Crustal-scale shear zones and heterogeneous structure beneath the North Anatolian Fault Zone, Turkey, revealed by a high-density seismometer array 1 2,* 2,3 3 Metin Kahraman , David G. Cornwell , David Thompson , Sebastian Rost , Gregory 1 1 1 4 Houseman , Niyazi Türkelli , Uğur Teoman , Selda Altuncu Poyraz , Murat Utkucu $\,$ and Levent Gülen Kandilli Observatory and Earthquake Research Institute, Department of Geophysics, Boğaziçi University, 34684 Cengelköy, Istanbul, Turkey Department of Geology and Petroleum Geology, School of Geosciences, University of Aberdeen, King's College, Aberdeen, AB24 3UE School of Earth and Environment, University of Leeds, Leeds LS2 9JT, UK . University of Sakarya Department of Geophysics, Sakarya University, Esentepe Campus, 54187, Serdivan, Sakarya, Turkey * Corresponding author (Email: d.cornwell@abdn.ac.uk. Tel: +44 (0) 1224 3448) **Abstract**

Continental scale deformation is often localized along strike-slip faults constituting considerable

seismic hazard in many locations. Nonetheless, the depth extent and precise geometry of such

faults, key factors in how strain is accumulated in the earthquake cycle and the assessment of seismic hazard, are poorly constrained in the mid to lower crust. Using a dense broadband network of 71 seismic stations with a nominal station spacing of 7 km in the vicinity of the 1999 Izmit earthquake we map previously unknown small-scale structure in the crust and upper mantle along this part of the North Anatolian Fault Zone (NAFZ). We show that lithological and structural variations exist in the upper, mid and lower crust on length scales of less than 10 km and less than 20 km in the upper mantle. The surface expression of the NAFZ in this region comprises two major branches; both are shown to continue at depth with differences in dip, depth extent and (possibly) width. We interpret a <10 km wide northern branch that passes downward into a shear zone that traverses the entire crust and penetrates the upper mantle to a depth of at least 50 km. The dip of this structure appears to decrease west-east from ~90° to ~65° to the north over a distance of 30 to 40 km. Deformation along the southern branch may be accommodated over a wider (>10 km) zone in the crust with a similar variation of dip but there is no clear evidence that this shear zone penetrates the Moho. Layers of anomalously low velocity in the mid crust (20-25 km depth) and high velocity in the lower crust (extending from depths of 28-30 km to the Moho) are best developed in the Armutlu-Almacik block between the two shear zones. A mafic lower crust, resulting possibly from ophiolitic obduction or magmatic intrusion, can best explain the coherent lower crustal structure of this block. Our images show that strain has developed in the lower crust beneath both northern and southern strands of the North Anatolian Fault. Our new high resolution images provide new insights into the structure and evolution of the NAFZ and show that a small and dense passive seismic network is able to image previously undetectable crust and upper mantle heterogeneity on length lateral scales of less than 10 km.

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1. Introduction

44 Major continental strike-slip faults, such as the North Anatolian fault Zone (NAFZ) in Turkey or the 45 San Andreas Fault in the USA, are key elements in our understanding of plate tectonics. Such 46 faults are clearly defined at the surface but considerable uncertainty surrounds their structure in 47 the mid to lower crust and upper mantle, and specifically how deformation is focussed in shear 48 zones that are presumed to extend beneath seismically active fault planes (e.g., Handy et al., 2007; 49 Platt and Behr, 2011). An understanding of such fault systems (e.g., Pollitz et al., 2001) requires 50 characterisation of the structure and physical properties of the crust and upper mantle to 51 constrain the rheological parameters that determine how stress is redistributed during the 52 earthquake cycle (e.g., Hearn et al., 2009). Localized zones of relatively high or low viscosity can 53 have an important impact on this cycle (Yamasaki et al., 2014). 54 Modelling of geodetic deformation has provided some constraints on the physical variation of 55 creep parameters (e.g., Bürgmann and Dresen, 2008; Hearn et al., 2009; Kenner and Segall, 2003; 56 Wright et al., 2013), however, seismic imaging is the only method that can provide direct insights 57 into the structure of the crust and the variation of elastic properties within it, albeit at length 58 scales that are limited by the seismic wavelength. For instance, variations in Moho topography 59 have been used to argue for both diffuse deformation within the crust (Wilson et al. 2004) and 60 focussed fault structure in the upper mantle (e.g., Wittlinger et al., 2004). Such arguments require 61 careful control on the velocity variation within the entire crustal section (Schulte-Pelkum and Ben-62 Zion, 2012). While geodetic measurements reveal short-term strains caused by the current 63 earthquake cycle, seismological imaging can reveal details of the geological structures created by 64 the cumulative effect of many earthquake cycles. 65 In this study, we use teleseismic receiver functions (RFs) to image crust and upper mantle 66 structure across part of the NAFZ using seismological data recorded by a rectangular array with a 67 station interval of ~7 km that was deployed for 18 months.

The NAFZ is a 1500 km-long right-lateral transform fault that separates a westward moving Anatolia from a relatively stationary Eurasian plate (Fig. 1). Subduction along the Hellenic trench and collision of the Arabian and Eurasian plates results in a general westward movement of Anatolia at rates of 20-30 mm/yr relative to Eurasia (e.g. Barka, 1996; McClusky *et al.*, 2000; Reilinger *et al.*, 2006), with strain focussed on the NAFZ. Numerous major earthquakes have occurred during the last century (e.g. Barka, 1999); most recently in 1999 with epicentres at Izmit and Düzce (e.g. Barka *et al.*, 2002; Fig. 1). We installed a dense network (DANA - Dense Array for Northern Anatolia) of temporary broadband seismic stations across the NAFZ in the region of the 1999 Izmit rupture in order to create exceptionally well-resolved images of NAFZ crustal structure.

2. Geological Overview

The western NAFZ bisects a complex assembly of heterogeneous zones of differing crustal affinity, namely the continental fragments of the Istanbul-Zonguldak Zone (IZ) and the Sakarya Zone (SZ) (Fig.1) that form part of what is commonly referred to as the Pontides (e.g. Okay and Tüysüz, 1999). The IZ has a late Precambrian crystalline basement (Chen *et al.*, 2002; Yiğitbaş *et al.*, 2004; Ustaömer *et al.*, 2005) unconformably overlain by a continuous Ordovician-Carboniferous sedimentary succession (Görür *et al.*, 1997; Dean *et al.*, 2000). Carboniferous convergent deformation was followed by a Triassic marine transgression as the IZ formed part of the Laurasia passive continental margin (Okay, 2008) before Late Cretaceous back-arc spreading created the western Black Sea basin by rifting the present-day IZ southwards (Okay et al., 1994). The Intra-Pontide Ocean gradually closed by north-dipping subduction during Late Cretaceous-Early Eocene times (Okay and Tüysüz, 1999), forming the 400 km long east-west trending Intra-Pontide suture, which is now reactivated as the present-day trace of the NAFZ (e.g. Okay and Tüysüz, 1999; Sengor and Yilmaz, 1981; Okay, 2008). To the south, the basement of the SZ continental fragment consists

of widespread subduction-accretion complexes of Triassic age (Şengor and Yilmaz, 1981; Okay and Tüysüz, 1999). A Jurassic-Eocene sequence of clastic sedimentary, carbonate and volcanic rocks unconformably rests upon the high-grade metamorphic basement (Okay *et al.*, 1996; Pickett and Robinson, 1996; Okay and Tüysüz, 1999).

West of about 30.65°E, the NAFZ splits into northern (NNAF) and southern (SNAF) strands with ~16 and ~9 mm/yr slip, respectively (e.g. Stein *et al.*, 1997). The Armutlu-Almacik crustal block (AA) lies between the NAFZ strands and is comprised of SZ pre-Jurassic basement (typically Triassic subduction/accretion units), SZ Jurassic-Eocene sedimentary sequences, a Cretaceous-Palaeocene accretionary complex and metamorphic rocks of unknown age and origin (possibly IZ; Sengor and Yilmaz, 1981; Okay and Tüysüz, 1999; Okay, 2008). The Sakarya River, offset in a right-lateral sense by the NAFZ, has incised the SZ and AA and has played a prominent role in erosion and deposition of sub-aerial clastic sediments that fill Neogene-Quaternary pull-apart basins near Adapazarı and Düzce (Barka and Gülen, 1988).

The complex accretion history that predates the development of the NAFZ leads us to expect a complex crustal structure in this region, but identifying contrasts in structure from one crustal block to another may reveal the cumulative impact of fault displacements and distributed shear across the fault zone.

3. Previous Geophysical Studies

Previous RF studies (Zor et al., 2006; Vanacore *et al.*, 2013) east of the Marmara Sea (Fig. 1) determined crustal thickness in the study region to increase from west (29-32 km) to east (34-35 km), with an average crustal V_P/V_S of ~1.75 (Vanacore *et al.*, 2013). A recent study used transfer functions to find a similar crustal thickness (30-35 km) beneath the majority of the DANA network,

116 Two seismic refraction experiments that spanned the NAFZ (Fig. 1) reported similar crustal 117 thicknesses of 32±2 km in the west (Bekler et al., 2008) and ~38 km (Karahan, et al., 2001) in the 118 east of our study region, both sampling the AA, SZ and IZ blocks. Bekler et al. (2008) interpreted 119 an upper crustal layer (V_P=5.6-6.1 km/s) extending to ~5 km and lower crustal velocities of V_P=6.7-120 7.2 km/s, above a low velocity (V_P =7.6 km/s) upper mantle on the western line. On the eastern 121 line, Karahan et al. (2001) found similar crustal velocities (though the upper layer extends to ~12 122 km depth) and mantle velocities of ~8.1 km/s. These investigations also identified possible crustal 123 seismic discontinuities at depths of ~17 km and ~24 km. 124 The crust of the IZ and AA appears to have relatively high velocity and low attenuation based on 125 local earthquake tomography (Koulakov et al., 2010). Further along the NAFZ to the east (at 35°E, 126 41°N), local earthquake tomography revealed high-velocities (V_P =6.2-6.5 km/s at depths of 10 to 127 20 km) and large lateral variations in V_P/V_S ratios (1.72-1.80) in the mid-crust, attributed to varying 128 lithology and fluid content in the basement (Yolsal-Çevikbilen et al., 2012). 129 Body wave tomography based upon simultaneous full waveform inversion of regional and 130 teleseismic waves (Fichtner et al., 2013) and surface waves (Salaün et al., 2012) shows relatively 131 fast velocities ($\delta V_P = +2-3\%$; $\delta V_S = +3-4\%$) within the IZ lithosphere to depths of at least 60 km (Fichtner et al., 2013) and possibly to 100-150 km. These high velocities appear to abruptly 132 terminate beneath the NAFZ (Berk Biryol et al., 2011). Relatively slow upper mantle velocities 133 $(\delta V_S = -3-4 \%)$ underlie the SZ to the south of the NAFZ and a shallow asthenosphere is thought to 134 135 cause a broad region of low ($\delta V_s \le -2$ % or $V_s < 4.2$ km/s) velocity at depths ~100 km beneath the 136 majority of the NAFZ in Anatolia (Fichtner et al., 2013). 137 Magnetotelluric (MT) data also show first order differences in the conductivity structure of the

crust from south to north across the NAFZ (Tank et al., 2005). A highly resistive (>1000 Ωm) crustal

with exceptionally thick (40-45 km) crust beneath north-western stations (Frederiksen et al., 2015).

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basement in the IZ to the north and a moderately resistive crustal basement (>500 Ω m) in the SZ to the south flank a conductive (30-50 Ω m) region within the AA block that extends in depth between ~10 km and ~50 km, apparently into the upper mantle. This lower crustal conductive zone is interpreted as resulting from fluids created by metamorphic dehydration and/or partial melt in the upper mantle beneath the NAFZ (Tank *et al.*, 2005).

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4. Receiver Function Data and Calculation

The Dense Array for North Anatolia (DANA; Fig. 1), with a nominal inter-station spacing of 7 km and covering a region of 70 by 35 km along 6 north-south lines and 11 east-west lines (Fig 1), was operational from May 2012 until October 2013. An additional 7 stations formed a semi-circle of radius ~60 km around the rectangular array on its eastern side (Fig. 1). Three permanent stations of Boğaziçi University - Kandilli Observatory and Earthquake Research Institute/National Earthquake Monitoring Center (BU-KOERI/NEMC) contributed to the rectangular array. Using data recorded by DANA, we computed RFs from records of teleseismic earthquakes identified from the National Earthquake Information Center (NEIC) catalogue, with m_b>5.5 and angular distances of 30° to 90° (Fig. 2a). For completeness, RFs with maximum frequency of 1.2 Hz were estimated using two different techniques: the time domain iterative deconvolution approach (Ligorria and Ammon, 1999) (TDRFs); and extended-time multi-taper frequency domain cross-correlation (Helffrich, 2006) (MTRFs). TDRFs were used for H- κ stacking and depth migration analyses, whereas MTRFs were preferred for the RF inversion. We demonstrate the similarity and compatibility of the two RF calculation techniques in Supplementary Figure 1. A 2-way 2-pole high pass filter was applied to all RFs to suppress noise with frequencies less than 0.1 Hz. Calculated RFs were visually inspected and accepted if: 1) there is an absence of pre-signal noise (MTRFs only) on the radial and transverse RF; 2) transverse RFs show less (or comparable) amplitudes than radial RFs; 3) the

direct P-arrival is visible and close to predicted arrival time; and 4) there is no evidence of large amplitude oscillatory noise (i.e. "ringing"). This procedure resulted in post quality control TDRF and MTRF datasets consisting of 1363 and 2479 earthquake-station pairs, respectively. Figure 2 shows event locations, MTRF station stacks for all events used and RF stacks for the 6 sub-sections defined in Figure 3. The majority of recorded events occurred in an arc from north to east of the DANA array and few events sample other back-azimuthal directions (Fig. 2a). Such an event distribution limits the application of the dataset to RF azimuthal analysis (i.e. anisotropic or dipping layer) and we therefore focus here on the lateral variation in the isotropic crustal structure of this region (we also include regional back-azimuthal plots of radial and tangential MTRFs in Supplementary Figure 2).

The dense station spacing and event distribution provides excellent sampling of the crustal structure (Fig. 3). RF piercing point locations at a depth of 35 km were calculated using the IASP91 Earth model (Kennett and Engdahl, 1991) and RF stacks (Fig. 2c) were created by binning RFs by piercing point (Fig. 3), correcting for time moveout (to an angular distance of 65° using IASP91) and linearly stacking in six sub-regions. The sub-regions were chosen to examine first order variations in the crust defined by where the NAFZ dissects the three main crustal terranes: SW and SE stacks sample SZ crust to the south of the southern NAFZ branch; CW and CE stacks sample the central AA block defined by the NAFZ branches; and NW and NE stacks sample IZ crust north of the northern NAFZ branch.

5. H-к Stacking Method and Results

H-κ stacking (Zhu and Kanamori, 2000) was performed using stacks of between 97 and 285 TDRFs
 with frequencies of up to 1.2 Hz from each of the six sub-regions outlined in Figure 3. The

technique produces estimates of crustal thickness (H) and average crustal V_P/V_S (κ) by stacking amplitudes at the predicted times of the Moho P-S conversion and its multiples for different values of H and κ . An average crustal P wave velocity of 6.2 km/s was assumed, based on P wave velocity models derived from the nearby (Fig. 1) seismic refraction experiments of Karahan $et\ al$.

(2001) and Bekler et al. (2008).

The six regional H- κ stacks (Fig. 4) show minor variations in crustal thickness. We find H = 35 \pm 2 km with average crustal V_P/V_S of 1.73 \pm 0.05 for the SW stack (consisting of 111 TDRFs), in contrast to 34 \pm 1 km and 1.85 \pm 0.05 for the SE stack (171 TDRFs). Both regions show clear maxima in the H- κ stack, allowing precise determination of the crustal properties and good agreement between predicted traveltimes for Moho converted and reverberated energy.

The H- κ stack for the CE region (108 TDRFs) also shows a clear maximum, with a slightly greater crustal thickness of 37 ± 1 km but reduced average crustal V_P/V_S of 1.70 ± 0.04, whereas the CW stack (97 TDRFs) does not show a clear single maximum. We interpret the Moho from the maximum at H = 37 ± 1 km and V_P/V_S = 1.69 ± 0.04 but note a second maximum occurs at an H and V_P/V_S of 32 km and 1.82, respectively.

To the north of the northern NAFZ branch we find $H=37\pm1$ km and average crustal V_P/V_S of 1.73 \pm 0.05 for the NW stack (236 TDRFs) and $H=39\pm1$ km and average crustal V_P/V_S of 1.73 \pm 0.04 for the NE stack (285 TDRFs). A clear single maximum is observed in the NE $H-\kappa$ stack whereas another double maxima result is obtained for the NW region, with the secondary maximum at H=31 km and $V_P/V_S=1.86$. We also find a maximum in the NW stack corresponding to a phase conversion at 55-60 km that is absent in the other regional TDRF stacks, indicating possible sub-crustal structure.

Overall, the H- κ stacking analysis demonstrates that the crustal thickness increases from south (34-35 km) to north (37-39 km) and the crustal thickness in the IZ increases by 1-2 km thicker from

west to east across the DANA region, similar to estimates obtained by Frederiksen *et al.*, (2015). Estimates of average crustal V_P/V_S are typically ~1.70, except for an anomalous result of 1.85 in the SE sub-region.

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6. Neighbourhood Algorithm Inversion Method and Results

214 We inverted the six regional MTRF stacks for velocity structure using the neighbourhood algorithm 215 of Sambridge (1999). The inversion scheme attempts to find the velocity model that gives the best 216 fit between the synthetic RF generated from the model and the actual stack. For each inversion, a 217 seven layer parameter space (with search limits defined in Table 1) based upon the velocity model 218 of Bekler et al. (2008) was searched using 10001 iterations with 13 initial samples and 13 Voronoi 219 cells resampled at each iteration (see Sambridge, 1999 for details). 220 The inversion results for the six regional stacks are shown in Fig. 5 and Table 2. All inversion results 221 for the six regional stacks show a good fit (with chi-squared misfit 0.063-0.074) to the observed 222 MTRF stacks. We find evidence across the study region for a thin (<1.5 km) low velocity (V_S=1.8-2.3 223 km/s, V_P/V_S=1.72-1.96) layer at the surface underlain by a rapid transition to typical upper crustal 224 (3.3-3.5 km/s) S wave velocities and V_P/V_S ratios of 1.68-1.81 below depths of 2.2-5.9 km. All 225 regions show mid-crustal S wave velocities of 3.5-3.7 km/s (V_P/V_S=1.72-1.81), which may extend to 226 Moho depths in the NW, SW and SE regions. 227 In the CW, CE and NE regions, however, a 10-13 km thick, relatively high velocity (V_s =3.9-4.2 km/s; 228 V_P/V_S=1.67-1.74) lower crust is found, corresponding to a weakly positive conversion observed in 229 the migrated TDRF images described in the following section. The Moho discontinuity is 230 determined at depths between 33 and 39 km for the NW, NE, CW and CE regions, though ah more

gradational velocity increase (albeit less than 4 km thick) may exist at the Moho beneath the SW

and SE regions (Moho depths: NW=36.8 km; NE=38.7km; CW=36.7 km; CE=36.6 km; SW=32.6-35.6 km; SE=34.7-38.6 km). Uppermost mantle velocities were consistent in all inversion results at V_S =4.4-4.5 km/s and V_P/V_S =1.70-1.75.

In summary, the inversion results support the inference of a generally thicker crust beneath the AA and eastern IZ crustal terranes (36-39 km) compared to the SZ terrane (33-39 km), in generally good agreement with the H- κ stacking results. Velocities in the lower crust are generally greater beneath the AA and IZ terranes (though not for the western IZ). Mantle velocities are typically lower than the global average.

7. Receiver Function Depth Migration

7.1. Method

We created migrated depth images of discontinuities beneath the DANA array by binning TDRFs according to their piercing points at 35 km depth (e.g. Dueker and Sheehan, 1997). In order to construct representative 2-D profiles we used a bin width of 15 km (similar to an estimated first Fresnel zone width of 14.8 km) perpendicular to the profile and 7.5 km along profile and linearly stack TDRFs within each bin. We utilized a laterally varying velocity model defined by our MTRF inversion results (Table 2) in the migration process (profiles migrated with *H-κ* stacking velocity results are shown in Supplementary Figure 3 for comparison). We present two south-north and three west-east profiles through the sub-surface volume sampled by the DANA array (Fig. 6). The western and eastern profiles sample the southern and northern strands of the NAFZ, while the three east-west profiles sample the three major tectonic blocks in the study area (SZ, AA and IZ, respectively) (Figs. 1 and 3).

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256 Substantial lateral changes in the crust and upper mantle are evident along the ~100 km long 257 western profile (Fig. 6a). Little evidence for low velocity near surface sediments exists on the 258 southern section of the profile since the deconvolved P arrival aligns well with zero time. As the northern branch of the NAFZ is crossed the P arrivals are offset from zero time near the surface 259 260 location of the NNAF (Figs. 2 and 5a), most likely due to low velocity material filling a ~10-15 km 261 wide basin. Further to the north, the upper crust of the IZ is characterised by strongly negative 262 amplitudes, possibly denoting a low velocity layer (consistent with the findings of Frederiksen et 263 al., 2015). 264 In the central section (at distances of -10 to 15 km) of the western profile (Fig. 6a), pronounced negative TDRF amplitudes may indicate the top of a low-velocity layer in the mid-crust (~17 km 265 266 depth). This feature is confined to the AA block, as defined by the surface locations of the fault 267 branches, and is underlain by a positive conversion (at ~25 km depth) that most likely signifies an 268 increase in velocity and/or density in the lower crust. Strongly negative amplitudes occur 269 elsewhere in the lower crust (particularly at distances of -40 to -30 km and 20 to 35 km and depths 270 of 22-28 km). The major gap in this negative feature occurs immediately to the south of the SNAF 271 (at distances of -25 to -15 km), where TDRF amplitudes appear dimmed throughout the entire 272 crust (Fig. 6a). 273 The Moho can be clearly identified along the majority of the western profile at depths of ~32-34 274 km but its P-to-S conversion amplitude decreases from south to north (Fig 6a). An increase in 275 lower crustal velocity just south of the SNAF may explain the observed amplitude decrease (over a 276 horizontal distance of ~45 km). The Moho is deepest (~34 km) beneath the AA block and exhibits 277 decreased amplitude beneath the IZ block further north. The Moho can be traced to the end of

the profile at a depth of ~32-33 km despite its decreasing amplitude.

Deeper (sub-Moho) structures are clearly defined beneath the IZ block (distance 10 to 40 km) and are characterized by high TDRF amplitudes, both positive (at 45-50 km depth) and negative (60-70 km depth). These features commence and are shallowest within 5 km of the surface location of NNAF and deepen northwards to the end of the western profile. Sub-Moho conversions beneath the AA and SZ parts of the western profile are only weakly evident (Fig. 6a).

In general, both fault strands are clearly evident in the western section, coinciding with major changes in crustal and upper mantle structure. We observe localized relatively low amplitudes associated with both fault strands; notably migrated TDRFs within ~15 km of the SNAF (Fig. 6a, distance -25 to -10 km) have relatively low amplitudes and are relatively featureless compared to the higher amplitude signatures from the crust elsewhere beneath the section. The most likely cause of the low amplitude signals close to the fault traces is attenuation and/or scattering of the converted waves due to near-surface (or near-station) heterogeneity and/or deformation caused by faulting in the brittle upper crust.

7.3. Results: Eastern Profile

In contrast to the western profile, the crustal structure along the eastern profile (Fig. 6b) appears to be generally less complex with lesser amplitudes. The main evidence for near surface low velocity layers is found in the area north of the NNAF (north of 5 km distance) along this profile. In the AA (-15 to 0 km) and SZ (south of -25 km), we detect low migrated TDRF amplitudes, similar to those observed in the SZ of the western profile. Strongly negative amplitudes exist at depths of 10 to 13 km immediately south of the SNAF (distance -25 to -10 km) and at depths of 5 to 10 km at a distance of 15-20 km north of the NNAF, respectively, possibly indicating the top of a low velocity layer at these locations.

The most striking feature of the eastern profile (Fig. 6b) is a positive lower crustal conversion (at 25-28 km depth) that extends laterally for ~50 km (distance -25 to 20 km). It lies beneath a weak negative conversion at 18-23 km depth and appears to be the same discontinuity that can be identified on the western profile, corresponding to a region of increased velocity and/or density in the lower crust. However, instead of being confined to the AA crust, it appears to extend from -30 km to 20 km on the migrated profile. Moderate to strong negative amplitudes, perhaps indicative of a low velocity layer, characterize the lower crust to the north of this feature (25 to 45 km distance). In the SZ to the south of it (-40 to -30 km distance), migrated TDRF amplitudes appear weak throughout the lower crust.

The Moho dips to the south; with depths of 37-38 km, 36-37 km and 32-34 km beneath the southern (SZ), central (AA) and northern (IZ) sections of this profile, respectively. As in the western profile, Moho *P*-to-*S* conversion amplitudes decrease from south to north. Sub-Moho signals are weakly positive and negative north of distance 35 km (Fig. 6b), dipping northwards at depths of 45-50 and 50-55 km, respectively.

7.4. Results: Southern Profile

The three ~50 km long west-east profiles (Figs. 6c-e) all display clear evidence for lateral variations in crustal structure. The southern profile (Fig. 6c) samples the SZ crust and it appears less complex than the central and northern profiles described below. We do not find clear evidence for a near-surface low velocity layer but detect a moderately negative upper crustal conversion (10-15 km depth) in the eastern part of the profile (0 to 25 km distance).

High amplitude negative phases at -20 to -5 km distance may reveal a low velocity lower crust whereas positive conversions at a depth of ~30 km at positive profile distances likely correspond to the top of the high velocity and/or density lower crust as observed in the southern part of the

325 eastern profile (Fig. 6b).

> The Moho depth varies from ~32 km in the west of the southern profile (-20 to -10 km distance) to 36-38 km in the central and eastern sections (-5 to 25 km distance). It is characterized by strong Pto-S conversion amplitudes that decrease slightly in the central 10 km of the profile (Fig. 6c).

There is little evidence for sub-crustal heterogeneity beneath the southern profile.

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7.5. Results: Central Profile

The central profile (Fig. 6d) samples the AA block. There is no clear evidence for a substantial nearsurface low-velocity layer along this profile. The mid-crust, however, is dominated by high amplitude negative conversions that rise from a depth of ~17 km in the western part (-20 to 0 km distance) to $^{\sim}13$ km in the centre (distance -10 to 0 km). At distances of 5 to 30 km distance, the mid-lower crust displays weakly negative *P-S* conversions at 18 to 22 km depth.

A distinctive feature of this profile is the positive amplitude signal that defines the top of a variable thickness lower crustal layer at depths of ~27, ~22 and ~26 km in the western, central and eastern sections of the profile, respectively (Fig. 6d, dashed red line). Combined with a varying Moho depth, this relatively high velocity and/or high density layer at the base of the crust has a thickness of 6-13 km.

The Moho is predominantly at a depth of 31-33 km along this profile, but can reach ~35 km beneath at distances of -10 to 5 km. There is no clear evidence for sub-Moho arrivals along the central profile from the migrated TDRFs.

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7.6. Results: Northern Profile

The northern profile highlights strong east-west variations in the crustal structure of the IZ (Fig. 6e). TDRF *P* arrivals are offset from zero time (as observed in the IZ section of the western profile discussed in section 7.2) at distances of -20 to 0 km, indicative of a substantial (>2 km thick) near-surface low velocity layer. The upper and lower crust along the northern profile generally lacks any strong *P-S* converted energy, with near-zero amplitudes present in all but the easternmost TDRF migrated stack (at 15 km distance).

A relatively weak Moho *P*-to-*S* conversion is present at a depth of ~32 km in the centre of the profile (-10 km distance), deepening eastwards to ~35 km. Larger amplitude positive and negative conversions at occur at depths of 45-50 km and ~65 km, respectively in the western part of this profile (Fig. 6e, -30 to -10 km distance). These sub-Moho arrivals, also identified in the northern parts of the eastern and western profiles, possibly extend eastwards with greatly reduced amplitudes.

8. Discussion

The short station separation (~7 km) of the DANA array has enabled us to detect strong variations in crustal structure and properties despite the relatively small footprint of this study compared to other broadband seismological studies. *H-κ* analysis and neighbourhood algorithm inversion results indicate structural changes both in north-south (across the surface expression of the faults) and east-west directions. The Moho can be detected in most regions and shows measurable variations in depth and velocity contrast on scale lengths of 5 to 10 km. Our high resolution migrated RF images (Fig. 6) detail a heterogeneous crust and upper mantle, with the main structural changes correlated to the surface expression of the NNAF and SNAF strands.

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The northern branch of the North Anatolian Fault Zone (NNAF) was the locus of the disastrous 1999 Izmit earthquake (Fig. 1). The migrated RF images show truncations of crustal P-S converted phases in the AA and IZ terranes across the NNAF, respectively, and a disturbed Moho conversion is observed over a fault-perpendicular width of <10 km. Local earthquakes recorded during the DANA deployment (Altuncu Poyraz et al., 2015) show that seismicity clusters in the upper 15 km of the crust vertically above these truncations and is strongest where co-seismic displacement of the 1999 event (Feigl et al., 2002) is greatest. Continuing shear on the NNAF in the lower crust presumably occurs aseismically. Truncations of upper mantle lateral features vertically beneath the surface expression of the NNAF (Figs. 6a and 6b) suggests that localized shear of the NNAF to depths continues to depths of at least 50 km. Although lateral resolution of the RF migrated profiles limits our ability to discriminate between a fault or shear-zone with widths of less than ~10 km, the western profile (Fig. 6a) shows clear and direct evidence for a narrow zone of shear beneath the NNAF that passes through the entire crust and into the lithospheric mantle. The width of this shear zone (<10 km) is similar to the width of the most seismically active region in the upper crust (Fig. 6a; Altuncu Poyraz et al., 2015) and is likely to be near vertical (Fig. 6a). Along the eastern profile, truncated structures throughout the entire crust can also be identified. In contrast to the western profile, these truncations are displaced to the north of the surface trace of the NNAF by ~5 km in the upper crust, ~15 km in the mid-lower crust and 25-30 km in the upper mantle (Fig. 6b). In addition, a decrease in the depth and amplitude of the Moho occurs along this profile at ~15 km north of the NNAF (Fig. 6b), perhaps related to a change in (lower) crustal velocity. The upper mantle structure observed beneath the IZ is similar to that truncated by the NNAF on the western profile but these structures are not continuous and are situated at the limits of our resolution (Fig. 6b).

The local seismicity along the eastern profile (Altuncu Poyraz *et al.*, 2015) is more diffuse, probably indicating a wider zone of upper crustal deformation than in the west. The more diffuse seismicity, northward offset truncations of upper and lower crustal features and coherent lower crustal positive amplitudes directly beneath the NNAF provide evidence that the NNAF at this longitude passes through the crust as a 15-25 km wide zone of deformation. An equally plausible interpretation of these observations is that a narrow (7-10 km wide) NNAF shear zone dips northward at ~65°, traced by the truncated crust and upper mantle converted phases (Fig. 6b). This interpretation is shown schematically in Fig. 7b.

8.2. The southern fault strand (SNAF)

Truncation of mid- and lower crustal AA block structures could be evidence for a SNAF that cuts through most of the crust on the western profile (Fig. 6a). However, laterally continuous Moho conversions may indicate that the SNAF does not pass into the upper mantle beneath this area. A ~6 km wide region of relatively deep (<20 km) seismicity (Altuncu Poyraz *et al.*, 2015) may show a narrow sub-vertical fault zone extending into the mid-crust. Alternatively, a ~15 km wide region of anomalously low RF amplitudes and a cluster of events at shallower depths (<13 km) south of the SNAF topographic low may signify a wider (<15 km) deformation zone within the crust (Fig. 6a).

Clear upper crustal polarity changes on the eastern profile coincide with the depth extent (<18 km) and location of locally high rates of seismicity centred below the 'V' shaped valley that hosts the SNAF (Fig. 6b). Deeper in the mid-lower crust, however, negative (at ~20 km depth) and positive (at ~27 km depth) *P-S* conversions are continuous from ~15 km south of the SNAF to ~20 km north of the NNAF. If the ~15 km southward offset in these truncations is attributed to a continuous

linear shear zone from the SNAF surface expression, a dip of ~65° southwards could be inferred

(Fig. 6b). On the other hand, weaker Moho *P-S* conversions beneath the SNAF-related seismicity (at -25 to -5 km distance) may provide evidence for a sub-vertical zone of diffuse shear whose width increases with depth beneath the SNAF (Fig. 6b). These contrasting interpretations are shown in Fig. 7.

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8.3. East-west structural variation of terranes and fault zone

423 The observed contrast in properties between IZ and SZ terranes was anticipated since the Intra-424 Pontide suture marks the boundary between major crustal blocks of different provenance (e.g. 425 Okay and Tüysüz, 1999). We also demonstrate previously unknown east-west variations in crust 426 and upper mantle structure within each of the IZ and SZ terranes that are of similar magnitude to variations observed across the NAFZ. 427 428 We find evidence for a major structural boundary separating east and west parts of the IZ terrane 429 beneath the DANA array (Fig. 6e), a concept that may be supported by a markedly different 430 sedimentary deposition record in the the study region (e.g. Okay et al., 1996). A southwest to northeast trajectory separating these two regions would bound, if continued towards the Black 431 432 Sea, the northern edge of the exposed pre-Cambrian basement of the IZ (Yiğitbaş et al., 2004) and 433 the near-linear southern Black Sea coastline near Zonguldak (Fig. 1). 434 Comparing crustal thickness of the western and eastern IZ, the NA inversion finds the east slightly 435 thinner; the H- κ algorithm finds the opposite, but also finds a secondary maximum for the NW 436 region. These differences may be attributed to greater velocities in the lower crust of the NE

region (Fig. 6). The sub-Moho structure at depths of 40-60 km beneath the IZ in our study region

could be the signature of remnant under-thrust oceanic or continental crust from the closure of an

Intra-Pontide ocean (e.g. Robertson and Ustaömer, 2004). It could also represent crust thickened

by an older tectonic event, such as thrusting and subduction related to the closure of the Tethys (e.g. Şengör and Yilmaz, 1981).

In comparison, the SZ crust and upper mantle are less complex and might be considered the stable block within the region. Our constrained crustal thickness estimates of 33-37 km (*H-κ*), 31-38 km (migrated RF images) and 36-39 km (inversion) are broadly consistent with each other and with previous seismic refraction experiments (32±2 km, Bekler *et al.*, 2008 and ~38 km, Karahan, *et al.*, 2001) but slightly deeper than previous estimates of 29-35 km using RFs (Zor *et al.*, 2006; Vanacore *et al.*, 2013). However, a first order change in crustal RF signature from west to east (Fig. 6c) suggests the possibility of two different crustal terranes comprising the SZ within the study region.

The possible change in dip of both SNAF and NNAF fault strands, from near vertical to ~65° over an east-west distance of only 25 km, is intriguing (Figs. 6a, 6b and 7) and further work is required to determine whether it may be a natural consequence of the two surface fault strands converging or that the NAFZ has locally re-activated a relatively complex structure inherited from the Intra-Pontide suture (e.g. Zor *et al.*, 2006).

8.3. Inferences for crustal rheology

We infer low velocities in the mid-crust in the AA block at depths of 15-23 km in the west and 19-27 km in the east of our study area (Fig. 6d) that correspond approximately to a mid-crustal low-viscosity zone interpreted by Yamasaki *et al.*, (2014) in order to explain geodetic measurements of deformation before and after the 1999 Izmit earthquake.

The lower crust of the AA zone has a relatively high velocity; such velocities are typically interpreted as mafic granulite or as a layer containing solidified magmatic intrusions (e.g. Cornwell

et al., 2010). Lower crustal magmatic intrusions are less commonly described in large scale continental strike slip settings, although northern parts of the onshore San Andreas fault also exhibit a high velocity lower crust attributed to mafic intrusions (e.g. Henstock et al., 1997). High velocities in the lower crust of the AA block may also be compatible with the visco-elastic earthquake cycle model of Yamasaki *et al.* (2014) for which high viscosities in the lower crust are also inferred.

9. Conclusions

The high resolution imaging afforded by the dense station distribution of the DANA array allows unprecedented insight into the fine scale structure of a continental strike slip fault, detecting lateral changes in crustal structure over less than 10 km. Based on the structures detected in this study the two fault branches (NNAF and SNAF) of the North Anatolian Fault Zone appear to trace the locations of crustal-scale shear zones developed during the long history of displacement events. Truncation of crustal and upper mantle features in the RFs allows the depth extent of the faults mapped at the surface (or the narrow shear zones that extend down beneath them) to be determined.

The western parts of our study area show both fault zones dipping steeply. We can trace the NNAF into the upper mantle to a depth of at least 50 km, while the structure beneath the SNAF is more diffuse, a feature that may be associated with the observed lesser long-term strain rate on the southern strand. Only 25 km further east we interpret a much shallower dip of both fault strands. The NNAF in the lower crust could be as narrow as 10 km, while the SNAF is probably more diffuse and we do not see clear evidence that it cuts the Moho.

Furthermore, we have shown that lithologic variations exist in the upper, mid and lower crust over distances of less than 10 km and in the upper mantle over distances of less than 20 km. A simple interpretation of these variations is that they arise from the juxtaposition of crustal blocks from different provenances across relatively narrow shear zones. Localization of viscous strain can also produce the observed variations in density and depth of seismicity on and around the NAFZ. The identification of differences in dip, depth extent and (possibly) width of the two NAFZ branches along strike in the study region could indicate that greater strain localization has occurred on the northern strand of the NAFZ. The AA block between the two fault strands is characterized by a clearly distinct mid-crustal low velocity layer overlying a relatively high velocity lower crust.

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Layer	Min.	Мах.	Min. V_S	Max. V _s	Min. V _s	Max. V _s	Min.	Мах.	Q_P	Qs
	thickness	thickness	top	top	base	base	V_P/V_S	V_P/V_S		
	(km)	(km)	(km/s)	(km/s)	(km/s)	(km/s)				
1	0	5	1.75	2.50	1.75	2.50	1.67	2.00	300	150
2	0.5	5	2.50	3.30	2.50	3.30	1.67	1.82	1450	600
3	0	15	3.30	3.50	3.30	3.50	1.67	1.82	1450	600
4	0	15	3.40	3.60	3.40	3.60	1.67	1.82	1450	600
5	0	15	3.50	3.70	3.50	3.70	1.67	1.82	1450	600
6	0	15	3.90	4.20	3.90	4.20	1.67	1.82	1450	600
7	5	30	4.45	4.70	4.45	4.70	1.70	1.75	1450	600

Table 1 – Details of the neighbourhood algorithm parameter space searched for the non-linear inversion for S-wave velocity structure (V_P , V_S , Q_P and Q_S denote P- and S-wave velocity and seismic quality, respectively).

	North-West RF Stack (NW)				North-East RF Stack (NE)				
Layer	Thickness (Depth)	V _S top	V _s base	V_P/V_S	Thickness (Depth)	V _S top	V _s base	V_P/V_S	
	(km)	(km/s)	(km/s)		(km)	(km/s)	(km/s)		
1	1.2 (1.2)	1.77	1.91	1.72	0.8 (0.8)	1.76	2.21	1.96	
2	2.4 (3.5)	2.82	3.22	1.68	1.2 (2.0)	2.66	3.14	1.68	
3	9.0 (12.5)	3.48	3.47	1.74	1.8 (3.8)	3.32	3.41	1.68	
4	9.3 (21.8)	3.60	3.44	1.72	9.7 (13.6)	3.56	3.49	1.82	
5	14.9 (36.8)	3.53	3.67	1.74	14.1 (27.6)	3.59	3.65	1.76	
6	14.3 (51.1)	3.91	4.19	1.82	11.1 (38.7)	3.92	4.13	1.74	
7	23.6 (74.7)	4.46	4.45	1.74	16.0 (54.7)	4.46	4.46	1.75	
	Central-West RF Stack (CW)				Central-East RF Stack (CE)				
Layer	Thickness (Depth)	V _s top	V _s base	V_P/V_S	Thickness (Depth)	V _s top	V _s base	V_P/V_S	
	(km)	(km/s)	(km/s)		(km)	(km/s)	(km/s)		
1	1.2 (1.2)	2.25	1.88	1.89	1.3 (1.3)	2.46	2.06	1.73	
2	1.1 (2.2)	3.12	3.13	1.76	0.7 (2.0)	3.03	2.90	1.81	
3	3.7 (5.9)	3.44	3.36	1.81	2.1 (4.2)	3.34	3.44	1.77	
4	4.1 (10.0)	3.60	3.56	1.73	13.6 (17.8)	3.60	3.58	1.81	
5	14.2 (24.2)	3.59	3.70	1.80	9.3 (27.1)	3.51	3.64	1.73	
6	12.5 (36.7)	3.91	4.19	1.72	9.6 (36.6)	3.91	4.10	1.67	
7	12.7 (49.4)	4.46	4.45	1.70	8.2 (44.8)	4.46	4.51	1.74	
	South-West RF Stack (SW)				South East RF Stack (SE)				
Layer	Thickness (Depth)	V_s top	V _s base	V_P/V_S	Thickness (Depth)	$V_{\rm S}$ top	V _s base	V_P/V_S	
	(km)	(km/s)	(km/s)		(km)	(km/s)	(km/s)		
1	1.4 (1.4)	2.28	1.97	1.87	1.1 (1.1)	1.95	2.33	1.74	
2	2.2 (3.7)	2.98	3.24	1.78	1.1 (2.2)	2.96	2.89	1.76	
3	6.6 (10.3)	3.41	3.50	1.68	12.7 (15.0)	3.33	3.45	1.81	
4	14.9 (25.2)	3.52	3.50	1.81	8.0 (23.0)	3.58	3.45	1.76	
5	7.4 (32.6)	3.53	3.68	1.81	11.7 (34.7)	3.50	3.68	1.80	
6	3.0 (35.6)	4.11	4.08	1.73	3.9 (38.6)	4.16	4.20	1.82	
7	26.6 (62.2)	4.45	4.52	1.71	7.5 (46.2)	4.46	4.46	1.70	

Table 2 – Best-fitting receiver function (RF) neighbourhood algorithm inversion results. Final velocity and thickness parameters are shown for each of the seven layers for each of the six regional RF stacks (V_P and V_S denote P- and S-wave velocity, respectively) (Figs. 2 and 3). Northwest and northeast stacks sample Istanbul-Zonguldak Zone (IZ) crust; central west and central east stacks sample Armutlu-Almacik (AA) block crust; south west and south east stacks sample Sakarya Zone (SZ) crust (Figs. 2 and 3).

Figure Captions

Figure 1 –Locations of seismometer stations for the DANA array (green triangles) and previous seismic refraction experiments (as indicated in the legend), segments of the North Anatolian Fault zone (red) and major tectonic terranes (IZ: Istanbul-Zonguldak zone; AA: Almatlu-Almacik zone; and SZ: Sakarya zone). The inset map shows the regional tectonic setting.

Figure 2 – Earthquake locations and representative receiver function stacks. a) Earthquakes with m_b>5.5, occurring during the deployment of the Dense Array for Northern Anatolia (DANA, yellow circles) and since 2009 (permanent stations, orange circles) and within an epicentral distance range of 30-90° (defined by red circles) were used for receiver function estimation. b) Selected extended multi-taper receiver functions (MTRF) stacked by station for the DANA network (blank regions show the 3 stations that did not contribute MTRFs). c) Regional MTRF stacks for the six sub-regions identified in Figure 3.

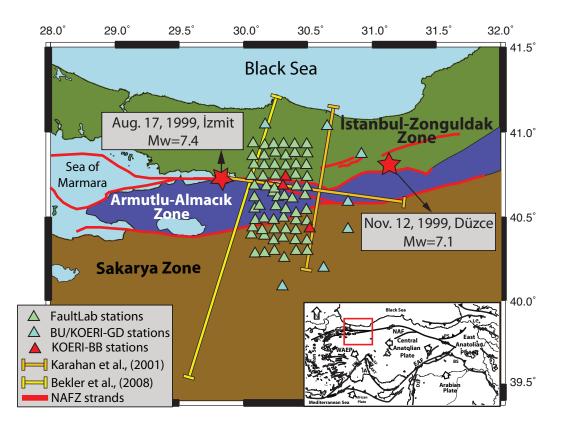
Figure 3 – Topographical map of the study region with calculated piercing point locations of *P-S* receiver function conversions at 35 km depth (using the IASP91 Earth velocity model of Kennett and Engdahl (1991)) for selected source-receiver pairs (purple crosses). The major surface north Anatolian fault (NAF) traces are marked in red with the Black Sea in the north and Sea of Marmara to the west (shaded light blue). Labelled yellow rectangles denote the six sub-regions used to construct receiver function stacks shown in Figure 2 and labelled thick black lines show the locations of migrated receiver function profiles shown in Figure 6.

Figure 5 – Neighbourhood algorithm (Sambridge, 1999) inversion results for the six regional extended multi-taper receiver function (MTRF) stacks shown in Figure 3. The observed (black) and synthetic (blue) receiver function waveforms for each stack are compared in the panels to the left with chi-squared misfit values. Corresponding seven layer models are shown in the panels to the right, with the best one thousand (yellow to green lines) models from 10001 iterations (grey lines). The S-wave velocity and V_P/V_S values of the best overall model for each stack (red lines) are displayed alongside a reference velocity model (blue lines) from a nearby seismic refraction experiment (Bekler $et\ al.$, 2008). Horizontal black dashed lines denote the relevant H- κ stacking depth result for each regional stack (Fig. 4). See text for inversion details.

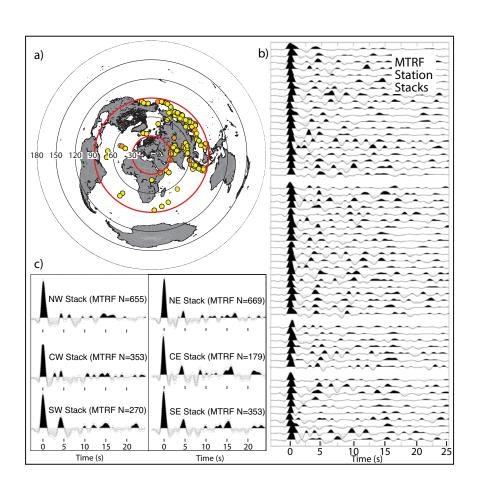
Figure 6 – Representative south-north and west-east amplitude profiles (the locations of which are shown in Figure 3) of 1.2 Hz time domain receiver functions (TDRF) migrated to 35 km (using the inversion results in Figure 5). Superimposed are topography and seismicity within ~10 km of the profile (black filled circles, Altuncu Poyraz *et al.*, 2015). Inverted green triangles denote stations

that contribute receiver functions to the migrated image and areas with less than 20 receiver functions are shown in grey. The interpreted Moho (black dashed line), lower crustal positive *P-S* conversion (red dotted line), near surface *P-S* conversion (white dotted line) and upper mantle positive amplitudes (blue dash-dot line) are labelled, along with prominent regions of crustal (grey dotted line) and upper mantle (orange dash-dot line) amplitudes. Major crustal terranes (SZ: Sakarya Zone; AA: Armutlu-Almacik Zone; and IZ: Istanbul-Zonguldak Zone) and the locations of the southern (SNAF) and northern (NNAF) branches of the north Anatolian fault are labelled. a) western south-north profile; b) eastern south-north profile; c) southern west-east profile; d) central west-east profile; and e) northern west-east profile.

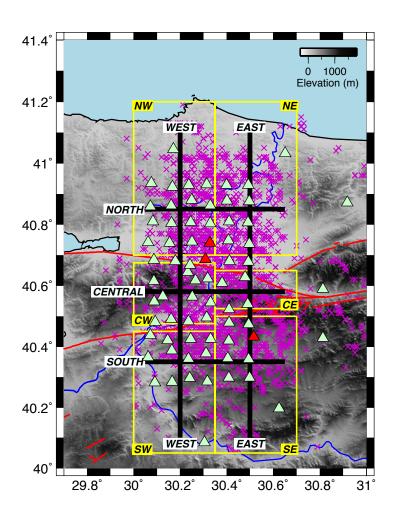
Figure 7 – South-north schematic diagrams to illustrate the variations in crustal structure and interpreted North Anatolian Fault zone structure in the eastern (a) and western (b) parts of the study region. Sakarya Zone (SZ) crust displays two distinct structures (light and mid-grey) in the western profile whilst its upper mantle (dark grey) is featureless and may also underlie the Armutlu-Almacik (AA) crust (green). The Istanbul-Zonguldak Zone (IZ) crust (blue) and upper mantle (purple) are separated by a weak Moho in the west and the locations of upper mantle structures are highlighted (red hatching). The NNAF and SNAF (thick black dashed lines) are interpreted to be sub-vertical on the western profile and to dip at ~65° to the north and south respectively, on the eastern profile. Low velocity (LVZ) and high velocity (HVZ) zones are also shown as candidate locations for decoupling within the crust, together with regions of notable seismicity (Altuncu Poyraz *et al.*, 2015) (black hatching).



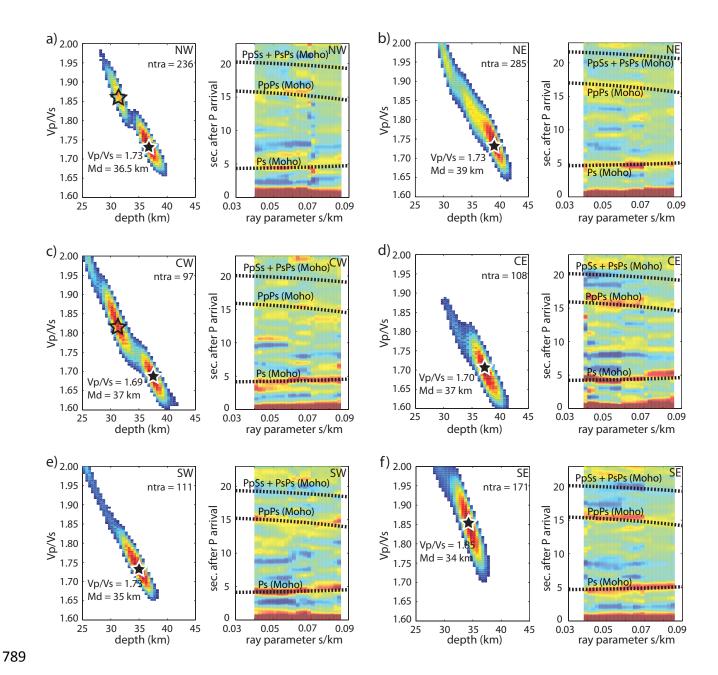
768 Figure 1



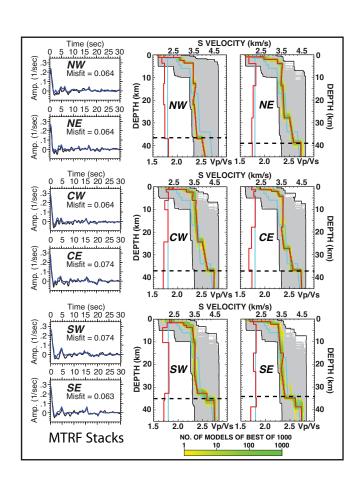
776 Figure 2



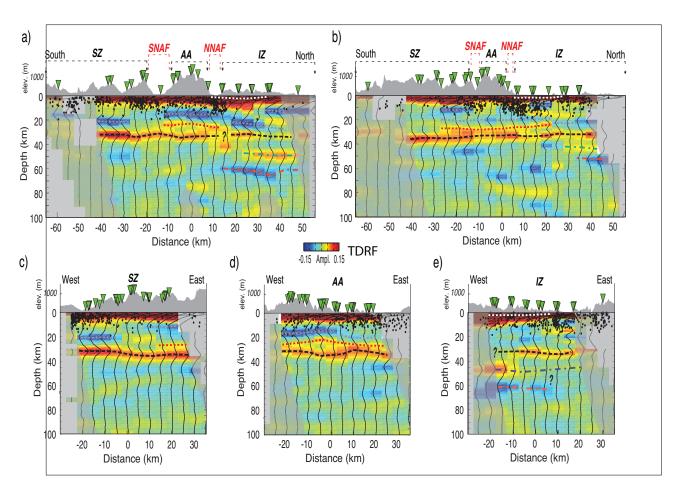
784 Figure 3



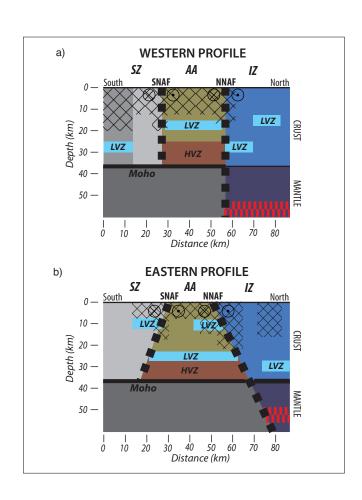
790 Figure 4



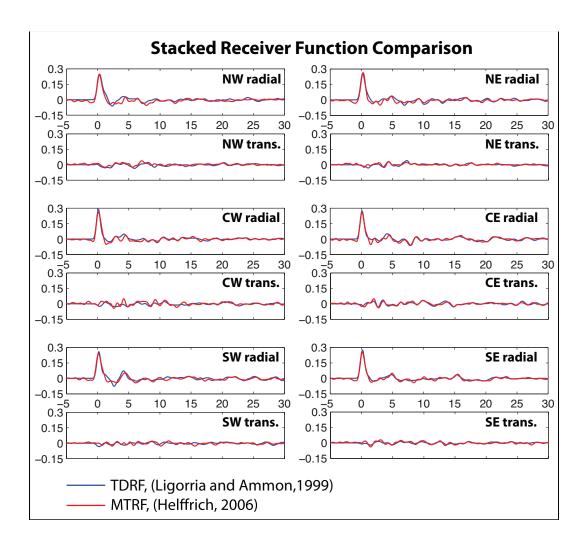
800 Figure 5



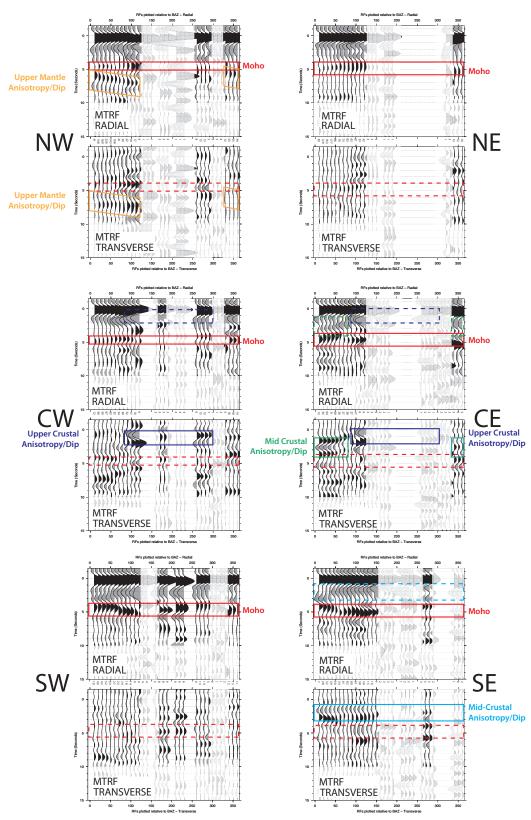
808 Figure 6



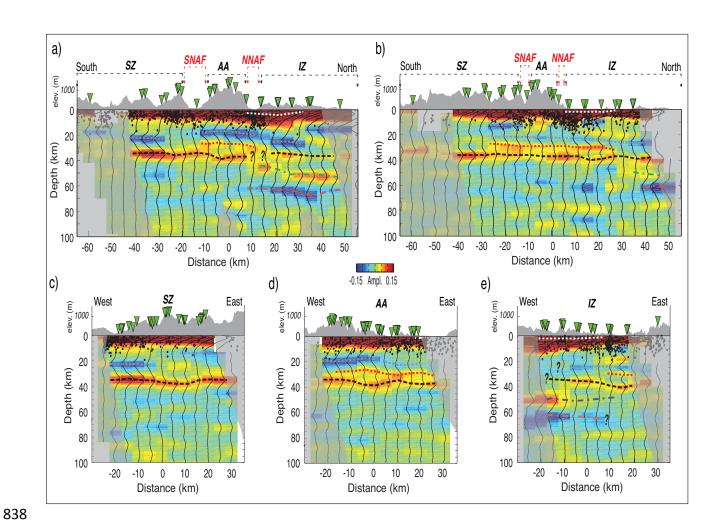
818 Figure 7



Supplementary Figure 1 – Comparison of the six regional stacks made using the time domain (TDRF) (Ligorria and Ammon, 1999) and extended multi-taper (MTRF) (Helffrich, 2006) receiver function calculation techniques. The main features are similar in each regional stack for both calculation methods. Slight differences in both radial and transverse component receiver function stacks are caused by: i) a different number of traces in each stack (TDRF=1363; MTRF=2479); ii) variations in individual receiver function noise levels between calculation methods; and iii) an observed marginal increase in frequency content in the MTRF dataset compared to the TDRF dataset.



Supplementary Figure 2 – Extended multi-taper (MTRF) radial and transverse receiver functions, stacked in regional back-azimuthal bins of width 10°. Semi-opaque white areas show regions of poor back-azimuthal coverage (with less than 5 RFs in each azimuthal bin) and/or times where multiple energy may interfere with *P-S* converted energy.



Supplementary Figure 3 – As Figure 6 in the manuscript but using a fixed velocity model of 6.2 km/s and a variable V_P/V_S , according to the regional H- κ stacking results.