and McDonnell, 2010; Penna *et al.*, 2011), threshold detection studies have been  
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11 69 performed largely at the hillslope scale given the availability of high-frequency (e.g., hourly and  
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14 70 sub-hourly) precipitation-runoff data. The majority of threshold detection studies have dealt  
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16 71 with rainfall events (and not snowmelt) in mostly humid temperate environments (e.g., Tani,  
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19 72 1997; McGlynn and McDonnell, 2003; Tromp-Van Meerveld and McDonnell, 2006a, b; Lehmann  
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21 73 *et al.*, 2007; Detty and McGuire, 2010). Event rainfall critical threshold values have been  
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24 74 identified for specific sites, e.g., 20 mm (Mosley, 1979; Tani, 1997), 23 mm (Penna *et al.*, 2011),  
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26 75 35 mm (Whipkey, 1965) or 55 mm (Tromp-Van Meerveld and McDonnell, 2006a): it is likely that  
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29 76 these differences in rainfall storage thresholds is controlled by catchment characteristics such as  
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31 77 mean soil depth, depth of overburden, or interception capacity of the overlying vegetation and  
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34 78 litter layer, although those aspects are rarely reported in detail in associated publications.  
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39 80 In contrast to temperate environments, little information exists for northern  
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41 81 catchments in terms of the linearity or non-linearity of their runoff responses to precipitation  
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44 82 inputs. Several research initiatives such as the Northern Research Basins (NRB) working group  
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46 83 have been established to gain a better understanding of runoff generation processes in cold  
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49 84 regions, particularly processes that are heavily influenced by snow, ice and frozen ground (Kane  
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51 85 and Yang, 2004). Given the limited amount of hydrometric equipment deployed in northern  
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54 86 catchments in comparison to temperate environments, hydrologists tended to transfer theories  
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56 87 developed in temperate regions to cold landscapes to explain the spatio-temporal variability of  
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4 88 runoff volume and magnitude, regardless of whether runoff generation is rainfall or snowmelt-  
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6 89 driven (Quinton and Marsh, 1999). The transferability of traditional runoff generation theories  
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9 90 to cold catchments is not straightforward given: (i) the major differences in the control factors  
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11 91 prevailing in low and high-latitude regions; and (ii) the tremendous heterogeneity of landscapes  
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14 92 and dominant processes even within high-latitude regions. The complexity of threshold  
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16 93 response in northern Canadian catchments has been documented; notably by Allan and Roulet  
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19 94 (1994), Goodyear (1997), Spence and Woo (2002, 2003, and 2006) and Buttle *et al.* (2004),  
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21 95 among others. The (ubiquitous) existence of runoff initiation thresholds and effective  
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24 96 precipitation thresholds in northern catchments, however, remains unclear as water storage  
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26 97 and release are not only governed by antecedent soil moisture but also snowpack and  
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29 98 permafrost properties. Site intercomparison work is needed to quantify how hillslope or  
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31 99 catchment characteristics might explain differences in threshold values, if they do indeed exist  
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34 100 in northern catchments, and how rainfall and snowmelt-driven hydrological dynamics might  
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36 101 compare in cold landscapes. This is especially important in light of projected climate changes  
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39 102 that predict spatially variable effects on northern streamflow regimes depending on the future  
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41 103 magnitude and onset of snowmelt runoff generation (Tetzlaff *et al.*, 2013a).  
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46 105 Here we explore the linearity of runoff response to precipitation inputs for nine mid- to  
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49 106 high-latitude catchments from the North-Watch (Northern Watershed Ecosystem Response to  
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51 107 Climate Change; <http://abdn.ac.uk/northwatch>) program. North-Watch is a cross-regional inter-  
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54 108 catchment comparison initiative that aims to assess the physical, chemical and ecological  
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56 109 response of northern catchments to climate change. Extensive temperature, precipitation and  
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4 110 discharge data available at the daily timestep for each study catchment were processed using a  
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6 111 degree-day methodology to differentiate rainfall from snowmelt water inputs. Hydrograph  
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9 112 analysis was then used to identify distinct hydrological events and examine water input,  
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11 113 dynamic water storage, and runoff dynamics. Three specific questions guided the analyses: (i)  
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13 114 Do northern catchments exhibit threshold response to precipitation inputs? (ii) If so, is there a  
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15 115 (significant) difference in threshold behaviours between rainfall-triggered and snowmelt-driven  
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17 116 hydrological events? and (iii) Which catchment characteristics best explain differences in  
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19 117 threshold values among the sites? The overall goal was to understand how hydrological event  
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21 118 type (rainfall-triggered versus snowmelt-driven) and input or water storage dynamics interplay  
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23 119 to determine catchment runoff response patterns in mid- to high-latitude environments.  
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## 122 **2 Methods**

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### 124 **2.1 Study sites**

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126 The nine study sites are part of the North-Watch program and were chosen as both long-  
127 term hydroclimatic and detailed topographic data were available. The catchments are located  
128 within Scotland, the United States, Canada and Sweden (Figure 1) and are among the most  
129 intensively studied long-term headwater research sites across the circum-boreal region. They  
130 span different hydroclimatic zones, including northern temperate, subarctic and boreal  
131 environments; mean annual air temperatures range from -2.2°C to 9.2°C across the sites while

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4 132 mean annual precipitation ranges from 478 mm to 2632 mm. Some of their other characteristics  
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6 133 have been discussed in detail by Carey *et al.* (2010, 2013), Tetzlaff *et al.* (2013b), and Laudon *et*  
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9 134 *al.* (2013b) and are summarized in Table 1.

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14 136 Briefly, in Scotland, the Strontian site is situated in the maritime northwest, the Allt  
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16 137 a'Mharcaidh site is in the western subarctic Cairngorms and the Girnock site is in the Northeast.  
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19 138 The three catchments have drainage areas ranging from 8 to 30 km<sup>2</sup> and include steep montane  
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21 139 regions and flat, lower-lying areas. Mean annual temperatures range from 5.7°C to 9.1°C and  
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24 140 geology consists largely of igneous and metamorphic rocks (Robins, 1990). Typically, superficial  
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26 141 glacial drift is superimposed on the solid geology and determine the presence of fine textured  
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29 142 peats and peaty gleys in valley bottoms and on gentle slopes; freely draining soils such as  
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31 143 Podzols or alluvial soils are present on steeper slopes (Tetzlaff *et al.*, 2007). The Strontian  
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34 144 catchment is partly forested (mainly *Pinus sylvestris*), especially on lower slopes while the Allt  
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36 145 a'Mharcaidh and Girnock sites are characterized by heather (*Calluna* spp.) on steeper slopes at  
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39 146 higher altitudes and blanket bog (*Spagnum* spp.) in poorly drained areas (Bayfield and Nolan,  
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41 147 1998).

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46 149 Two of the US sites are located in the Northeast (Hubbard Brook and Sleepers River)  
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49 150 while a third is in the Northwest (H.J. Andrews). In the White Mountains of New Hampshire,  
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51 151 Hubbard Brook Experimental Forest (WS3, 0.41 km<sup>2</sup>) is covered by second-growth northern  
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54 152 hardwood species. Short, cool summers and long, cold winters are common in this humid  
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56 153 continental climate (Likens and Bormann, 1990; Bailey *et al.*, 2003) with a mean annual air  
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4 154 temperature of 6.4°C and 1381 mm of precipitation, 25% to 35% of which falls as snow. Geology  
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6 155 largely consists of pelitic schist overlain by basal and ablation tills of varying thickness. Sleepers  
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9 156 River (W9, 0.41 km<sup>2</sup>) in Vermont is also primarily forested with northern hardwoods of sugar  
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11 157 maple, ash, beech, and yellow birch (Shanley and Chalmers, 1999). The catchment has a mean  
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13 158 annual air temperature of 4.7°C and receives 1256 mm of precipitation annually, 25% of which  
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16 159 typically falls as snow. Bedrock is mostly quartz-mica phyllite with calcareous granulite overlain  
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19 160 by dense silty till. In Oregon, the catchment under study is the 5.8 km<sup>2</sup> Mack Creek in the H.J.  
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21 161 Andrews Experimental Forest. Its geology is andesitic and basaltic lavaflows and it is mostly  
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23 162 covered by old-growth Douglas fir (*Pseudotsuga menziesii*) forest. Mack Creek is not only the  
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25 163 steepest catchment (with the highest relief of 860 m) among all North-Watch study sites but  
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27 164 also the warmest and the wettest. Winters are usually wet and mild and summers rather warm  
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29 165 and dry (Anderson, 1992) as the catchment has a mean annual air temperature of 9.2°C and  
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31 166 mean annual precipitation of 2158 mm. Greater than 80% of precipitation occurs from  
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33 167 November to April, most of which falls as snow.  
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41 169 In Canada, focus was on the Wolf Creek catchment (Granger basin, 7.6 km<sup>2</sup>) and one of  
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43 170 the Dorset catchments (Harp 5, 1.19 km<sup>2</sup>). Wolf Creek is the second most northerly catchment  
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45 171 and is the coldest and driest (mean annual air temperature of -2.2°C) of all North-Watch sites as  
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47 172 it is subjected to a sub-arctic continental climate on the fringe of the Coast Mountains of Yukon.  
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49 173 Permafrost underlies 70% of the catchment while the geology is primarily sedimentary,  
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51 174 comprised of limestone, sandstone, siltstone and conglomerate, overlain by a mantle of glacial  
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53 175 till ranging from 1-4 m in thickness (Carey and Quinton, 2005). Given the cold temperatures and  
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4 176 low annual precipitation (478 mm), vegetation generally consists of shrubs (*Salix*) and alpine  
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6 177 tundra at higher elevations (McCartney *et al.*, 2006). The Dorset site in Ontario is located in the  
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9 178 southern Boreal ecozone (Eimers *et al.*, 2008) in a humid continental climate with a mean  
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11 179 annual temperature of 4.9°C and precipitation of 980 mm. In contrast to Wolf Creek, soil frost is  
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14 180 rare as it primarily occurs in wetlands and only in winter, and the bedrock is a Precambrian  
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16 181 shield overlain by a thin layer of till. Vegetation is deciduous or mixed forest on well-drained  
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19 182 soils whilst poorly drained soils have mixed or coniferous forest.  
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24 184 Lastly, the Krycklan catchment (site 7, 0.50 km<sup>2</sup>) in Sweden on the Fennoscandian shield  
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26 185 has a mean annual temperature of 2.4°C and is the second driest of all North-Watch sites with a  
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29 186 mean annual precipitation value of 651 mm, 40% of which falls as snow. It is underlain by  
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31 187 metasediments and podzol soils. The spatial distribution of vegetation species is highly  
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34 188 dependent on topography: dry upslope areas are primarily forested with mature Scots Pine  
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36 189 (*Pinus sylvestris*), wetlands are usually covered with *Sphagnum*, and other flat, low-lying areas  
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39 190 are covered with Norway Spruce (*Picea abies*) (Laudon *et al.*, 2013).  
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## 42 43 44 192 **2.2 Hydrograph analysis and input-output response assessment**

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49 194 Multi-year precipitation, temperature and discharge data were analysed to identify  
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51 195 distinct hydrological events and relate water inputs, dynamic storage deficits (i.e., overall  
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54 196 catchment shallow soil storage deficit – see details below), and runoff initiation prior to the  
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56 197 analysis of hydrologic thresholds. For each catchment, the longest continuous measurement  
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4 198 period available of the daily precipitation, air temperature and discharge timeseries was used  
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6 199 (Table 2). From the discharge timeseries, computer-based baseflow separations were  
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9 200 performed. Three different baseflow estimation methods were used: the fixed interval, the  
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11 201 sliding interval and the local minimum methods (Sloto and Crouse, 1996). As the differences  
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13 202 between the three methods were rather small, the fixed interval baseflow estimates were  
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16 203 retained for further analyses. Using the precipitation timeseries in conjunction with daily air  
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19 204 temperature data, water inputs were separated into two categories: rainfall and snowmelt.  
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21 205 Rainfall was assumed to be all precipitation falling when air temperature was above 0°C. Snow  
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23 206 accumulation was modelled by adding all precipitation when air temperature was below 0°C.  
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26 207 The snowpack was assumed to melt with a degree-day factor of 4 mm °C<sup>-1</sup> day<sup>-1</sup> when air  
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29 208 temperature was above 0°C (Juston *et al.*, 2009). The uniform threshold temperature and  
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31 209 degree-day factor across all sites were used for simplicity and because of the lack of consistent  
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34 210 energy balance data with which to estimate snowmelt.  
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39 212 To delineate hydrological events for all North-Watch sites, the following rules were  
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41 213 applied:  
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44 214 (i) A hydrological event is defined as the occurrence of a water input event followed by a  
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46 215 runoff event;  
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49 216 (ii) The beginning of a water input event is defined by a day with nonzero water input  
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51 217 (i.e., water input  $\geq 1$  mm) after a minimal 1-day dry period;  
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54 218 (iii) The beginning of a hydrological event corresponds to the beginning of a water input  
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56 219 event;  
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4 220 (iv) The beginning of the associated runoff event is defined by the first initial hydrograph

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6 221 rise after the beginning of the water input event;

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9 222 (v) The end of a water input event is defined by a day with precipitation input is less than

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11 223 1 mm;

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14 224 (vi) The end of a runoff event is defined by a day at the end of a recession period with no

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16 225 water input or less than a 15% difference between the daily baseflow and the daily discharge

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19 226 values;

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21 227 (vii) The end of a hydrological event corresponds to the end of a runoff event.

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24 228 Given the use of rainfall and snowmelt water inputs, two types of hydrological events could be

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26 229 discriminated: rainfall-triggered events (i.e.,  $\text{rain} > 0$ ,  $\text{snowmelt} = 0$ ), and snowmelt-driven

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29 230 events (i.e.,  $\text{snowmelt} > 0$ , with occasionally  $\text{rain} > 0$  as well). Beyond the rainfall vs. snowmelt

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31 231 event classification, no discrimination was made between rain-on-snow events and radiation-

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34 232 driven melt events. Across all datasets, the identified water input events always led to a

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36 233 discharge increase, albeit sometimes very small. Some hydrological events were associated with

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39 234 a runoff coefficient (ratio of total runoff to total water input)  $> 100\%$ : these events were

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41 235 retained for further analyses only if they involved nonzero snowmelt water inputs to justify such

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44 236 high runoff coefficient values.

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49 238 Once all hydrological events were identified, the following state variables were

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51 239 calculated:

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54 240 -  $W_{\text{input}}$  is the sum of all water inputs (rainfall and snowmelt) for the duration of an event;

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4 241 -  $W_{\text{storage}}$  is the amount of water input required before runoff starts. Building upon the Soil  
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6 242 Conservation System (SCS) basic rainfall-runoff equation, here  $W_{\text{storage}}$  is computed as  
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9 243 the initial abstraction: the sum of all water inputs (rainfall and snowmelt) which occur  
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11 244 between the beginning of the water input event and the initial rise in the storm  
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13 245 hydrograph (e.g., Steenhuis *et al.*, 1995; Lyon *et al.*, 2004). The initial abstraction can  
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16 246 therefore be seen as dynamic storage and be used as a proxy measure for the overall  
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19 247 catchment shallow soil storage deficit prior to each event;  
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21 248 - Quickflow is the difference between the discharge and the baseflow timeseries, and  $Q_{\text{flow}}$   
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23 249 is the sum of all quickflow produced between the beginning and the end of a runoff  
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26 250 event.

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29 251 To estimate  $W_{\text{storage}}$  (i.e., the initial abstraction) from the event hyetographs and hydrographs,  
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31 252 only hydrological events with a minimum 1-day delay between the start of the water input  
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33 253 event and the initiation of runoff response were considered; doing so made it possible to avoid  
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36 254 dealing with high frequency (hourly), short-term input-output dynamics which are not well  
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39 255 captured by daily data. For each catchment,  $Q_{\text{flow}}$  was plotted against both  $W_{\text{input}}$  and then  
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41 256 against  $W_{\text{input}} - W_{\text{storage}}$ , in both cases separately for rainfall-triggered and snowmelt-driven  
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44 257 events (i.e., four plots in total). The variable  $W_{\text{input}} - W_{\text{storage}}$  was used as it represents the  
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47 258 effective precipitation after the overall catchment storage deficit has been overcome. Given the  
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49 259 size of the catchments considered, the routing of effective precipitation to the catchment outlet  
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51 260 was assumed to occur rather quickly (within hours) and therefore considered instantaneous for  
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54 261 the selected data resolution (i.e., daily time scale).

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4 263 Several recent studies have shown examples of nonlinear hydrological response with  
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6 264 relationships that are reminiscent of a hockey stick shape (e.g., Tani, 1997; Weiler *et al.*, 2005;  
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9 265 Detty and McGuire, 2010; Graham and McDonnell, 2010). Given the presence of a critical value  
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11 266 (i.e. a threshold) of water inputs, zero or low runoff is observed below the critical value whereas  
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14 267 a strong linear correlation exists between the runoff response and the water inputs above the  
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16 268 threshold. The presence (or absence) of thresholds in the hydrological response of the North-  
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19 269 Watch catchments was visually assessed in two ways: the relationship between  $Q_{\text{flow}}$  and  $W_{\text{input}}$   
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21 270 was used to detect runoff initiation thresholds, while the relationship between  $Q_{\text{flow}}$  and  $W_{\text{input}} -$   
22  
23  
24 271  $W_{\text{storage}}$  was used to detect effective input thresholds. Both types of thresholds were identified  
25  
26 272 based on the clearest slope change, or break in slope in input-output scatter plots. Three  
27  
28  
29 273 metrics were then used to characterize catchment hydrological behaviour at each site:  
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31 274 - The Spearman rank correlation coefficient  $r_{\text{Spearman}}$  between the output variable ( $Q_{\text{flow}}$ )  
32  
33 275 and the input variable ( $W_{\text{input}}$  or  $W_{\text{input}} - W_{\text{storage}}$ ); it was computed to measure the  
34  
35 276 strength of the relationship between water inputs, dynamic storage deficits and runoff  
36  
37 277 response at the catchment outlet, and its statistical significance ( $p < 0.05$ ) was assessed;  
38  
39 278 - The threshold value, when it was identifiable from the input-output scatter plots; and  
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41  
42 279 - The coefficient of determination  $R^2$  between input and output values above the  
43  
44  
45 280 threshold value (when applicable).

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48  
49 281 The Spearman rank correlation coefficient  $r_{\text{Spearman}}$  was determined for all data, whereas  $R^2$   
50  
51 282 values were only computed for data subsets above the threshold. Since the hockey stick  
52  
53  
54 283 conceptualization assumes a strong linear correlation between the runoff response and the  
55  
56 284 water inputs above the threshold, the  $R^2$  is the Pearson correlation coefficient to the power of  
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4 285 2; in our study, it was strongly correlated to the slope of the best-fit regression line and a good  
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6 286 indication of the catchment efficiency to produce runoff.  
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### 10 11 288 **2.3 Catchment controls**

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14  
15 290 Spearman rank correlation coefficients were also calculated between the three metrics  
16  
17 291 of catchment hydrological behaviour and a range of hydroclimatic and topographic catchment  
18  
19 292 properties (Table 3). This was done to investigate which catchment characteristics might explain  
20  
21 293 any differences in hydrological behaviour among the sites (research question (iii)). These  
22  
23 294 correlations between catchment characteristics and the three metrics of hydrological behaviour  
24  
25 295 are hereafter referred to as  $\text{corr}_{\text{catchment}}$  to distinguish them from the Spearman rank correlation  
26  
27 296 coefficient ( $r_{\text{Spearman}}$ ) that is used to measure the strength of the input-output relationships. The  
28  
29 297 topographic properties described in Table 3 were derived from Digital Terrain Models (DTMs)  
30  
31 298 with a pixel resolution of 10 m available for all nine sites. Briefly, each catchment's relief was  
32  
33 299 computed as the difference between the minimum and maximum elevation scaled by the  
34  
35 300 squared root of the catchment area. The terrain slope was estimated using both the D8 (Quinn  
36  
37 301 *et al.*, 1991) and the  $\text{MD}^\infty$  (Seibert and McGlynn, 2007) flow direction algorithms. After surface  
38  
39 302 topography-driven flow paths were determined for each DTM pixel based on the direction of  
40  
41 303 steepest descent, four indices were derived: the elevation above the stream, the distance from  
42  
43 304 the stream, the average gradient along the flow path to the stream, and the ratio of the flow  
44  
45 305 path length to the flow path gradient which was used as a proxy for travel times (Gardner and  
46  
47 306 McGlynn, 2009). The downslope index (Hjerdt *et al.*, 2004) is defined as the gradient towards  
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4 307 the closest point at least 5 m (in altitude) below a certain point while the upslope area draining  
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6 308 through each pixel was calculated using the  $MD^\infty$  algorithm (Seibert and McGlynn, 2007). Two  
7  
8  
9 309 variants of the topographic wetness index (Beven and Kirkby, 1979) were considered both using  
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11 310 the upslope area per unit contour length divided (i) by the local slope in one case, and (ii) by the  
12  
13 311 downslope index in the other case. All DTM-based indices were aggregated into one value for  
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15  
16 312 each catchment using the catchment-wide median value. Lastly, the median sub-catchment  
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18 313 area was computed as an indicator of catchment drainage structure (McGlynn and Seibert,  
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20  
21 314 2003). For all catchments, the stream network was defined using a 5 ha accumulated area  
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23 315 threshold for stream initiation. The median of the local catchment areas of all stream pixels  
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25  
26 316 upstream of the catchment outlet was then estimated (McGlynn and Seibert, 2003; McGlynn *et*  
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29 317 *al.*, 2003).

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### 320 **3 Results**

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#### 322 **3.1 Visual identification of thresholds**

323 Scatter plots of  $Q_{\text{flow}}$  versus  $W_{\text{input}}$  for rainfall-triggered and snowmelt-driven events are  
324 presented in Figures 2 and 3, respectively, while scatter plots of  $Q_{\text{flow}}$  versus  $W_{\text{input}} - W_{\text{storage}}$  are  
325 presented in Figures 4 and 5. By working with two series of plots, the inclusion of a storage  
326 proxy variable in the scatter plots in Figures 4 and 5 was evaluated with regard to its ability to  
327 improve the strength of the relationships between input and output hydrological variables. For  
328 rainfall-triggered events, inter-catchment differences could be observed in the relationship



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4 329 between  $Q_{\text{flow}}$  and  $W_{\text{input}}$ ; indeed, a linear plot was obtained for the Strontian site while a clearer  
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6 330 nonlinear curve was associated with the Sleepers River site and a large scatter was encountered  
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8  
9 331 for the Dorset site (e.g., Figure 2). Some catchments also exhibited differences in hydrological  
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11 332 response between input types, as was the case for the Wolf Creek site where the overall scatter  
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13 333 pattern associated with rainfall-triggered events was significantly different from that associated  
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16 334 with snowmelt-driven events. Conversely, for the Hubbard Brook site there was no significant  
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19 335 difference between the scatter pattern associated with rainfall-triggered events and the pattern  
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21 336 associated with snowmelt-driven events. Regardless of the input type considered, higher  
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23 337 Spearman rank correlation coefficients ( $r_{\text{Spearman}}$ ) were found when  $W_{\text{input}} - W_{\text{storage}}$  (Figures 4-5)  
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26 338 rather than  $W_{\text{input}}$  (Figures 2-3) was the dependent variable; this reflects a slightly better  
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29 339 characterization of hydrological response in all nine North-Watch catchments when a proxy of  
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31 340 dynamic storage was used. For the Strontian catchment, for example,  $r_{\text{Spearman}}$  values were 0.91  
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33  
34 341 and 0.88 with and without consideration of the storage component, respectively (Figures 2 and  
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36 342 4). Nonlinear input-output relationships somehow reminiscent of the hockey stick shape were  
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39 343 dominant for all catchments, and the linear relationship observed for Strontian could be  
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41 344 equated to a hockey stick shape with a very small (near zero) threshold (Figure 4). At the end of  
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44 345 the visual assessment procedure, some patterns of hydrological response were characterized as  
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46 346 unclear (Table 4) and were often associated with  $r_{\text{Spearman}}$  values below 0.6 (e.g., Mharcaidh and  
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49 347 Wolf Creek sites, Figure 2).

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51 348  
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54 349 Table 4 summarizes the threshold values identified from a visual assessment of the  
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56 350 scatter plots; that visual assessment was highly subjective given absent, multiple or very subtle  
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4 351 breakpoints in most plots. Nevertheless, the identified threshold values were highly variable  
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6 352 between catchments: for rainfall events, they ranged from 50 to 100 mm (median value:  
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9 353 80 mm) when the storage deficit was not taken into account and from 36 to 80 mm (median  
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11 354 value: 55 mm) when the storage deficit was considered. Threshold values for snowmelt events  
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14 355 were noticeably higher: they ranged from 25 to 180 mm, with a median value of 120 mm when  
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16 356 the storage deficit was not considered and 85 mm when the storage deficit was considered  
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19 357 (Table 4).

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### 22 23 24 359 **3.2 Differences between rainfall-triggered and snowmelt-driven events**

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29 361 The three metrics used to characterize catchment hydrological behaviour at each site  
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31 362 (i.e.,  $r_{\text{Spearman}}$ , the threshold value, and the  $R^2$  value above the threshold) are reported in Figures  
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33  
34 363 2-4 and Tables 4-5. The threshold characterization of hydrological response was weaker for  
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36 364 snowmelt-driven events than it was for rainfall-triggered events. Indeed, for snowmelt-driven  
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39 365 events, the mean Spearman rank correlation coefficient among all sites was 0.59 without and  
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41 366 0.69 with consideration of the storage deficit (Figure 3 and Figure 5, respectively). In contrast,  
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44 367 the mean  $r_{\text{Spearman}}$  for rainfall-triggered events among all sites was 0.70 without and increased to  
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46 368 0.78 with the storage deficit taken into account (Figure 2 and Figure 4, respectively). Apart from  
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49 369 the Girnock and the Mharcaidh catchments, for which snowmelt-driven threshold values were  
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51 370 systematically smaller than their rainfall-driven counterparts, snowmelt-driven thresholds were  
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54 371 usually larger than rainfall-driven ones by a factor of 1.3 to 3.4 (Table 4).

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4 373 For rainfall-triggered events with or without consideration of the storage deficit, the  
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6 374 highest  $R^2$  values above the threshold were found for Sleepers River, H.J. Andrews, Hubbard  
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8 375 brook, and Wolf Creek (Table 5). For snowmelt-driven events, however,  $R^2$  values above the  
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10 376 threshold were generally low or not computed due to a non-identifiable threshold. One  
11  
12 377 exception was the Sleepers River site for which the  $R^2$  above the threshold exceeded 0.6 for  
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14 378 both rainfall and snowmelt events, regardless of whether the storage deficit was considered or  
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16 379 not. All other catchments were associated with  $R^2$  above the threshold of less than 0.5 for  
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18 380 snowmelt events (Table 5).  
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### 26 382 **3.3 Catchment controls on hydrological behaviour**

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31 384 For each type of event, the catchments for which a threshold could not be  
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33 385 identified were excluded from the correlation analyses involving those metrics. In  
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35 386 spite of the small sample sizes (five to nine sites), some significant correlations  
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37 387 were observed between the three metrics of catchment hydrological behaviour  
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39 388 and some hydroclimatic and topographic catchment properties of the North-  
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41 389 Watch sites (Table 6). For rainfall-triggered events, regardless of whether the  
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43 390 storage deficit ( $W_{\text{storage}}$ ) was considered,  $r_{\text{Spearman}}$  was positively linked to the  
44  
45 391 median flow path distance to the stream ( $\text{corr}_{\text{catchment}} = 0.81$  and  $0.83$ ,  $p\text{-value} <$   
46  
47 392  $0.05$ ). When  $W_{\text{storage}}$  was not considered,  $r_{\text{Spearman}}$  values were positively related  
48  
49 393 to catchment mean elevation ( $\text{corr}_{\text{catchment}} = 0.71$ ,  $p\text{-value} < 0.05$ ) while the rainfall  
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51 394 threshold value was positively correlated with mean temperature  
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4 395 (corr<sub>catchment</sub> = 0.66, p-value < 0.05). When  $W_{\text{storage}}$  was considered, however, the  
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6 396 strength of input-output relationships was especially high for high relief  
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9 397 catchments (corr<sub>catchment</sub> = 0.67, p-value < 0.05), and the rainfall threshold value  
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11 398 was positively correlated with the median sub-catchment area (corr<sub>catchment</sub> = 0.81,  
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14 399 p-value < 0.05). A few significant catchment controls were also identified for  
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16 400 metrics of hydrological behaviour for snowmelt-driven events; however, they  
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18 401 should be interpreted with caution given the more uncertain identification of  
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21 402 thresholds generally for those events. For instance, when  $W_{\text{storage}}$  was not taken  
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24 403 into account, the water input (rain+snowmelt) threshold values were positively  
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26 404 correlated with the catchment mean elevation (corr<sub>catchment</sub> = 0.66, p-value < 0.05).  
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29 405 The strength of the input-output relationship was also positively correlated with  
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31 406 the median sub-catchment area (corr<sub>catchment</sub> = 0.88, p-value < 0.05). The  $R^2$  of  
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34 407 input-output data above the threshold was correlated with a limited number of  
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36 408 catchment controls: statistically significant negative correlations were notably  
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39 409 present with the BFI and two slope segments of the catchments' flow duration  
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41 410 curves (Table 6).  
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49 413 **4 Discussion**  
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54 415 **4.1 Hydrological insights from threshold detection in northern catchments**  
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4 417 4.1.1 *Storage deficit conceptualization*  
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9 419 This study sought to better understand one key aspect of catchment nonlinear  
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11 420 behaviour: the threshold precipitation-discharge response in northern catchments – with and  
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13 421 without snowmelt influence. Such thresholds reflect the integration of various levels of  
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15 422 catchment complexity (Zehe and Sivapalan, 2009), and conceptually they indicate when a  
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17 423 critical value in a hydrological state variable becomes exceeded and a rapid flow generation  
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19 424 mechanism responsible for event runoff is initiated. This contrasts with times when the same  
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21 425 hydrological state variable has a value below the threshold and the rapid flow-producing  
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23 426 mechanisms are switched off or are less active (O'Kane and Flynn, 2007). As the memory of  
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25 427 these switches is local in both space and time (O'Kane and Flynn, 2007), the challenge is to  
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27 428 understand the controls exerted on thresholds when predicting catchment-scale hydrologic  
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29 429 response across geographic regions.  
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39 431 For the range of mid- to high-latitude northern catchments considered here, the visual  
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41 432 identification of thresholds was useful for characterizing catchment hydrological behaviour with  
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43 433 and without the consideration of dynamic water storage dynamics (or antecedent wetness  
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45 434 conditions). That approach is similar to that adopted by Detty and McGuire (2010) who plotted  
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47 435 total quickflow against the sum of gross precipitation and an antecedent soil moisture index  
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49 436 (ASI). They found that the input-output relationships were stronger and easier to characterize  
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51 437 when the ASI was considered in addition to gross precipitation. We found that the strength of  
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53 438 the input-output relationships (i.e., the Spearman rank correlation coefficient  $r_{\text{Spearman}}$ ) was  
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4 439 slightly greater when the difference  $W_{\text{input}} - W_{\text{storage}}$  was used as the hydrological input variable  
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6 440 rather than  $W_{\text{input}}$ . It is, however, worth noting that the assumption of instantaneous (i.e., sub-  
7  
8 441 daily) routing might be incorrect, and hence the variable  $W_{\text{storage}}$  might capture delays related to  
9  
10 442 both storage deficit satisfaction and catchment routing rather than delays related to storage  
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12 443 deficit satisfaction only.  
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19 445 This study dealt only with runoff initiation thresholds and input magnitude (i.e., effective  
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21 446 precipitation input) thresholds while input intensity thresholds were ignored. Indeed, storage  
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23 447 capacity (or storm amount) thresholds are often associated with saturation excess flow  
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25 448 mechanisms while rainfall intensity thresholds can be associated with infiltration excess flow  
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27 449 mechanisms, and their differentiation is not always straightforward (McGrath *et al.*, 2007). Past  
28  
29 450 work has been conducted in humid temperate, forested catchments with very high soil  
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31 451 infiltration capacities (Tromp-Van Meerveld and McDonnell, 2006a; Graham and McDonnell,  
32  
33 452 2010) where rainfall intensity was examined but had little effect on threshold values of runoff  
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35 453 production (as suggested by Hewlett and Hibbert, 1967). Nevertheless, some of the North-  
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37 454 Watch catchments (Krycklan, Sleepers River) develop conditions where infiltration excess runoff  
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39 455 could occur, such as runoff over frozen ground (Shanley and Chalmers, 1999; Laudon *et al.*,  
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41 456 2007); these effects could not be considered in the present study due to the lack of empirical  
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43 457 data necessary for all sites.  
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#### 52 53 54 459 4.1.2 Comparison of rainfall and snowmelt events

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4 461 No clear input-output pattern could be discerned for some catchments (Figures 2, 3 and  
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6 462 5, Table 4) and it was difficult to assess whether this reflected a process reality or a  
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8 463 data/methodological problem, especially regarding the degree-day method used to  
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10 464 approximate snowmelt inputs and or the criteria used to define rainfall-triggered and  
11  
12 465 snowmelt-driven events across all nine sites. It is likely that degree-day methods worked better  
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14 466 for warmer sites with snowpacks near 0°C, in contrast to colder sites (e.g., Krycklan, Wolf Creek)  
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16 467 where snowpack energetics have a greater influence on the hydrological cycle. Such differences  
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18 468 were not taken into account in the current study. The use of similar criteria for the definition of  
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20 469 hydrological events across all nine sites was also problematic as it occasionally resulted in very  
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22 470 large event precipitation amounts (e.g., up to 100 mm for single events at Dorset and up to 200  
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24 471 mm at Wolf Creek). These corresponded to compound hydrographs produced by a succession of  
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26 472 smaller events rather than single hydrograph peaks, and this raised the issue of how  
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28 473 hydrological events should be defined under contrasting conditions within inter-site  
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30 474 comparisons. While it may have been beneficial to use site-specific and event type-specific  
31  
32 475 (rainfall-triggered versus snowmelt-driven) criteria to divide precipitation and runoff events, the  
33  
34 476 objective here was only to transfer the methodology from temperate environments to higher-  
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36 477 latitude catchments. The use of daily rather than hourly or sub-hourly precipitation and  
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38 478 discharge values was also dictated by data availability and built upon a previous threshold  
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40 479 identification study at the catchment scale at the Maimai and HJ Andrews sites (i.e., Graham  
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42 480 and McDonnell, 2010)—the criteria used for the delineation of water input events in the current  
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44 481 study were similar to those used by Graham and McDonnell (2010) in their definition of storm  
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46 482 events. We acknowledge that the use of daily data, as well as the sole selection of hydrological  
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4 483 events with a minimum 1-day delay between the start of the water input event and the  
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6 484 initiation of runoff response, has likely biased the present analysis: since flashy events occurring  
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9 485 at the scale of hours were effectively discarded, the identified threshold dynamics are only be  
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11 486 applicable to longer duration events. Clearly more research is needed: provided the availability  
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14 487 of sub-daily weather and hydrometric data, a sensitivity analysis could be conducted to assess  
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16 488 the identifiability of hydrologic thresholds depending on event definition criteria.  
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21 490 Although nonlinear behaviour associated with rainfall-triggered events was identified for  
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24 491 more than half of the nine investigated catchments (e.g., Figure 4), snowmelt-driven events  
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26 492 were problematic: the common conceptualization of nonlinear hydrological behaviour, namely  
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29 493 the hockey stick-like input-output relationship, appeared to work fairly well for rainfall-triggered  
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31 494 events but not for snowmelt-driven events. In some cases, the lack of clear input-output  
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34 495 relationships with snowmelt-driven events is likely a true reflection of different physical  
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36 496 processes. For instance, the Girnock and the Mharcaidh catchments were the only ones for  
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39 497 which snowmelt-driven threshold values were systematically smaller than their rainfall-driven  
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41 498 counterparts (Table 4), and this might be explained by the fact that the two Scottish catchments  
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44 499 have the smallest and most transient snowpacks, hence the lack of potential for snowpack  
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46 500 storage of early melt events. Also, snowfall usually occurs in the wettest winter months in the  
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49 501 two Scottish catchments when there is little available storage in the soil.  
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54 503 *4.1.3 Catchment controls*  
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4 505 Despite the useful case studies published to date, work until now has not explored any  
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6 506 tangible rules to up-scale or down-scale threshold values based on drainage basin properties.  
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9 507 The work reported here shows some statistically significant correlations between hydrological  
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11 508 behaviour and hydroclimatic or physical catchment properties (Table 6) that appear to have a  
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14 509 physical basis. For instance, it could be inferred from Table 6 that for rainfall-triggered events,  
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16 510 the greater the median flow path distance to the stream, the higher the  $r_{\text{Spearman}}$  for rainfall-  
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19 511 triggered events— illustrating the strength of the hydrological input-output relationship. Flow  
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21 512 path distance to the stream is a good surrogate measure for hydrologic proximity, which is a  
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24 513 precursor to identifying which parts of the catchment are the most likely to be connected to the  
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26 514 channel and contribute to streamflow (Ali and Roy, 2010). It can be hypothesized that the  
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29 515 greater the median flow path distance to the stream, the more likely that remote catchment  
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31 516 areas will be connected to the stream when specific hydrological conditions are reached or  
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34 517 exceeded; hence the stronger the input-output relationship and the weaker the threshold  
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36 518 effect. When dynamic storage deficits were not considered, catchments with higher mean  
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39 519 temperatures were also associated with greater rainfall thresholds. This correlation needs to be  
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41 520 interpreted with caution since temperature and precipitation are highly correlated for the  
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44 521 North-Watch catchments (Carey *et al.*, 2010). In the absence of water limitation, one hypothesis  
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46 522 is that catchments in warmer climates are subjected to greater evaporation, hence the higher  
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49 523 critical water input value needed to generate significant quickflow. However, this hypothesis is  
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51 524 difficult to verify at the event scale. When storage deficits were considered, input-output  
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54 525 relationships were stronger in the high-elevation and mostly headwater sites, confirming the  
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56 526 tight coupling between input and outputs in smaller catchments. The rainfall threshold value  
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4 527 was correlated with the median sub-catchment area, which suggests that threshold dynamics  
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6 528 are not influenced by the basin's total drainage area but rather by the spatial organization and  
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9 529 topology of hydrological response units (Buttle, 2006). This is consistent with previous scaling  
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11 530 work which revealed that streamwater mean residence time was unrelated to basin area, but  
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14 531 rather strongly controlled by internal distributions of flowpath length and gradient or drainage  
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16 532 density (McGuire *et al.*, 2005) or by soil typology (Hrachowitz *et al.*, 2009). It is, however, worth  
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19 533 noting that the differences between controls on rain-only events and those on rain+snowmelt  
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21 534 events might be due to the small number of sites ( $n \leq 9$ ): slight changes in the ranking of  
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24 535 catchments according to their threshold values for different types of events can indeed lead to  
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26 536 significant differences in the computed Spearman rank  $\text{corr}_{\text{catchment}}$  values.  
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## 32 33 34 539 **4.2 How do our results compare to previous threshold studies?**

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### 37 38 39 541 **4.2.1 Threshold types and values**

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44 543 Some of the runoff initiation and effective precipitation input thresholds reported here  
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46 544 fell within the range of previously published data for rainfall-triggered events (Table 4) while  
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49 545 others were well above this range. Most threshold values reported in the literature at the  
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51 546 hillslope scale range from 55 mm or less (Whipkey, 1965; Mosley, 1979; Tani, 1997; Buttle *et al.*,  
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54 547 2004; Uchida *et al.*, 2005, 2006; Tromp-Van Meerveld and McDonnell, 2006a) whereas  
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56 548 catchment-scale studies (Graham and McDonnell, 2010; Penna *et al.*, 2011) have identified  
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4 549 input rainfall threshold values that cover a wider range than hillslope studies (e.g., 23 mm in a  
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6 550 1.9 km<sup>2</sup> headwater catchment in the Italian Alps; 8.5 mm in a 3.8 ha catchment at Maimai; 56+  
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9 551 mm at one of the North-Watch sites, HJ Andrews). Graham and McDonnell (2010) found that  
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11 552 the rainfall threshold could vary from 0 mm to 83 mm at HJ Andrews depending on antecedent  
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13 553 drainage within two nested 9 to 101.3 ha sub-watersheds. In previously published studies,  
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16 554 thresholds for hillslope runoff initiation have been found to be greater than runoff initiation  
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18  
19 555 thresholds for the catchments these hillslopes reside in. This is likely due to the fact that  
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21 556 additional geomorphic features at the catchment scale (i.e., riparian zones) are closely linked to  
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23  
24 557 the channel and show more immediate connection to catchment flow response. McGlynn and  
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26 558 McDonnell (2003) showed strong hysteresis in streamflow response to storm rainfall, whereby  
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29 559 rising groundwater levels in riparian zones occur before the rising limb of the storm hydrograph  
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31 560 and the threshold-like hillslope response precedes the falling limb of the storm hydrograph.  
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34 561 Thus, larger catchment-scale runoff initiation thresholds can also be attributed to the variable  
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36 562 buffering potential of the riparian zone (McGlynn and McDonnell, 2003). Similarly, Tetzlaff *et al.*  
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39 563 (2014) found that a peatland riparian zone in a sub-catchment of the Girnock provided runoff  
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41 564 responses to small events (>3mm), but only in larger events (>30mm) did surrounding hillslopes  
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44 565 connect and produce a non-linear increased runoff response. These findings are broadly  
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46 566 consistent with those of the present study.  
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#### 568 4.2.2 *Shapes of nonlinear input-output relationships*

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4 570 Previous studies have shown different shapes of nonlinear hydrological behaviours such  
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6 571 as the hockey stick (e.g., Weiler *et al.*, 2005; Tromp-Van Meerveld and McDonnell, 2006a; Detty  
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9 572 and McGuire, 2010), the Heaviside or step function (e.g., James and Roulet, 2007) or the sigmoid  
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11 573 function (e.g., Zehe *et al.*, 2007); nevertheless reasons behind those different shapes have not  
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14 574 been explained. At the hillslope scale, input-output relations from a range of hillslopes were  
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16 575 shown to fit the hockey stick shape with the only nuance that the slope of the relationship after  
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19 576 the threshold varied among the sites (Weiler *et al.*, 2005). In this paper, a single  
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21 577 conceptualization of nonlinear behaviour (the hockey stick) was applied to all nine catchments  
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24 578 for the sake of simplicity and site comparison and not necessarily because similarities in  
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26 579 underlying processes, connectivity structure between landscape units or storage capacities were  
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29 580 assumed across the nine sites (following the logic of Lehmann *et al.*, 2007). Surprisingly,  
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31 581 however, when strong nonlinear input-output relationships were present in the data, the  
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34 582 hockey stick conceptualization seemed appropriate to portray the catchments' hydrological  
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36 583 behaviour (e.g., Figure 4). When thresholds were identifiable, the  $R^2$  (that is correlated to the  
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39 584 slope) of the input-output relationship above the threshold was specific to each catchment, as  
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41 585 found by Weiler *et al.* (2005) at the hillslope scale. As for the input-output patterns labelled as  
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44 586 "unclear" (Table 4), it was not possible to say from a visual assessment alone whether other  
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46 587 types of nonlinear functions would have fitted the data better and led to the identification of  
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49 588 hydrologic thresholds.

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54 590 *4.2.3 Threshold identification methodology*55  
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4 592 The largest methodological challenge in this study was the visual identification of  
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6 593 nonlinear behaviours from scatter plots, namely the identification of the critical input value as  
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9 594 the first point where the input-output "curve" departs from zero or a given minimum level in  
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11 595 Figures 2-5. While previous hydrologic studies showed rather clear input-output threshold  
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14 596 relationships due to less data points or clearer dynamics at the hillslope scale, such was not the  
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16 597 case here where we identified the clearest inflection point in each scatter plot – when it existed.  
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19 598 On most plots in Figures 2-5, however, data points tended to cluster in a band around the  
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21 599 inflection point, thus suggesting a range of possible threshold values. For each catchment, the  
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24 600 thresholds reported in Table 4 were the highest among the range of possible values. While this  
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26 601 methodological choice likely led to a bias towards higher threshold values, it was assumed that  
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29 602 this bias would be consistent across all sites and would not change the ranking of the  
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31 603 catchments when sorted according to ascending threshold values.  
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36 605 Detty and McGuire (2010), who worked at one of the North-Watch sites (Hubbard  
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39 606 Brook), implied that well identified precipitation input thresholds should be associated with an  
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41 607 almost zero slope below the threshold and an  $R^2$  value close to 1 above the threshold. One can,  
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44 608 however, hypothesize that in the context of large and complex catchments, process-specific  
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46 609 thresholds likely combine to determine the overall switching "on and off" of runoff contributing  
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49 610 zones at the catchment scale, and this superimposition of process dynamics could lead to  
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51 611 piecewise (or hybrid) input-output functions. This is especially probable for cold-region  
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54 612 landscapes where snowmelt can significantly increase the amount of active source areas and  
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56 613 water inputs to the stream through a cascade of soil moisture storage thresholds, snowpack  
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4 614 water storage thresholds, and radiation intensity thresholds that influence the rate of ground  
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6 615 thaw. Such a superposition of storage and intensity thresholds would make any visual  
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9 616 assessment of precipitation-runoff response impossible and rather require a mathematically-  
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11 617 based detection method (Lintz *et al.*, 2011). Here it is suggested that in the case of complex  
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14 618 cold region catchments in particular, nonlinear and domain-dependent mathematical functions  
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16 619 should be examined with regards to their potential to account for multiple storage and/or  
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19 620 intensity thresholds driving the system over different possible ranges of inputs.  
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## 26 623 **5 Conclusion**

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31 625 The novel contributions of this study were to: (i) shift the focus from single humid  
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34 626 temperate catchments to a range of contrasting mid- to high-latitude catchments; (ii) test for  
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37 627 the existence of runoff initiation and effective precipitation thresholds in rainfall-driven versus  
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39 628 snowmelt-driven conditions; and (iii) investigate physiographic and hydroclimatic drivers behind  
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41 629 precipitation-runoff response. The work could be useful to up-scale or down-scale threshold  
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44 630 values based on drainage basin properties and hydroclimatic properties. Storm amount critical  
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47 631 values were quantified and out of the nine catchments investigated, one was characterized by a  
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49 632 linear input-output behaviour while the others were mainly associated with nonlinear  
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51 633 behaviours. The consideration of antecedent storage deficit slightly improved the ability to  
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54 634 characterize the different rainfall-runoff catchment dynamics. For rainfall-triggered events,  
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57 635 catchment hydroclimatic or physical characteristics such as the median flow path distance to  
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4 636 the stream, the mean annual air temperature or the median sub-catchment area were strong  
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6 637 predictors of either the strength of the hydrological input-output relationship or the actual  
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9 638 runoff initiation or effective precipitation threshold value identified for each site. The  
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11 639 characterization of snowmelt-runoff catchment dynamics was more difficult, however,  
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14 640 suggesting that the sole focus on input magnitude thresholds (i.e., storage thresholds) might be  
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16 641 insufficient to understand catchment behaviour when snowmelt constitutes a large portion of  
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19 642 the water input. Further studies are therefore needed to investigate the relative effects of  
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21 643 storage and intensity thresholds in northern regions where energy dynamics are critical in  
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24 644 runoff generation.

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841 **Table 1.** Selected characteristics of the North-Watch catchments. Evaporation and storage values are derived from annual water  
 842 balance estimates (Carey *et al.*, 2010). Q5 and Q95 are the flow values that are exceeded 95% and 5% of the time, respectively.  
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Catchment name	Gauging site	Coded name	Area (km <sup>2</sup> )	Mean elevation (m)	Relief (m)	Mean annual temperature (deg C)	Mean annual precipitation (mm)	Percentage of snow (%)	Mean annual evaporation (mm)	Mean annual runoff (mm)	Q5 (mm)	Q95 (mm)	Mean annual storage change (mm)
Strontian	Polloch	STR	8	340	740	9.08	2632	4	417	2213	30.08	0.1	206
Mharcaidh	Site 1	MHA	10	704	779	5.7	1222	20	326	873	10.56	0.39	146
Girnock	Littlemill	GIR	30	405	620	6.73	1059	10	453	603	8.96	0.02	175
Hubbard Brook	W3	HUB	0.41	642	210	6.41	1381	25	497	882	17.58	0.01	255
Sleepers River	W9	SLE	0.41	604	167	4.66	1256	25	510	743	10.11	0.01	336
H.J. Andrews	Mack Creek	HJA	5.81	1200	860	9.22	2158	40	412	1744	26.54	0.29	561
Wolf Creek	Granger	WOL	7.6	1700	750	-2.15	478	45	127	352	4.84	0.07	141
Dorset	Harp Lake 5	DOR	1.9	373	93	4.94	980	28	401	577	11.16	0	263
Krycklan	S7	KRY	0.5	280	72	2.41	651	40	323	327	6.42	0	191

845 **Table 2.** Data used for the identification of hydrological events for the North-Watch sites.

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Catchment name	Length of daily rainfall-runoff record used	Total number of events identified	Number of rainfall-only events	Number of snowmelt-driven events
Strontian	From 2-Jul-89 until 12-Dec-96	161	154	7
Mharcaidh	From 1-Jan-90 until 30-Jul-94	109	66	43
Girnock	From 1-Jan-72 until 18-Mar-94	543	370	173
Hubbard Brook	From 1-Oct-58 until 30-Sep-07	1080	663	417
Sleepers River	From 1-Oct-91 until 20-May-01	206	112	94
H.J. Andrews	From 17-Oct-98 until 21-Sep-04	105	61	44
Wolf Creek	From 8-Apr-98 until 4-Oct-08	82	52	29
Dorset	From 1-Nov-76 until 29-Apr-02	498	304	194
Krycklan	From 5-Oct-90 until 31-Dec-07	269	158	111

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848 **Table 3.** Catchment characteristics tested against the three metrics of hydrological behaviour  
 849 (i.e.,  $r_{\text{Spearman}}$  between hydrological inputs and outputs, threshold value, and  $R^2$  values for data  
 850 above the threshold value).  
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Variable name	Description
MeanTemperature	Mean annual daily temperature (deg C)
MeanPrecipitation	Mean annual total precipitation (mm)
PrctSnow	Mean percentage of total annual precipitation that falls as snow
MeanEvaporation	Mean annual total evaporation (mm); computations involved using the potential evaporation formula of Hamon (1961) and deriving actual values using a correction factor (Carey <i>et al.</i> , 2010).
MeanStorage	Mean annual storage (mm) derived using annual water balance estimates (Carey <i>et al.</i> , 2010)
Area	Catchment drainage area (km <sup>2</sup> )
MeanElevation	Mean elevation value (m) computed over the whole catchment area
Relief	Catchment relief (m)
BFI	Baseflow index - the long-term ratio of total baseflow to total streamflow
FDCS_lowflow	Slope of the flow duration curve computed between the 70 <sup>th</sup> and the 100 <sup>th</sup> percentiles
FDCS_intermediateflow	Slope of the flow duration curve computed between the 30 <sup>th</sup> and the 70 <sup>th</sup> percentiles
FDCS_highflow	Slope of the flow duration curve computed between the 0 <sup>th</sup> and the 30 <sup>th</sup> percentiles
ElevationAboveStream	Median elevation above the stream (m)
DistanceFromStream	Median flow path distance to the stream (m)
GradientToStream	Median gradient to the stream (m)
TransitTimeProxy	Median value of the ratio of flowpath length to flowpath gradient
D8Gradient	Median terrain slope computed using the D8 flow algorithm
DinfGradient	Median terrain slope computed using the D $\infty$ flow algorithm
d5	Downslope index; median value of the gradient towards the closest point which is at least 5 m (in altitude) below a target catchment pixel
SubcatchmentArea	Median sub-catchment area (km <sup>2</sup> )
UpslopeArea	Median upslope area (km <sup>2</sup> )
TWI	Median value of $\ln(a/\tan \beta)$ where $a$ is the upslope area per unit contour length and $\tan \beta$ is the D8 gradient for each catchment pixel
TWId5	Same as the TWI except that the downslope index gradient (5 m) is used as a slope surrogate instead of the D8 gradient

852 **Table 4.** Visually identified thresholds for the North-Watch sites. A threshold value is reported  
 853 when a nonlinear hydrological response can be discerned in Figures 2 to 5; the term “linear” is  
 854 used when a linear hydrological response (i.e., no inflection point) is detected, and the term  
 855 “unclear” is used when no definite hockey stick pattern can be observed. For linear responses, a  
 856 low threshold value of 1 mm (corresponding to the minimum precipitation event size) was used  
 857 for subsequent correlation analyses.

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	Threshold values (when they exist)			
	Hydrologic response		Hydrologic response and storage deficit	
	Rainfall-triggered (Figure 2)	Snowmelt-driven (Figure 3)	Rainfall-triggered (Figure 4)	Snowmelt-driven (Figure 5)
Dorset	~ 50 mm	~ 170 mm	~ 50 mm	~ 150 mm
Girnock	~ 80 mm	~ 60 mm	~ 60 mm	~ 50 mm
H.J. Andrews	~ 80 mm	Unclear	~ 60 mm	Unclear
Hubbard Brook	~ 90 mm	~ 120 mm	~ 80 mm	~ 110 mm
Krycklan	~ 50 mm	Unclear	~ 40 mm	~ 60 mm
Mharcaidh	Unclear	~ 30 mm	~ 36 mm	~ 25 mm
Sleepers	~ 100 mm	~ 180 mm	~ 80 mm	~ 180 mm
Strontian	Linear (1 mm)	Linear (1 mm)	Linear (1 mm)	Linear (1 mm)
Wolf Creek	Unclear	Unclear	~ 40 mm	Unclear

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4 861 **Table 5.**  $R^2$  values above the threshold for the nine North-Watch catchments. Asterisks (\*) flag  
5 862 catchments and event types for which no statistically significant  $R^2$  values above the threshold  
6 863 could be computed either due to the absence of a threshold or due to insufficient data (fewer  
7 864 than three event points above the threshold).  
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	$R^2$ values above the knot			
	Hydrologic response		Hydrologic response and storage deficit	
	Rainfall-triggered	Snowmelt-driven	Rainfall-triggered	Snowmelt-driven
Dorset	0.12	0.15	0.15	0.21
Girnock	0.59	0.39	0.56	0.47
H.J. Andrews	0.66	*	0.70	*
Hubbard Brook	0.54	0.40	0.61	0.48
Krycklan	0.53	*	0.39	0.18
Mharcaidh	*	0.14	0.14	0.13
Sleepers	0.90	0.79	0.65	0.7
Strontian	*	*	*	*
Wolf Creek	*	*	0.81	*

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867 **Table 6.** Spearman rank correlation ( $\text{corr}_{\text{catchment}}$ ) between the three metrics of hydrological  
 868 behaviour and catchment properties. Reported Spearman rank correlation coefficients are  
 869 significant at the 95% statistical level.  
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	Spearman r				Threshold value				R <sup>2</sup> above the threshold value			
	Hydrologic response		Hydrologic response and storage deficit		Hydrologic response		Hydrologic response and storage deficit		Hydrologic response		Hydrologic response and storage deficit	
	Rainfall-triggered	Snowmelt-driven	Rainfall-triggered	Snowmelt-driven	Rainfall-triggered	Snowmelt-driven	Rainfall-triggered	Snowmelt-driven	Rainfall-triggered	Snowmelt-driven	Rainfall-triggered	Snowmelt-driven
MeanTemperature					0.66							0.73
MeanPrecipitation												
PrctSnow												
MeanEvaporation												
MeanStorage												
Area												
MeanElevation	0.71					0.66						
Relief			0.67									
BFI												-0.72
FDCS_lowflow									-0.66			
FDCS_intermediateflow									-0.66			
FDCS_highflow												-0.72
ElevationAboveStream												
DistanceFromStream	0.81		0.83									
GradientToStream												
TransitTimeProxy												
D8Gradient												
DinfGradient												
d5												
SubcatchmentArea				0.88			0.81					
UpslopeArea												
TWI												
TWId5												

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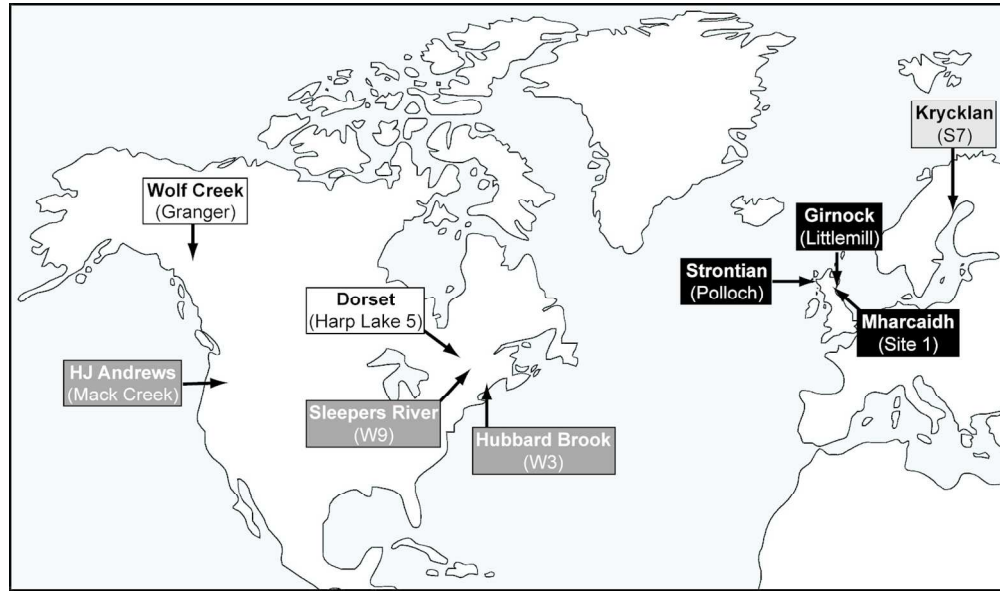


Figure 1. Location of the nine North-Watch catchments. White, light grey, dark grey and black rectangles signal Canadian, Swedish, US and Scottish catchments, respectively. Catchment names are reported in bold while specific site names (stream gauges) are mentioned in brackets.  
132x78mm (300 x 300 DPI)

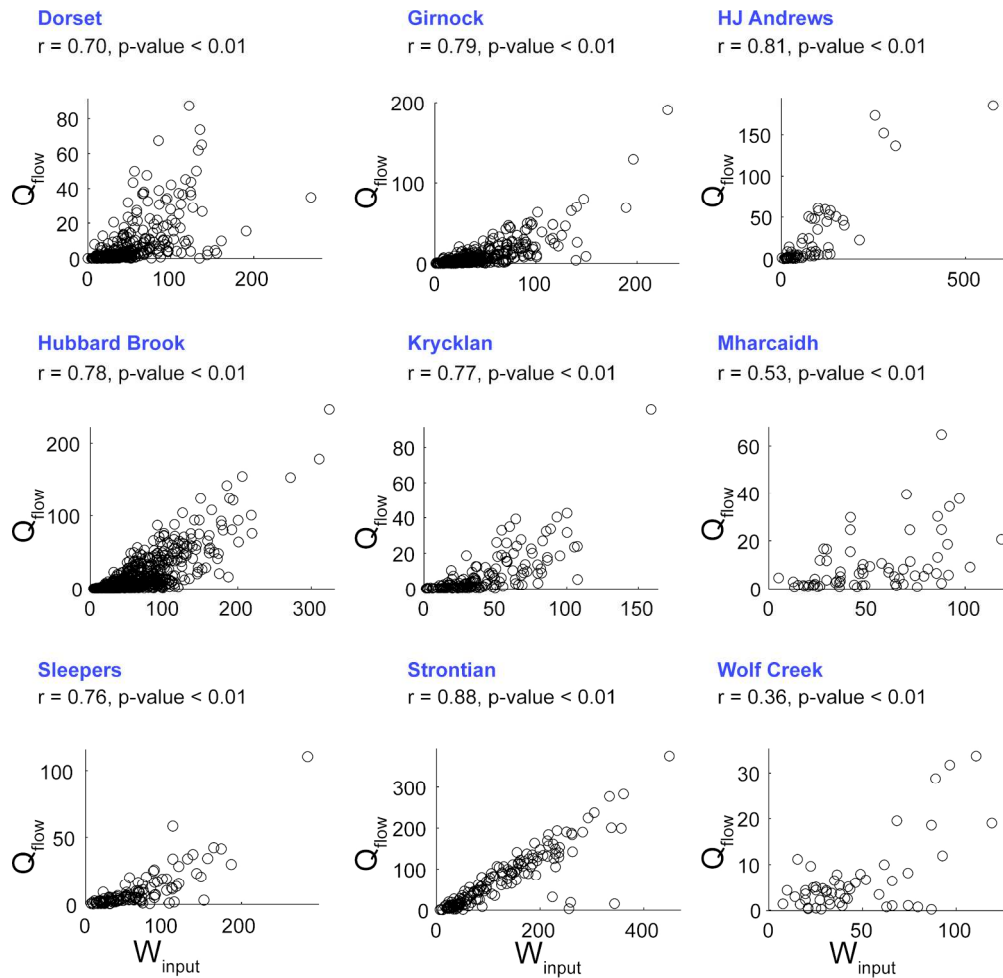


Figure 2. Total quickflow ( $Q_{\text{flow}}$ , mm) vs. total water input ( $W_{\text{input}}$ , mm) in the nine North-Watch catchments for rainfall-triggered events. The Spearman rank correlation coefficient ( $r_{\text{Spearman}}$ , abbreviated as "r") and its associated p-value are reported.  
187x181mm (300 x 300 DPI)



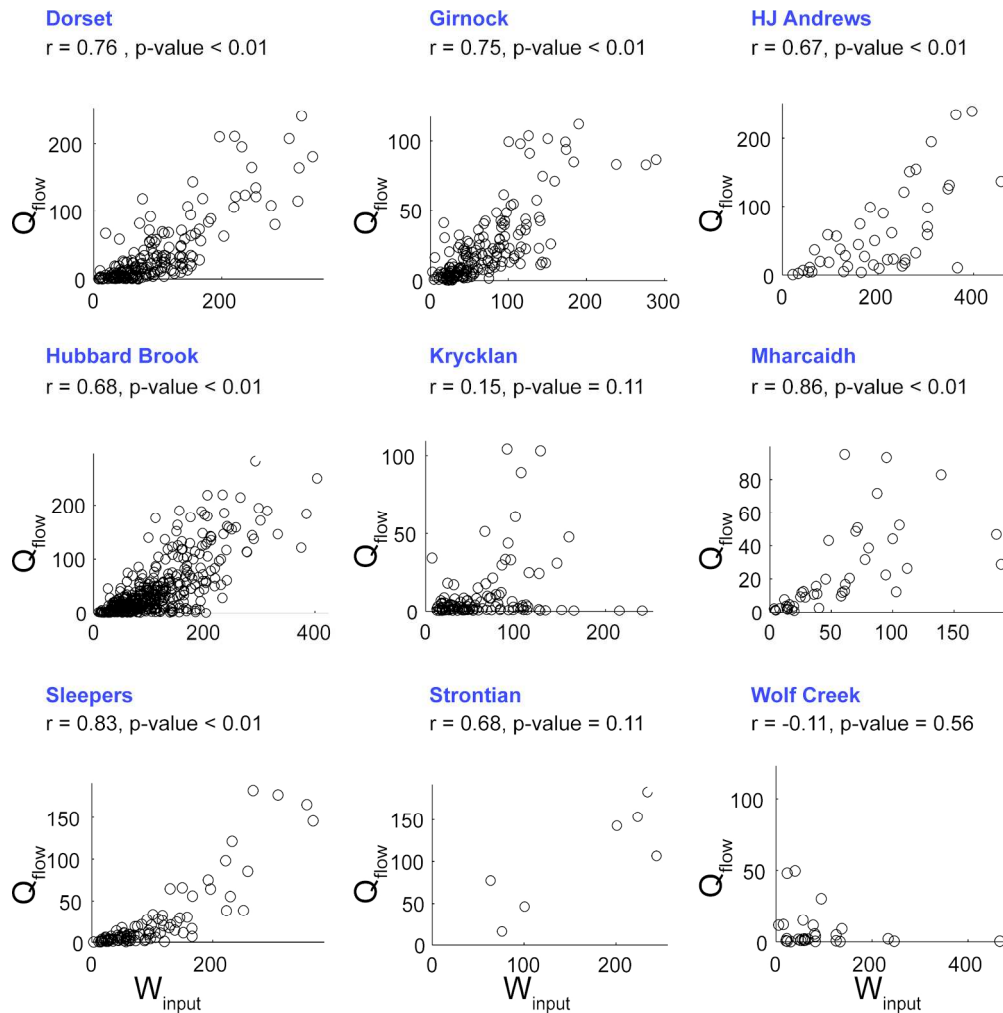


Figure 3. Total quickflow ( $Q_{\text{flow}}$ , mm) vs. total water input ( $W_{\text{input}}$ , mm) in the nine North-Watch catchments for snowmelt-driven events. The Spearman rank correlation coefficient ( $r_{\text{Spearman}}$ , abbreviated as "r") and its associated p-value are reported.  
180x181mm (300 x 300 DPI)

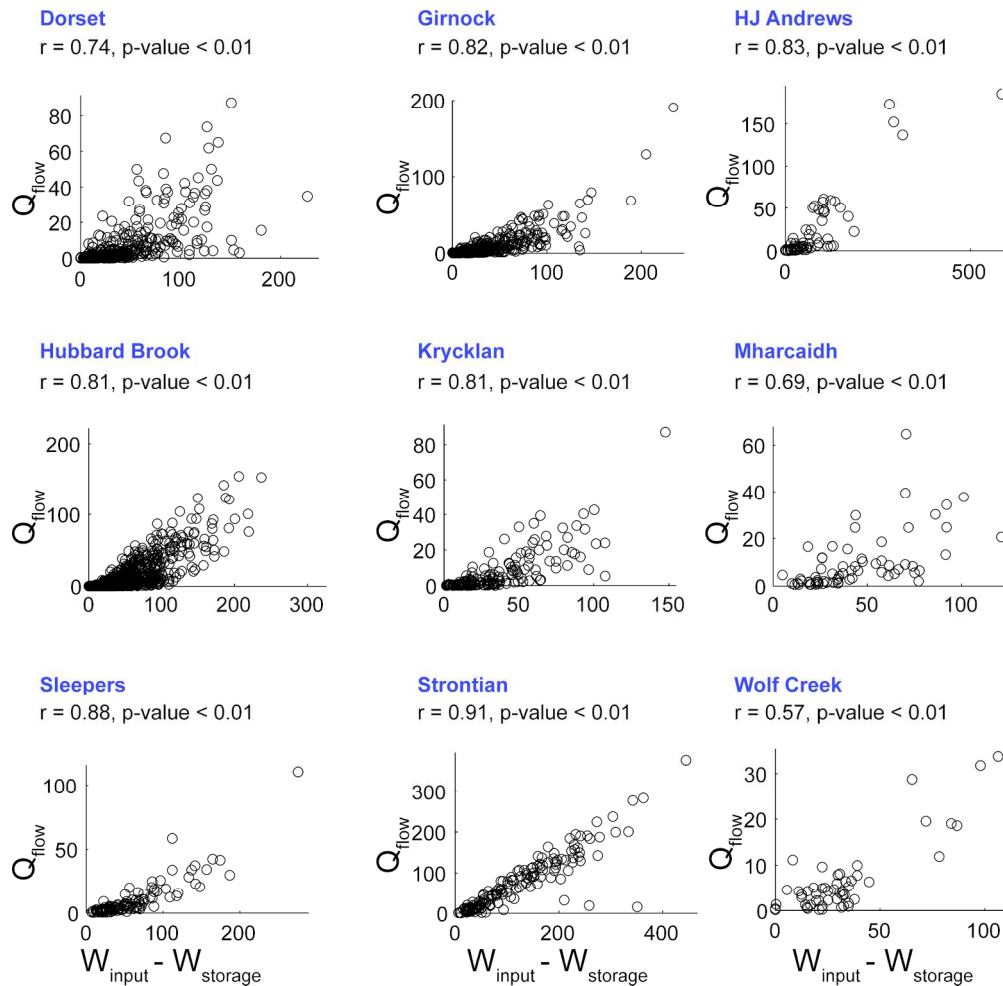


Figure 4. Total quickflow ( $Q_{\text{flow}}$ , mm) vs. effective water input ( $W_{\text{input}} - W_{\text{storage}}$ , mm) in the nine North-Watch catchments for rainfall-triggered events. The Spearman rank correlation coefficient ( $r_{\text{Spearman}}$ , abbreviated as "r") and its associated p-value are reported.  
183x178mm (300 x 300 DPI)

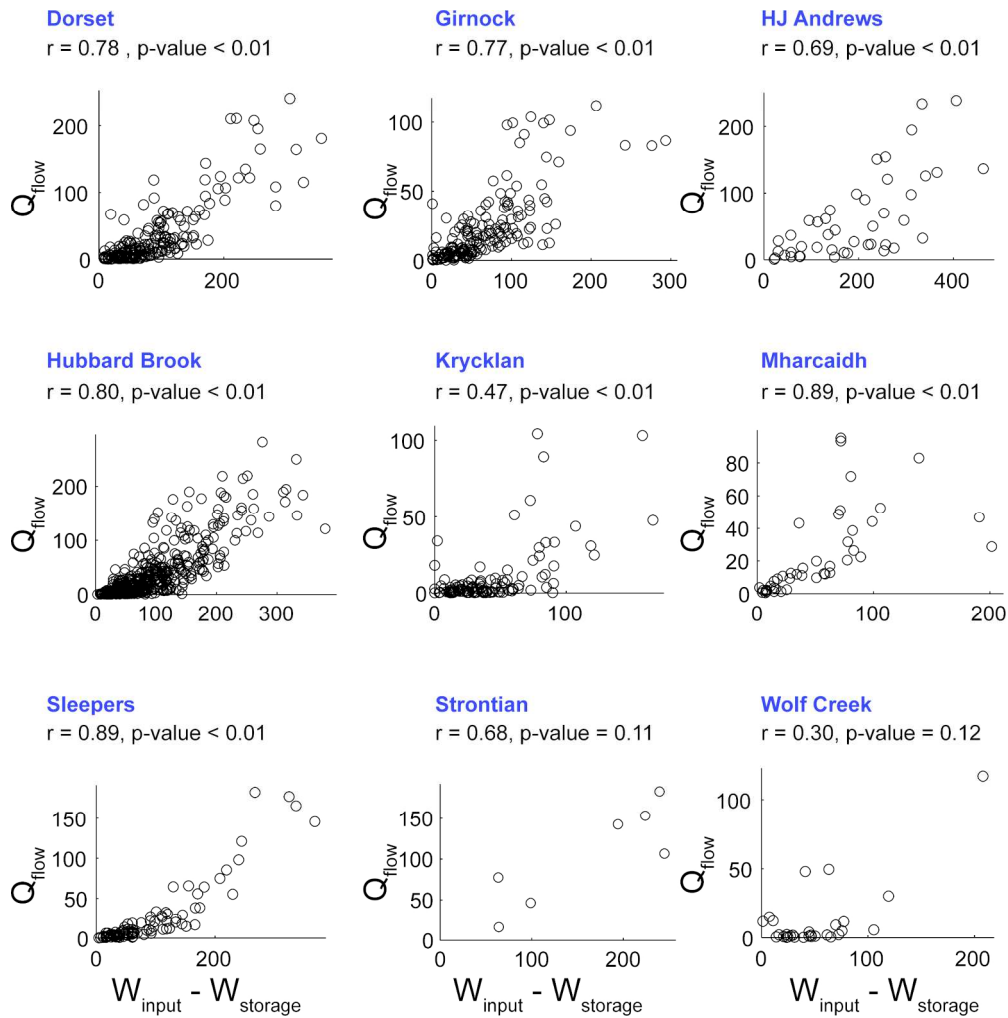


Figure 5. Total quickflow ( $Q_{flow}$ , mm) vs. effective water input ( $W_{input} - W_{storage}$ , mm) in the nine North-Watch catchments for snowmelt-driven events. The Spearman rank correlation coefficient ( $r_{Spearman}$ , abbreviated as "r") and its associated p-value are reported.  
178x178mm (300 x 300 DPI)