# HEALTH TECHNOLOGY ASSESSMENT

VOLUME 19 ISSUE 70 SEPTEMBER 2015 ISSN 1366-5278

# Surgical Trial In Traumatic intraCerebral Haemorrhage (STITCH): a randomised controlled trial of Early Surgery compared with Initial Conservative Treatment

Barbara A Gregson, Elise N Rowan, Richard Francis, Paul McNamee, Dwayne Boyers, Patrick Mitchell, Elaine McColl, Iain R Chambers, Andreas Unterberg and A David Mendelow on behalf of the STITCH(TRAUMA) investigators



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**Declared competing interests of authors:** Barbara Gregson and A David Mendelow received a grant from the Medical Research Council and Efficacy and Mechanism Evaluation Programme to undertake Surgical Trial In spontaneous lobar intraCerebral Haemorrhage (STICH II). A David Mendelow also reports grants from Newcastle Neurosurgery Foundation Ltd for sponsorship of meetings outwith this study and personal fees from Stryker Ltd for consultancy work. Elaine McColl is a member of the National Institute for Health Research Journals Library Editorial Group, but had no role in editorial decisions concerning this publication. Newcastle University is reimbursed for the time devoted to editorial work.

Published September 2015 DOI: 10.3310/hta19700

This report should be referenced as follows:

Gregson BA, Rowan EN, Francis R, McNamee P, Boyers D, Mitchell P, McColl E, *et al.* Surgical Trial In Traumatic intraCerebral Haemorrhage (STITCH): a randomised controlled trial of Early Surgery compared with Initial Conservative Treatment. *Health Technol Assess* 2015;**19**(70).

Health Technology Assessment is indexed and abstracted in Index Medicus/MEDLINE, Excerpta Medica/EMBASE, Science Citation Index Expanded (SciSearch®) and Current Contents®/ Clinical Medicine.

## **Health Technology Assessment**

#### HTA/HTA TAR

ISSN 1366-5278 (Print)

ISSN 2046-4924 (Online)

Impact factor: 5.116

Health Technology Assessment is indexed in MEDLINE, CINAHL, EMBASE, The Cochrane Library and the ISI Science Citation Index and is assessed for inclusion in the Database of Abstracts of Reviews of Effects.

This journal is a member of and subscribes to the principles of the Committee on Publication Ethics (COPE) (www.publicationethics.org/).

Editorial contact: nihredit@southampton.ac.uk

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#### This report

The research reported in this issue of the journal was funded by the HTA programme as project number 07/37/16. The contractual start date was in September 2009. The draft report began editorial review in April 2014 and was accepted for publication in November 2014. The authors have been wholly responsible for all data collection, analysis and interpretation, and for writing up their work. The HTA editors and publisher have tried to ensure the accuracy of the authors' report and would like to thank the reviewers for their constructive comments on the draft document. However, they do not accept liability for damages or losses arising from material published in this report.

This report presents independent research funded by the National Institute for Health Research (NIHR). The views and opinions expressed by authors in this publication are those of the authors and do not necessarily reflect those of the NHS, the NIHR, NETSCC, the HTA programme or the Department of Health. If there are verbatim quotations included in this publication the views and opinions expressed by the interviewees are those of the interviewees and do not necessarily reflect those of the authors, those of the NHS, the NIHR, NETSCC, the HTA programme or the Department of Health.

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# Abstract

## Surgical Trial In Traumatic intraCerebral Haemorrhage (STITCH): a randomised controlled trial of Early Surgery compared with Initial Conservative Treatment

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**Background:** While it is accepted practice to remove extradural (EDH) and subdural haematomas (SDH) following traumatic brain injury, the role of surgery in parenchymal traumatic intracerebral haemorrhage (TICH) is controversial. There is no evidence to support Early Surgery in this condition.

**Objectives:** There have been a number of trials investigating surgery for spontaneous intracerebral haemorrhage but none for TICH. This study aimed to establish whether or not a policy of Early Surgery for TICH improves outcome compared with a policy of Initial Conservative Treatment.

**Design:** This was an international multicentre pragmatic parallel group trial. Patients were randomised via an independent telephone/web-based randomisation service.

Setting: Neurosurgical units in 59 hospitals in 20 countries registered to take part in the study.

**Participants:** The study planned to recruit 840 adult patients. Patients had to be within 48 hours of head injury with no more than two intracerebral haematomas greater than 10 ml. They did not have a SDH or EDH that required evacuation or any severe comorbidity that would mean they could not achieve a favourable outcome if they made a complete recovery from their head injury.

**Interventions:** Patients were randomised to Early Surgery within 12 hours or to Initial Conservative Treatment with delayed evacuation if it became clinically appropriate.

**Main outcome measures:** The Extended Glasgow Outcome Scale (GOSE) was measured at 6 months via a postal questionnaire. The primary outcome was the traditional dichotomised split into favourable outcome (good recovery or moderate disability) and unfavourable outcome (severe disability, vegetative, dead). Secondary outcomes included mortality and an ordinal assessment of Glasgow Outcome Scale and Rankin Scale.

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**Results:** Patient recruitment began in December 2009 but was halted by the funding body because of low UK recruitment in September 2012. In total, 170 patients were randomised from 31 centres in 13 countries: 83 to Early Surgery and 87 to Initial Conservative Treatment. Six-month outcomes were obtained for 99% of 168 eligible patients (82 Early Surgery and 85 Initial Conservative Treatment patients). Patients in the Early Surgery group were 10.5% more likely to have a favourable outcome (absolute benefit), but this difference did not quite reach statistical significance because of the reduced sample size. Fifty-two (63%) had a favourable outcome with Early Surgery, compared with 45 (53%) with Initial Conservative Treatment [odds ratio 0.65; 95% confidence interval (CI) 0.35 to 1.21; p = 0.17]. Mortality was significantly higher in the Initial Conservative Treatment group (33% vs. 15%; absolute difference 18.3%; 95% CI 5.7% to 30.9%; p = 0.006). The Rankin Scale and GOSE were significantly improved with Early Surgery using a trend analysis (p = 0.047 and p = 0.043 respectively).

**Conclusions:** This is the first ever trial of surgery for TICH and indicates that Early Surgery may be a valuable tool in the treatment of TICH, especially if the Glasgow Coma Score is between 9 and 12, as was also found in Surgical Trial In spontaneous intraCerebral Haemorrhage (STICH) and Surgical Trial In spontaneous lobar intraCerebral Haemorrhage (STICH II). Further research is clearly warranted.

Trial registration: Current Controlled Trials ISRCTN 19321911.

**Funding:** This project was funded by the National Institute for Health Research (NIHR) Health Technology Assessment programme and will be published in full in Health Technology Assessment; Vol. 19, No. 70. See the NIHR Journals Library website for further project information.

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# **List of abbreviations**

CEAC	cost-effectiveness acceptability	ICP	intracranial proceuro
CEAC	curve		
CI	confidence interval	MRC	Medical Research Council
		NICE	National Institute for Health
CONSORT	Consolidated Standards of Reporting Trials	NIHR	and Care Excellence
СРР	cerebral perfusion pressure		National Institute for Health Research
CT	computed tomography	OLS	ordinary least square
DMC	Data Monitoring Committee	OR	odds ratio
EDH	extradural haematoma	QALY	quality-adjusted life-year
EQ-5D	European Quality of Life-5	SD	standard deviation
	Dimensions	SDH	subdural haematoma
EQ-5D-3L	European Quality of Life-5 Dimensions-3 level	SICH	spontaneous intracerebral haemorrhage
GCS	Glasgow Coma Score	STICH	Surgical Trial In spontaneous
GDP	gross domestic product	Sheri	intraCerebral Haemorrhage
GLM	generalised linear model	STICH II	Surgical Trial In spontaneous
GNI	gross national income		lobar intraCerebral Haemorrhage
GOS	Glasgow Outcome Scale	STITCH(TRAUMA)	Surgical Trial In Traumatic
GOSE	Extended Glasgow		intraCerebral Haemorrhage
	Outcome Scale	ТІСН	traumatic intracerebral
HRG	health resource group		haemorrhage
HTA	Health Technology Assessment	TSC	Trial Steering Committee
ICER	incremental	WHO	World Health Organization
	cost-effectiveness ratio	WTP	willingness to pay
ICH	intracerebral haemorrhage		5

# **Plain English summary**

**T** raumatic intracerebral haemorrhage affects almost a million patients each year worldwide, but the role of surgery and its timing remain uncertain. This Surgical Trial In Traumatic intraCerebral Haemorrhage [STITCH(TRAUMA)] has given a strong signal that earlier surgery is advantageous in some patients. The primary outcome revealed that there is a 10.5% absolute benefit from surgery, but this difference did not reach statistical significance. This analysis was premature because, at 170 patients, the sample size was smaller than was originally planned (n = 840) because the study was stopped early by the funding agency as almost all the study patients were from outside the UK. The effect on mortality was statistically significant, with the mortality reduced from 33% to 15% (p = 0.007). The other secondary outcome measures that did reach statistical significance using a trend analysis were the Rankin Scale (p = 0.043) and the Extended Glasgow Outcome Scale (p = 0.047). This analysis suggests that over 80,000 of these 1 million patients might have their lives saved and have improved outcomes with Early Surgery, but this urgently needs to be confirmed with a larger trial. The implication for UK patients is that almost 1000 may be more disabled or die each year than is necessary. The need for another trial is therefore urgent.

# **Scientific summary**

### Background

In the UK there are 1.4 million presentations of head injury at emergency departments each year. The mortality rate for severe isolated traumatic brain injury varies between 16% and 40%. More than 150,000 of those who present to emergency departments with head injury are admitted to hospital each year. Of these, about 20,000 injuries are serious. One year after a serious head injury, 35% of patients are dead or severely disabled. Intracranial haemorrhage occurs in more than 60% of serious head injuries in one or more of three types: extradural haematoma (EDH), subdural haematoma (SDH) and intracerebral haemorrhage (ICH). Prompt surgical removal of significant SDH and EDH is of established and widely accepted value. ICH is more common and is associated with a worse outcome but the role of surgical removal of the clot remains undefined.

Surgical practice in the treatment of traumatic ICH (TICH) differs widely. Several issues inform the debate:

- (a) Contused brain does not recover but appears as encephalomalacic brain tissue loss on convalescent phase imaging. This suggests that removing TICH does not increase tissue loss.
- (b) Extravasated blood is believed to be neurotoxic, leading to secondary injury that may be avoided by surgical removal.
- (c) Larger TICHs may be associated with an ischaemic penumbra of brain tissue that could be salvaged.
- (d) Some TICHs expand to the point at which they cause mass effect with high intracranial pressure (ICP), resulting in secondary brain injury.

The aim of early surgical TICH removal is to prevent secondary brain injury from these mechanisms. Use of the operation varies around the world. It is more frequently done in the Far East than in Europe or the USA.

Traditional neurosurgical care of patients with severe head injury is frequently based on ICP measurement. Patients with high ICP (> 30 mmHg) and TICH would undergo craniotomy and those with low ICP (< 20 mmHg) would be managed conservatively. Patients with ICP between 20 and 30 mmHg would be observed with ongoing ICP monitoring and undergo craniotomy if the ICP rises. This ICP-based approach has been recommended by the Brain Trauma Foundation, but has recently been challenged in the Trial of Intracranial Pressure Monitoring in Traumatic Brain Injury (BEST TRIP) from Latin America. In the light of this controversy, the early management of patients with TICH needs evaluation to determine if Early Surgery should become part of the standard of care in the same way it is for significant EDH and SDH.

There have been trials of surgery for spontaneous intracerebral haemorrhage (SICH) but none so far of surgery for TICH. The Cochrane Review (2nd edition) has shown benefit from surgical evacuation for SICH (Prasad K, Mendelow AD, Gregson B. Surgery for primary supratentorial intracerebral haemorrhage. *Cochrane Database Syst Rev* 2008;**4**:CD000200). There are differences in the pathogenesis, clinical behaviour and outcome of the two conditions (SICH and TICH). Patients suffering a TICH tend to be younger and, therefore, their level of disability may have a larger effect on their ability to return to work and their economic output. TICHs are more likely to be lobar, to be superficial and to have a medium-sized volume (25–65 cc). These differences between the conditions mean that we cannot derive the role of surgery for TICH from SICH trials.

We already know that surgery is effective in patients with traumatic EDH and SDH and that Early Surgery results in improved outcomes compared with delayed surgery. This is not known for TICH. If Early Surgery is of benefit to these patients, then implementation of early referral and diagnosis with immediate treatment may reduce death and disability in this specific group of head-injured patients.

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Several authors have compared surgery with conservative treatment in single-centre retrospective series and recommended surgery for larger TICHs even if patients were in an apparently good clinical state initially. None of these studies involved randomisation into surgical and non-surgical groups. They also differed in the characteristics of the parenchymal blood. Such uncontrolled observational studies may be potentially misleading and a randomised controlled trial was needed.

Guidelines for the surgical management of traumatic brain injury were published in 2006 in *Neurosurgery* (Bullock M, Chesnut R, Ghajar J, Gordon D, Hartl R, Newell D, *et al.* Surgical management of traumatic parenchymal lesions. *Neurosurgery* 2006;**58**:S25–46; discussion Si–iv). These confirmed that studies in this area have been observational and that there is a lack of evidence from well-designed randomised controlled trials. Those studies that attempt to compare outcome between surgical and non-surgical groups cannot adequately control for unknown prognostic variables.

The National Institute for Health and Care Excellence (NICE) recommended in the Head Injury Update Full Guideline (2007) (NICE. *Clinical Guidelines CG56 Head Injury: Triage, Assessment, Investigation and Early Management of Head Injury in Infants, Children and Adults*. London: NICE; 2007.) that research is needed to develop a consensus on criteria for lesions not currently considered to be surgically significant, namely TICH. This trial, Surgical Trial in Traumatic intraCerebral Haemorrhage [STITCH(TRAUMA)], was recommended by that NICE Head Injury Guideline Development Group.

## **Objectives**

To determine whether or not a policy of Early Surgery in patients with TICH improved outcome compared with a policy of Initial Conservative Treatment.

To assess the relative costs and consequences of Early Surgery versus Initial Conservative Treatment.

To investigate the use of ICP monitoring for clinical management of head-injured patients with TICH and its effect on treatment decisions.

#### Methods

STITCH(TRAUMA) was an international, multicentre, pragmatic, randomised, parallel-group trial comparing early surgical evacuation of TICH with Initial Conservative Treatment. Only adult patients for whom the treating neurosurgeon was in equipoise about the benefits of early surgical evacuation compared with Initial Conservative Treatment were eligible for the trial. Patients had to be within 48 hours of head injury and to have no more than two TICHs larger than 10 ml. They were ineligible if they had a significant EDH or SDH that required surgery, if a haematoma was located in the cerebellum or if they had a severe pre-existing physical or mental disability or severe comorbidity that would lead to a poor outcome even if they made a full recovery from their head injury. An independent 24-hour telephone- and web-based randomisation service was used. Stratification by country was used together with minimisation by age and severity with a random component to ensure that the two groups were balanced. Patients were randomised to Early Surgery (within 12 hours) or to Initial Conservative Treatment. Delayed evacuation of the haematoma was permitted for patients in the Initial Conservative Treatment group if it became clinically appropriate. Outcome was measured at 6 months via a postal questionnaire using the Extended Glasgow Outcome Scale (GOSE).

Additional data were collected in those centres that practised invasive brain monitoring to see if there was evidence that such monitoring techniques would add value to clinical decision-making. Such monitoring was not mandatory.

The costing analysis was undertaken on the intention-to-treat basis from an international health services perspective. Resource-use requirements to deliver the interventions (e.g. staff time, overheads) and time spent on hospital wards were collected using site-specific questionnaires and case report forms. Hospital readmissions were reported on participant outcome questionnaires. Costing followed recommended procedures for international studies applying country-specific unit costs (sourced from site-specific questionnaires) to resource use data to generate total costs. Costs were transformed into 2013 international dollars.

## **Results**

Between December 2009 and September 2012, 170 patients were recruited from 31 centres in 13 countries and randomly assigned to treatment groups: 83 to Early Surgery and 87 to Initial Conservative Treatment. The study was halted by the funding agency after the recruitment of 170 of the planned 840 patients because of low recruitment in the UK; only six patients had been recruited in the UK. The study was halted without the funding agency or the study team knowing the outcome despite the Data Monitoring Committee recommending that it should continue. This study reports results for 82 eligible patients assigned to Early Surgery and 86 eligible patients assigned to Initial Conservative Treatment.

The distribution of baseline variables between the two treatment groups was very similar. Patients ranged in age from 16 to 83 years with a median age of 50 years, and 122 (73%) were male. Prior to the head injury, 164 (98%) had a score on the Rankin Scale of 0 or 1, and 22 (13%) had a medical history of cardiovascular disease. The main causes of the head injury were road traffic accidents 113 (67%) and falls 47 (28%). Sixty-eight patients (40%) were admitted to another hospital prior to their transfer to the neurosurgical unit. At the time of randomisation, 70 (42%) patients had a Glasgow Coma Score (GCS) of 13–15, 78 (46%) a GCS of 8–12 and 20 (12%) a GCS of <8. The volume of the largest haematoma varied between 10 and 97 ml with a median of 23 ml, and 61 (36%) patients had a second haematoma between 0 and 26 ml with a median of 3 ml.

Of the 82 patients in the Early Surgery group, only 61 (74%) had surgery, 57 (93%) of these within 12 hours of randomisation. The reasons for not having surgery were patient or relative refusal (15) or change in medical status (6). Of the 86 patients randomised to Initial Conservative Treatment, 31 (36%) had surgery within 14 days of randomisation, 10 (32%) of these within 12 hours. The reasons for having surgery were neurological deterioration (29) or other (2).

Surgical patients in the Early Surgery group were more likely to have craniotomy than surgical patients in the Initial Conservative Treatment group (97% vs. 81%; Fisher's exact test, p = 0.016). Surgical patients in the Early Surgery group had significantly higher pre-operative GCSs than those requiring surgery in the Initial Conservative Treatment group. Comparison of the baseline characteristics of patients in the Initial Conservative Treatment group who had surgery with those who did not showed that patients who deteriorated and went on to have surgery had larger haematomas initially (Mann–Whitney *U*-test, p = 0.010) and were more likely to have at least one pupil unreactive (Fisher's exact test, p = 0.0005) but did not differ on age, GCS at the time of randomisation or presence of a second haematoma.

At some point in the first 2 weeks, seven (9%) Early Surgery patients were ICP monitored, compared with 16 (19%) Initial Conservative Treatment patients (p = 0.073), and this affected management decisions in one Early Surgery patient compared with 10 Initial Conservative Treatment patients (p = 0.069).

Six-month outcome was available for 82 Early Surgery patients and 85 Initial Conservative Treatment patients; one patient from the Initial Conservative Treatment group was lost to follow-up. Fifty-two (63%) Early Surgery patients had a favourable outcome on the dichotomised Glasgow Outcome Scale (GOS), compared with 45 (53%) Initial Conservative Treatment patients [odds ratio (OR) 0.65, 95% confidence interval (CI) 0.35 to 1.21; p = 0.171], an absolute difference of 10.5% (95% CI –4.4% to 25.3%). Adjusting for age, volume and GCS gives an OR of 0.58 (95% CI 0.29 to 1.16; p = 0.122). However, there was a highly significant difference in mortality at 6 months, with 12 (15%) Early Surgery patients dying, compared with 28 (33%) Initial Conservative Treatment patients (OR 0.35, 97% CI 0.16 to 0.75; p = 0.007), and absolute difference of 18.3% (95% CI 5.7% to 30.9%). The Kaplan–Meier plot of survival for the two groups of patients illustrated the significant advantage of Early Surgery compared with Initial Conservative Treatment 14) and pneumonia (Early Surgery 4 vs. two Initial Conservative Treatment 2). This reduction in mortality was not associated with an increase in lower severe dependency and there were no vegetative survivors in either group.

For each of GOS, GOSE and Rankin Scale at 6 months there was a significant trend in improved outcomes in the Early Surgery group using the chi-squared trend analysis (p = 0.047, p = 0.052 and p = 0.043 respectively), although the proportional odds models did not reach statistical significance (OR 0.67, 95% CI 0.39 to 1.16, p = 0.153; OR 0.66, 95% CI 0.38 to 1.13, p = 0.127; OR 0.67, 95% CI 0.39 to 1.15, p = 0.147).

None of the pre-specified subgroups displayed any significant heterogeneity of treatment response, although patients with a GCS of 9–12 exhibited the best response to Early Surgery.

Considering outcome by allocated and received treatment, 33% (20 out of 61) of patients who were allocated to Early Surgery and had surgery died or were severely disabled at 6 months. However, 65% (20 out of 31) of patients who were allocated to Initial Conservative Treatment and had delayed surgery had died or were severely disabled at 6 months, compared with 37% (20 out of 54) of the conservatively treated patients who did not have surgery.

Patients randomised to the Early Surgery group had an average gain of 0.019 quality-adjusted life-years (QALYs) over a 6-month period (95% bootstrapped CI –0.004 to 0.043 QALYs), when compared with those randomised to the Initial Conservative Treatment. This is equivalent to an incremental QALY gain of 3.5 days over a 6-month period. The broad QALY gains are driven primarily by the increased chance of survival in the Early Surgery group.

#### Conclusions

The STITCH(TRAUMA) trial has demonstrated a large reduction in mortality associated with Early Surgery for parenchymal TICH (p = 0.007) and there were no vegetative survivors. There was not a statistically significant effect on the pre-specified primary outcome in this reduced sample. However, the observed 10.5% advantage in favourable outcome for the Early Surgery group would have been statistically significant if it had been maintained for the trial sample size as originally planned. Nevertheless, this was a very strong signal that Early Surgery will indeed prevent deterioration. This is seen in the improvement in better outcomes in the ordinal analysis of the GOS and Rankin Scale. A larger trial is urgently needed to confirm or refute this finding.

## **Implications for health care**

- 1. There is a strong case for operating on patients with TICH who have a GCS of 9–12. Those who are alert or just confused (GCS of 13–15) can probably be watched carefully for any deterioration because there is a safety margin which diminishes the lower down the GCS the patient descends. Once the GCS has dropped below 8, surgical intervention appears to be less effective.
- 2. Based on the results of the study, and the World Health Organization guidelines for cost-effectiveness, the Early Surgery intervention could be interpreted as offering a high probability of cost-effectiveness in both high- and upper middle-income countries. There may also be a high probability of cost-effectiveness in lower middle-income countries, but, based on the cost-effectiveness acceptability curve analysis, this conclusion would be more sensitive to the threshold value of cost-effectiveness imposed by decision-makers.

## **Recommendations for research**

This trial has given a very strong signal that Early Surgery is superior to Initial Conservative Treatment for patients with TICH. This signal was evident despite the sample size being only 20% of that originally planned. Given that there are 800,000 such injuries each year in the world (8000 per year in the UK because the UK accounts for 1% of the world's population of 7 billion), the 10.5% absolute improvement in favourable outcome represents 84,000 patients every year that could have a better outcome. If this is true, then the trial needs to be repeated with the utmost urgency to avoid this enormous annual excess death and disability rate that currently prevails for these patients.

## Funding

Funding for this study was provided by the Health Technology Assessment programme of the National Institute for Health Research.

# Chapter 1 Introduction

Much of this text is reproduced from Gregson BA, Rowan EN, Mitchell PM, Unterberg A, McColl EM, Chambers IR, *et al.* Surgical trial in traumatic intracerebral hemorrhage (STITCH(Trauma)): study protocol for a randomized controlled trial. *Trials* 2012;**13**:193. © 2012 Gregson *et al.*; licensee BioMed Central Ltd. This is an Open Access article distributed under the terms of the Creative Commons Attribution License (http://creativecommons.org/licenses/by/2.0), which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited.

In the UK there are 1.4 million presentations of head injury at emergency departments each year.<sup>1</sup> The mortality rate for severe isolated traumatic brain injury varies between 16% and 40%.<sup>2</sup> More than 150,000 of those who present to emergency departments with head injury are admitted to hospital each year. Of these, about 20,000 injuries are serious. One year after a serious head injury, 35% of patients are dead or severely disabled. Intracranial haemorrhage occurs in more than 60% of serious head injuries in one or more of three types: extradural haematomas (EDH), subdural haematomas (SDH) and intracerebral haemorrhage (ICH). Prompt surgical removal of significant SDH and EDH is of established and widely accepted value. Intraparenchymal haemorrhage is more common than both the other types put together and is found in more than 40% of severe head injuries. It is clearly associated with a worse outcome, but the role of surgical removal of the clot remains undefined. Several terms are used to describe the condition, including traumatic intracerebral haemorrhage (TICH), traumatic intraparenchymal haemorrhage and contusion. Prospectively collected data in over 7000 head-injured patients in Newcastle upon Tyne showed that contusions are more common in older head-injured patients and occurred in patients with less severe injury.

Surgical practice in the treatment of TICH differs widely. Several issues inform the debate:

- (a) Contused brain does not recover but appears as encephalomalacic brain tissue loss on convalescent phase imaging. This suggests that removing TICH does not increase tissue loss.
- (b) Extravasated blood is believed to be neurotoxic, leading to secondary injury that may be avoided by surgical removal.
- (c) Larger TICHs may be associated with an ischaemic penumbra of brain tissue that could be salvaged.
- (d) Some TICHs expand to the point at which they cause mass effect with high intracranial pressure (ICP), resulting in secondary brain injury.

The aim of early surgical TICH removal is to prevent secondary brain injury from these mechanisms. A detailed description of the pathophysiology of ICH was published in 1993.<sup>3</sup> This information translated into the initial hypothesis that led to the Surgical Trial In spontaneous intraCerebral Haemorrhage (STICH), which began in 1993.<sup>4</sup> This was the justification for a trial of surgical intervention. However, use of the operation varies around the world. It is more frequently done in the Far East than in Europe or the USA.

Traditional neurosurgical care of patients with severe head injury is frequently based on ICP measurement. Patients with high ICP (> 30 mmHg) and TICH would undergo craniotomy and those with low ICP (< 20 mmHg) would be managed conservatively. Patients with ICP between 20 and 30 mmHg would be observed with ongoing ICP monitoring and undergo craniotomy if the ICP rises.<sup>5</sup> This ICP-based approach has been recommended by the Brain Trauma Foundation<sup>6</sup> but its authority has recently been challenged in the Trial of Intracranial Pressure Monitoring in Traumatic Brain Injury (BEST TRIP) published by Chesnut *et al.*<sup>7</sup> from Latin America. In the light of this controversy, the early management of patients with TICH needs evaluation to determine if Early Surgery should become part of the standard of care in the same way it is for significant EDH<sup>8</sup> and SDH.<sup>9</sup>

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There have been trials of surgery for spontaneous ICH (SICH),<sup>4,10</sup> but none so far of surgery for TICH. The Cochrane Review (2nd edition) has shown benefit from surgical evacuation for SICH.<sup>11</sup> There are differences in the pathogenesis, clinical behaviour and outcome of the two conditions (SICH and TICH).<sup>12</sup> Patients suffering a TICH tend to be younger, by about 15 years on average, than patients suffering SICH and, therefore, the level of disability may have a larger effect on their ability to return to work and their economic output. TICHs are more likely to be lobar, to be superficial and to have a medium-sized volume (25–65 cc). These differences between the conditions mean that we cannot derive the role of surgery for TICH from results of the 15 published trials of surgery for spontaneous ICH; however, the STICH trials<sup>4,10</sup> showed a trend towards better outcome with surgery for the group of spontaneous supratentorial ICH that are most like TICH: superficial haematomas with no intraventricular bleed.

We already know that surgery is effective in patients with traumatic EDH and SDH and that Early Surgery produces more favourable outcomes than delayed surgery. This is not known for TICH. If Early Surgery is of benefit to these patients, then implementation of early referral and diagnosis with immediate treatment may reduce death and disability in this specific group of head-injured patients.

Several authors<sup>13–15</sup> have compared surgery with conservative treatment in single-centre retrospective series and recommended surgery for larger TICHs even if patients were in an apparently good clinical state initially. Matheisen *et al.*<sup>13</sup> found that patients with an admission Glasgow Coma Score (GCS) of at least 6 and a lesion volume of at least 20 ml who had surgery without previous neurological deterioration had significantly better outcomes than those who did not have surgery or had surgery after deterioration. None of the patients who had surgery before any deterioration died or was vegetative, as opposed to 39% of those who had surgery after deterioration and 50% of those who did not have surgery. Choksey *et al.*<sup>14</sup> found that 38% of patients with a low GCS and a volume of the TICH > 16 ml who had surgery had a poor outcome, compared with 56% of those who did not have surgery. Zumkeller *et al.*<sup>15</sup> found that the poor-outcome rate in the operated patients was 29%, compared with 59% in the non-operated group.

Boto *et al.*<sup>16</sup> evaluated the characteristics of severely head-injured patients with basal ganglia TICHs and found that the TICH tended to enlarge in the acute post-traumatic period. They found that patients with a TICH of > 25 ml and those in whom TICH enlargement or raised ICP had occurred had the worst outcomes. They suggested that these patients might benefit from more aggressive surgical treatment.

D'Avella *et al.*<sup>17</sup> published a series and suggested that non-comatose patients with smaller TICHs may be treated conservatively but that surgery is indicated for patients with larger TICHs. Most of their comatose patients who were severely injured had a poor outcome whatever treatment was used.

None of these studies involved randomisation into surgical and non-surgical groups. They also differed in the characteristics of the parenchymal blood. Such uncontrolled observational studies may be potentially misleading and a randomised controlled trial was needed.

Guidelines for the surgical management of traumatic brain injury were published in 2006.<sup>18</sup> These confirmed that studies in this area have been observational and that there is a lack of evidence from well-designed randomised controlled trials. Those studies that attempt to compare outcome between surgical and non-surgical groups cannot adequately control for known prognostic variables.

The National Institute for Health and Care Excellence (NICE) recommended in the *Clinical Guidelines CG56 Head Injury: Triage, Assessment, Investigation and Early Management of Head Injury in Infants, Children and Adults*<sup>19</sup> that research is needed to develop a consensus on criteria for lesions not currently considered to be surgically significant, namely TICH. This trial, Surgical Trial in Traumatic intraCerebral Haemorrhage [STITCH(TRAUMA)], was recommended by that NICE Head Injury Guideline Development Group.

# Chapter 2 Methods

Much of this text is reproduced from Gregson BA, Rowan EN, Mitchell PM, Unterberg A, McColl EM, Chambers IR, *et al.* Surgical trial in traumatic intracerebral hemorrhage (STITCH(Trauma)): study protocol for a randomized controlled trial. *Trials* 2012;**13**:193. © 2012 Gregson *et al.*; licensee BioMed Central Ltd. This is an Open Access article distributed under the terms of the Creative Commons Attribution License (http://creativecommons.org/licenses/by/2.0), which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited.

### **Trial objectives**

The principal aim of the trial was to determine whether or not a policy of Early Surgery in patients with TICH improves outcome compared with a policy of Initial Conservative Treatment.

In addition, we wanted to assess the relative costs and consequences of Early Surgery versus Initial Conservative Treatment in UK patients and those in a subgroup of countries covering the likely highest-recruiting centres. (Following the trial being halted by the funding agency this objective was changed and reference to a UK-specific analysis removed.)

Finally, we hoped to confirm appropriate thresholds for ICP and cerebral perfusion pressure (CPP) for clinical management in the subgroup of head-injured patients with TICH who undergo such monitoring. (Following the trial being halted by the funding agency this objective was changed to: to investigate the use of ICP monitoring for clinical management of head-injured patients with TICH and its effect on treatment decisions.)

## **Trial design**

STITCH(TRAUMA) was an international, multicentre, pragmatic, patient-randomised, parallel-group trial comparing early surgical evacuation of TICH with Initial Conservative Treatment. Only patients for whom the treating neurosurgeon was in equipoise about the benefits of early surgical evacuation compared with Initial Conservative Treatment were eligible for the trial. An independent 24-hour telephone randomisation service based in the Aberdeen Clinical Trials Unit was used and backed by 24-hour availability of trial investigators who could advise on patient eligibility. Minimisation with a random component was used to ensure that the two groups were balanced within country, age and severity. Outcome was measured at 6 and 12 months via a postal questionnaire using the Extended Glasgow Outcome Scale (GOSE).

Additional data were collected in those centres that practised invasive brain monitoring to see if there was evidence that such monitoring techniques added value to clinical decision-making. The aim was to obtain an unbiased assessment of the effect of clot removal or not on ICP/CPP and to evaluate if monitoring ICP/CPP provided additional information that informed improved clinical management (the third objective). Such monitoring was not mandatory for a patient to be enrolled in the trial.

It was planned that relevant health-care costs be assessed in the UK, including length of hospital stay and the costs associated with surgical treatment (theatre time, consumables, overheads); health-care resource use outside hospital (e.g. district nurse, physiotherapy) together with productivity costs arising from absence from work; and additional costs for family members through extra caring responsibilities. Consequences were to be measured by combining data on quality of life, measured using the European Quality of Life-5 Dimensions (EQ-5D), with survival to generate quality-adjusted life-years (QALYs). Existing questionnaires were adapted for use with TICH patients where appropriate, and they formed an additional 3-month postal questionnaire and part of the 6-month and 12-month postal questionnaires for patients.

The trial protocol was published in Trials.<sup>20</sup>

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### Pilot study

An internal pilot phase<sup>21</sup> was conducted with criteria for stopping the trial early if the recruitment of centres and/or patients was slower than projected or if unexpected difficulties arose in signing up collaborating centres.

The target was not fewer than 12 centres signed up at the end of year 1. If this target was met, then the pilot was to continue until a second point when the trial had a total of 12 recruiting centre-years. If at this point the average recruitment rate was less than 2 per centre-year, the trial was to be terminated.

### **Screening logs**

Screening logs were to be maintained by each centre to record the patients admitted to the neurosurgical unit with any traumatic ICH; whether or not they were eligible for the trial and whether or not they were recruited (and, if not, why not, if the reason could be ascertained). These were to be used to provide a context for the study, to monitor recruitment rates and as the basis for constructing the Consolidated Standards of Reporting Trials (CONSORT) diagram for reporting the trial.

#### **Centre recruitment**

Suitable centres were recruited from those already collaborating successfully with the team in other studies [STICH, Surgical Trial In spontaneous lobar intraCerebral Haemorrhage (STICH II), RESCUEicp] plus those identified by the various networks: TARN (Trauma Audit and Research Network), EBIC (European Brain Injury Consortium) and EMN (Euroacademia Multidisciplinaria Neurotraumatologica), BrainIT, EANS (European Association of Neurosurgical Societies), GNAMED (Glasgow, Newcastle, Aberdeen, Middlesbrough, Edinburgh, Dundee; Scottish and Newcastle Neurosurgery Research Group), SBNS (Society of British Neurological Surgeons), BNRG (British Neurosurgery Research Group), ICRAN (International Conference on Recent Advances in Neurotraumatology) and WFNS (World Federation of Neurosurgical Societies) Neurotrauma Committee.

Centres were required to demonstrate effective trial experience and previous adherence to trial guidelines with high follow-up rates. In order to be eligible, centres should have been able to recruit a minimum of one patient per year. They had to be able to communicate with the research team. (At least one member of the local team had to be proficient in English and provide contact details where they could be reached easily to support the local centre and respond to the trial management team in Newcastle.) They had to be able to provide computed tomography (CT) images of sufficient quality to the study centre in Newcastle and be able to arrange follow-up for patients with limited literacy.

Each centre was required to obtain ethics approval and other permissions as needed to conform with local and national legislation and governance frameworks and to provide documentary evidence to the trial management team that these permissions were in place, prior to site registration and initiation. Each site was also required to sign an agreement with the sponsor (Newcastle upon Tyne Hospitals NHS Foundation Trust) and the contractor (Newcastle University). Applications by the lead collaborator in each centre for ethical approval (or Site-Specific Assessment in the UK) were supported by the trial manager and the clinical lead for the centre and country in which the centre was located. Also within the UK, research and development approval was sought in respect of all participating centres and the study was open to audit ('for cause' or as part of the routine 10% check) by the appropriate research governance teams in the participating Trusts. A member of the STITCH(TRAUMA) study team visited centres with high volume recruitment or where there were concerns about patient eligibility (identified by central monitoring) to confirm patient existence and monitor adherence to the trial protocol.

Multicentre Research Ethics Committee approval for the study was obtained from Southampton Multicentre Research Ethics Committee (Reference: 09/H0502/68) on 15 June 2009 and the trial was registered (ISRCTN19321911).

#### **Patient recruitment**

To be considered for STITCH(TRAUMA), patients had to have had CT to confirm the diagnosis and the size and location of the haematoma. Any clotting or coagulation problems had to be corrected prior to randomisation in line with standard local clinical practice.

#### Inclusion criteria

- Adults aged 14 years or over.
- Evidence of a TICH on CT with a confluent volume of attenuation significantly raised above that of the background white and grey matter that has a total volume greater than 10 ml calculated by (length x width x height)/2 in cm.<sup>22</sup>
- Within 24 hours of head injury. This criterion was later increased to 48 hours following discussion at an investigators' meeting in order to increase the number of patients eligible, allowing more time for patients to reach the neurosurgery department and for the TICH to develop.
- Clinical equipoise. Only patients for whom the responsible neurosurgeon was uncertain about the benefits of either treatment were eligible.

#### **Exclusion criteria**

- A significant surface haematoma (EDH or SDH) requiring surgery. (The indications for intervention for these patients were already very well defined.)
- Three or more separate haematomas fulfilling the inclusion criteria.
- If surgery could not be performed within 12 hours of randomisation.
- Severe pre-existing physical or mental disability or severe comorbidity which would lead to a poor outcome even if the patient made a full recovery from the head injury. (Examples would be a high level of dependence before the injury or severe irreversible associated injury such as complete spinal cord injury.)
- Permanent residence outside a study country preventing follow-up.
- Patient and/or relative having a strong preference for one treatment modality.

There was no specified upper age limit. The need for clinical equipoise and explicit exclusion of patients with severe pre-existing physical or mental disability or severe comorbidity which might lead to a poor outcome even if the patient made a good recovery from the head injury excluded the less able older patient while allowing a fit older person to be included. Haematoma rates were known to be more common in the older head-injured patient.

Recruitment was encouraged by quarterly glossy newsletters sent to each centre expressing interest, monthly e-mail newsletters to the site co-ordinators and investigators, regular attendance and presentations at national and international meetings, having stands at national and international meetings, sending an e-mail to the investigators and co-ordinators whenever a patient was recruited and awarding certificates to every surgeon who recruited a patient so that they would be able to demonstrate their involvement in clinical trials.

#### **Consent procedure**

Written witnessed informed consent of the patient or relative was obtained by trained neurosurgical staff prior to randomisation. The member of neurosurgical staff provided a written information sheet and allowed as much time as possible to discuss the options. One copy of the consent form was given to the patient, one was filed in the patient notes and one was filed with the trial documentation. If the patient was unable to give consent him- or herself because of the nature of the haemorrhage, a personal representative was approached to give consent on behalf of the patient. The personal representative was described as someone with a close personal relationship with the patient who was capable and willing to consent on behalf of the patient. (If the patient was unable to consent and the closest relative was not available the patient could not be included in the study. This study did not permit a professional legal representative to give consent because of the need to establish a relationship with potential carers to facilitate complete follow-up by postal questionnaire.)

### **Randomisation (treatment allocation)**

Randomisation was achieved via the independent 24-hour randomisation service based in the Aberdeen Clinical Trials Unit either by telephone or by using the web-based version. The randomisation information was entered and at the end of the phone call or the web entry the neurosurgeon was informed of the patient identifier number for the trial and the treatment group the patient was allocated to. The neurosurgeon recorded this information on the randomisation form and then faxed the form to the STITCH(TRAUMA) office.

The data manager checked the form against the information received from the randomisation centre and entered the data into an anonymised password-protected database.

The 24-hour randomisation service was backed by 24-hour availability of the project team, who could advise on patient eligibility. If the site had problems contacting the randomisation service a member of the project team undertook the randomisation for them.

Allocation was stratified by geographic region, with a minimisation algorithm based on age group (< 50 years, 50 years to < 70 years,  $\geq$  70 years) and severity (as measured by whether the pupils are equal and reacting or not) and with a random component (i.e. with probability of 80%).

### **Trial interventions**

The two trial interventions were early evacuation of the haematoma by a method of the surgeon's choice (within 12 hours of randomisation), combined with appropriate best medical treatment versus best medical treatment combined with delayed (more than 12 hours after randomisation) evacuation if it became appropriate later. Both groups were monitored according to standard local neurosurgical practice.

Best medical treatment included (depending on the practices within the centre) monitoring of ICP or other modalities and management of metabolism, sodium osmotic pressure, temperature and blood gases.

All patients also had an additional CT at 5 days ( $\pm$  2 days) to assess changes in the haematoma size with and without surgery.

## Compliance

Patients or their relatives could withdraw consent for an operation, or, conversely, request an operation, after randomisation, thereby leading to crossover between the arms. In surgical trials it is common for the patient's condition to change over time and a patient randomised to Initial Conservative Treatment might deteriorate and require surgery later. Such crossovers and the reasons for them were documented.

Information was collected about the status (GCS and focal signs) of patients through the first 5 days of their trial progress and ICP/CPP measures in invasively monitored patients in order to be able to describe the change in status that might lead to a change in equipoise for the treating neurosurgeon, and subsequent surgery in patients initially randomised to conservative treatment.

Compliance with treatment allocation was monitored by the data manager. In surgical trials, patients allocated to the non-surgical arm of the trial may later deteriorate and surgeons may intervene. This was the case in the Medical Research Council (MRC)-funded STICH<sup>4</sup> and STICH II,<sup>10</sup> in trials of cardiac surgery compared with angioplasty, in the MRC-funded back pain trial<sup>23</sup> and in the Spine Patient Outcomes Research Trial (SPORT).<sup>24</sup> These crossover rates to surgery were 26%, 21%, 28%, 28% and 30% respectively. While surgical trials will always have such crossovers when surgeons perceive that there is value in operating on patients who deteriorate after initial randomisation into the conservative limb of the trial, it is important to understand, monitor and report the rates of such crossovers. During the recruitment of centres and at investigator meetings the importance of clinical equipoise and minimising crossovers was emphasised and all crossovers were investigated. Centres exhibiting high crossover rates could be withdrawn from the study.

#### **Data collection**

To preserve confidentiality all patients were allocated a unique study identifier during the randomisation process, which was used on all data collection forms and questionnaires. Only a limited number of members of the research team were able to link this identifier to patient identifiable details; this was necessary in order to carry out centralised follow-up.

#### Baseline

Before randomisation, a one-page baseline form was completed by the responsible neurosurgeon recording demographic (age, gender) and clot characteristics [site, side, length (A), width (B) and height (C) measures to define volume] and status at randomisation (GCS, pupils equal and reacting or not). This information was required in order to randomise the patient.

#### Two week/discharge

At 2 weeks after randomisation or at discharge or death (whichever occurred first) the discharge/2-week form was completed. This form recorded the date, the event that triggered the form and the patient's status at that time, whether or not the patient had had surgery and when (and why if randomised to Initial Conservative Treatment or why not if randomised to Early Surgery), the patient's GCS and localising features for the 5 days following randomisation, the occurrence of any adverse events (including death, pulmonary embolism, deep-vein thrombosis, surgical site infection) following randomisation, past medical history and status prior to the head injury. This form, together with copies of the randomisation CT image and the 5-day post-randomisation CT image, was sent to the STITCH office within 2 weeks. The data manager entered the data into the anonymised password-protected database.

#### Computed tomography

Copies of two CT images were required: the diagnostic CT image prior to randomisation and a 5-day image. All patients had undergone a diagnostic CT imaging as standard practice. The 5-day image was due between 3 and 7 days after randomisation. Many patients received this as part of standard treatment

and the study accepted and used any CT taken for clinical purposes during this period. Only patients who did not receive such a CT during this period required additional imaging.

The preferred scanning technique was CT with volume acquisition 32 × 0.5 mm (or equivalent), 120 kV, 400 mA (or equivalent) and 220 mm field of view. The preferred angle was parallel with the anterior cranial fossa, coverage from base of skull to vertex, reconstruct 5 mm whole head, soft tissue filter.

The preferred method of sending CT images was in DICOM (Digital Imaging and Communications in Medicine)-compatible format, on separate CDs for the two time points, anonymised by use of a patient identifier. They were checked by the data manager initially on receipt at the STITCH(TRAUMA) office to ensure that the haematoma characteristics at randomisation conformed to the required inclusion criteria. Where protocol deviations were suspected, the data manager arranged for the image to be viewed by a trained reader to check whether or not the centre needed to be contacted immediately to prevent repetitions.

The data manager loaded the images into a specialised password-protected image management program. They were allocated a separate randomly created identifier by the data manager, so that it would not be possible for the reader to identify the before-and-after images of the same patient. A separate list identifying patient identifier and scan identifier was kept by the data manager.

The CT images were to be analysed subsequently by trained readers using the image management program and a defined protocol. Their passwords gave access only to scans blinded to treatment group and patient identity.

#### Follow-up

Postal questionnaires had previously been designed for the STICH<sup>4</sup> and STICH II<sup>10</sup> studies and were translated into most of the languages required. Where new countries with different languages were recruited, the National Investigator was asked to arrange translation and another principal investigator from the country was asked to check the translation. Postal follow-up was due at 6 months and 12 months. The patient's general practitioner (in the UK) or consultant (outside the UK) was contacted at 4.5 months and 10 months to check that the patient was alive and to confirm his/her place of residence. At that time the clinician was also requested to complete a major adverse events form to include death, pulmonary embolism, deep-vein thrombosis, stroke or any other serious adverse event. The 6-month outcome questionnaire was mailed to the patient at 5 months for completion by the patient or relative if the patient was unable to complete it him- or herself. If necessary, a reminder was sent at 6 months and telephone follow-up was carried out by 'blinded' clerical or nursing staff at 7 months to enhance response rates. Similarly, the 12-month questionnaire was mailed at 11 months with reminders at 12 and 13 months. In countries where the postal system was poor, patients were requested to attend a follow-up clinic at which the questionnaire was distributed and collected. In countries where literacy or language/dialect was a problem, a 'blinded' interviewer administered the questionnaire. This methodology had been developed for use and applied to good effect in STICH and STICH II.

#### Costs

The costs associated with surgical treatment (theatre time, consumables, overheads) were collected from published resources and local cost surveys undertaken by the study health economist. Length of stay, health-care resource use outside hospital, together with productivity costs arising from absence from work, and additional costs for family members through extra caring responsibilities were collected using the additional 3-month postal questionnaire and extended 6-month and 12-month postal questionnaires in the UK. Data were to be combined on quality of life with survival to generate QALYs. This included measurement of health-care costs, quality of life [EQ-5D-3 level (EQ-5D-3L)] work absence [World Health Organization (WHO) Health and Performance Questionnaire – Clinical Trial Version] and carer activities (measured by the discrete choice experiment developed by the Health Economics Research Unit). EQ-5D-3L and survival were collected for all patients by the postal outcome questionnaires in order to generate QALYS for the whole study and for a UK-only analysis.

#### Serious adverse events

Serious adverse events were recorded on the Major Adverse Events form. Serious adverse events were defined as an adverse experience that resulted in any of the following outcomes:

- death
- life-threatening
- inpatient hospitalisation or prolongation of existing hospitalisation
- persistent or significant disability or incapacity.

All serious adverse events were to be reported to the STITCH Office within 7 days of the local investigator becoming aware of the event and to the local ethics committee or other regulatory bodies as required.

#### **Outcome measures**

- Primary: unfavourable outcome was defined as death or severe disability on the Glasgow Outcome Scale (GOS), which was recorded using a self-completed structured questionnaire based on the 8-point GOS.<sup>25</sup>
- Secondary: Rankin, EQ-5D-3L, Mortality, Survival, Major Adverse Events (death, pulmonary embolism or deep-vein thrombosis, infection, rebleeding), QALYs, total health-care costs, social costs.

Structured postal questionnaires were used to collect follow-up data. They contained the GOSE, Rankin Scale and EQ-5D-3L and were translated into the necessary languages. GOSE and Rankin Scale had been translated by a national investigator for a previous study and were back-translated to check them. EQ-5D-3L was obtained in the necessary validated translated versions.

The GOS is the specific measure for head injury and the 8-point scale provides more sensitivity than the 5-point scale. Initially the plan had been to use a prognosis-based<sup>26,27</sup> outcome measure such that for patients with a very poor prognosis an outcome of good recovery, moderate disability or upper severe disability would be regarded as a favourable outcome, while for patients with a better prognosis a favourable outcome would be good recovery or moderate disability. Following the withdrawal of funding, the analysis plan was altered to a simple dichotomised split for all patients. The Rankin Scale is widely used as a functional outcome measure in stroke and allows comparison of results between this study of patients with traumatic ICH and studies of patients with spontaneous ICH. EQ-5D-3L is the standard measure of quality of life incorporating a utility value and has been developed in many languages.

#### Sample size

Previous studies had suggested a favourable outcome in the non-operated group of about 40% and a favourable outcome in the surgical group of about 60–70%. However, this was in observational studies. Given that many randomised controlled trials observe a more favourable outcome than seen in observational data it was assumed that the favourable outcome (good recovery or moderate disability on the GOS) in the conservative treatment group would be 50% in order to calculate sample size. A total sample size of 776 would therefore be required to show a 10% benefit (i.e. 50% vs. 60%) from surgery (2p < 0.05) with 80% power. A safety margin of 9.5% was built in to allow for loss to follow-up, making a total sample size of 840 to be recruited and randomised (420 per arm).

In order to achieve this sample size in a reasonable time span and to provide robust evidence, it was necessary to recruit patients from outside the UK. In England and Wales there are only 30 neurosurgical units, and only one-third of these participate in randomised controlled trials. Experience with interested neurosurgical centres in previous studies had shown that about 25% of recruited centres fail to recruit any patients and a further 25% only recruit one or two patients. The best recruiting centres will recruit about

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10 patients per year; therefore, to complete patient recruitment within the time scale, we estimated that we would need to approach at least 150 centres.

Loss to follow-up was reduced as much as possible. In the previous STICH study, the loss was about 5%. In STITCH(TRAUMA), the patient population was younger and likely to be more mobile; however, we implemented procedures that we had developed to minimise loss to follow-up. Methods of follow-up were adapted to those most likely to be successful within each country and centre according to local population and care characteristics. Residence in any study country was an eligibility criterion so patients who suffered a head injury while on holiday would be able to be followed up.

Following the decision by the funding agency to halt the trial, the power of the trial was recalculated. Using the uncorrected chi-squared test and assuming equal sample sizes in the two groups, and given an average favourable outcome of 60% (as observed after the recruitment and follow-up of 96 patients), there would be 26.4% power to detect a 10% difference. The achieved sample size of 170 gave 80% power to detect a 21% difference.

### Blinding

It was not possible to blind either patients or treating surgeons to whether or not the patient had surgery or when. To minimise possible sources of bias, randomisation was undertaken centrally, thus ensuring concealment of allocation from the enrolling clinician, patient and relatives. The multidisciplinary team in the co-ordinating centre and the principal investigators were blinded to the results until after the data set was locked following receipt of the final outcome questionnaire. Only the data manager and Data Monitoring Committee (DMC) had access to unblinded data.

## Statistical analysis

Initially the primary analysis was planned to be a simple categorical frequency comparison using the uncorrected chi-squared test for prognosis-based<sup>26,27</sup> favourable and unfavourable outcomes at 6 months. Patients with a good prognosis would be categorised as having a favourable outcome if they achieved good recovery or moderate disability on the GOS. Patients with a poor prognosis would be categorised as having a favourable outcome if they achieved good recovery, moderate disability or upper severe disability on the GOSE. Secondary outcomes were also to be analysed using the prognosis-based method as specified in STICH.<sup>28</sup>

However, STITCH(TRAUMA) was halted early by the funding agency for failure to recruit in the UK. The achieved sample size, at the point when recruitment was halted on instruction from the funding agency, was 170 patients, with 4% from the UK. The analysis plan was, therefore, adapted from the original analysis statement published in the protocol<sup>20</sup> in the light of this much reduced sample size. The new plan was developed and published on the study website without access to treatment allocation prior to unblinding the data.

#### Primary outcome

Analysis was on an intention-to-treat basis. The primary analysis was a simple categorical frequency comparison using the uncorrected chi-squared test for favourable and unfavourable outcomes at 6 months. Patients were categorised as having a favourable outcome if they achieved good recovery or moderate disability on the GOS. Patients were categorised as having an unfavourable outcome if they had severe disability on the GOS, were vegetative or had died. A sensitivity analysis using logistic regression was undertaken to adjust for age, volume of haematoma and GCS.

#### Secondary outcomes

Secondary outcome analyses included the proportional odds model analysis of GOS, GOSE and Rankin Scale scores at 6 months, Kaplan–Meier survival curve with log-rank test, mortality at 6 months and dichotomised Rankin Scale score (0–2, 3–6) at 6 months (comparing patients able to look after their own affairs with patients who need help). For dichotomised outcomes, absolute differences and 95% confidence intervals (CIs) were reported. Secondary outcomes were also collected at 12 months but were not required by the funding agency.

#### Subgroup analysis

Minimal subgroup analysis was undertaken and regarded as exploratory. Odds ratios (ORs) and 95% CIs were reported for the following subgroups: age (two bands using randomisation strata: < 50 years and  $\geq$  50 years – there were very few patients aged over 70 years so the two upper age bands were combined), volume of haematoma (using median split:  $\leq$  23 ml, > 23 ml), GCS (using standard classification of head injury severe, moderate, minor: 3–8, 9–12, 13–15), time from ictus to randomisation (using median split < 21 hours,  $\geq$  21 hours) and geographical region (four bands: Europe, India, China, other). Interaction tests (chi-squared test for heterogeneity) were undertaken and relevant *p*-values reported.

#### **Protocol violations**

There were two cases of major protocol violation in that the treatment decision was taken prior to randomisation. One patient randomised to surgery had already had a decision made for no surgery to take place and one case randomised to Initial Conservative Treatment had already had surgery. These two cases will be excluded from all analyses.

#### Health economics analysis

A cost–utility analysis was conducted from a health service perspective and based on an intention-to-treat principle.

Health-care resource use data were sourced from individual case report forms and participant questionnaires and supplemented using additional questionnaires administered to a sample of trial recruiting centres. Standard unit cost estimates were applied to resource use data for intervention delivery, hospital length of stay and rehospitalisation. Where standard unit costs were not available, supplemental information was collected from the additional questionnaire (e.g. cost of an hour of a surgeon's time) and WHO choice data for the cost per night in hospital. Intervention and follow-up costs were summed to generate total costs to the health services for each individual within the trial. Costing followed recommended procedures for international studies<sup>29,30</sup> and costs were transformed into 2013 international dollars.<sup>31</sup> Standard general linear regression methods were used to estimate the impact of treatment group (conservative or Early Surgery) on costs. Estimates were calculated for all countries as well as country subgroups classified according World Bank classifications<sup>32</sup> based on gross national income (GNI) per capita as follows: low income (GNI ≤ Int\$1005, e.g. Nepal), lower middle income (GNI Int\$1006–3975, e.g. India), upper middle income (GNI Int\$3976–12,275, e.g. China), and high income (GNI ≥ Int\$12,276, e.g. Western Europe, USA). Owing to small sample sizes, regression analyses were not undertaken.

#### **Reporting statistics**

For categorical variables, the number and percentage in each group are reported. Percentages are reported to no decimal places. For continuous variables, the median, quartiles, maximum and minimum are reported. Where *p*-values are reported, these are given to three decimal places or to one significant figure if four decimal places are required and then < 0.0001 if smaller still. The absence of data is reported. Outcomes are reported as ORs with 95% CIs and to two decimal places and *p*-values to three decimal places. Absolute benefits with 95% CIs to one decimal place are also reported.

## Research governance

To conform with the Research Governance Framework for Health and Social Care, the role of sponsor for this study was taken on by the Newcastle upon Tyne Hospitals Foundation NHS Trust. All study-attached staff were appropriately qualified and trained in Good Clinical Practice appropriate to their role in the study.

#### Trial Steering Committee

Independent oversight of the study was provided by a Trial Steering Committee (TSC). The TSC met at 6-monthly intervals during the study. It provided overall supervision of the trial on behalf of the Health Technology Assessment (HTA) programme. It considered progress of the trial (in particular, success in site and patient recruitment), adherence to the protocol, patient safety and consideration of new information. The trial was conducted according to the standards set out in the MRC Guidelines for Good Clinical Practice.

#### Data Monitoring Committee

In order to monitor accumulating data on patient safety and treatment benefit, a DMC was established. The DMC considered data from interim analyses after 50, 100 and 150 patients had been recruited. It reported to the TSC. Interim analyses were strictly confidential and the committee would recommend stopping the trial early only if one or other treatment showed an advantage at a very high significance level or if the recruitment rates were unexpectedly low. The DMC recommended at each review that the trial should continue.

#### Management committee

This group met weekly to monitor progress and compliance. On a quarterly basis, funnel plots showing the proportion of patients who had died by the number recruited per site and the proportion of crossovers were reported to the group.

### National Investigators

In countries with multiple centres, one centre investigator was required to fulfil the role of National Investigator and be responsible for obtaining national ethics approval and other permissions as required, for ensuring that documentation was translated from English as required, for identifying suitable centres within their country, for encouraging recruitment and for acting as a liaison person between the management team and the centres if required.

#### Centre investigators

Each centre had a centre investigator responsible for the conduct of the trial in that centre.

#### Patient and public involvement

There are two main charities involved with head injury research in the UK: Headway and UK Acquired Brain Injury Forum. Both organisations were invited to propose representatives to sit on the TSC. These representatives supported the study, providing advice on all aspects of the trial including design of the questionnaires, changes in the protocol and recruitment to the study.

# Chapter 3 Results

## **Timelines**

Funding was activated on 1 September 2009 and the first site opened to recruitment on 1 October 2009. The first patient was recruited in December 2009. In March 2012, the HTA programme announced that it would be withdrawing funding because of the low UK recruitment. It felt that the study was not viable in the UK and that there was no realistic chance of that changing in the near future. It did agree to a managed process of withdrawal, particularly for non-UK centres, to enable the project team to investigate the possibility of support from another funder in order to continue the trial and to fulfil the ethical responsibility for follow-up of current study participants and maximise data available for future meta-analyses. Despite considerable efforts to identify further funding both in the UK and abroad, the project team were unsuccessful in achieving this in the short time available and the final patient was recruited by 30 September 2012.

# **Centre recruitment**

Interest in the study was expressed by 139 centres, and 59 of these completed all the regulatory requirements to become registered centres. The registered centres were located in 20 countries: the UK (n = 9), Armenia (n = 1), Bulgaria (n = 1), Canada (n = 1), the People's Republic of China (n = 3), the Czech Republic (n = 1), Egypt (n = 2), Germany (n = 6), Hungary (n = 1), India (n = 14), Italy (n = 1), Latvia (n = 1), Lithuania (n = 2), Malaysia (n = 2), Nepal (n = 1), Pakistan (n = 1), Romania (n = 3), Russia (n = 1), Spain (n = 2) and the USA (n = 6). A further 13 centres had obtained ethical approval but were unable to complete the regulatory processes prior to the study being halted. *Figure 1* shows the accumulation of centres through the trial. Regulatory processes are difficult and time-consuming and the difficulties in recruiting centres to surgical trials have been reported previously.<sup>33</sup> The times to recruitment for individual centres are shown in *Figure 2* and the variability within countries in *Figure 3*.

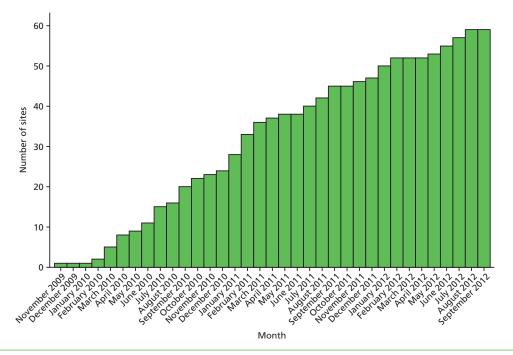
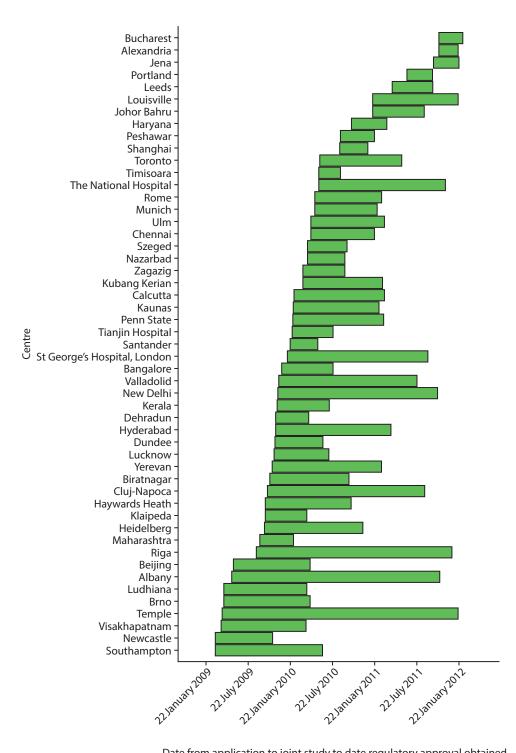


FIGURE 1 Recruitment of centres over time.



Date from application to joint study to date regulatory approval obtained

FIGURE 2 Time to achieve regulatory approval.

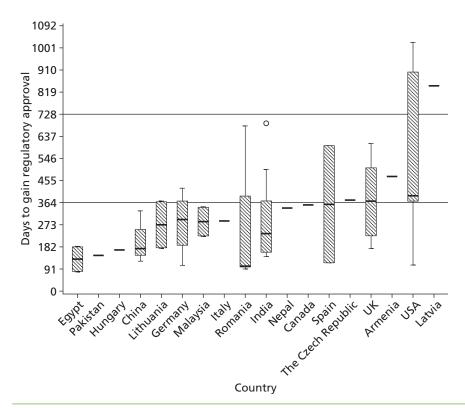


FIGURE 3 Time to approval by country.

# **Screening logs**

Screening logs were returned by 32 centres from 15 countries, covering 251 centre-months out of a potential 1028 centre-months. The logs reported 1735 patients screened, of whom 1542 were reported to be ineligible, 134 eligible but not included and 59 recruited. The main reasons for ineligibility were that the ICH was too small (49%) or that the patient had an EDH or SDH that required surgery (20%). Reasons for not recruiting eligible patients included no consent (77%) and failure to randomise (23%). Further descriptions of these data are given in Francis *et al.*<sup>34</sup>

# **Patient recruitment**

Between December 2009 and September 2012, 170 patients were recruited from 31 centres in 13 countries and randomly assigned to treatment groups: 83 to Early Surgery and 87 to Initial Conservative Treatment (*Figure 4*). After an initial slow recruitment phase, when the number of centres recruiting was low, the rate of recruitment picked up and in fact matched the recruitment seen in a previous SICH study. However, announcement of the plan to withdraw funding resulted in a slowdown in recruitment even though it continued for a further 6 months. This was probably a result of trial fatigue and a decision by the trial team not to encourage further centre recruitment until attempts to obtain further funding had been exhausted.

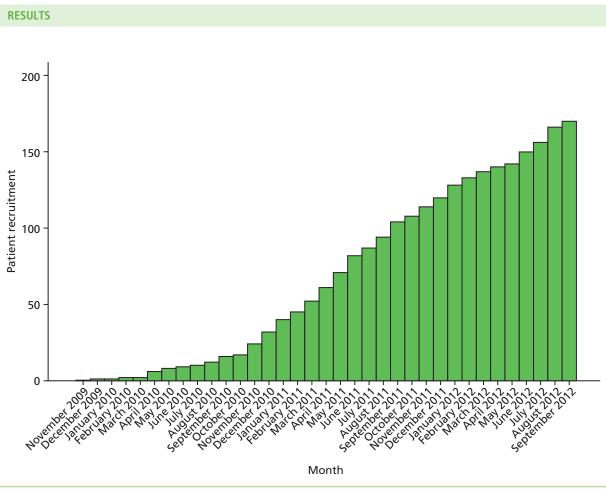


FIGURE 4 Patient recruitment over time.

*Figure 5* shows recruitment by centre and country. India was the highest-recruiting country, with a total of 74 patients, followed by China with 43. There were 27 patients recruited in Europe, including six in the UK, and 26 in the other countries (Pakistan, Malaysia, Nepal and Egypt).

*Figure 6* shows the CONSORT diagram for the trial. Two patients were excluded because the treatment decision was made prior to randomisation: in one case the patient was operated on prior to randomisation and in the other a decision was made not to operate prior to randomisation. These were serious protocol violations. All other patients were included in the analysis. Only one patient was lost to 6-month follow-up. This report therefore reports baseline measures for 168 patients and results for 167 patients, 82 patients assigned to Early Surgery and 85 patients assigned to Initial Conservative Treatment.

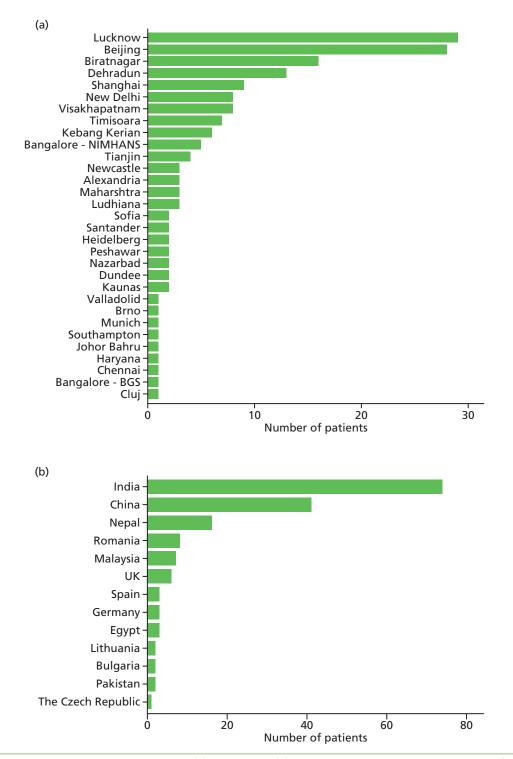
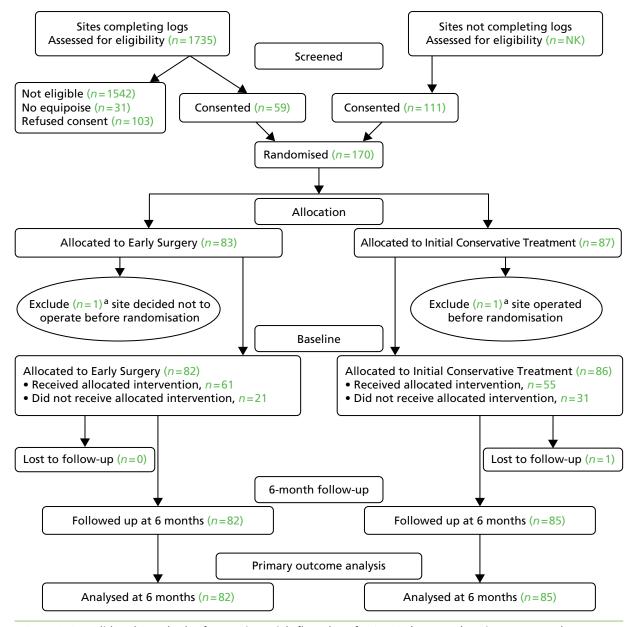


FIGURE 5 Recruitment by centre and country. (a) Centre; and (b) country. NIMHANS, National Institute of Mental Health and Neuro Sciences.



**FIGURE 6** Consolidated Standards of Reporting Trials flow chart for STITCH(TRAUMA) patients. NK, not known. a, One site recruited one patient but had undertaken surgery prior to randomisation; the patient was allocated to Initial Conservative Treatment. Another site recruited one patient for whom a treatment decision not to operate was made before the patient was randomised; this patient was allocated to Early Surgery. Because of the severe breach of protocol, these patients were excluded. Reproduced from Mendelow *et al.*<sup>35</sup> © A. David Mendelow, Barbara A. Gregson, Elise N. Rowan, Richard Francis, Elaine McColl, Paul McNamee, Iain R. Chambers, Andreas Unterberg, Dwayne Boyers, and Patrick M. Mitchell 2015; Published by Mary Ann Liebert, Inc. This Open Access article is distributed under the terms of the Creative Commons License (http://creativecommons.org/licenses/by/4.0), which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly credited.

# **Baseline measures**

*Tables 1–6* show the distribution of baseline variables between the two treatment groups. Patients ranged in age from 16 to 83 years, with a median age of 50 years, and 122 (73%) were male (*Table 1*). Despite 13% of patients being over the age of 70 years, only 7% were on any anticoagulant or antiplatelet medication. Prior to the head injury, 164 patients (98%) scored 0 or 1 on the Rankin Scale, and 22 (13%) had a medical history of cardiovascular disease (*Table 2*). The main causes of the head injury were road traffic accidents (113, 67%) and falls (47, 28%) (*Table 3*). Most of those who were in a road traffic accidents were motorbike riders (n = 45, 40%) or pedestrians (n = 29, 26%). Patients from Europe were most likely to have suffered a fall (74%) while patients from Asia were most likely to have had a road traffic accident (76%) either on a motorbike or as a pedestrian.

Sixty-eight (40%) patients were admitted to another hospital prior to their transfer to the neurosurgical unit, and the time from injury to randomisation varied between 3 and 48 hours with a median of 22 hours (*Table 4*). Two-thirds (n = 111; 66%) had an initial loss of consciousness, 25 (15%) pretraumatic amnesia and 41 (24%) post-traumatic amnesia.

TABLE 1	Baseline	variables:	age,	sex,	pre-injury	' status
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Variable	Early Surgery (N = 82)	Initial Conservative Treatment (N = 86)
Age (years)		
Median (IQR)	51 (32–63)	50 (33–61)
Range	18–83	16–77
Mean (SD)	48 (17.7)	48 (16.9)
Age band (years), n (%)		
< 50	37 (45)	42 (49)
50–69	34 (42)	33 (38)
≥70	11 (13)	11 (13)
Sex, n (%)		
Male	57 (70)	65 (76)
Female	25 (30)	21 (24)
Pre-ICH Rankin Scale score, n (%)		
0	74 (90)	78 (91)
1	6 (7)	6 (7)
2	2 (2)	0 (0)
3	0 (0)	1 (1)
4	0 (0)	0 (0)
5	0 (0)	1 (1)
Pre-ICH mobility, n (%)		
Able to walk 200 m	80 (98)	82 (95)
Able to walk indoors	1 (1)	3 (3)
Unable to walk	1 (1)	1 (1)
Handedness,ª n (%)		
Right	80 (98)	84 (99)
Left	2 (2)	1 (1)

IQR, intraquartile range; SD, standard deviation.

a Handedness was not recorded for one patient in the Initial Conservative Treatment group.

Variable	Early Surgery, <i>n</i> (%) ( <i>N</i> = 82)	Initial Conservative Treatment, $n$ (%) ( $N = 86$ )	
Significant medical history			
Cardiovascular	10 (12)	12 (14)	
Gastrointestinal	4 (5)	3 (3)	
Musculoskeletal	3 (4)	1 (1)	
Oncological	1 (1)	1 (1)	
Renal	1 (1)	0 (0)	
Epilepsy	2 (2)	2 (2)	
Endocrine	4 (5)	5 (6)	
Haematological	2 (2)	0 (0)	
Neurological	0 (0)	3 (3)	
Pulmonary	1 (1)	1 (1)	
Social history	3 (4)	3 (3)	
Antiepileptics	1 (1)	2 (2)	
ENT	1 (1)	2 (2)	
Hepatic	2 (2)	2 (2)	
Previous TBI	1 (1)	2 (2)	
Psychiatric	0 (0)	0 (0)	
Developmental	0 (0)	0 (0)	
Prior to ICH anticoagulant	2 (2)	0 (0)	
Prior to ICH antiplatelet	3 (4)	7 (8)	
Prior to ICH thrombolytic	0 (0)	0 (0)	
ENT, ear, nose and throat; TBI, traumatic brain injury.			

## TABLE 2 Baseline variables: medical history

 TABLE 3 Injury causes and mechanisms

Variable	Early Surgery, <i>n</i> (%) ( <i>N</i> = 82)	Initial Conservative Treatment, $n$ (%) ( $N = 86$ )	
Cause of injury			
Road traffic accident	54 (66)	59 (69)	
Fall domestic	15 (18)	15 (17)	
Fall outside home	8 (10)	9 (10)	
Work	0 (0)	1 (1)	
Violence/assault	3 (4)	2 (2)	
Animal attack	2 (2)	0 (0)	
Mechanism of injury <sup>a</sup>			
Acceleration/deceleration	13 (16)	23 (28)	
Direct impact	38 (47)	25 (30)	
Crush	2 (2)	1 (1)	
Fall from ground	18 (22)	26 (31)	
Fall from height	8 (10)	8 (10)	
Fall (details unknown)	2 (2)	0 (0)	
a Data missing for mechanism of injury ( $n = 4$ cases).			

Variable	Early Surgery (N = 82)	Initial Conservative Treatment ( $N = 86$ )
Time to randomisation (hours)	)	
Median (IQR), range	21 (13–31), 3–48	22 (14–28), 4–48
Mean (SD)	22 (11.7)	22 (10.6)
Emergency services provided t	for the airway, n (%)	
None	28 (34)	21 (24)
Oxygen	45 (55)	56 (65)
Intubation	6 (7)	8 (9)
Oxygen and intubation	3 (4)	1 (1)
Site of additional injuries, n (%	%)	
Skin	35 (43)	46 (53)
Head and neck	63 (77)	67 (78)
Face	34 (41)	37 (43)
Chest	11 (13)	11 (13)
Abdomen	7 (9)	1 (1)
Extremities	17 (21)	11 (13)
Spine	3 (4)	2 (2)
Initial loss of consciousness, n	(%)	
Yes	57 (70)	54 (63)
No	15 (18)	20 (23)
Unknown	10 (12)	12 (14)
Pre-traumatic amnesia, n (%)		
Yes	16 (20)	9 (10)
No	33 (40)	40 (47)
Unknown	33 (40)	37 (43)
Post-traumatic amnesia, n (%)		
Yes	22 (27)	19 (22)
No	27 (33)	34 (40)
Unknown	33 (40)	33 (38)
Secondary insults, n (%)		
Нурохіс	3 (4)	3 (3)
Hypotensive	2 (2)	2 (2)
Hypothermic	0 (0)	0 (0)
Cardiac arrest	0 (0)	0 (0)
Referral details, n (%)		
Primary admission	45 (55)	55 (64)
Secondary admission	37 (45)	31 (36)
IQR, intraquartile range; SD, stand	dard deviation.	

## TABLE 4 Emergency service therapeutic procedures and hospital admission details

The volume of the largest haematoma varied between 10 ml and 97 ml, with a median of 23 ml, and 61 (36%) patients had a second haematoma between 0 ml and 26 ml, with a median of 3 ml (*Table 5*). All of the reported haematomas were located in the lobar regions of the brain, particularly in the frontal or temporal areas. The distribution of baseline variables between the two groups was very similar. At the time of randomisation, 70 (42%) patients had a GCS of 13–15, 78 (46%) a GCS of 8–12 and 20 (12%) a GCS of <8 (*Table 6*). More than 85% of patients had a motor score on the GCS of 5 or more.

## Surgery

Of the 82 patients in the Early Surgery group, only 61 (74%) had surgery, 57 (93%) of these within 12 hours of randomisation (*Table 7*). The reasons for not having surgery were patient or relative refusal (n = 15), improvement (n = 1), deterioration (n = 2), seizures (n = 1), anaesthetic risk (n = 1) and change of history suggesting spontaneous rather than traumatic ICH (n = 1). Although informed consent was obtained prior to randomisation, patients often had more than one relative and further discussion could lead to a change of opinion.

Variable	Early Surgery ( <i>N</i> = 82)	Initial Conservative Treatment (N = 86)		
Volume of largest haematoma (ml)				
Median (IQR), range	25 (18–37), 11–96	23 (15–32), 10–97		
Mean (SD)	31 (18.0)	27 (16.8)		
Location of largest haemorrhage, n	(%)			
Frontal	36 (44)	43 (50)		
Temporal	39 (48)	37 (43)		
Parietal	4 (5)	5 (6)		
Occipital	3 (4)	1 (1)		
Second haematoma present, n (%)				
Yes	28 (34)	33 (38)		
No	54 (66)	53 (62)		
Volume of second haematoma if ap	oplicable (ml)			
Median (IQR), range	3 (1–10), 0–20	4 (2–8), 0–26		
Mean (SD)	6 (6.3)	6 (6.6)		
Location of second haemorrhage, n	(%)			
Frontal	20 (24)	15 (17)		
Temporal	6 (7)	12 (14)		
Parietal	1 (1)	6 (7)		
Occipital	1 (1)	0 (0)		
No second haemorrhage 54 (66) 53 (62)		53 (62)		
IQR, intraquartile range; SD, standard o	IQR, intraquartile range; SD, standard deviation.			

#### **TABLE 5** Haematoma characteristics

Variable	Early Surgery, <i>n</i> (%) ( <i>N</i> = 82)	Initial Conservative Treatment, $n$ (%) ( $N = 86$ )
GCS: eye		
1	7 (9)	12 (14)
2	21 (26)	17 (20)
3	23 (28)	25 (29)
4	31 (38)	32 (37)
GCS: verbal		
1	12 (15)	15 (17)
2	21 (26)	19 (22)
3	8 (10)	14 (16)
4	23 (28)	20 (23)
5	18 (22)	18 (21)
GCS: motor		
1	0 (0)	1 (1)
2	2 (2)	1 (1)
3	4 (5)	5 (6)
4	6 (7)	4 (5)
5	32 (39)	33 (38)
6	38 (46)	42 (49)
GCS: total		
3	0 (0)	1 (1)
4	0 (0)	0 (0)
5	1 (1)	2 (2)
6	6 (7)	3 (3)
7	4 (5)	3 (3)
8	1 (1)	6 (7)
9	11 (13)	8 (9)
10	11 (13)	14 (16)
11	6 (7)	8 (9)
12	6 (7)	7 (8)
13	10 (12)	8 (9)
14	14 (17)	13 (15)
15	12 (15)	13 (15)
Pupils		
Both reactive	77 (94)	79 (92)
One reactive	3 (4)	3 (3)
Both unreactive	2 (2)	4 (5)

# TABLE 6 Baseline variables: status at randomisation

## TABLE 7 Surgery details

Variable	Early Surgery: surgical cases only, <i>N</i> = 61 (74%)	Initial Conservative Treatment: surgical cases only, <i>N</i> = 31 (36%)
Method, n (%)		
Craniotomy	59 (97)	25 (81)
Other	2 (3)	6 (19)
Other surgical details		
Bone flap replaced	47 (77)	13 (42)
Other cranial surgery	1 (2)	3 (10)
Paralysed and sedated	17 (28)	12 (39)
Any non-cranial surgery	1 (2)	2 (7)
Pre-operative GCS: eye, n (%)		
1	5 (8)	15 (48)
2	18 (30)	8 (26)
3	19 (31)	5 (16)
4	19 (31)	3 (10)
Pre-operative GCS: verbal, n (%)		
1	13 (21)	16 (52)
2	15 (25)	7 (23)
3	6 (10)	5 (16)
4	18 (30)	0 (0)
5	9 (15)	3 (10)
Pre-operative GCS: motor, n (%)		
1	0 (0)	4 (13)
2	2 (3)	1 (3)
3	6 (10)	3 (10)
4	4 (7)	6 (19)
5	26 (43)	14 (45)
6	23 (38)	3 (10)
Time randomisation to surgery (hours)		
Median (IQR), range	3 (1–6), <1–24	25 (6–79), < 1–318
Mean (SD)	4 (4.5)	58 (75.6)
Surgery within 12 hours of randomisation, n (%)	57 (93)	10 (32)
Time injury to surgery (hours)		
Median (IQR), range	23 (16–36), 4–69	45 (26–99), 9–332
Mean (SD)	26 (13.8)	78 (79.0)
Surgery within 12 hours of injury, n (%)	9 (15)	3 (10)

IQR, intraquartile range; SD, standard deviation.

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Of the 86 patients randomised to Initial Conservative Treatment, 31 (36%) had surgery within 14 days of randomisation, 10 (32%) of these within 12 hours. The reasons for having surgery were neurological deterioration (n = 29), no shrinkage in haematoma size (n = 1) and rise in ICP (n = 1). Neurological deterioration was identified by a drop in GCS, enlargement of the haematoma or increase in midline shift, increase in weakness or change in pupil size or reactivity.

Surgical patients in the Early Surgery group were more likely to undergo craniotomy than surgical patients in the Initial Conservative Treatment group (97% vs. 81%; Fisher's exact test, p = 0.016). One patient in the Initial Conservative Treatment group underwent burrhole surgery, but all other patients who did not have craniotomy underwent craniectomy. The bone flap was more likely to be replaced in the surgical patients in the Early Surgery group (77%) than in the Initial Conservative Treatment group (42%) (Fisher's exact test, p = 0.001). As *Table 3* demonstrates, surgical patients in the Early Surgery group had significantly higher pre-operative GCS values on all the subscales than those requiring surgery in the Initial Conservative Treatment group. Comparison of the baseline characteristics of patients in the Initial Conservative Treatment group who had surgery with those who did not showed that patients who deteriorated and went on to have surgery had larger haematomas initially (Mann–Whitney *U*-test, p = 0.010) and were more likely to have at least one pupil unreactive (Fisher's exact test, p = 0.005) but did not differ on age, GCS at the time of randomisation or presence of a second haematoma.

# **Hospital stay**

At 2 weeks post randomisation, similar proportions of patients in the two groups were still on the neurosurgical ward: 29 (35%) of the Early Surgery patients and 32 (37%) of the Initial Conservative Treatment patients. The proportions that had been transferred to another ward or hospital were also similar: three (4%) and four (5%) respectively. However, 43 (52%) Early Surgery patients had been discharged, compared with 33 (38%) Initial Conservative Treatment patients. Furthermore, there was a significant difference in the percentage of patients who had died by 2 weeks: 7 (9%) Early Surgery patients compared with 17 (20%) Initial Conservative Treatment patients (Fisher's exact test, p = 0.047).

At some point in the first 2 weeks, ICP was monitored in seven (9%) Early Surgery patients, compared with 16 (19%) Initial Conservative Treatment patients (p = 0.073), and this affected management decisions in one Early Surgery patient, compared with 10 Initial Conservative Treatment patients (p = 0.069) (*Table 8*). Patients were less likely to be monitored in India, where the rate was 4% (3 out of 74), compared with 21% elsewhere (20 out of 94).

Very few postrandomisation events were recorded during the first 2 weeks of the hospital stay (*Table 9*). The most frequently reported was pneumonia, with eight Early Surgery patients and eight Initial Conservative Treatment patients.

## **Primary outcome**

Six-month outcome was available for 82 Early Surgery patients and 85 Initial Conservative Treatment patients; one patient from the Initial Conservative Treatment group was lost to follow-up. Fifty-two (63%) Early Surgery patients had a favourable outcome on the dichotomised GOS, compared with 45 (53%) Initial Conservative Treatment patients (OR 0.65, 95% CI 0.35 to 1.21; p = 0.171): an absolute difference of 10.5% (95% CI –4.4% to 25.3%) (*Table 10*). Adjusting for age, volume and GCS gave an OR of 0.58 (95% CI 0.29 to 1.16; p = 0.122).

Variables	Early Surgery, n (%) (N = 82)	Initial Conservative Treatment, $n$ (%) ( $N = 86$ )	
ICP monitored			
Yes	7 (9)	16 (19)	
No	75 (91)	70 (81)	
Monitor type (of ICP-monito	ored cases)		
Intraventricular	0 (0)	1 (6)	
Camino	3 (43)	5 (31)	
Codman	2 (29)	7 (44)	
Spiegelberg	2 (29)	3 (19)	
Monitoring affected management (of ICP-monitored cases)			
Yes	1 (14)	10 (63)	
No	6 (86)	6 (37)	

### TABLE 8 Intracranial pressure monitoring frequency and details

#### TABLE 9 Deaths and post randomisation events recorded on the 2-week form

Variable	Early Surgery, <i>n</i> (%) ( <i>N</i> = 82)	Initial Conservative Treatment, $n$ (%) ( $N = 86$ )	
Death	7 (9)	17 (20)	
Ischaemic stroke	0 (0)	1 (1)	
Pulmonary embolism	1 (1)	2 (2)	
Deep-vein thrombosis	0 (0)	0 (0)	
Pneumonia	8 (10)	8 (9)	
Postoperative EDH <sup>a</sup>	0 (0)	2 (2)	
Septicaemia	1 (1)	0 (0)	
Urinary tract infection <sup>a</sup>	1 (1)	0 (0)	
Epilepsy	3 (4)	0 (0)	
Other	5 (6)	1 (1)	
a Data missing on one case.			

#### TABLE 10 Primary outcome at 6 months

Primary outcome (prognosis-based)	Early Surgery, n (%) (N = 82)	Initial Conservative Treatment, n (%) (N = 85)	Test and <i>p</i> -value, absolute difference (95% Cl)
Unfavourable	30 (37)	40 (47)	Chi-squared test, $p = 0.170$ ,
Favourable	52 (63)	45 (53)	10.5% (–4.4% to 25.3%)

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# Secondary outcomes

However, there was a highly significant difference in mortality at 6 months, with 12 (15%) Early Surgery patients dying compared with 28 (33%) Initial Conservative Treatment patients (OR 0.35, 95% CI 0.16 to 0.75, p = 0.007): absolute difference 18.3% (95% CI 5.7% to 30.9%) (*Table 11*). *Figure 7* shows the Kaplan–Meier plot of survival for the two groups of patients, illustrating the significant advantage of Early Surgery compared with Initial Conservative Treatment (p = 0.008).

#### TABLE 11 Secondary outcomes at 6 months

Variable	Early Surgery, n (%) (N = 82)	Initial Conservative Treatment, n (%) (N = 85)	Test and <i>p</i> -value, absolute difference (95% Cl)
Mortality at 6 mont			
Dead	12 (15)	28 (33)	Chi-squared test, $p = 0.006$ , <sup>a</sup>
Alive	70 (85)	57 (67)	18.3 (5.7 to 30.9)
GOS			
Dead	12 (15)	28 (33)	Chi-squared test trend, $p = 0.047$ , <sup>a</sup>
Vegetative	0 (0)	0 (0)	POM, <i>p</i> =0.153
Severely disabled	18 (22)	12 (14)	
Moderately disabled	26 (32)	18 (21)	
Good recovery	26 (32)	27 (32)	
GOSE			
Dead	12 (15)	28 (33)	Chi-squared test trend, $p = 0.052$ ,
Vegetative	0 (0)	0 (0)	POM, <i>p</i> =0.127
Lower SD	4 (5)	8 (9)	
Upper SD	14 (17)	4 (5)	
Lower MD	5 (6)	3 (4)	
Upper MD	21 (26)	15 (18)	
Lower GR	12 (15)	12 (14)	
Upper GR	14 (17)	15 (18)	
Dichotomised Ranki	in Scale score		
Unfavourable	27 (33)	37 (44)	Chi-squared test, $p = 0.159$ ,
Favourable	55 (67)	48 (56)	10.6 (–4.0 to 25.3)
Rankin Scale score			
0	17 (21)	18 (21)	Chi-squared test trend, $p = 0.043$ , <sup>a</sup>
1	27 (33)	22 (26)	POM, <i>p</i> =0.147
2	11 (13)	8 (9)	
3	8 (10)	4 (5)	
4	7 (9)	3 (4)	
5	0 (0)	2 (2)	
Dead	12 (15)	28 (33)	
			continued

Variable	Early Surgery, n (%) (N = 82)	Initial Conservative Treatment, n (%) (N=85)	Test and <i>p</i> -value, absolute difference (95% Cl)
EQ-5D index			
Median	0.80	0.71	Mann–Whitney U-test, $p = 0.218$
IQR	0.52-1.00	0.00–1.00	
Range	–0.33 to 1.00	–0.59 to 1.00	
Limb movement Worse-affected leg <sup>b</sup>			
Unaffected	50 (72)	47 (82)	Chi-squared test, $p = 0.374$
Weak	18 (26)	9 (16)	
Paralysed	1 (1)	1 (2)	
Worse-affected arm <sup>b</sup>			
Unaffected	48 (70)	43 (75)	Chi-squared test, $p = 0.464$
Weak	21 (30)	14 (25)	
Paralysed	0 (0)	0 (0)	

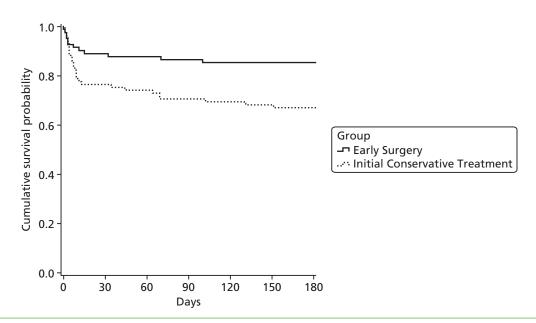
#### TABLE 11 Secondary outcomes at 6 months (continued)

GR, good recovery; IQR, interquartile range; MD, moderate disability; POM, proportional odds model; SD, severe diability. a Statistically significant.

b One patient did not provide information about their limb movements.

EQ-5D utility index calculated using UK weightings provided by the EuroQol Group Foundation.

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**FIGURE 7** Kaplan–Meier: survival analysis. Log-rank test, p = 0.0081. Reproduced from Mendelow *et al.*<sup>35</sup> © A. David Mendelow, Barbara A. Gregson, Elise N. Rowan, Richard Francis, Elaine McColl, Paul McNamee, Iain R. Chambers, Andreas Unterberg, Dwayne Boyers, and Patrick M. Mitchell 2015; Published by Mary Ann Liebert, Inc. This Open Access article is distributed under the terms of the Creative Commons License (http://creativecommons.org/licenses/by/4.0), which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly credited.

The main causes of death were the initial head injury (Early Surgery 5 vs. Initial Conservative Treatment 14) and pneumonia (Early Surgery 4 vs. Initial Conservative Treatment 2). Other causes of death in the Initial Conservative Treatment group included cachexia (n = 2), ischaemic stroke (n = 2), meningitis (n = 1), pulmonary embolism (n = 2), renal injury (n = 1), head injury and surgery (n = 1), seizure (n = 1) and unknown – sudden death in the community (n = 1). In the Early Surgery group, the other causes were hypovolaemic shock (n = 1), pulmonary embolism (n = 1), head injury and surgery (n = 1) and unknown in the community (n = 1). Only eight non-death-related major adverse events were recorded (in eight patients): seizure (n = 3), new/enlarged haematoma (n = 2), infection (n = 2) and other (n = 1).

*Table 11* and *Figure 8* show the distribution of GOS, GOSE and Rankin Scale at 6 months by treatment group. For each of these secondary outcomes there is a significant trend towards better outcome in the Early Surgery group (p = 0.047, 0.052 and 0.043 respectively), although the proportional odds models did not reach statistical significance (OR 0.67, 95% CI 0.39 to 1.16, p = 0.153; OR 0.66, 95% CI 0.38 to 1.13, p = 0.127; OR 0.67, 95% CI 0.39 to 1.15, p = 0.147).

There were also no significant differences in EQ-5D or limb movements between groups. Of 68 Early Surgery patients, 20 (29%) reported being employed at 6 months and, of 54 Initial Conservative Treatment patients, responding to the question 13 (24%) reported being employed.

# **Subgroup** analyses

Prespecified subgroup analyses are shown in *Figure 9*. None of the subgroups display any significant heterogeneity of treatment response, although the patients with a GCS of 9–12 had the best response to Early Surgery.

# Outcome by treatment allocation and treatment received

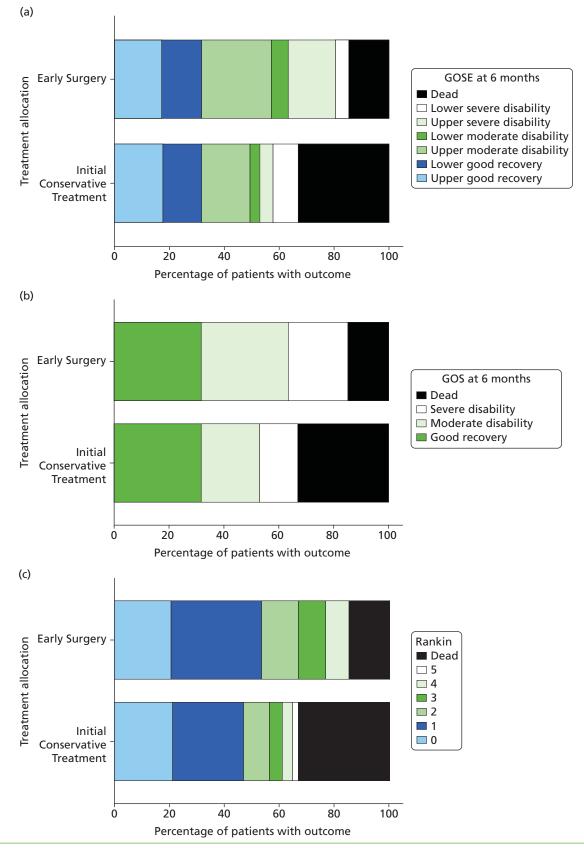
Looking at outcome by allocated and received treatment, 33% (20 out of 61) of patients who were allocated to Early Surgery and had surgery had died or were severely disabled at 6 months. However, 65% (20 out of 31) of patients who were allocated to Initial Conservative Treatment and had delayed surgery had died or were severely disabled at 6 months, compared with 37% (20 out of 54) of the conservative patients who did not have surgery.

## **Costs outcome**

An unadjusted comparison of raw mean costs showed that Early Surgery was, on average, Int\$476 more costly than Initial Conservative Treatment (*Table 12*). Generalised linear model (GLM) regression analysis, adjusting for patient characteristics, showed Early Surgery to be Int\$1774 more costly (95% CI –Int\$284 to Int\$3831) than Initial Conservative Treatment. Sensitivity analyses showed that overall conclusions were robust to the choice of regression model for the analysis. Results from subgroup analyses (*Table 13*) were highly uncertain based on small sample sizes (and too small to conduct regression analysis) and should therefore be interpreted with caution.

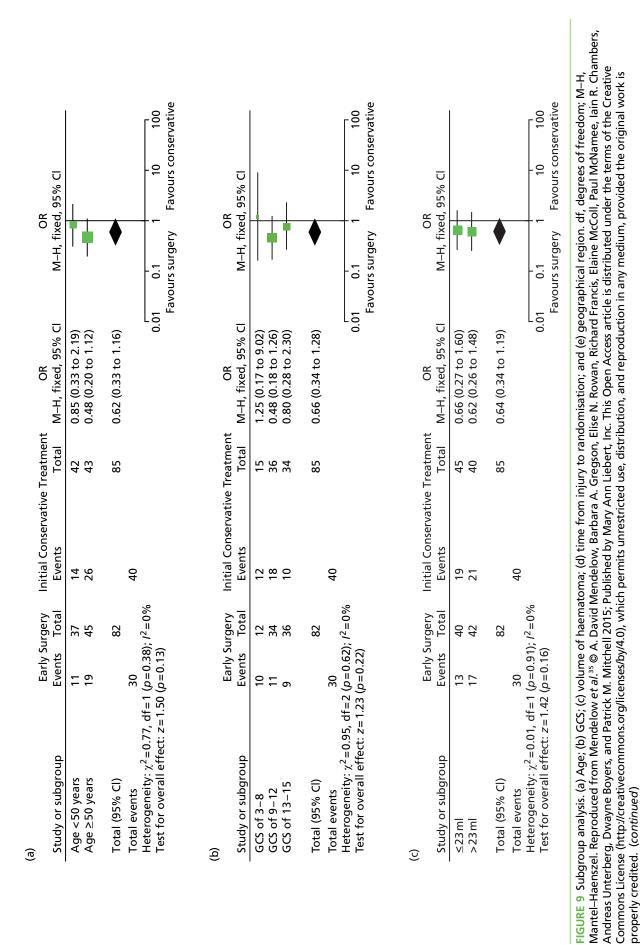
Patients randomised to the Early Surgery group had an average gain of 0.019 QALYs over a 6-month period, 95% bootstrapped CI (-0.004 to 0.043), when compared with those randomised to the Initial Conservative Treatment. This is equivalent to an incremental QALY gain of 3.5 days over a 6-month period. The broad QALY gains are driven primarily by the increased chance of survival in the Early Surgery group. A detailed health economic evaluation comparing costs and QALYs has been conducted and is included as *Appendix 4* to this report.

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**FIGURE 8** (a) GOSE at 6 months; (b) GOS; and (c) Rankin Scale. Notes (a) proportional odds model, p = 0.127; chi-squared for trend, p = 0.052; (b) proportional odds model, p = 0.153; chi-squared for trend p = 0.047; and (c) proportional odds model, p = 0.147; chi-squared test for trend, p = 0.043. Reproduced from Mendelow *et al.*<sup>35</sup> (a) A. David Mendelow, Barbara A. Gregson, Elise N. Rowan, Richard Francis, Elaine McColl, Paul McNamee, lain R. Chambers, Andreas Unterberg, Dwayne Boyers, and Patrick M. Mitchell 2015; Published by Mary Ann Liebert, Inc. This Open Access article is distributed under the terms of the Creative Commons License (http://creativecommons.org/ licenses/by/4.0), which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly credited.

30



T 10 100 ery Favours conservative	OR M-H, fixed, 95% Cl		Total (95% Cl) 82 85 0.61 (0.33 to 1.15) $30$ 30 Total events 30 $40$ Heterogeneity: $\chi^2 = 0.87$ , df=3 ( $p = 0.83$ ); $l^2 = 0\%$ 7 est for overall effect: $z = 1.52$ ( $p = 0.13$ ) Favours surgery Favours conservative
■ 0.1 Favours surgery	M-M		■ 0.1 Favours surgery
0.63 (0.34 to 1.18) 0.01 F	OR M-H, fixed, 95% Cl	0.63 (0.13 to 3.07) 0.64 (0.25 to 1.62) 0.41 (0.12 to 1.45) 1.13 (0.20 to 6.43)	0.61 (0.33 to 1.15) 0.01 F
85	/e Treatment Total	14 37 16 16	85
40	Initial Conservative Treatment Events Total	8 11 11	40
82 ); / <sup>2</sup> =0%	Early Surgery events Total	11 37 23 11	82 ); / <sup>2</sup> =0%
30 :1 ( <i>p</i> =0.61 :3 ( <i>p</i> =0.15)	Early 5 Events	о <mark>1</mark> 3	30 :3 ( <i>p</i> =0.83 :2 ( <i>p</i> =0.13
Total (95% Cl) 82 Total events 30 Heterogeneity: $\chi^2$ =0.26, df=1 ( $p$ =0.61); $l^2$ =0% Test for overall effect: $z$ =1.43 ( $p$ =0.15)	(e) Study or subgroup	Europe India China Malaysia, Pakistan, Nepal, Egypt	Total (95% Cl) 82 Total events 30 Heterogeneity: $\chi^2$ =0.87, df=3 ( $p$ =0.83); $l^2$ =0% Test for overall effect: $z$ =1.52 ( $p$ =0.13)

FIGURE 9 Subgroup analysis. (a) Age; (b) GCS; (c) volume of haematoma; (d) time from injury to randomisation; and (e) geographical region. df, degrees of freedom; M–H, Mantel–Haenszel. Reproduced from Mendelow *et al.*<sup>35</sup> © A. David Mendelow, Barbara A. Gregson, Elise N. Rowan, Richard Francis, Elaine McColl, Paul McNamee, Iain R. Chambers, Andreas Unterberg, Dwayne Boyers, and Patrick M. Mitchell 2015; Published by Mary Ann Liebert, Inc. This Open Access article is distributed under the terms of the Creative Commons License (http://creativecommons.org/licenses/by/4.0), which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly credited.

M-H, fixed, 95% Cl В

M-H, fixed, 95% Cl

Total 39 46

Events 20

В

Initial Conservative Treatment

Early Surgery Total 4 <del>1</del>

Events 12

Study or subgroup

ত

<21 hours 21 hours

0.54 (0.22 to 1.31)

0.74 (0.31 to 1.79)

	Early Surgery (n = 82)		Initial Conservative Treatment ( <i>n</i> = 86)		Difference of means	
Cost (Int\$)	Resource use, mean (SD)	Costs, mean (SD)	Resource use, mean (SD)	Costs, mean (SD)	Raw difference	Adjusted difference
All countries						
Cost surgery	-	981 (1678)	-	515 (1206)		
Cost ICU	4.18 (4.20)	2808 (5762)	4.06 (4.61)	2988 (6131)		
Cost HDU	1.72 (2.55)	385 (1053)	1.76 (3.01)	461 (1445)		
Cost ward	11.88 (15.95)	3595 (10,206)	14.24 (29.43)	3997 (13,789)		
Cost readmission	4.23 (14.43)	1145 (5775)	2.42 (9.63)	421 (1720)		
Total cost	-	8812 (18,032)ª	-	8336 (18,685)ª	476	GLM model 1774 (95% CI –284 to 3831)

#### TABLE 12 Costing analysis (all countries): intention to treat

GLM, generalised linear model; HDU, high-dependency unit; ICU, intensive care unit; SD, standard deviation. a Total mean cost is not equal to the sum of the resource use. This is because of the use of Diagnosis Related Group costs

per episode of care, applied to resource use in Germany.

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#### TABLE 13 Costing subgroup analysis (by country income subgroup)

	Early Surgery (n = 6)		Initial Conservative	Initial Conservative Treatment (n = 10)	
Cost (Int\$)	Resource use, mean (SD)	Costs, mean (SD)	Resource use, mean (SD)	Costs, mean (SD)	Difference of means
Low-income co	untries				
Cost surgery	_	142 (0)	-	14 (45)	
Cost ICU	0.83 (1.60)	203 (391)	1.20 (2.70)	293 (659)	
Cost HDU	3.83 (0.75)	468 (92)	3.50 (2.12)	427 (259)	
Cost ward	5.33 (1.03)	325 (63)	6.30 (6.43)	384 (392)	
Cost readmission	0 (0.00)	0 (0)	0 (0.00)	0 (0)	
Total cost	-	1139 (418)	-	1118 (614)	20
	Early Surgery ( <i>n</i> = 4	40)	Initial Conservative	Initial Conservative Treatment ( $n = 39$ )	
Cost (Int\$)	Resource use, mean (SD)	Costs, mean (SD)	Resource use, mean (SD)	Costs, mean (SD)	Difference of means
Lower middle-i	ncome countries				
Cost surgery	-	439 (511)	-	176 (369)	
Cost ICU	3.20 (3.78)	580 (1449)	2.38 (3.39)	227 (314)	
Cost HDU	1.93 (2.84)	87 (128)	1.97 (3.08)	168 (513)	
Cost ward	5.70 (5.84)	64 (98)	6.31 (5.29)	125 (364)	
Cost readmission	0.35 (2.21)	3 (20)	0.13 (0.59)	1 (5)	
Total cost	_	1174 (1583)	_	697 (964)	477
					continued

	Early Surgery (n = 28)		Initial Conservative Treatment ( <i>n</i> = 30)		
Cost (Int\$)	Resource use, mean (SD)	Costs, mean (SD)	Resource use, mean (SD)	Costs, mean (SD)	Difference of means
Upper middle-ir	ncome countries				
Cost surgery	_	1089 (1174)	-	822 (1031)	
Cost ICU	5.43 (3.79)	4272 (4134)	6.93 (4.49)	6010 (5588)	
Cost HDU	0.93 (1.84)	643 (1261)	1.17 (3.26)	821 (2295)	
Cost ward	16.86 (16.30)	3603 (4132)	14.27 (26.05)	3080 (6267)	
Cost readmission	8.39 (20.55)	997 (2986)	6.23 (15.48)	805 (1881)	
Total cost	-	10,603 (7517)	-	11,538 (10,149)	-936
	Early Surgery (n = 8)		Initial Conservative Treatment ( $n = 7$ )		
Cost (Int\$)	Resource use, mean (SD)	Costs, mean (SD)	Resource use, mean (SD)	Costs, mean (SD)	Difference of means
High-income co	High-income countries				
Cost surgery	-	4927 (3617)	-	2020 (3542)	
Cost surgery Cost ICU	- 7.25 (5.95)	4927 (3617) 13,432 (14,847)	- 5.14 (6.72)	2020 (3542) 10,310 (15,989)	
5,	– 7.25 (5.95) 1.88 (3.18)	· · · ·	- 5.14 (6.72) 0.57 (0.98)		
Cost ICU		13,432 (14,847)		10,310 (15,989)	
Cost ICU Cost HDU	1.88 (3.18)	13,432 (14,847) 1089 (2668)	0.57 (0.98)	10,310 (15,989) 622 (964)	

#### TABLE 13 Costing subgroup analysis (by country income subgroup) (continued)

HDU, high-dependency unit; ICU, intensive care unit; SD, standard deviation.

a Total mean cost is not equal to the sum of the resource use. This is because of the use of Diagnosis Related Group costs per episode of care, applied to resource use in Germany. Reproduced from Mendelow *et al.*<sup>35</sup> © A. David Mendelow, Barbara A. Gregson, Elise N. Rowan, Richard Francis,

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# Chapter 4 Discussion

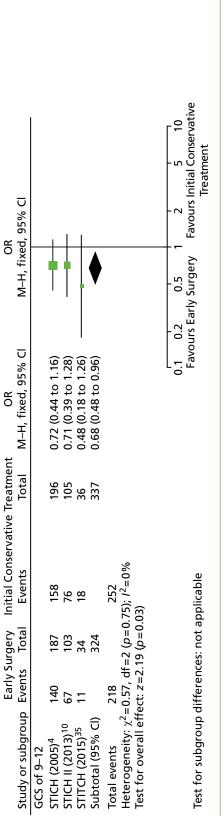
The question of whether or not it is beneficial to perform Early Surgery in patients with parenchymal ICH on CT image soon after trauma is one that should be addressed with high priority, as was identified by NICE in the second edition of its guidelines for head injury.<sup>19</sup> The STITCH(TRAUMA) trial was set up and funded in response to those guideline recommendations. Although the trial was stopped early by the UK National Institute for Health Research (NIHR) HTA programme, with an associated reduction in statistical power, there were some clinically significant results. These included a statistically significant survival advantage (85% vs. 67%) and a non-significant benefit on GOS, both associated with Early Surgery. This striking reduction in mortality was not accompanied by an increase in severe dependency and there were no vegetative survivors.

The early management of patients with TICH is not harmonised around the world. The timing of surgery in patients with parenchymal haematomas after head injury has not been standardised, and therefore no firm recommendations have been made. This contrasts with patients who develop EDH or acute SDH, because guidelines based on strong observational data<sup>8,9</sup> have recommended early and expeditious scanning and surgery. Not all TICHs need to be removed, and nor do all the contusions associated with them. Generally, clinical deterioration and expansion of the haematomas and their associated oedema tend to trigger the need for surgery. If it were possible to foresee these changes, then secondary brain damage would be avoided. The objective of the STITCH(TRAUMA) trial was to discover if Early Surgery would prevent the secondary deterioration so often seen with conservative treatment for these lesions. While the primary outcome is not statistically significant, there is a strong signal that Early Surgery will indeed prevent such deterioration and save lives. This is seen in the highly significant reduction in mortality and the better outcomes in the ordinal analysis of the GOS and Rankin Scale scores. A larger trial is urgently needed to confirm or refute this signal, which is particularly strong in the patients with a randomisation GCS of 9–12. The groups of patients with GCS of 9–12 in the STICH and STICH II trials showed a similar trend, and a meta-analysis of these groups from all three trials shows a large beneficial effect, which is statistically significant and shows no heterogeneity (Figure 10).

Some units in some countries routinely measure ICP while others do not. The issue of Early Surgery for TICH is particularly important in those countries that do not measure ICP. This trial was stopped early by the funding authority because of poor recruitment in the UK, where ICP monitoring is ubiquitous. Therefore, the trial could not recruit to target and was expected to have a neutral conclusion given the reduced sample size. However, the evidence suggests that, if the trial had been allowed to continue to target, a significant difference would have been observed. This would probably be because 86% of patients were not monitored for ICP either because hospitals did not have the technology available or because they do not routinely use it for this patient group.<sup>34</sup> Unfortunately, despite considerable national and international efforts, the trial team was not able to secure alternative funding from other sources in order to continue the trial.

There were crossovers from Initial Conservative Treatment to Early Surgery and vice versa, as occurs in all surgical trials. This is because surgeons felt compelled to provide rescue surgery to those patients randomised to Initial Conservative Treatment who later deteriorated. On the other hand, some patients who were randomised to Early Surgery did not have surgery because their families withdrew consent. Despite these crossovers, which make the intention-to-treat analysis weaker, the effect of Early Surgery exceeded 10% and was statistically almost significant. If the total of 840 planned patients had been recruited, and if the same trend had transpired, this would have been a statistically significant result. In addition, the patients who had delayed surgery had deteriorated to a much poorer clinical state, and this was associated with a much poorer outcome (65% dead or severely disabled, compared with only 33% in those operated upon early).

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Predicting which patients will deteriorate is complex and STICH II identified a small number of patients (GCS between 9 and 12) who may benefit from such anticipatory treatment.<sup>10</sup> In general, SICH patients with a good prognosis (GCS between 13 and 15) can be safely observed and require craniotomy only if they deteriorate. This is because there is enough time to perform a craniotomy before other secondary mechanisms such as brain oedema, mass effect with herniation and reduced CPP from elevated ICP cause harm. The RESCUEicp trial<sup>36,37</sup> has set out to discover if decompressive craniectomy improves outcome in those patients who have already developed elevated ICP, and recruitment to that trial is now almost complete.

The economic analysis indicates that a strategy of Early Surgery is associated with a small non-significant increase in health-care costs. Furthermore, patients randomised to the Early Surgery group had an average gain of 0.019 QALYs over the initial 6-month period when compared with those randomised to the Initial Conservative Treatment. This is equivalent to an incremental QALY gain of 3.5 days over that period. The broad QALY gains are driven primarily by the increased chance of survival in the Early Surgery group. Based on the results of the study, and the WHO guidelines for cost-effectiveness,<sup>38</sup> one could interpret the Early Surgery intervention as offering a high probability of cost-effectiveness in both high- and upper middle-income countries. There may also be a high probability of cost-effectiveness in lower middle-income countries; however, based on the cost-effectiveness acceptability curve (CEAC) analysis, this conclusion would be more sensitive to the threshold value of cost-effectiveness imposed by decision-makers.

# Implications for health care

- 1. There is a strong case for operating on patients with TICH who have a GCS of 9–12. Those who are alert or just confused (GCS of 13–15) can probably be watched carefully for any deterioration because there is a safety margin, which diminishes the lower down the GCS the patient descends.
- 2. Once the GCS has dropped below 8, surgical intervention appears to be less effective.
- 3. Based on the results of the study, and the WHO guidelines for cost-effectiveness, the Early Surgery intervention could be interpreted as offering a high probability of cost-effectiveness in both high- and upper middle-income countries. There may also be a high probability of cost-effectiveness in lower middle-income countries; however, based on the CEAC analysis, this conclusion would be more sensitive to the threshold value of cost-effectiveness imposed by decision-makers.

# **Recommendations for research**

This trial has given a very strong signal that Early Surgery is superior to Initial Conservative Treatment for patients with TICH. This signal was evident despite the sample size being only 20% of that originally planned. Given that there are 800,000 such injuries each year in the world (8000 per year in the UK because the UK accounts for 1% of the world's 7 billion population) the 10.5% absolute improvement in favourable outcome represents 84,000 patients every year that could have a better outcome. If this is true, then the trial needs to be repeated with the utmost urgency to avoid this enormous annual excess death and disability rate that currently prevails for these patients in the UK and everywhere else in the world.

# Acknowledgements

This project was funded by the NIHR HTA programme. The views and opinions expressed by authors in this publication are those of the authors and do not necessarily reflect those of the NHS, the NIHR, MRC, central commisioning foundation, NIHR Evaluation, Trials and Studies Coordinating Centre, the HTA programme or the Department of Health.

# **Contributions of authors**

**Dr Barbara A Gregson** (Trial Director, Principal Investigator and Statistician) and **Professor A David Mendelow** (Chief Investigator and Consultant Neurosurgeon) prepared this publication on behalf of all the STITCH(TRAUMA) investigators.

**Dr Elise N Rowan** (Trial Manager) and **Dr Richard Francis** (Data Manager) were responsible for supervising the acquisition of the data, checking and validation, analysis and interpretation.

**Professor Paul McNamee** (Professor of Health Economics) and **Dr Dwayne Boyers** (Research Associate in Health Economics) undertook the design of the economic study, the economic analysis and prepared *Appendix 4*.

**Mr Patrick Mitchell** (Consultant Neurosurgeon and Local Principal Investigator), **Professor Elaine McColl** (Professor of Health Services Research), **Dr Iain R Chambers** (Medical Physicist) and **Professor Andreas Unterberg** (Neurosurgeon and German National Principal Investigator) made a substantial contribution to the design of the study and the interpretation of the data.

# **Contribution of others**

All members of the Steering Committee made a contribution to the design and overseeing of the study and to the interpretation of the work.

The members of the DMC made a contribution to the overseeing of the study and to the interpretation of the work.

The trial management team were responsible for the day-to-day running of the study.

The radiological committee were responsible for the coding and analysis of the CT scans.

The centre investigators were responsible for the acquisition of the data and interpreting the data.

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# **Publications**

Kenyon GM, Mendelow AD, Gregson BA, Rowan E. Obtaining regulatory approval for multicentre randomised controlled trials: experiences in the STICH II trial. *Br J Neurosurg* 2011;**25**:352–6.

Francis R, Rowan E, Gregson BA, Mendelow AD. Traumatic intracerebral hemorrhage – to operate or not? *World Neurosurg* 2011;**76**:484–5.

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## Appendix 1 Consent

#### Patient information sheet\_England\_v2.1

## <u>Surgical Trial in Traumatic Intracerebral Haemorrhage:</u> STITCH (Trauma)

#### A Study of the Treatment of Brain Haemorrhage

#### Information for Patients

You are being invited to take part in a research study. Before you decide it is important for you to understand why the research is being done and what it will involve. Please take time to read the following information carefully and discuss it with relatives and friends if you wish. Ask us if there is anything that is not clear or if you would like more information. Take time to decide whether or not you wish to take part.

#### Thank you for reading this.

#### What is the purpose of the study?

Head injury often causes bruising of the brain where blood leaves the blood vessels and enters the brain tissue. The purpose of this study is to find out if surgical removal of bruised areas improves recovery after head injury.

Surgery carries some risks and would not be considered for small bruises which we know recover well. Larger bruises may have a toxic effect on surrounding un-bruised brain and may expand further suggesting that surgical removal can help but we do not know how the risks and benefits of surgery are balanced.

This study is for people with significant bruising of the brain after a head injury. The patients in the study will be divided into two groups. One group will have surgery to remove the blood clots and damaged tissue caused by the bruising and the other group will not. Both groups of patients will be carefully monitored. We will then compare how the two groups recover to see if there is any difference.

#### Why have I been chosen?

When someone has a head injury with bruising of the brain, surgeons have to make decisions about whether to operate. These decisions can sometimes be complicated. People with minor bruising don't usually need an operation whereas people with severe bruising do need an operation. In this study we only include people between these two extremes. You have been chosen because you have a bruise on the brain caused by a head injury and it is not so small that we don't need to consider an operation and it is not so big that an operation is clearly needed.

#### Do I have to take part?

It is up to you to decide whether or not you want to take part. If you do not wish to take part in the study your treatment will not be compromised in any way and a decision about the need to have an operation will be taken by the Consultant in charge according to how the situation develops. If you wish to take part you will be given this information sheet to keep and be asked to sign a consent form. If you decide to take part you are still free to withdraw at any time without giving a reason. This will not affect the standard of care you will receive. If you withdraw from the study we will need to keep all the data collected up to your withdrawal and we will ask for permission to send you follow-up questionnaires.

#### What will happen to me if I take part?

All the procedures being carried out in this study are part of routine clinical care. If you agree to take part in the study you will be randomly allocated, by computer, to one of two groups.

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One group of patients will receive an immediate operation; the other group of patients will be kept under close observation. If you are in the 'early operation' group, your Consultant will perform an operation to remove the clot from your brain and closely monitor your condition. If you are in the 'non-operation' group, your condition will be closely monitored and you can still receive an operation later, should this become necessary. Whatever group you are allocated to you will receive the best available medical treatment which may include the careful monitoring of pressure inside your skull. In total we hope to recruit 840 patients to this study.

#### What do I have to do?

Once you have consented to take part in this study details will be collected from your medical notes regarding the treatment you receive and your response to that treatment. You will have already received a head CT scan before you joined the study as part of your routine care and you will be given another head CT scan at around 5 days after your treatment has started so that the study research team can analyse the changes after you have received your treatment. Sometimes your doctors will need to do further additional CT scans however these ones will be for routine clinical reasons.

At three, six and twelve months after you receive your treatment you and/or your family/carers will be sent postal questionnaires asking how you are managing, about your health generally and about your use of health services. These questionnaires will each take approximately 15 minutes to complete and you will be supplied with stamped addressed envelopes to return them to the project office in Newcastle. Before sending the questionnaires we will confirm with your consultant and/or GP whether you have experienced any complications and where you are living.

#### What is the procedure being tested?

No new procedure is being tested during this study. Both methods of treatment are used routinely.

#### What are the alternative treatments?

Early surgery and careful observation/monitoring are the two methods used to treat bruising and bleeding in the brain caused by trauma.

#### What are the risks or benefits of taking part?

The usual possible risks associated with having an operation or being monitored in hospital apply to this study. Your doctor will be able to discuss these with you. We cannot promise that the study will help you, but the information we get might improve treatment of future patients with bleeding inside their brain as a result of an injury.

#### What if something goes wrong?

If you participate in this study your hospital consultant remains in charge of your medical care. If you wish to complain about any aspect of the way you have been approached or treated during the course of this study you should ask to speak to your consultant or local co-ordinator who will do his/her best to answer your questions and resolve the situation. You can also contact the STITCH (Trauma) research team in Newcastle by telephoning 0191 222 5764 or by writing to: Neurosurgical Trials Unit, 3-4 Claremont Terrace, Newcastle University, Newcastle upon Tyne, NE2 4AE, UK.

In the extremely unlikely event that you are harmed by taking part in this research project, there are no special compensation arrangements. If you are harmed due to someone's negligence, then you may have grounds for a legal action but you may have to pay for it.

Regardless of this, if you wish to complain formally about any aspect of the way you have been approached or treated during the course of this study, the normal National Health Service complaints mechanism is available to you. Details of this can be obtained from your local hospital.

#### Will my taking part in this study be kept confidential?

All information collected about you or from you will be treated as strictly confidential. All the data is stored by the co-ordinating centre at Newcastle University. The staff at Newcastle will maintain the confidentiality of all the data they store. With your permission they will inform your GP that you are taking part in the study. All data entered on computer for analysis will be coded. The data will be retained for 15 years and then destroyed securely. Identifiable data may be viewed by authorised persons such as researchers, regulatory authorities and Newcastle NHS Trust to check the study is being carried out correctly. All will have a duty of confidentiality to you as a research participant and nothing that could reveal your identity will be disclosed outside the research site or the Neurosurgical Trials Unit at Newcastle University.

#### What will happen to the results of the study?

It is anticipated that the data from this study will be published in medical journals and also by the funder of the study. When this happens it will be presented anonymously and it will not be possible to identify any individual patient.

#### Who is funding and organising this study?

This study is funded by the NIHR Health Technology Assessment Programme and is being carried out in other countries around the world as well as in the UK. The study is being coordinated by the Neurosurgical Trials Unit, Newcastle University.

#### Who has reviewed this study?

This study has been reviewed by Southampton and Southwest Hampshire Research Ethics Committee A.

#### Contact for further details.

If you have any questions about the study please speak to the Local Co-ordinator

Name and contact details of the Local Co-ordinator:

Please retain this sheet for your future information.

Date:

#### Protocol STITCH(TRAUMA) Version

The UK Clinical Research Collaboration has developed a leaflet "*Clinical Trials: What they are and what they're not*" which answers some of the many questions people have about clinical trials including: Why do we need to do them? Are they safe? What happens at the end of a trial? They have also produced a booklet on "*Understanding Clinical Trials*", which explains what clinical trials are and how and why they are carried out. It is designed to answer the many questions people may have when deciding whether to take part in a trial. They are available from <a href="http://www.ukcrn.org.uk/index/patients/publications">http://www.ukcrn.org.uk/index/patients/publications</a> or UKCRC, 20 Park Crescent, London, W1B 1AL

## Patient Consent Form\_England\_v2.1

<u>Surgical Trial in Traumatic Intracerebral Haemorrhage:</u> STITCH (Trauma)
PATIENT CONSENT FORM FOR ADULTS
Patient Name:
I consent to participate in the above clinical study, the nature of which has been explained by:
Name of Consultant/Doctor
I have read and understand the Information Sheet dated _/_/_/
I have discussed the possible benefits and risks to taking part in this research. I understand that my participation is voluntary, and that I am free to withdraw at any time, without having to give a reason and without my medical care or legal rights being affected.
I agree to my General Practitioner being informed that I am participating in the study.
I understand that any personal information collected about me for the trial will be treated as strictly confidential, and that my medical records will be consulted and data from the study will be published anonymously by the funder and the researchers involved in medical journals and at research meetings.
I understand that relevant sections of my medical notes and data collected during the study, may be looked at by individuals from Newcastle University, from regulatory authorities or from the NHS Trust, where it is relevant to my taking part in this research. I give permission for these individuals to have access to my medical records.
Signature of Patient:
Witnessed by: (e.g., Senior Nurse)
Position:
Date:

### Relative information sheet\_England\_v3.1

## <u>Surgical Trial in Traumatic Intracerebral Haemorrhage:</u> STITCH (Trauma)

#### A Study of the Treatment of Brain Haemorrhage

#### **Information for Consultees**

Your relative is being invited to take part in a research study. Before you decide if they would wish to take part it is important for you to understand why the research is being done and what it will involve. Please take time to read the following information carefully and discuss it with relatives and friends if you wish. Ask us if there is anything that is not clear or if you would like more information. Take time to decide whether or not your relative would wish to take part.

#### Thank you for reading this.

#### What is the purpose of the study?

Head injury often causes bruising of the brain where blood leaves the blood vessels and enters the brain tissue. The purpose of this study is to find out if surgical removal of bruised areas improves recovery after head injury.

Surgery carries some risks and would not be considered for small bruises which we know recover well. Larger bruises may have a toxic effect on surrounding un-bruised brain and may expand further suggesting that surgical removal can help but we do not know how the risks and benefits of surgery are balanced.

This study is for people with significant bruising of the brain after a head injury. The patients in the study will be divided into two groups. One group will have surgery to remove the blood clots and damaged tissue caused by the bruising and the other group will not. Both groups of patients will be carefully monitored. We will then compare how the two groups recover to see if there is any difference.

#### Why has my relative been chosen?

When someone has a head injury with bruising of the brain, surgeons have to make decisions about whether to operate. These decisions can sometimes be complicated. People with minor bruising don't usually need an operation whereas people with severe bruising do need an operation. In this study we only include people between these two extremes. Your relative has been chosen because they have a bruise on the brain caused by a head injury and it is not so small that we don't need to consider an operation and it is not so big that an operation is clearly needed.

#### Does my relative have to take part?

It is up to you to decide whether or not your relative would wish to take part. If you do not think they would like to take part in the study their treatment will not be compromised in any way and a decision about the need to have an operation will be taken by the Consultant in charge according to how the situation develops. If you think your relative would be happy to take part you will be given this information sheet to keep and be asked to sign a form. If your relative participates in the study they are still free to withdraw at any time without giving a reason. This will not affect the standard of care they will receive. If they withdraw from the study we will need to keep all the data collected up to their withdrawal and we will ask for permission to send them follow-up questionnaires.

#### What will happen to my relative if he/she takes part?

All the procedures being carried out in this study are part of routine clinical care. If you indicate that your relative would wish to take part in the study they will be randomly allocated, by computer, to one of two groups. One group of patients will receive an immediate operation;

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the other group of patients will be kept under close observation. If they are in the 'early operation' group, their Consultant will perform an operation to remove the clot from their brain and closely monitor their condition. If your relative is in the 'non-operation' group, their condition will be closely monitored and they can still receive an operation later, should this become necessary. Whatever group they are allocated to they will receive the best available medical treatment which may include the careful monitoring of pressure inside the skull. In total we hope to recruit 840 patients to this study.

#### What does my relative have to do?

Once you have indicated that your relative would wish to take part in this study details will be collected from their medical notes regarding the treatment they receive and their response to that treatment. They will have already received a head CT scan before joining the study as part of routine care and they will be given another head CT scan at around 5 days after their treatment has started so that the study research team can analyse the changes after they have received the treatment. Sometimes your relative's doctors will need to do further additional CT scans however these ones will be for routine clinical reasons.

At three, six and twelve months after receiving treatment your relative and/or you and their carers will be sent postal questionnaires asking how they are managing, about their health generally and about their use of health services. These questionnaires will each take approximately 15 minutes to complete and you will be supplied with stamped addressed envelopes to return them to the project office in Newcastle. Before sending the questionnaires we will confirm with your relative's consultant and/or GP whether they have experienced any complications and where they are living.

#### What is the procedure being tested?

No new procedure is being tested during this study. Both methods of treatment are used routinely.

#### What are the alternative treatments?

Early surgery and careful observation/monitoring are the two methods used to treat bruising and bleeding in the brain caused by trauma.

#### What are the risks or benefits of taking part?

The usual possible risks associated with having an operation or being monitored in hospital apply to this study. Your relative's doctor will be able to discuss these with you. We cannot promise that the study will help your relative, but the information we get might improve treatment of future patients with bleeding inside their brain as a result of an injury.

#### What if something goes wrong?

If your relative participates in this study their hospital consultant remains in charge of their medical care. If you wish to complain about any aspect of the way they have been approached or treated during the course of this study you should ask to speak to your relative's consultant or the local co-ordinator who will do his/her best to answer your questions and resolve the situation. You can also contact the STITCH (Trauma) research team in Newcastle by telephoning 0191 222 5764 or by writing to: Neurosurgical Trials Unit, 3-4 Claremont Terrace, Newcastle University, Newcastle upon Tyne, NE2 4AE, UK.

In the extremely unlikely event that your relative is harmed by taking part in this research project, there are no special compensation arrangements. If they are harmed due to someone's negligence, then they may have grounds for a legal action but they may have to pay for it.

Regardless of this, if you wish to complain formally about any aspect of the way your relative has been approached or treated during the course of this study, the normal National Health Service complaints mechanism is available to you. Details of this can be obtained from your local hospital.

#### Will my relative's taking part in this study be kept confidential?

All information collected about your relative or from you will be treated as strictly confidential. All the data is stored by the co-ordinating centre at Newcastle University. The staff at Newcastle will maintain the confidentiality of all the data they store. We will inform your relative's GP that they are taking part in the study if you do not think they would object to this. All data entered on computer for analysis will be coded. The data will be retained for 15 years and then destroyed securely. Identifiable data may be viewed by authorised persons such as researchers, regulatory authorities and Newcastle NHS Trust to check the study is being carried out correctly. All will have a duty of confidentiality to your relative as a research participant and nothing that could reveal their identity will be disclosed outside the research site or the Neurosurgical Trials Unit at Newcastle University.

#### What will happen to the results of the study?

It is anticipated that the data from this study will be published in medical journals and also by the funder of the study. When this happens it will be presented anonymously and it will not be possible to identify any individual patient.

#### Who is funding and organising this study?

This study is funded by the NIHR Health Technology Assessment Programme and is being carried out in other countries around the world as well as in the UK. The study is being coordinated by the Neurosurgical Trials Unit, Newcastle University.

#### Who has reviewed this study?

This study has been reviewed by Southampton and Southwest Hampshire Research Ethics Committee A.

#### Contact for further details.

If you have any questions about the study please speak to the Local Co-ordinator

Name and contact details of the Local Co-ordinator:

Please retain this sheet for your future information.

Date:

#### Protocol STITCH(TRAUMA) Version

The UK Clinical Research Collaboration has developed a leaflet "*Clinical Trials: What they are and what they're not*" which answers some of the many questions people have about clinical trials including: Why do we need to do them? Are they safe? What happens at the end of a trial? They have also produced a booklet on "*Understanding Clinical Trials*", which explains what clinical trials are and how and why they are carried out. It is designed to answer the many questions people may have when deciding whether to take part in a trial. They are available from <a href="http://www.ukcrn.org.uk/index/patients/publications">http://www.ukcrn.org.uk/index/patients/publications</a> or UKCRC, 20 Park Crescent, London, W1B 1AL

## **Relative consultation formV2**

	<u>Surgical T</u> rial <u>in</u>	<u>T</u> raumatic Intra <u>c</u> erebral <u>H</u> aemor STITCH (Trauma)	rhage:	
		CONSULTEE FORM		
Patie	ent Name:			
		l above) would wish to participate in the f which has been explained by:	Pleas as appro	e tick opriate
Name of	Consultant/Doctor			
above st	udy. I have had the oppor	formation Sheet dated/_/(version _ ) tunity to consider the information and any que swered to my satisfaction.		
In my op	inion he/she would have i	no objection to taking part in the above study.		$\square$
		at he/she is withdrawn from the study at any ti ut his/her care or legal rights being affected.	me,	
	that I am not aware that ard to their care.	my relative has made any advance directive		
	pinion my relative would r are participating in the st	not object to their General Practitioner being udy.	informed	
treated from the	as strictly confidential, and	ormation collected about my relative for the tri d that their medical records will be consulted a nonymously by the funder and the researchers meetings.	and data	
during th regulato this rese	ne study, may be looked a ry authorities or from the N	s of my relative's medical notes and data colle t by individuals from Newcastle University, fro NHS Trust, where it is relevant to my relative's lative would not object for these individuals to	om s taking part ir	
Signatur	e of relative:			
Name of	relative:			
Witness	ed by: (e.g., Senior Nurse			
Position	:			
Date:				

#### **Retrospective patient information sheet\_England\_v1.1**

## <u>Surgical Trial in Traumatic Intracerebral</u> <u>Haemorrhage: STITCH (Trauma)</u>

#### A Study of the Treatment of Brain Haemorrhage

#### Information for patients already participating in the study

After discussion between your doctors and your next of kin you were included in a research study. You are being invited to continue to take part in this study. This information sheet explains why the research is being undertaken and what it involves. Please read it carefully and ask questions about anything you do not understand. If you do not have questions now, you may ask later.

#### Thank you for reading this.

#### What is the purpose of the study?

Head injury often causes bruising of the brain where blood leaves the blood vessels and enters the brain tissue. The purpose of this study is to find out if surgical removal of bruised areas improves recovery after head injury.

Surgery carries some risks and would not be considered for small bruises which we know recover well. Larger bruises may have a toxic effect on surrounding un-bruised brain and may expand further suggesting that surgical removal can help but we do not know how the risks and benefits of surgery are balanced.

This study is for people with significant bruising of the brain after a head injury. The patients in the study will be divided into two groups. One group will have surgery to remove the blood clots and damaged tissue caused by the bruising and the other group will not. Both groups of patients will be carefully monitored. We will then compare how the two groups recover to see if there is any difference.

#### Why have I been chosen?

When someone has a head injury with bruising of the brain, surgeons have to make decisions about whether to operate. These decisions can sometimes be complicated. People with minor bruising don't usually need an operation whereas people with severe bruising do need an operation. In this study we only include people between these two extremes. You have been chosen because you had a bruise on the brain caused by a head injury and it was not so small that we didn't need to consider an operation and it was not so big that an operation was clearly needed.

#### Do I have to take part?

Although your next-of-kin has signed a form indicating that you would wish to take part you are still free to withdraw at any time and without giving a reason. If you decide to continue taking part you will be given this information sheet to keep and will be asked to sign a consent form. A decision to withdraw at any time will not affect the standard of care you will receive. If you withdraw from the study we will need to keep all the data collected up to your withdrawal and we will ask for permission to send you follow-up questionnaires.

#### What has happened to me?

All the procedures being carried out in this study are part of routine clinical care.

After consultation with your relatives, you were entered in this study and randomly allocated, by computer, to either receive an immediate operation or careful monitoring.

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If you were allocated to receive an early operation, your consultant will have performed surgery to remove the clot from your brain and then continued to closely monitor your condition.

If you were allocated careful monitoring, you will have been kept under close observation and you may have received an operation later, if this became necessary.

Whatever treatment you were allocated to you will have received the best available medical care which may have also included careful monitoring of pressure inside your skull.

In total we hope to recruit 840 patients to this study.

Details will have been collected from your medical notes regarding the treatment you received and your response to that treatment. You will have already received a head CT scan before you joined the study as part of your routine care and you will have another head CT scan at around 5 days after your treatment started so that the study research team can analyse the changes after you received treatment. Sometimes your doctors may have needed to do further CT scans for routine clinical reasons.

#### What do I have to do?

At three, six and twelve months after you received your treatment you and/or your family/carers will be sent postal questionnaires asking how you are managing, about your health generally and about your use of health services. These questionnaires will each take approximately 15 minutes to complete and you will be supplied with stamped addressed envelopes to return them to the project office in Newcastle. Before sending the questionnaires we will confirm with your consultant and/or GP whether you have experienced any complications and where you are living.

#### What are the alternative treatments?

Early surgery and careful observation/monitoring are the two methods used to treat bruising and bleeding in the brain caused by trauma.

#### What are the risks or benefits of taking part?

The usual possible risks associated with having an operation or being monitored in hospital apply to this study. Your doctor will be able to discuss these with you. We cannot promise that the study will help you, but the information we get might improve treatment of future patients with bleeding inside their brain as a result of an injury.

#### What if something goes wrong?

During this study your hospital consultant remains in charge of your medical care. If you wish to complain about any aspect of the way you have been approached or treated during the course of this study you should ask to speak to your consultant or local co-ordinator who will do his/her best to answer your questions and resolve the situation. You can also contact the STITCH (Trauma) research team in Newcastle by telephoning 0191 222 5764 or by writing to: Neurosurgical Trials Unit, 3-4 Claremont Terrace, Newcastle University, Newcastle upon Tyne, NE2 4AE, UK.

In the extremely unlikely event that you are harmed by taking part in this research project, there are no special compensation arrangements. If you are harmed due to someone's negligence, then you may have grounds for a legal action but you may have to pay for it.

Regardless of this, if you wish to complain formally about any aspect of the way you have been approached or treated during the course of this study, the normal National Health Service complaints mechanism is available to you. Details of this can be obtained from your local hospital.

#### Will my taking part in this study be kept confidential?

All information collected about you or from you will be treated as strictly confidential. All the data is stored by the co-ordinating centre at Newcastle University. The staff at Newcastle will maintain the confidentiality of all the data they store. With your permission they will inform your GP that you are taking part in the study. All data entered on computer for analysis will be coded. The data will be retained for 15 years and then destroyed securely. Identifiable data may be viewed by authorised persons such as researchers, regulatory authorities and Newcastle NHS Trust to check the study is being carried out correctly. All will have a duty of confidentiality to you as a research participant and nothing that could reveal your identity will be disclosed outside the research site or the Neurosurgical Trials Unit at Newcastle University.

#### What will happen to the results of the study?

It is anticipated that the data from this study will be published in medical journals and also by the funder of the study. When this happens it will be presented anonymously and it will not be possible to identify any individual patient.

#### Who is funding and organising this study?

This study is funded by the NIHR Health Technology Assessment Programme and is being carried out in other countries around the world as well as in the UK. The study is being co-ordinated by the Neurosurgical Trials Unit, Newcastle University.

#### Who has reviewed this study?

This study has been reviewed by Southampton and Southwest Hampshire Research Ethics Committee A.

#### Contact for further details.

If you have any questions about the study please speak to the Local Co-ordinator.

Name and contact details of the Local Co-ordinator:

Please retain this sheet for your future information.

Date:

#### Protocol STITCH(TRAUMA) Version

The UK Clinical Research Collaboration has developed a leaflet "*Clinical Trials: What they are and what they're not*" which answers some of the many questions people have about clinical trials including: Why do we need to do them? Are they safe? What happens at the end of a trial? They have also produced a booklet on "*Understanding Clinical Trials*", which explains what clinical trials are and how and why they are carried out. It is designed to answer the many questions people may have when deciding whether to take part in a trial. They are available from <a href="http://www.ukcrn.org.uk/index/patients/publications">http://www.ukcrn.org.uk/index/patients/publications</a> or UKCRC, 20 Park Crescent, London, W1B 1AL

## Patient gaining capacity Consent Form \_England v1

## <u>Surgical Trial in Traumatic Intracerebral Haemorrhage:</u> STITCH (Trauma)

#### PATIENT CONSENT FORM FOR ADULTS REGAINING CAPACITY

**Patient Name:** 

I consent to participate in the has been explained by:	e above clinical study, the nature of which	Please tick as appropriate
Name of Consultant/Doctor		
(version _ ) for the above stud	e Information Sheet dated/_/ dy. I have had the opportunity to consider the I had relating to the study have been answered	
I understand that my participat	benefits and risks to taking part in this research. tion is voluntary, and that I am free to withdraw at te a reason and without my medical care or legal rig	Jhts
I agree to my General Practition	oner being informed that I am participating in the stu	ıdy.
treated as strictly confidential,	I information collected about me for the trial will be and that my medical records will be consulted and plished anonymously by the funder and the research nd at research meetings.	hers
the study, may be looked at by authorities or from the NHS Tr	tions of my medical notes and data collected during / individuals from Newcastle University, from regula ust, where it is relevant to my taking part in this res ividuals to have access to my medical records.	itory
Signature of Patient:		
Witnessed by: (e.g., Senior Nu	ırse)	
Position:		
Date:		

## Appendix 2 Data collection forms

### **Randomisation form**

9	stilch Mewcastle
	University
SU	CH(Traver® Randomisation Form (Please complete prior to randomisation)
S	1. Country 2. Hospital Name
3	3. Centre Number 4. Name of Consultant Neurosurgeon
_	
Т	5. Patient Initials
_	6. Date of Birth (DD/ MM/YYYY): Gender 1 = male, 2 = female
	8. Informed Consent given by 1 = Subject, 2 = proxy, 3 = no consent 1 or 2*
	Clinical Details *Items in green
Т	Kono in groon
С	10. Time of injury
	Record the following details at time of randomisation. (If patient sedated/ventilated please
ы.	record GCS immediately prior to intubation).
н	(please use ap <u>propria</u> te number score)
	11. Glasgow Coma Score: Best Eye Response (1-4):
	12. Best Verbal Response (1-5):
	13. Best Motor Response (1-6): (non plegic limb)
T.	14. Pupils: 1=both reactive, 2=one reactive, 3= both unreactive (< 4mm), 4=both unreactive (≥ 4mm)
	CT Scan: Largest haemorrhage
	15. Location:1 = frontal, 2 = temporal, 3 = parietal, 4=occipital
R	16. Maximum length of haematoma ( <i>mm</i> ):
	17. Width of haematoma {at 90 degrees} (mm):
	18. Height of haematoma ( <i>mm</i> ):
Α	19. Is there a second haemorrhage? 1=yes, 2=no > 10 ml
	Details of second haemorrhage if applicable
	<b>20.</b> Location:1 = frontal, 2 = temporal, 3 = parietal, 4=occipital
U	21. Maximum length of haematoma (mm):
	22. Width of haematoma {at 90 degrees} (mm):
	23. Height of haematoma (mm):
Μ	24. Are ALL the other inclusion and exclusion criteria fulfilled?
	□ No significant extradural or subdural haematoma requiring surgery
	☐ No more than two haematomas fulfilling inclusion criteria
Α	☐ There is no severe pre-existing physical or mental disability or comorbidity that would lead to a poor
_	outcome
	The patient resides in a study country
	☐ The patient, relative or clinician does not have a strong preference for either treatment
	Surgery can be performed within 12 hours of randomisation1 = all ticked yes, 2 = any no. *All Yes
	Now with all details ready please telephone for randomisation +44 (0) 1224 551261
	e the above details have been given the automated system will inform you which treatment arm has been
	cated to your patient and the randomisation number. Please enter the randomisation number and the present and time and tick the treatment arm allocated below:
Rai	ndomisation Number Date(DD/MM/YYYY) Time
Rai	ndomised to: Early Surgery Initial Conservative Treatment

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## Discharge/2-week follow-up form

S	urgical Trial ir	Traumatic Ir	ntracer	ebral Ha	emorrhage	PAGE 1
CH(Traume	DISCH	IARGE / 2 WE	EK FO	LLOW-U	P FORM Patient Numl	[
This form is to be Neurosurgical Centr boxes.						
Hospital Name				C	entre Number	
Patient Initials	Da	te of Birth (dd/mm	⁄уу):	1 1	Sex 🧾	f=female, m=ma
CURRENT STA	TUS OF PATIE	NT				
Please tick one b	oox and give ap	propriate date:				
Alive Dead	d Date (dd/mi	of completion of t m/yy)	he disch	arge form		/
If alive, status at	2 weeks/ disch	arge (please ti	ck):			
Vegetative	Severely Disabled (dependent)		lerately [ independ		Good Re	ecovery
Glasgow Coma S	Score at 2 weeks	s/ discharge:				
Eye	1) No eye op	ening, 2) Opening i	to pain, 3)	Opening to	speech, 4) Sponta	aneous eye opening
Verbal	1) None, 2) Ir	ncomprehensible so	ounds, 3)	Inappropriat	e words, 4) Confu	sed, 5) Orientated
Motor		esponse, 2) Extens to pain, 6) Obeys c			to pain, 4) Withdi	aws from pain,
Location of Patie	ent: Please tick	one box and fil	l in the	date:		
Still in neurosurgie * should be 2 weeks p					/ /	
Discharged from h ** record discharge ad					/ /	
Discharged	to (please tick):	Rehab unit Other		Nursing h If other, s		Home
		N/A				
Transferred from r ***record name of hos			ital or w	ard.	_ / /	
	to (please tick):	General Ward Other N/A		Other Ho If other, s		
If dead please re	cord the date of	f death (dd/mm/y	v)		<u> </u>	)
<b>Cause of death (</b> 1 = Head injury/initial 3= Systemic Trauma 5= Other If other, specify		2= Hea	ad Injury /		ntracranial damag	9

l: 10.3310/hta19700	HEALTH TECHNOLOGY ASSESSMENT 2015 VOL. 19 NO.
	PAGE 2
PATIENT DETAILS BEFORE THE HEAD INJU	
Please tick:	
Was the patient left or right handed? : Left	Right
Primary person that the patient lives with and w choose the lowest most appropriate number to r	ho can act as a contact/next of kin/carer (please record in the box):
1= Not applicable- lives alone4=sibling2=spouse/significant other partner5=child/cl3= parents6=other (	
SIGNIFICANT MEDICAL HISTORY BEFORE	THE INJURY
Please tick yes or no and explain further in the by Yes No Yes	box below: No Yes No
Cardiovascular     Image: Cardiovascular     Endocrine       Gastrointestinal     Hematologic       Musculoskeletal     Neurologic       Oncologic     Pulmonary       Renal     Social History       Epilepsy     Anti-Epileptics	Eye, Ear, Nose & Throat     Image: Constraint of the second
<b>Pre ICH Rankin Score (please write the appropria</b> <i>0=Well, no symptoms, 1=Minor symptoms not affecting lifestyle</i> <i>handicap, requiring a little help with ADL (Activities Daily Living</i> <i>day and night.</i>	
Pre ICH Mobility (please write appropriate numb 1=Able to walk 200m outside, 2=Able to walk indoors, 3=Unabl	
ANTICOAGULANT/ANTITHROMBOTIC TREATM	ENT AT THE TIME OF THE TRAUMATIC ICH
Was the patient taking/given:	
Anticoagulant treatment (e.g. warfarin/heparin)	Yes No Initial INR
Antiplatelet therapy (e.g. aspirin/Clopidogrel/Dipyridamole)	Yes 🔂 No 🔂
Recent thrombolytic therapy which might have contributed to ICH	Yes No STITCH(Trauma) 2 week form, Version 2

		PAGE 3
PLEASE COMPLETE FOR ALL PATIENTS	Patient Number	
INJURY DETAILS		
Date of injury (dd/mm/yy) / / Time of injury (24 hour clock)	:	
Cause of Injury (please tick):         Road Traffic Accident       Fall domestic         Fall outside home         Violence/Assault       Suicide attempt         Sport/Recreation         Other (please give details)	Work 📃	
If Road Traffic Accident, was the victim (please tick):         Motor Vehicle Occupant       Pedestrian       Cyclist       Moped         Motorbike       Other (please give details)	/Scooter	
Mechanism of Injury (please tick): Acceleration/Deceleration Direct Impact Crush Blast Penetrating FallFallFall - ground floor level Fall from height > 1 meter (3ft)		

#### **Injuries and Injury Severity:**

Body Region	Severity		Required?
		(Apart from	the trial) Please tick
	*Please select a number from the list below	YES	NO
External (skin)			
Head (incl. brain and neck)			
Face			
Chest			
Abdomen/pelvic contents			
Extremities			
Spine			
0 Not offected	1 Minor: no trootmont n	aadad	

1.Minor: no treatment needed 3.Serious: requires non-ICU hospital admission

\*0. Not affected
2. Moderate: requires only outpatient treatment
4. Severe: requires ICU observation and/or basic treatment
5. Critical: requires intubation, mechanical ventilation or vasopressors for blood pressure support.
6. Maximal: not survivable.

#### **REFERRAL DETAILS:**

Primary admission*	Secondary admission**	**Tick here if the patient went to any other hospital(s) before arriving at the study centre.
*Tick here if the patient came directly to the study centre		HOSPITAL:
	Date of arrival first (dd/mm/yy)	hospital: / /
	Time of Arrival (24)	hour clock):
	ARRIVAL AT STUD	Y CENTRE:
	Date of arrival stud (dd/mm/yy)	y centre: / /
	Time of Arrival (24)	hour clock):

			PAGE 4
PLEASE COMPLETE FOR ALL PATIE	NTS		Patient Number
PLEASE COMPLETE FOR ALL PATIE			
EMERGENCY SERVICE: THERAPEUTIC F	PROCEDURES		
What emergency services were provided	for the airway (ple	ease tick all	that apply):-
No specific treatment Oxygen		Intubation	
What secondary insults occurred as a restrandomised to the trial? (Please tick all the view of		ury and be	fore the patient was
NITIAL NEUROLOGICAL ASSESSMENT Was there an initial loss of consciousness	? (Please tick):		
Yes No Unknown			
If yes, please give duration*			
*1 = < 1 minute 2 = 1 -29 minutes	3 = 30 minutes – 24 h	ours	4 = > 24 hours
s there pre traumatic amnesia? (Please tic	:k)		
Yes No Unknown			
If yes, please give duration*			
*1 = < 1 minute 2 = 1 -29 minutes	3 = 30 minutes – 24 h	ours	4 = > 24 hours
s there post traumatic amnesia? (Please ti	ick)		
Yes No Unknown			
If yes, please give duration*			
*1 = < 1 minute 2 = 1 -29 minutes	3 = 30 minutes – 24 h	ours	4 = > 24 hours
IF THIS PATIENT WAS RANDOMISED	TO EARLY SURG	BERY PLE	ASE GO TO PAGE 5.

IF THIS PATIENT WAS RANDOMISED TO INITIAL CONSERVATIVE TREATMENT PLEASE GO TO PAGE 6.

STITCH(Trauma) 2 week form, Version 2

PAGE 5
Patient Number
FOR PATIENTS RANDOMISED TO EARLY SURGERY ONLY
Was early ICH Evacuation performed? Yes No* */ <i>If yes, please complete the top half of this page. If no, please give more details</i>
ICH Evacuation performed on:
Date (dd/mm/yy)   /   /   Time(24 hour clock)   :
ICH evacuation method (please write appropriate number in box)
1=craniotomy, 2= other: specify Was the bone flap replaced? Yes No
Was any other neurosurgical procedure performed?
Yes         No         Date (dd/mm/yy)         /         /
Was any non-cranial surgery performed? Yes No
Please give details of the other neurosurgery/non cranial surgery below:
NEUROLOGICAL STATUS IMMEDIATELY PRIOR TO EVACUATION
Patient paralysed and sedated? Yes No
Glasgow Coma Score prior to evacuation:
Eye Opening (1-4)       Best Verbal Response (1-5)       Best Motor Response (1-6)
* <u>If evacuation was <b>NOT</b> done, please record the following details about this decision:</u>
Reason (please describe briefly below):
Date of decision (dd/mm/yy)
Glasgow Coma Score when the decision was taken <u>not</u> to evacuate:
Glasgow Coma Score when the decision was taken not to evacuate:         Eye Opening (1-4)       Best Verbal Response (1-5)         Best Motor Response (1-6)
Glasgow Coma Score when the decision was taken <u>not</u> to evacuate: Eye Opening (1-4) Best Verbal Response (1-5) Best Motor Response (1-6) If evacuation was NOT done was this because of evidence of neuroworsening?*
Glasgow Coma Score when the decision was taken not to evacuate:         Eye Opening (1-4)       Best Verbal Response (1-5)         Best Motor Response (1-6)         If evacuation was NOT done was this because of evidence of neuroworsening?*         Yes       No
Glasgow Coma Score when the decision was taken not to evacuate:         Eye Opening (1-4)       Best Verbal Response (1-5)         Best Motor Response (1-6)         If evacuation was NOT done was this because of evidence of neuroworsening?*         Yes       No         Date of neuroworsening (dd/mm/yy)       /         /       //         Time of neuroworsening (24 hour clock)       :
Glasgow Coma Score when the decision was taken not to evacuate:         Eye Opening (1-4)       Best Verbal Response (1-5)         Best Motor Response (1-6)         If evacuation was NOT done was this because of evidence of neuroworsening?*         Yes       No
Glasgow Coma Score when the decision was taken not to evacuate:         Eye Opening (1-4)       Best Verbal Response (1-5)         Best Motor Response (1-6)         If evacuation was NOT done was this because of evidence of neuroworsening?*         Yes       No         Date of neuroworsening (dd/mm/yy)       /         'Neuroworsening is defined as:         1. A spontaneous decrease in the Glasgow Coma Scale motor score ≥ 2 points (compared with previous examination), or         2. A new loss of pupillary reactivity, development or pupillary asymmetry ≥2mm, or
Glasgow Coma Score when the decision was taken not to evacuate:         Eye Opening (1-4)       Best Verbal Response (1-5)       Best Motor Response (1-6)         If evacuation was NOT done was this because of evidence of neuroworsening?*         Yes       No       Date of neuroworsening (dd/mm/yy)       / / /         Time of neuroworsening (24 hour clock)       :       ''Neuroworsening is defined as:         1. A spontaneous decrease in the Glasgow Coma Scale motor score ≥ 2 points (compared with previous examination), or       2. A new loss of pupillary reactivity, development or pupillary asymmetry ≥2mm, or         3. Deterioration in neurological or CT status sufficient to warrant immediate medical or surgical intervention       If there WAS neuroworsening which of the following occurred? (please tick all that apply):         Decrease in motor score ≥ 2 points       Development of pupillary abnormalities

	PAGE 6
	Patient Number
FOR PATIENTS RANDOMISED TO CONSERVATIVE TREATMENT ONLY	
Was delayed ICH evacuation necessary?       Yes*       No*       *If yes, please complete to the next page.	this page. If no, please g
If YES please give date (dd/mm/yy) / / / and time (24 clock) :	
ICH evacuation method (please write appropriate number in box):	
1=craniotomy, 2=other: specify         Was the bone flap replaced?       Yes         No	
Was any other neurosurgical procedure performed?	
Yes         No         Date (dd/mm/yy)         /         /	
Was any non-cranial surgery performed? Yes No	
Please give details of the other neurosurgery/non cranial surgery below:	
NEUROLOGICAL STATUS IMMEDIATELY PRIOR TO EVACUATION	
Patient paralysed and sedated? Yes No	
Glasgow Coma Score immediately prior to evacuation:	
Eye Opening (1-4) Best Verbal Response (1-5) Best Motor Response (1-6)	
If evacuation WAS done, please record the following additional details about this Reason (please describe briefly below):	decision:
Date of decision (dd/mm/yy)       /       /       Time of decision (24 hour clock)       :	
Glasgow Coma Score when the decision was taken to evacuate:	
Eye Opening (1-4)       Best Verbal Response (1-5)       Best Motor Response (1-6)	
If evacuation WAS done was this because of evidence of neuroworsening?*	
Yes No Date of neuroworsening (dd/mm/yy) / /	
Time of neuroworsening (24 hour clock)	
*Neuroworsening is defined as: 1. A spontaneous decrease in the Glasgow Coma Scale motor score ≥ 2 points (compared with the previou	is examination)
or 2. A new loss of pupillary reactivity, development or papillary symmetry ≥2mm, or 3. Deterioration in neurological or CT status sufficient to warrant immediate medical or surgical intervention	
If there WAS neuroworsening which of the following occurred (please tick all that         Decrease in motor score ≥ 2 points         Development of pupillary abnormalities         Other neurological and/or CT deterioration	apply):
What other action was taken as a result of the neuroworsening? (please tick all the None Unscheduled CT scan Change in medical therapy	nat apply):
PLEASE TURN TO PAGE 7	
LLASE TORN TO FAGE /	



#### MONITORING AND NEUROLOGICAL ASSESSMENT

For <u>all</u> patients at <u>all</u> hospitals please record the following daily from the day before randomisation (if available):

(randomisation date=day 0)	Day -1	Day 0	Day 1	Day 2	Day 3	Day 4	Day 5
Date							
GCS - best eye (1-4)							
GCS - best verbal (1-5)							
GCS - best motor (1-6)							
Affected Arm*							
Affected Leg*							
Speech**							
Pupils***							
Highest temperature (°C)							
Lowest O2 saturation (if recorded at your centre)							

\*1=normal, 2= weak, 3= paralysed, 8=dead, 9=alive but cannot assess \*\*1= normal, 2=dysphasic, 3=aphasic, 8=dead, 9=alive but cannot assess \*\*\*1= equal and reacting, 2= one eye only reacting, 3=no reaction from either

Is this patient being ICP monitored?

Yes	No 🚺
-----	------

f yes, please	record	the	fol	lowing:
---------------	--------	-----	-----	---------

What device was used for ICP monitoring? (please tick):

Ventricular	
Camino	
Codman	
Spiegelberg	
Rehau-Raumedic	
Other (please spec	ify) :
Date of implantatio	n of ICP device ( <i>dd/mm/yy</i> )
Date when ICP mo	nitoring ended for this patient (dd/mm/yy)
Did the ICP/CPP m	nonitoring influence how this patient was managed? Yes 🔝 No
lf yes, please give	details about how the ICP or CPP levels changed and what was done as a result
	ient was ICP/CPP monitored, please also complete the form for ICP Monitoring"

F	AG	E 8	3
			1000

#### Patient Number

#### **USE OF HOSPITAL FACILITIES**

Please indicate the number of days that the patient was in the following type of hospital wards during the first 14 days after randomisation:

Days in an intensive treatment unit (ITU)
Days in a high dependency unit (HDU)
Days in a general neurosurgical ward
Days in other ward, please specify the type of ward/facility:

#### POST RANDOMISATION EVENTS (causing clinically significant deterioration)

## Please tick appropriate box for each item or code where appropriate:

	Yes	No	Date (dd/mm/yy)	Time (24hr clock)
Ischaemic Stroke			<i>/</i>	;
Pulmonary Embolism			1	:)
Deep Vein Thrombosis			1	:
Pneumonia			<i></i> //	:
Post-Op Intracranial Haemorrhage				:
Post-Op Infection			11	:
Epilepsy				:
Other (e.g. CSF, Stroke, MI) please specify				•

## Please check that the patient's scans have been sent to the STITCH Office for detailed measurement.

Randomisation CT Scan sent?	Yes	No	
5 day post randomisation CT Scan sent?	Yes	No	

Thank you very much for your assistance. Please return the completed form and scans to: STITCH (Trauma), Neurosurgical Trials Unit, 3-4 Claremont Terrace, Newcastle University Newcastle upon Tyne NE2 4AE, U.K FAX: +44 (0)191 222 5762

STITCH(Trauma) 2 week form, Version 2

#### Six-month postal follow-up for UK



## STITCH (TRAUMA)



## Surgical Trial in Traumatic Intracerebral Haemorrhage

## 6 Month Postal Follow-up Form

## FOR RESEARCH USE ONLY

(Version 2, 30/09/2010: for UK sites)

Patient Number

Centre Number

# CONFIDENTIAL

#### About this questionnaire

It is now **6 months** since your head injury. These questions ask about your general health and the health care and assistance from carers that you have received since your head injury. Please think carefully about each question. Most of the questions can be answered by ticking the box next to the answer that applies to you. Some questions will require you to write a brief response in the space provided.

If you are unsure how to answer any question, please give the best answer you can and write in any comments you wish to make.

Your name and address do not appear anywhere in this leaflet. The information you give us will not be used in any way that could identify you personally.

If you are unable to answer the questions yourself please ask a relative, friend or carer to help you.

# Thank you for taking the time to answer these questions.

STITCH(Trauma) 6 month Questionna	aire: English Language Version	For Office Use Only
		Patient Number
First, some details about yours	self and where you are living si months ago.	nce your head injury six
1. Your Date of Birth	DD / MM / YYYY	
2. Your Gender:	Male	
<b>3.</b> At present are you living:	Female	
	Please tick <b>one</b> of the boxes	
At home alo	one	
At home not	t alone	
In a resident	tial home	
In a nursing	home	
In a hospital	ı 🗌	
<b>4.</b> Have you had to go and live with	h family or friends because of y Yes No	our head injury? ]
5. Has anyone had to move in with	ו you?	
	Yes No	]
6. Have you had to move into a res	sidential home, nursing home o	r hospital because of your
head injury?		
	Yes No	]
7. If yes, what date did you move i	in to the residential home or nu	rsing home?
	/ /	

DD/MM/YYYY

STITCH(Trauma) 6 month Questionnaire: English Language Version

For Office Use Only

Patient Number

#### **Glasgow Outcome Scale**

We are interested in whether any changes or impairments that have occurred as a result of your head injury have affected aspects of your daily life. Changes are often physical, but sometimes the most important changes which take place after head injury are mental. The main questions concern how well you are doing activities at present, that is <u>over the past week or so</u>. There are also some questions about how things were <u>before</u> the head injury. The questions can be answered by the person with the head injury, or by a relative or close friend, or by both together.

#### Please answer all the questions

#### Independence in the Home/Indoors

**8**. As a result of changes caused by your head injury is assistance at home essential every day for your care?

(Please tick one of the boxes)

I do not need assistance or supervision at home.

As a result of the head injury I need some assistance but I could look after myself for 24 hours if necessary.

As a result of the head injury I could look after myself for up to 8 hours if necessary, but not for 24 hours.

As a result of the head injury I could not look after myself for 8 hours during the day.

I could not look after myself for some other reason, not because of the head injury.

9. Before the head injury, I was able to care for myself at home:

Yes	No	
-----	----	--

#### STITCH(Trauma) 6 month Questionnaire: English Language Version

For Office Use Only

Patient Number

#### Independence Outside the Home

10. As a result of changes caused by your head injury are you unable to shop without assistance?

(Please tick *one* of the boxes)

I have no difficulty shopping.
As a result of the head injury I have some difficulty shopping, but I could go to local shops without assistance.
As a result of the head injury I am unable to do any shopping without assistance.
I am unable to shop without assistance for some other reason, not because of

the head injury.

11. Before the head injury I was able to shop without assistance.

Yes		No	
-----	--	----	--

12. As a result of changes caused by your head injury are you unable to travel locally without assistance?

(Please tick one of the boxes)

I have no difficulty travelling.



As a result of the head injury I have some difficulty travelling, but could travel locally without assistance (eg. by arranging a taxi).

As a result of the head injury I am unable to travel without assistance.



I am unable to travel without assistance for some other reason, not because of the head injury.

13. Before the head injury I was able to travel without assistance.

Yes No

STITCH(Trauma	) 6 m	onth	Questionna	ire: Er	nglish	Language '	Version
---------------	-------	------	------------	---------	--------	------------	---------

For Office Use Only

Patient Number

#### Work

15

**14.** As a result of changes caused by your head injury has there been an alteration in your capacity to work (or to study if you were a student)?

(Please tick one of the boxes)

lea	se tick	one of the boxes)
		I am able to return to the same work.
		As a result of my head injury I can only work at a reduced level (eg., change from full-time to part-time or change the level of responsibility), but I am still able to work.
		As a result of my head injury I am unable to work, or only able to work in a sheltered workshop.
		My work capacity is affected for some other reason, not because of the head injury.
5.	Befo	re the head injury I was working or seeking work (or studying as a student):

Yes		No		Retired	
-----	--	----	--	---------	--

#### Social and Leisure Activities

**16.** As a result of changes caused by your head injury have you been unable to resume regular social and leisure activities outside home?

(Please tick one of the boxes)

I participate about as often as before (the activities may be different from before).
As a result of my head injury I participate a bit less often,but at least half as often as before the head injury.
As a result of my head injury I participate much less, less than half as often as before the head injury.

As a result of my head injury	I am unable to participate,	and rarely, if ever, take part.
-------------------------------	-----------------------------	---------------------------------

I participate less for some other reason, not because of the head injury.

**17.** Before the head injury I participated in regular social and leisure activities outside home.

Yes No

#### STITCH(Trauma) 6 month Questionnaire: English Language Version

For Office Use Only

Patient Number

#### Family and Friendships

**18.** As a result of psychological changes caused by your head injury is there ongoing disruption to your family or disruption to friendships?

(Please tick one of the boxes)

Relationships are still much the same as before.

As a result of the head injury there are occasional problems– less than weekly.

As a result of the head injury there are frequent problems- once a week or more.



As a result of the head injury there are constant problems – problems every day.

There are problems for some other reason, not because of the head injury.

**19.** Before the head injury did you have any problems with relationships? (Please tick *one* of the boxes)

I had no problems before, or minor problems.

I had similar problems before .

#### Any Other Problems with Return to Normal Life

**20.** Are there any other changes or symptoms resulting from your head injury which interfere with daily life? (Problems sometimes reported after head injury include headaches, dizziness, tiredness, sensitivity to noise or light, slowness, memory failures and concentration problems).

(Please tick **one** of the boxes)

I have no current problems.



I have some problems from the head injury, but these do not interfere with my daily life.

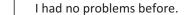


I have some problems from the head injury, and these have affected my daily life.

I have some problems for other reasons, not because of the head injury.

21. Before the head injury were similar problems present?

(Please tick one of the boxes)



I had minor problems.



I had similar problems before.

DOI: 10.	.3310/hta19700	HEALTH TECHNOLOGY ASSESSMENT 2015 VOL. 19 NO. 70			
STITC	CH(Trauma) 6 month Questionnaire: English Langu	age Version	For Office Use Only Patient Number		
Thes	e questions concern your stay in hospital.				
22.	What date were you first discharged from ho	spital after you	r head injury?		
	DD / MM / Y				
	/ /				
23.	Have you been re-admitted to hospital since?	)			
	Yes No				
24.	If yes, please tell us the dates you were back	in hospital (plea	ase write extra		
	notes/dates in the margin if more than once)				
	DD/MM/YYYY	_	/MM/YYYY		
	From: / /	To: /	/		
And	please tell us which hospitals you were in:				
Thes	e questions concern whether the head injur	y has affected	your ability to move your		
limb	-	-			
25.	At present, how has the head injury affecte	d your <b>left leg</b> ?			
	(Please tick <b>one</b> of the boxes)				
	No problem Some weakness		Unable to move it		
26.	At present, how has the head injury affecte	d your <b>right leg</b>	?		
	(Please tick <b>one</b> of the boxes)				
	No problem Some weakness		Unable to move it		
27.	At present, how bas the head injuny affects				
27.	<u>At present</u> , how has the head injury affecte (Please tick <b>one</b> of the boxes)	u your leit arm	r.		
	No problem Some weakness		Unable to move it		
28.	At present, how has the head injury affecte	d your <b>right arr</b>	n?		
	(Please tick <b>one</b> of the boxes)				
	No problem Some weaknes	s	Unable to move it		
29.	At present how has the head injury affected	l your ability to	say words or to		
	choose the words you want?				
	(Please tick <b>one</b> of the boxes)				
	No problem Some problems	Majo	r problems		
30.	How has the head injury affected your abilit	y to understand	d the spoken or written		
	word?	,	· · · · · · · · · · · · · · · · · · ·		
	(Please tick <b>one</b> of the boxes)	• • • •			
	No problem Some problems	Majo	r problems		

APPENDIX 2
STITCH(Trauma) 6 month Questionnaire: English Language Version For Office Use Only Patient Number
<b>31.</b> Do you often feel sad or depressed? Yes No
<b>32</b> . As a result of your head injury, how would you rate your general health?
(Please tick the <b>one</b> box which seems most appropriate to you)
I am perfectly fit and well.
I have a few minor problems but they do not affect my lifestyle.
I can do all everyday activities, but my lifestyle is restricted.
My lifestyle is very restricted. I need some help with everyday activities.
My lifestyle is very restricted. I need a lot of help but not constant attention.
I am totally dependent and need 24 hour care.

#### PLEASE GO TO THE NEXT PAGE

For Office Use Only

STITCH(Trauma) 6 month Questionnaire: English Language Version

Patient Number

#### EuroQol (at 6 months)

33.

By placing a tick in one box in each group below, please indicate which statements best describe your own health state today.

Please tick <b>one</b> box only for each ques	Please tick <b>one</b> box only for each question	
I have no problems in walking about		
I have some problems in walking about		
I am confined to bed		

#### 34. Self-Care

Mobility

I have no problems with self-care I have some problems washing or dressing myself I am unable to wash or dress myself

#### **35.** Usual Activities (e.g. work, study, housework, family or leisure activities)

I have no problems with performing my usual activities I have some problems with performing my usual activities I am unable to perform my usual activities

#### 36. Pain/Discomfort

I have no pain or discomfort

I have moderate pain or discomfort

I have extreme pain or discomfort

#### 37. Anxiety/Depression

I am not anxious or depressed

I am moderately anxious or depressed

I am extremely anxious or depressed





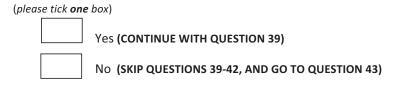

STITCH(Trauma) 6 month Questionnaire: English Language Version

For Office Use Only

Patient Number

These questions ask about your work after your head injury.

38. Are you currently employed?



**39.** During the <u>past seven days</u>, how many hours did you miss from work because of your head injury? (Include hours you missed on sick days, times you went in late, left early, etc. Do not include time you missed to participate in this study).

\_\_\_\_\_hours

**40.** During the <u>past seven days</u>, how many hours did you miss from work because of any other reason, such as vacation, holidays, time off to participate in this study?

\_\_\_\_\_hours

41. During the past seven days, how many hours did you actually work?

\_\_\_\_\_hours

**42**. During the <u>past seven days</u>, how did your head injury affect your productivity <u>while you</u> <u>were working</u>? Think about days you were limited in the amount or kind of work you could do, days you accomplished less than you would like, or days you could not do your work as carefully as usual. On a scale of 0 to 10, how has the head injury affected your work? If it has affected your work only a little, choose a low number. Choose a high number if it has affected your work a great deal.

0 1 2 3 4 5 6 7 8 9 10

DOI: 10.3310/hta19700

#### STITCH(Trauma) 6 month Questionnaire: English Language Version

For Office Use Only

Patient Number

**43.** During the <u>past seven days</u>, how much did your head injury affect your ability to do <u>your</u> regular daily activities, other than work at a job? By regular activities, we mean the usual activities you do, such as work around the house, shopping, childcare, exercising, studying, etc. Think about times you were limited in the amount or kind of activities you could do and times you accomplished less than you would like. On a scale of 0 to 10, how has the head injury affected your regular daily activities, other than work at a job? If it has affected your activities only a little, choose a low number. Choose a high number if it has affected your activities a great deal.

0 1 2 3 4 5 6 7 8 9 10

The following two questions (questions 44 and 45) ask about whether you receive any care from partners, other relatives, friends or neighbours <u>now</u>.

**44.** As a result of your head injury, do you <u>currently</u> receive care or help, from others with <u>any</u> of the following: washing yourself, going to the toilet, eating?

(please tick **one** box)



**45.** As a result of your head injury, do you <u>currently</u> receive care or help, from others, with <u>any</u> of the following: getting dressed, moving around the house, housework, transportation?

(please tick one box)



#### PLEASE GO TO THE NEXT PAGE

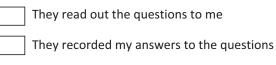
PENDIX 2			
STITCH(Trauma) 6 mor	nth Questionnaire: English Language Vo	ersion	For Office Use Only
			Patient Number
46. Since your head i	injury have you experienced any of t	he following:	
	i) Epilepsy	Yes	No
	ii) Unexplained fits	Yes	No
	iii) Persistent headaches	Yes	No
	iv) Dizziness	Yes	No
	v) Difficulty concentrating	Yes	No
17 Have you been pr	escribed any anti-epileptic medicati	on since your h	and injury?
47. Have you been pi	escribed any anti-epileptic medicati		
		Yes	No
Is there anything else	you would like to tell us about how	you have been	feeling?
(Please use the space			-
(			

DOI: 10.3310/hta19700	HEALTH TECHNOLO	GY ASSESSMENT 2015 VOL. 19 NO. 70
STITCH(Trauma) 6 month Questionnaire: Englis	h Language Version	For Office Use Only
		Patient Number
These questions concern the contacts you h providers.	nave had with profession	
<b>1.</b> Have you visited a <b>day hospital</b> since you head injury? <i>A day hospital is a non resident</i> . <i>go for medical treatment or therapy during t</i>	ial hospital or part of a h	
Yes No	If yes, how many time	s?
<b>2.</b> Have you visited a <b>day centre</b> since you w head injury? <i>A day centre is a non-residentic daytime care or recreation.</i>		
Yes No	If yes, how many times	?
<b>3.</b> In the past <u>month</u> have you seen any of the	ne following:	
a) a home help/professional home carer?		
Yes No	<b>If yes,</b> how many times	?
b) a District Nurse?		
Yes No	<b>If yes,</b> how many times	?
c) a Physiotherapist?		
Yes No	<b>If yes,</b> how many times	?
d) an Occupational Therapist?		
Yes No	If yes, how many times	.?
e) a Speech Therapist?		
Yes No	If yes, how many times	?

a Language Version For Office Use Only Patient Number
this questionnaire.
/ / DD/MM/YYYY
f alone ve/friend/carer f with help from a relative/friend/carer
ve/friend/carer, what is their relationship to you? d/Wife/Partner / Father



**4.** If a relative/friend/carer has helped you **to complete this form** please indicate **how** they have helped you: (please tick **all** that apply)



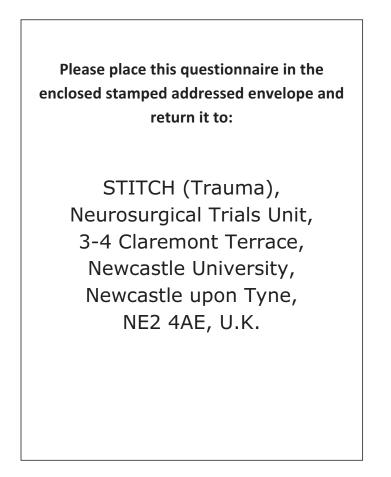


For Office Use Only

Patient Number

# Thank you for completing this questionnaire.

Your answers will help us improve treatment of head injury patients with intracerebral haemorrhage in future. If there are any queries we may contact you directly.



### Six-month postal follow-up for non-UK



**STITCH (TRAUMA)** 



# Surgical Trial in Traumatic Intracerebral Haemorrhage

# 6 Month Postal Follow-up Form

# FOR RESEARCH USE ONLY

(Version 2, 30/09/2010: for all non-UK sites)

Patient Number

Centre Number

# CONFIDENTIAL

#### About this questionnaire

It is now **6 months** since your head injury. These questions ask about your general health and the health care and assistance from carers that you have received since your head injury. Please think carefully about each question. Most of the questions can be answered by ticking the box next to the answer that applies to you. Some questions will require you to write a brief response in the space provided.

If you are unsure how to answer any question, please give the best answer you can and write in any comments you wish to make.

Your name and address do not appear anywhere in this leaflet. The information you give us will not be used in any way that could identify you personally.

If you are unable to answer the questions yourself please ask a relative, friend or carer to help you.

# Thank you for taking the time to answer these questions.

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STITCH(Trauma) 6 month Questionn	aire: English Language Version	For Office Use Only
		Patient Number
First, some details about yours	self and where you are living months ago.	since your head injury six
1. Your Date of Birth	DD / MM / YYYY / /	
2. Your Gender:	Male	
	Female	
3. At present are you living:		
	Please tick <b>one</b> of the boxes	5
At home alc	one	
At home no	t alone	
In a residen	tial home	
In a nursing	home	
In a hospita	I	
<b>4.</b> Have you had to go and live wit	h family or friends because of Yes No	your head injury?
5. Has anyone had to move in with	n you?	
	Yes No	
6. Have you had to move into a re	sidential home, nursing home	or hospital because of your
head injury?		
	Yes No	
7. If yes, what date did you move	in to the residential home or r	nursing home?

DD/MM/YYYY

For Office Use Only

Patient Number

#### **Glasgow Outcome Scale**

We are interested in whether any changes or impairments that have occurred as a result of your head injury have affected aspects of your daily life. Changes are often physical, but sometimes the most important changes which take place after head injury are mental. The main questions concern how well you are doing activities at present, that is <u>over the past week or so</u>. There are also some questions about how things were <u>before</u> the head injury. The questions can be answered by the person with the head injury, or by a relative or close friend, or by both together.

#### Please answer all the questions

#### Independence in the Home/Indoors

**8**. As a result of changes caused by your head injury is assistance at home essential every day for your care?

(Please tick one of the boxes)

I do not need assistance or supervision at home.

As a result of the head injury I need some assistance but I could look after myself for 24 hours if necessary.



As a result of the head injury I could look after myself for up to 8 hours if necessary, but not for 24 hours.

As a result of the head injury I could not look after myself for 8 hours during the day.

I could not look after myself for some other reason, not because of the head injury.

9. Before the head injury, I was able to care for myself at home:



For Office Use Only

Patient Number

#### Independence Outside the Home

10. As a result of changes caused by your head injury are you unable to shop without assistance?

(Please tick *one* of the boxes)

I have no difficulty shopping.
As a result of the head injury I have some difficulty shopping, but I could go to local shops without assistance.
As a result of the head injury I am unable to do any shopping without assistance.
I am unable to shop without assistance for some other reason, not because of

the head injury.

11. Before the head injury I was able to shop without assistance.

Yes	No	
-----	----	--

12. As a result of changes caused by your head injury are you unable to travel locally without assistance?

(Please tick one of the boxes)

I have no difficulty travelling.



As a result of the head injury I have some difficulty travelling, but could travel locally without assistance (eg. by arranging a taxi).

As a result of the head injury I am unable to travel without assistance.



I am unable to travel without assistance for some other reason, not because of the head injury.

13. Before the head injury I was able to travel without assistance.

Yes No

STITCH(Trauma	) 6 m	10nth	Questionn	aire: Er	nglish	Language \	Version
---------------	-------	-------	-----------	----------	--------	------------	---------

For Office Use Only

Patient Number

#### Work

15

**14.** As a result of changes caused by your head injury has there been an alteration in your capacity to work (or to study if you were a student)?

(Please tick one of the boxes)

leas	Setick	one of the boxes)
		I am able to return to the same work.
		As a result of my head injury I can only work at a reduced level (eg., change from full-time to part-time or change the level of responsibility), but I am still able to work.
		As a result of my head injury I am unable to work, or only able to work in a sheltered workshop.
		My work capacity is affected for some other reason, not because of the head injury.
5.	Befor	e the head injury I was working or seeking work (or studying as a student):

Yes		No		Retired	
-----	--	----	--	---------	--

#### Social and Leisure Activities

**16.** As a result of changes caused by your head injury have you been unable to resume regular social and leisure activities outside home?

(Please tick one of the boxes)

I participate about as often as before (the activities may be different from before).
As a result of my head injury I participate a bit less often,but at least half as often as before the head injury.
As a result of my head injury I participate much less, less than half as often as before the head injury.

As a result of my head injury I am unable to participate, and rarely, if ever, take part.

I participate less for some other reason, not because of the head injury.

**17.** Before the head injury I participated in regular social and leisure activities outside home.

Yes No

For Office Use Only

Patient Number

#### Family and Friendships

**18.** As a result of psychological changes caused by your head injury is there ongoing disruption to your family or disruption to friendships?

(Please tick one of the boxes)

Relationships are still much the same as before.

As a result of the head injury there are occasional problems– less than weekly.

As a result of the head injury there are frequent problems- once a week or more.



As a result of the head injury there are constant problems – problems every day.

There are problems for some other reason, not because of the head injury.

**19.** Before the head injury did you have any problems with relationships? (Please tick *one* of the boxes)

I had no problems before, or minor problems.

I had similar problems before .

#### Any Other Problems with Return to Normal Life

**20.** Are there any other changes or symptoms resulting from your head injury which interfere with daily life? (Problems sometimes reported after head injury include headaches, dizziness, tiredness, sensitivity to noise or light, slowness, memory failures and concentration problems).

(Please tick **one** of the boxes)

I have no current problems.



I have some problems from the head injury, but these do not interfere with my daily life.



I have some problems from the head injury, and these have affected my daily life.

I have some problems for other reasons, not because of the head injury.

21. Before the head injury were similar problems present?

(Please tick one of the boxes)

I had no problems before.

I had minor problems.



I had similar problems before.

DOI: 10	.3310/hta19700 HI	EALTH TECHNOLOGY ASSESSMENT 2015 VOL. 19 NO. 70
STITO	CH(Trauma) 6 month Questionnaire: English Languag	e Version For Office Use Only Patient Number
Thes	e questions concern your stay in hospital.	
22.	What date were you first discharged from hosp	ital after your head injury?
	DD / MM / YY	
23.	Have you been re-admitted to hospital since?	
	Yes No	
24.	If yes, please tell us the dates you were back in notes/dates in the margin if more than once).	hospital (please write extra
	DD/MM/YYYY From:	DD/MM/YYYY To:
A		
And	please tell us which hospitals you were in:	
limb 25.	<b>a</b> questions concern whether the head injury lease s. <u>At present</u> , how has the head injury affected y (Please tick one of the boxes)	
	No problem Some weakness	Unable to move it
26.	At present, how has the head injury affected y	/our right leg?
	(Please tick <b>one</b> of the boxes)	
	No problem Some weakness	Unable to move it
27.	At present, how has the head injury affected y	/our loft arm?
27.	(Please tick <i>one</i> of the boxes)	
	No problem Some weakness	Unable to move it
28.	At present, how has the head injury affected y	our <b>right arm</b> ?
	(Please tick <b>one</b> of the boxes) No problem Some weakness	Unable to move it
29.	At present how has the head injury affected y	our ability to say words or to
	choose the words you want?	
	(Please tick <b>one</b> of the boxes)	
	No problem Some problems	Major problems
30.	How has the head injury affected your ability word?	to understand the spoken or written
	word? (Please tick <i>one</i> of the boxes)	
	No problem Some problems	Major problems

APPENDIX 2	
STITCH(Trauma) 6 month Questionnaire: English Language Version For Office Use Only	
Patient Number	
<b>31.</b> Do you often feel sad or depressed? Yes No	
<b>32</b> . As a result of your head injury, how would you rate your general health?	
(Please tick the <b>one</b> box which seems most appropriate to you)	
I am perfectly fit and well.	
I have a few minor problems but they do not affect my lifestyle.	
I can do all everyday activities, but my lifestyle is restricted.	
My lifestyle is very restricted. I need some help with everyday activities.	
My lifestyle is very restricted. I need a lot of help but not constant attention.	
I am totally dependent and need 24 hour care.	

#### PLEASE GO TO THE NEXT PAGE

For Office Use Only

Patient Number

#### **EuroQol (at 6 months)**

By placing a tick in one box in each group below, please indicate which statements best describe your own health state <u>today</u>.

Please tick <b>c</b>	<b>one</b> box onl	y for each	question
----------------------	--------------------	------------	----------

#### 33. Mobility

I have no problems in walking about
I have some problems in walking about
I am confined to bed

#### 34. Self-Care

I have no problems with self-care I have some problems washing or dressing myself I am unable to wash or dress myself

35.	Usual Activities (	e.g. work, study,	housework, famil	y or leisure activities)
-----	--------------------	-------------------	------------------	--------------------------

- I have no problems with performing my usual activities
- I have some problems with performing my usual activities
- I am unable to perform my usual activities

#### 36. Pain/Discomfort

- I have no pain or discomfort
- I have moderate pain or discomfort
- I have extreme pain or discomfort

#### 37. Anxiety/Depression

- I am not anxious or depressed
- I am moderately anxious or depressed
- I am extremely anxious or depressed





For Office Use Only

Patient Number

These questions ask about your work after your head injury.

38. Are you currently employed?

(please tick one box)
Yes (CONTINUE WITH QUESTION 39)
No (SKIP QUESTIONS 39-42, AND GO TO QUESTION 43)

**39.** During the <u>past seven days</u>, how many hours did you miss from work because of your head injury? (Include hours you missed on sick days, times you went in late, left early, etc. Do not include time you missed to participate in this study).

\_\_\_\_\_hours

**40.** During the <u>past seven days</u>, how many hours did you miss from work because of any other reason, such as vacation, holidays, time off to participate in this study?

\_\_\_\_\_hours

41. During the past seven days, how many hours did you actually work?

\_\_\_\_\_hours

**42**. During the <u>past seven days</u>, how did your head injury affect your productivity <u>while you</u> <u>were working</u>? Think about days you were limited in the amount or kind of work you could do, days you accomplished less than you would like, or days you could not do your work as carefully as usual. On a scale of 0 to 10, how has the head injury affected your work? If it has affected your work only a little, choose a low number. Choose a high number if it has affected your work a great deal.

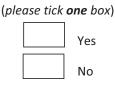
0 1 2 3 4 5 6 7 8 9 10

STITCH(Trauma) 6 month Questionnaire: English Language Version For Office Use Only Patient Number 43. During the past seven days, how much did your head injury affect your ability to do your regular daily activities, other than work at a job? By regular activities, we mean the usual activities you do, such as work around the house, shopping, childcare, exercising, studying, etc. Think about times you were limited in the amount or kind of activities you could do and times you accomplished less than you would like. On a scale of 0 to 10, how has the head injury affected your regular daily activities, other than work at a job? If it has affected your activities only a little, choose a low number. Choose a high number if it has affected your

0 1 2 3 4 5 6 7 8 9 10

The following two questions (questions 44 and 45) ask about whether you receive any care from partners, other relatives, friends or neighbours now.

44. As a result of your head injury, do you currently receive care or help, from others with any of the following: washing yourself, going to the toilet, eating?



45. As a result of your head injury, do you currently receive care or help, from others, with any of the following: getting dressed, moving around the house, housework, transportation?

(please tick one box)



PLEASE GO TO THE NEXT PAGE

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activities a great deal.

PENDIX 2			
STITCH(Trauma) 6 mon	nth Questionnaire: English Language Ve	ersion	For Office Use Only
			Patient Number
46. Since your head i	injury have you experienced any of t	he following:	
	i) Epilepsy	Yes	No
	ii) Unexplained fits	Yes	No
	iii) Persistent headaches	Yes	No
	iv) Dizziness	Yes	No
	v) Difficulty concentrating	Yes	No
47. Have you been pr	escribed any anti-epileptic medicati	on since your h	ead injury?
		Yes	No
Is there anything else	you would like to tell us about how	you have been	feeling?
		you have been	reening:
(Please use the space	below)		

DOI: 10.3310/hta19700	HEALTH TECHNOLOGY ASSESSMENT 2015 VOL. 19 NO. 70
STITCH(Trauma) 6 month Questionnaire: English	Language Version For Office Use Only Patient Number
These questions ask about who completed t	his questionnaire.
<b>1.</b> This questionnaire was completed on:	
	DD/MM/YYYY
2. This questionnaire was answered by:	
(please tick <b>one</b> box)	
Yourself	alone
A relativ	ve/friend/carer
Yourself	with help from a relative/friend/carer
<b>3.</b> If answered by or with the help of a relativ	e/friend/carer, what is their relationship to you?
(please tick <b>one</b> box)	
Husband	d/Wife/Partner
Mother,	/ Father
Sister/B	rother
Son/Dau	ughter
Other re	lative
Friend	
Professi	onal Carer
<b>4.</b> If a relative/friend/carer has helped you <b>to</b> have helped you: ( <i>please tick <b>all</b> that apply</i> )	<b>complete this form</b> please indicate <b>how</b> they
They read out t	he questions to me
They recorded	my answers to the questions
They answered	questions on my behalf

For Office Use Only

Patient Number

# Thank you for completing this questionnaire.

Your answers will help us improve treatment of head injury patients with intracerebral haemorrhage in future. If there are any queries we may contact you directly.

> Please place this questionnaire in the enclosed envelope. Take it to your post office with the enclosed international postage coupons. The post office will use the coupons to return it to us at:

> > STITCH (Trauma), Neurosurgical Trials Unit, 3-4 Claremont Terrace, Newcastle University, Newcastle upon Tyne, NE2 4AE, U.K.

## **Detailed ICP monitoring form**

Please o	STITCH (Trauma)   Surgical Trial in Traumatic Intracerebral Haemorrhage   Detailed CP Monitoring Record Form   This form is to be completed during the first five days after randomisation in centres who use [CP monitoring. If you are able to send the data electronically by email please do so. If you are unable to provide the data electronically by email please do so. If you are unable to provide the data electronically by email please do so. If you are unable to provide the data electronically by email please do so. If you are unable to provide the data electronically by email please do so. If you are unable to provide the data electronically by email please do so. If you are unable to provide the data electronically then please complete the form. The form should be submitted to the STITCH(Trauma)Office along with the Discharge/2 week form.   Hospital Name: Centre Number:   Matient Number: Patient Date of Birth (dd/mm/yr):   Date: (please note, the date of randomisation is day zero)   Please tick this box if the patient was not monitored on this day:   Inte: (dd/mm/yr):									
Time	Tick if not monitored	Tick if non Invasive Monitoring	Tick if Invasive Monitoring	ICP	MAP / CPP (Circle as appropriate)					
01:00 02:00 03:00 04:00 05:00 06:00 07:00 08:00 10:00 11:00 12:00 13:00 14:00 15:00 16:00 17:00 18:00 19:00 20:00 21:00 22:00 23:00										

 $\underline{\textbf{DAY 0}}$  (please note, the date of randomisation is day zero)

Please complete all columns in addition to either MAP or CPP.

· [

Date: (dd/mm/yy):

Please tick this box if the patient was not monitored on this day:

Time	Tick if not monitored	Tick if non Invasive Monitoring	Tick if Invasive Monitoring	ICP	MAP / CPP (Circle as appropriate)
01:00					
01:00					
02:00					
03:00					
05:00					
06:00					
07:00					
08:00					
09:00					
10:00					
11:00					
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16:00					
17:00					
18:00					
19:00					
20:00					
21:00					
22:00					
23:00					
24:00					

Please go to the next page.

# DAY 1 (please note, the date of randomisation is day zero)

Please complete all columns in addition to either MAP or CPP.

Date: (dd/mm/yy):

Time	Tick if not monitored	Tick if non Invasive Monitoring	Tick if Invasive Monitoring	ICP	MAP / CPP (Circle as appropriate)
01:00 02:00 03:00 04:00 05:00 06:00 07:00 08:00 09:00 10:00 11:00 12:00 13:00 14:00 15:00 14:00 15:00 16:00 17:00 18:00 19:00 20:00 21:00 22:00 23:00 24:00					

#### Please go to the next page.

# $\underline{\textbf{DAY 2}}$ (please note, the date of randomisation is day zero)

Please complete all columns in addition to either MAP or CPP.

Date: (dd/mm/yy):

Please tick this box if the patient was not monitored on this day:

Time	Tick if not monitored	Tick if non Invasive Monitoring	Tick if Invasive Monitoring	ICP	MAP / CPP (Circle as appropriate)
01:00 02:00 03:00 04:00 05:00 06:00 07:00 08:00 09:00 10:00 11:00 12:00 13:00 14:00 15:00 14:00 15:00 16:00 17:00 18:00 19:00 20:00 21:00 22:00 23:00 24:00					

Please go to the next page.

## DAY 3 (please note, the date of randomisation is day zero)

Please complete all columns in addition to either MAP or CPP.

Date: (dd/mm/yy):

Time	Tick if not monitored	Tick if non Invasive Monitoring	Tick if Invasive Monitoring	ICP	MAP / CPP (Circle as appropriate)
01:00 02:00 03:00 04:00 05:00 06:00 07:00 08:00 09:00 10:00 11:00 12:00 13:00 14:00 13:00 14:00 15:00 16:00 17:00 18:00 19:00 20:00 21:00 22:00 23:00 24:00					

#### Please go to the next page.

**DAY 4** (please note, the date of randomisation is day zero)

Please complete all columns in addition to either MAP or CPP.

Date: (dd/mm/yy):

Time	Tick if not monitored	Tick if non Invasive Monitoring	Tick if Invasive Monitoring	ICP	MAP / CPP (Circle as appropriate)
01:00					
02:00					
03:00					
04:00					
05:00					
06:00					
07:00					
08:00					
09:00					
10:00					
11:00					
12:00					
13:00					
14:00					
15:00					
16:00					
17:00					
18:00					
19:00 20:00					
20:00					
21:00					
22:00					
23.00					
24.00					

Please go to the next page.

# **DAY 5** (please note, the date of randomisation is day zero)

#### Please complete all columns in addition to either MAP or CPP.

Date: (dd/mm/yy):	$ \begin{bmatrix} 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1$	Please tick this box if the patient was not monitored on this day:	
-------------------	--	--	--

Time	Tick if not monitored	Tick if non Invasive Monitoring	Tick if Invasive Monitoring	ICP	MAP / CPP (Circle as appropriate)		
01:00 02:00 03:00 04:00 05:00 06:00 07:00 08:00 09:00 10:00 11:00 12:00 13:00 14:00 15:00 14:00 15:00 16:00 17:00 18:00 19:00 20:00 21:00 22:00 23:00 24:00							

#### Please return this form to:

STITCH (Trauma), Neurosurgical Trials Unit, 3-4 Claremont Terrace, Newcastle University, Newcastle upon Tyne, NE2 4AE, UK

FAX: +44 (0) 191 222 5762

Email: trauma.stitch@ncl.ac.uk

**TEL:** +44 (0) 191 222 5764

# **Major event form**

SI	Surgical Trial in Traumatic Intracerebral Haemorrhage
S	Hospital Name Centre Number
т	Patient Number Patient Date of Birth (dd/mm/yy) If no major adverse events please tick:
÷.	MAJOR ADVERSE EVENTS
1	Please use this form to report any major adverse events which include:
т	Please tick all that apply: Please give date of major adverse event: / / 20
С	<ul> <li>a) death</li> <li>b) any relevant life threatening event</li> <li>c) any relevant permanent of severely disabling event</li> <li>d) any event that requires or prolongs hospitalisation</li> </ul>
	Was the major adverse event directly related to:
н	Initial ICH Deterioration Traumatic ICH Surgery Other Injury Other Surgery Other not related
T	Please provide a description of the major adverse event, treatment and outcome below:
	DEATH         1) Date of Death (dd/mm/yy)         /       /         20         If a post mortem was performed send a copy of
A	2) Post Mortem performed: Yes No (Trauma) office.
U	<ul><li>3) State underlying cause of death</li><li>4) Additional details</li></ul>
	Please now categorise the death by writing the most appropriate number in the box*
M	*1= head injury/initial injury, 2 = head injury/ secondary intracranial damage, 3= systemic trauma, 4= medical complications, 5= other.
A	HOSPITAL READMISSION         1) Date initially discharged (dd/mm/yy)
	2) Date readmitted (dd/mm/yy)
	3) Date discharged ( <i>dd/mm/yy</i> )
	Please return the completed form to:
	STITCH (Trauma), Neurosurgical Trials Unit, 3-4 Claremont Terrace, Newcastle University, Newcastle upon Tyne, NE2 4AE, U.K. FAX: +44 (0) 191 222 5762 TEL: +44 (0) 191 222 5764

## **Screening log**

University			Status at 30 ed days o) (alive/dead/ unknown)						157		
			Operated (Yes/No)						dity whice		
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SUBJECT SCREENING LOG STITCH (Trauma) Surgical Trial in Traumatic Intracerebral Haemorrhage			ents with a Traumatic INTRACEREBRAL HAEMORRHAGE should be entered on this log.	REACTIVITY OF PUPILS 1=Both reactive 2= One reactive 3= Both unreactive					<ul> <li>Exclusion Codes (for full explanation please see instructions)</li> <li>Exclusion Codes (for full explanation please see instructions)</li> <li>E Subdural haematomat extra dural haematoma requiring surgery</li> <li>1 = Out of a (&gt;24 hours after head injury) 2= Lack of equifying inclusion criteria</li> <li>4 = Surgery cannot be performed with 12 hours of randomisation.</li> <li>7 = Severe co-morbidity which maylead to poor outcome 8= Permanent residence outside study country 9=Other</li> </ul>		
	I) Surgical T			c INTRACER	TRAUMATIC ICH	Volume (ml) of largest Haemorrhage					Exclusion = Subduo = Subduo = Subduo = = Subduo = = Subduo = = Subduo = Subduo
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stilles			DATE OF ADMISSION						Please fax and return a co STITCH (Trauma), heuro: Terrace, Newcastle Unive U.K. Fax: +44 (0) 1912225762		

# **Appendix 3** Template letters

#### Initial standard general practitioner letter





STITCH (Trauma) Neurosurgical Trials Unit 3-4 Claremont Terrace Newcastle University Newcastle upon Tyne NE2 4AE

Date

Dear

Re: (Patient)

#### Patient Address:

This letter is to inform you that <patient> (date of birth) has taken part in a research study: STITCH(TRAUMA): Surgical Trial in Traumatic Intracerebral Haemorrhage.

<Patient> was admitted to this hospital with a head injury resulting in an intracerebral haemorrhage (ICH). The purpose of the study is to determine whether a policy of early surgical evacuation of the haematoma in selected patients with traumatic ICH will improve outcome compared to a policy of initial conservative treatment.

<He/She> will be followed up by postal questionnaires at 3, 6 and 12 months for this study as well as any other follow-ups that are deemed to be required for <his/her> condition. The STITCH (Trauma) co-ordinating centre (Newcastle) will contact you prior to follow ups after the head injury to confirm the patient is still, to the best of your knowledge, alive and resident at the above address. This is in order to avoid distress by attempting to contact families of patients who have died. In the meantime, if you were aware of any serious adverse events resulting in death or hospital admission, it would be extremely helpful if you could let us know to help us avoid inappropriate follow-up. Serious Adverse Events must be reported according to UK regulations and according to MRC guidelines of Good Clinical Practice. The definitions of Serious Adverse Events in international clinical trials include the following (regardless of causality):

- Death
- Life threatening event
- Event requiring or prolonging hospitalisation
- Event causing permanent disability

Please find enclosed an information sheet which will give you more information about the study and a Major Adverse Event form.

Should you have any questions regarding this study please do not hesitate to contact Professor Mendelow or myself at the Directorate of Neurosciences, Newcastle General Hospital.

Yours sincerely

Professor A David Mendelow Principal Investigator Dr Barbara A Gregson Trial Director

### 6-month standard general practitioner letter





STITCH (Trauma) Neurosurgical Trials Unit 3-4 Claremont Terrace Newcastle University Newcastle upon Tyne NE2 4AE

Dear

Re:

#### Patient Address:

This letter is to inform you that was admitted to hospital with a traumatic intracerebral haemorrhage on 18//\*\* and agreed to take part in a multicentre study, funded by the MRC, of early surgical treatment. The purpose of the study is to determine whether a policy of early surgical evacuation of the haematoma in selected patients with traumatic ICH will improve outcome compared to a policy of initial conservative treatment.

We intend to assess survival and functional outcome at 6 months by a postal questionnaire to the patient or to a relative.

We are now contacting you to confirm that is still, or to the best of your knowledge, alive and resident at the above address. This is in order to avoid distress by attempting to contact families of patients who have died. If you are aware of any serious adverse events resulting in death or hospital admission, it would be extremely helpful if you could let us know to help us avoid inappropriate follow-up. Serious Adverse Events must be reported according to UK regulations and according to MRC guidelines of Good Clinical Practice. The definitions of Serious Adverse Events in international clinical trials include the following (regardless of causality):

- Death
- Life threatening event
- Event requiring or prolonging hospitalisation
- Event causing permanent disability

If you know of any of these occurring since experienced the intracerebral haemorrhage please would you complete the enclosed Major Adverse Events form and return it in the enclosed envelope.

Should you have any questions regarding this study please do not hesitate to contact Professor Mendelow or myself at the Neurosurgical Trials Unit.

Thank you in anticipation of your assistance.

Yours sincerely

Professor A David Mendelow Principal Investigator Dr Barbara A Gregson Trial Director

### **Template cover letter to accompany 6-month form**

29 November 2010

Dear ZZZZZZ,

When you were in hospital you or your relatives agreed for you to be part of the STITCH (Trauma) study which is for people who have had a head injury. As part of the study, we at the Neurosurgical Trials Unit contact STITCH (Trauma) patients at three, six and twelve months after their head injury to see how they are doing.

We would be grateful if you (or someone on your behalf) could complete the enclosed questionnaire and return it using the postal vouchers provided, which can be exchanged at your post office. The information from the questionnaire will be used to help us improve the treatment of head injuries and, of course, will be confidential.

If you have any problems completing the questionnaire, please contact your local neurosurgeon (XXXXXXXX) at (YYYYYY Hospital, City), and they will be glad to help.

Yours sincerely,

Dr B Gregson Trial Director

Neurosurgical Trials Unit, 3-4 Claremont Terrace, Newcastle University, Newcastle upon Tyne, NE2 4AE, U.K.

Tel: 0191 222 5793

# **Appendix 4** Health economic analysis

#### Prepared by Dr Dwayne Boyers and Professor Paul McNamee

#### Introduction

The objective of the economic evaluation is to assess the cost-effectiveness of a strategy of Early Surgery compared with Initial Conservative Treatment in the management of traumatic ICH. This chapter reports the quality of life outcomes, resource use, costs and cost-effectiveness analyses performed alongside the STITCH(TRAUMA) randomised controlled trial, over a 6-month follow-up period.

It should be noted that the original intention of the economic component of the study was to conduct a cost–utility analysis from the UK NHS perspective, using cost and outcome data collected only on UK patients. We anticipated recruiting 150 UK patients into the trial to achieve this goal. However, UK recruitment achieved only six patients, which was not sufficient to produce a meaningful assessment of costs and outcomes for UK patients. An alternative analysis was therefore conducted, which used data from all participating centres in all countries recruiting into the trial. This approach has the advantage of being more relevant to a wider group of decision-makers. However, such an approach required the collection of additional cost data in non-UK sites, which proved challenging for some centres. Results are presented in terms of all patients recruited as a single analysis, as well as subgroup analyses based on World Bank country income group classifications (low-, lower middle-, upper middle- and high-income countries).

#### **Methods**

#### Resource use and costs

The main analysis focuses on results from an international perspective. However, given that the original objective of the cost-effectiveness analysis was to report from a UK perspective, we begin by reporting UK resource use for the six patients recruited into the trial.

#### Resource use and costs based on a UK analysis

Descriptive results are presented for the resource use and costs associated with UK participants for information only. Costs are assigned to resource use data based on 2013 health resource group (HRG) payment by results data.<sup>1</sup> We apply the non-elective cost associated with intracranial procedures for trauma with a diagnosis of intracranial injury (HRG code AA02).<sup>39</sup> Costs with (£6231 up to 43 days admission + £207 per day thereafter) and without (£4126 up to 18 days + £207 per day thereafter) complications are presented. As our data are presented in days in hospital, we calculate cost per day as the tariff value, divided through by the trim-point time. We then take the average tariff for those with and without complications. This means an overall cost per day applied to resource use in the analysis of £187 per day over the initial episode of care. Further, data from the patient questionnaire are used to estimate the number and length of hospital readmissions at 6 months' follow-up. As the exact reason for readmission was not reported, we have assumed the same HRG codes would apply to these episodes of care also.

#### Resource use and costs based on international analysis

All data from the six UK trial participants are used in the costing analysis together with additional data collected from the other trial participating countries. Collection of this supplementary data required the development of a site-specific questionnaire, administered to all participating centres in the trial, to collect data on resource use and unit costs of care. The supplementary questionnaire used for the analysis is included as *Appendix 5*. Not all sites responded to this questionnaire which generated a substantial amount of missing data. Data were returned for 16 out of 31 sites (52%), representing 115 out of

168 (68%) patients recruited to the study. This required the imputation of cost and resource use data following some plausible assumptions, namely:

- i. Where resource use and cost data were missing for some centres recruiting within a country, we have imputed weighted averages based on the number of patients recruited at centres where data are available.
- ii. Where resource use and cost data were missing for all centres within a country, we have pragmatically imputed weighted average data from all countries in the same income group, with country income subgroups determined according to World Bank classifications.

The impact of these data imputation methods is tested in sensitivity analyses, described in the sensitivity analyses section of this chapter.

The costing analysis is reported on the intention-to-treat principle and undertaken from an international health services perspective. The likely major drivers of costs (i.e. surgery, hospital stay and readmissions) are included. Surgery resource use (including staff time and overheads) and unit costs (e.g. surgeon's salary and cost of theatre use) were collected using the site-specific questionnaires. Other health-care resource use data were collected, including days in intensive care, high-dependency units and general wards (all sourced from individual case report forms) and hospital readmissions (sourced from the participant 6-month questionnaire). The analysis follows recommendations from Drummond *et al.*<sup>29</sup> and Manca *et al.*,<sup>30</sup> reporting resource use and cost data at the country level. The costing of these hospital resource use data is undertaken in two stages. First, we apply country-specific unit costs for nights in hospital (intensive care unit, high-dependency unit, general ward) to resource use data to generate total costs. National average unit costs were not available for the majority of countries in the trial. Costs were therefore sourced directly from finance departments at specific sites and applied to resource use data to generate estimates of costs. Then, country-specific unit costs Were transformed into international dollar costs (2013 values), using the Campbell & Cochrane Economics Methods Group purchasing power parity calculator.<sup>31</sup>

To account for the highly skewed nature of cost data (i.e. a small proportion of patients incurring very high costs), we use GLM regression models, specifying a gamma family and identity link which best fits the distribution of the cost data. The choice of base-case model for the analysis was made on the basis of the lowest Akaike information criterion score, a method which is recommended as standard best practice.<sup>30</sup> Heteroskedastic robust standard errors were used for all analyses. The model estimates the impact of treatment group (Early Surgery compared with Initial Conservative Treatment) on costs adjusting for patient characteristics (age and sex). GLM models were bootstrapped using non-parametric bootstrapping techniques (n = 1000 repetitions) in Stata Version 13 (StataCorp LP, College Station, TX, USA) to generate data for developing CEACs.<sup>31</sup>

#### Subgroup analyses of costs

Owing to the differences in organisation of care across countries and the value of money differences across jurisdictions, it is likely that the base-case analysis (a single analysis of all trial participants), while statistically more efficient, may have little relevance to informing decision-making at an individual country level. Results are, thus, also reported for groups of countries based on a measure of their development. For this purpose, all countries within the trial were ranked in ascending order of GNI per capita, according to World Bank classifications. The classification groups are low income, GNI per capita equal to Int\$1005 or less (including Nepal); lower middle income, GNI per capita between Int\$1006 and Int\$3975 (including India); upper middle income, GNI per capita between Int\$3976 and Int\$12,275 (including China); and high income, GNI per capita of Int\$12,276 or more (including most Western European countries). The logic is that such countries with similar GNI will deliver broadly comparable levels of care and reporting in this way improves the relevance of the costing for local policy-makers. It also provides an intuitive grouping of countries in the absence of enough data to conduct a country-specific analysis. This approach has been used successfully in a previous study.<sup>40</sup>

#### Quality-adjusted life-years

The EQ-5D-3L generic quality of life instrument<sup>41</sup> was administered to all trial participants at 6 months' follow-up. Responses to the EQ-5D-3L questionnaire were valued using UK general population tariffs<sup>32</sup> to generate a utility score for every patient within the trial. We assumed that all patients suitable for randomisation were in an unconscious state and would thus have a baseline utility of -0.402.<sup>42</sup> Given a lack of published tariff data across all trial recruiting countries, we have assumed that the UK tariffs offer a reasonable reflection of quality of life scores across all trial participants. This assumption is associated with limitations and assumes preferences for health states valued on the EQ-5D-3L are similar across countries. However, this approach offered the most pragmatic solution and has the advantage of applying tariffs derived from a standard method (i.e. time trade-off) to all quality of life data.

Quality-of-life data derived from the EQ-5D-3L are combined with mortality data from the trial, using the standard assumption that all participants who have died in the trial will have a utility value of 0. QALYs are then calculated on the basis of these assumptions using an area beneath the curve approach, assuming linear extrapolation of utility between time points [baseline (assumed –0.402) and 6 months' follow-up]. Where the date of death was available, the QALY calculation has been modified to include this additional information. This introduces some asymmetries into the calculation between those who died and those who survived. The impact of assuming a linear extrapolation between time points for all patients is tested in the sensitivity analysis.

Differences in QALY estimates across groups are analysed using ordinary least squares (OLSs) standard linear regression models. Bootstrapped regressions (1000 repetitions in Stata) are conducted to account for the non-normality of QALY data and regressions are adjusted for patient characteristics, namely age and sex, and to generate data for CEACs.<sup>43</sup> Heteroskedastic robust standard errors are applied to all models. Subgroup analyses are presented for the utility scores and QALYs for each country income group according to that outlined in the *Subgroup analyses of costs* section. This facilitates the production of incremental cost-effectiveness ratios (ICERs) for each country income group.

#### Cost-utility analysis

The health economic evaluation is a cost–utility analysis, reporting results as incremental cost per QALY gained for Early Surgery compared with Initial Conservative Treatment. The cost per QALY is presented using the ICER, calculated as the coefficient of treatment effect on costs divided by the coefficient of treatment effect on QALYs from the respective linear regression models. Estimates of the ICER should then be compared with the normal decision-making practice in individual countries or regions.

Costs and QALY differences are presented on the cost-effectiveness plane and CEACs are derived from the net benefit statistic to illustrate the probability of Early Surgery being the most cost-effective option. All statistical analyses were conducted using Stata and Microsoft Excel® (Microsoft Corporation, Redmond, WA, USA) was used for calculation of CEACs. Owing to the short period of follow-up of only 6 months, no discounting of costs or QALYs was necessary. No extrapolation to a longer-term time horizon was conducted. Owing to the acute nature of the clinical indication, and the likely recovery time after surgery, it is likely that all patients will either have recovered or died during the trial follow-up period, with no substantial additional costs or QALYs to be accrued over a lifetime horizon.

### Sensitivity analyses and assumptions

#### Resource use and costs

A range of sensitivity analyses were undertaken in order to test the impact of assumptions and uncertainty surrounding resource use and cost data. Specifically:

- i. We have tested the impact of variability of resource use and costs at different sites within a country. We conducted an assessment where costs for a given country were estimated on the basis of the highest and lowest cost sites within a country, with those costs applied to all sites in that country. This analysis helps to assess the variability in the organisation of care at different sites within a country and will to some extent address the impact of any differences in the private/public provision of care at different sites.
- ii. We have tested the impact of variability of resource use and costs at a regional level, where a region is defined by the World Bank country income classifications outlined above. The aim of this sensitivity analysis is to address the uncertainty across countries within an income group and present a plausible range of costs and ICERs for countries (including those not participating in the trial) at a regional level.

These and other assumptions used for the analysis of cost data are presented in *Table 14*. The table outlines the assumptions made together with justifications for each assumption and any sensitivity analyses conducted to assess the impact on costs and cost-effectiveness.

#### Quality of life and quality-adjusted life-years

European Quality of Life-5 Dimensions-3 level follow-up responses and death data were fully recorded for all respondents who entered the trial and therefore QALY data were complete, with no missing data needing to be imputed. Owing to a large number of deaths within the trial, we have conducted sensitivity analyses exploring the impact of alternative methods of extrapolation of the EQ-5D-3L utility data for calculation of QALYs in the trial. The base-case analysis imputes a utility score of '0' from the date of death to 6-month follow-up for those who died. Survivors' QALYs are calculated on the basis of linear interpolation between time points. This reflects the fact that there may, in theory, be a QALY benefit to dying earlier in the trial, as opposed to remaining in a health state valued worse than death for a longer period of time. However, the use of these data in this way creates an asymmetry of information between those who died over the trial follow-up, as we have more precise information for those who died. We, therefore, conducted an alternative analysis, in which all patients accrued QALY gains using the same linear interpolation. regardless of whether they survived or died. Although this method addresses the issues of asymmetry in the information available, it does not make use of all information available to us for QALY calculation. Assumptions surrounding QALY calculations, justifications and associated sensitivity analyses conducted where appropriate are outlined in *Table 15*.

#### Analysis models of data and the impact of crossovers within the trial

In addition to the sensitivity analyses carried out on the resource use, unit cost and QALY calculations outlined in *Tables 14* and *15*, we conduct two further sensitivity analyses investigating the impact on results of (1) using an alternative non-parametric bootstrapped OLS regression model to account for the non-normal distribution of both cost and QALY data and (2) including interaction terms in the base-case analysis model to address the impact of crossovers on incremental costs, incremental QALYs and on cost-effectiveness.

#### Sampling uncertainty

Non-parametric bootstrapping techniques,<sup>44</sup> based on 1000 repetitions of the GLM and OLS regressions were undertaken to determine the cost and QALY differences, respectively, between Early Surgery and Initial Conservative Treatment. Data from these bootstrapped regressions for cost and QALY differences were used to develop CEACs and to present scatterplots of cost and QALY differences on the cost-effectiveness plane.<sup>44</sup> CEACs are calculated using a net benefit approach and indicate the probability of an intervention being cost-effective at various threshold values of willingness to pay (WTP) for a QALY gain. They are especially useful when making decisions on cost-effectiveness on the balance of probabilities, and when incremental costs or effects fail to meet the traditional level of statistical significance (i.e. 95% confidence).

Assumption no.	lssue arising	Assumption made	Justification for assumption	How uncertainty is incorporated	Implication for interpretation of results
υ	Missing data from site-specific questionnaire regarding surgical costs and resource use	If only one centre in a country has reported data, then assume these data are applicable to all centres in that country. If more than one country has data available, impute the weighted average for missing data in that country	Provides the best possible assessment of average costs for each country, weighted for the largest recruiting centres in an individual country	Conduct sensitivity analysis imputing data from the highest and lowest resource use and cost centre data to all centres in that country	Sensitivity analysis will provide a within-country range of possible costs which can be presented to local decision-makers. This will account for differences in public/private mix of care which may impact on costs
5	Surgery and hospital stay costing. Payment methods to hospitals and reimbursement methods differ across countries	Take data reported in the questionnaires as being comparable	This assumption is made because the questionnaire was designed in a way to obtain similar information from all sites, although in practice some sites may have reported overheads while others may not	Conduct sensitivity analyses imputing the highest and lowest resource use and costs estimates from within a country income group to all countries in that income group	This assumption has implications for cross-country comparisons of cost-effectiveness. However, the questionnaire design provides a reasonably comparable and standardised method of asking resource use and costs across all centres. Sensitivity analyses assess the cross-country variation and its impact on cost-effectiveness
ΰ	Missing data for all centres in a given country (e.g. Malaysia/Lithuania)	Assume that costs are equal to the average of all countries contributing data to that income group of countries (low, lower middle, upper middle, high)	The logic is that countries in the same income group will have broadly similar organisations of care and will incur similar values of costs	Sensitivity analysis conducted in C2 above deals with uncertainty in this assumption	Taken together, the base-case and sensitivity analyses will provide a plausible minimum and maximum range in which costs and incremental costs may fall, allowing the presentation of a range for the most likely ICER
C4	HDU/ICU/ward unit cost data from the additional costing questionnaire. Missing data from the questionnaire	Take weighted averages as above wherever possible imputing for (a) site data to all sites in the country and (b) country data to all countries in an income group	As above	Sensitivity analyses conducted for C1 and C2 above address uncertainty in this assumption	Base-case and sensitivity analyses give a plausible range for the calculations
HDU, high-depend	HDU, high-dependency unit; ICU, intensive care unit.	care unit.			

TABLE 14 Assumptions and sensitivity analyses for resource use and unit costs estimation

Assumption no. Issue arising	Issue arising	Assumption made	Justification for assumption	How uncertainty is incorporated	Implication for interpretation of results
Q1	No baseline utility data collected due to severity of initial injuries of patients in the trial	All patients enter in an unconscious state and thus have a baseline utility equivalent to that of the unconscious state, valued at -0.402	This is reflective of the likely state of health, or close to the likely state of health of patients at the time of randomisation	Owing to the lack of alternative data, we have not conducted sensitivity analyses on this assumption. As all other clinical baseline estimates were similar at baseline, it is likely that our assumption will not bias outcomes	This assumption, assuming equality of baseline utility could in theory create a bias of for or against the Early Surgery. However, given similarity across groups of baseline clinical characteristics, it is unlikely that any biases would change cost-effectiveness results
Q2	Most countries in the trial do not have published EQ-5D valuation tariffs	UK tariffs can be used for QALY calculations for all participants in the trial	A similar method is used and applied to all (i.e. the time trade-off). Using differing methods (e.g. visual analogue scale/standard gamble) could introduce greater biases	No sensitivity analyses undertaken, as alternative tariffs not available for participating countries	Results of the QALY analysis are important to all countries, but could be re-ran if new time trade-off tariffs for individual countries become available
G3	There were many deaths in the trial. QALYs may depend on how deaths are included in the calculations	A linear interpolation between time points was assumed	Standard method of analysis	Sensitivity analysis explores the impact of using date of death directly in the QALY calculations	Any differences between the methods of interpolating between time points for QALY calculation should be taken account of in interpretation of the results. The base-case and sensitivity analysis together will give a range for the ICER

TABLE 15 Assumptions and sensitivity analyses for QALYs

## Results

## Resource use and costs – site-specific costing questionnaire

Full hospital resource use data were available for all patients within the trial (n = 168). These data were supplemented by unit cost information and surgical resource use data collected from the additional site questionnaires. The questionnaires were sent to all 31 recruiting sites in 13 countries worldwide. Data were returned for 16 out of 31 sites (52%), representing 115 out of 168 (68%) patients recruited to the study. Completeness of data from the questionnaires is outlined in *Figure 11*.

Data from the site-specific questionnaires returned were used to make plausible assumptions about resource use and unit costs in other countries where data were missing. These assumptions and sensitivity analyses undertaken have been outlined in *Table 14*.

The results of the surgery costing exercise show wide variation within countries, for example India (*Figure 12*) and across countries (*Figure 13*).

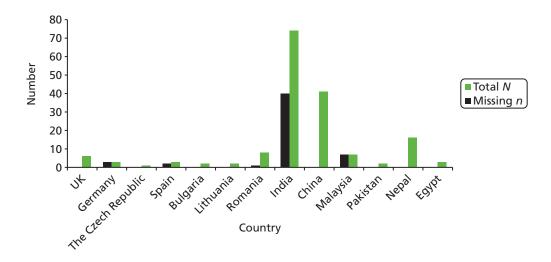


FIGURE 11 Data completeness (supplementary costing questionnaire) for surgical costs questionnaire, by patients recruited.

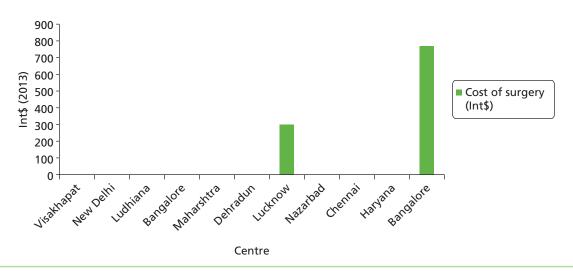


FIGURE 12 Within country variation of cost of surgery (example of Indian centres). Note that only two sites in India reported complete surgery costing data.

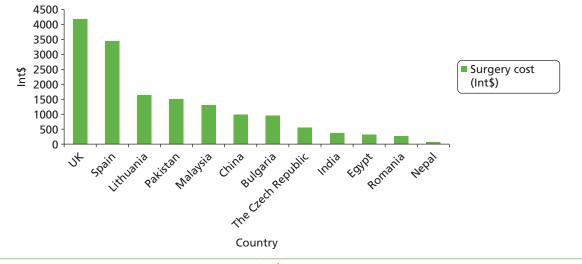


FIGURE 13 Across country variation in surgery costs (Int\$).

*Figure 12* shows substantial variation in costs across the two sites which reported data for India. This is because of different public/private mixes of care at these hospitals and illustrates the impact this variability can have on the cost estimates at a country level. The variation between sites in India is the most extreme of all the countries recruiting to the trial; however, it illustrates the need to conduct sensitivity analyses for the imputation of data at all the other centres who did not contribute data to the resource use and costing questionnaire. *Figure 13* illustrates the variability in costing across countries.

There is wide variability across the different countries recruiting to the trial. As expected, countries in Europe have much greater treatment costs than those in lower-income countries. However, even within country income groups, there appears to be substantial variability. The most extreme variation in country groups is between the UK and the Czech Republic, which again illustrates the importance of sensitivity analyses to assess the impact of this variation on total cost estimates for the final cost-effectiveness calculations.

#### Resource use and costs: – UK analysis

The results of the resource use and costs associated with the six patients recruited from the UK into the study are presented in *Table 16*, using descriptive statistics and are presented for information only.

The remainder of this chapter refers to the costing and cost-effectiveness analysis from an international health-care provider perspective, using data from all participants recruited into the study.

#### Resource use and costs: international analysis

The results of the base-case costing analysis, showing mean [standard deviation (SD)] resource use and mean (SD) costs in international dollars, based on the intention-to-treat principle are reported in *Table 17*.

For the whole sample, a comparison of raw mean costs shows that Early Surgery was, on average, Int\$476 more costly than Initial Conservative Treatment. Using the general linear modelling estimates, with adjustment for patient characteristics of age and sex, Early Surgery is Int\$1774 more costly (95% CI –Int \$132 to Int\$3679). The results are not significantly different between groups at the traditional 5% level of significance, but are significant at the 10% level. This suggests that there is weak evidence which suggests that Early Surgery is significantly more expensive than Initial Conservative Treatment. This perhaps indicates that patients, who are more likely to survive in the Early Surgery arm, are thus more likely to incur greater health-care costs also, through longer term treatment, and rehospitalisations as a result of their survival.

Cost item	Early Surgery, mean (SD) resource use	Initial Conservative Treatment, mean (SD) resource use	Early Surgery, mean costs per patient (£)ª	Initial Conservative Treatment, mean costs per patient (£)ª	Mean cost difference (Early Surgery vs. Initial Conservative Treatment) (£)
n	2	4	2	4	-
Cost surgery	-	-	-	-	-
Cost ICU	7 (9.9)	3.5 (7)	-	_	-
Cost HDU	3.5 (4.9)	1 (1.5)	-	_	-
Cost ward	28 (24)	49.3 (61)	-	-	-
Cost of initial episode of care (without CC – with CC)	Mean total days = 38.5	Mean total days = 53.8	7199.50	10,061	-2861.50
Cost hospital readmission (days)	32.5 (46)	4 (8)	6077.50	748	5329.50
Total costs	-	-	13,277	10,809	2468

### TABLE 16 UK-specific resource use and cost data (£)

CC, complications and comorbidities; HDU, high-dependency unit; ICU, intensive care unit, SD, standard deviation.a Based on a unit cost per day of £187, calculated as the average of tariffs with and without complications and divided through by the trim-point times to derive a cost per day.

#### TABLE 17 Base-case cost analysis (including all sites recruiting to the trial)

	Early Surgery	(n = 82)	Initial Conserv Treatment ( <i>n</i> =		Difference of me	ans
Costs (Int\$)	Resource use; mean (SD)	Costs (Int\$); mean (SD)	Resource use; mean (SD)	Costs (Int\$); mean (SD)	Raw difference (Int\$)	Adjusted difference (Int\$) (95% Cl)
All countries	5					
Cost surgery	-	981 (1678)	-	515 (1206)	476	1774 (-132 to 3679)
Cost ICU	4.18 (4.2)	2808 (5762)	4.06 (4.61)	2988 (6131)		
Cost HDU	1.72 (2.55)	385 (1053)	1.76 (3.01)	461 (1445)		
Cost ward	11.88 (15.95)	3595 (10,206)	14.24 (29.43)	3997 (13,789)		
Cost readmission	4.23 (14.43)	1145 (5775)	2.42 (9.63)	421 (1720)		
Total cost	-	8812 (18,032) <sup>a</sup>	-	8336 (18,685) <sup>a</sup>		

HDU, high-dependency unit; ICU, intensive care unit.

a Total mean cost is not equal to the sum of the resource use. This is because of the use of Diagnosis Related Group costs per episode of care, applied to resource use in Germany.

## Costing subgroup analysis

*Table 18* presents the cost breakdown of resource use and costs by income subgroups based on World Bank income classifications presented in the *Methods* section.

Sample sizes were small for country subgroups and results should be interpreted with caution. This is particularly true for low- and high-income subgroups, which recruited 30 participants. Results should therefore be treated as exploratory. Owing to the small sample sizes, there is no evidence of significant differences in costs at the country income subgroup level.

#### Quality-adjusted life-years

For the purposes of this analysis, we assume that all patients in the trial started with an EQ-5D-3L health state of unconscious, corresponding to a baseline utility value of –0.402, applied to all patients in the trial. *Figure 14* details the results of the responses to the individual EQ-5D-3L domains at 6 months' follow-up. Data are presented on the basis of the percentage of respondents to the questionnaire who reported any problems on any of the EQ-5D-3L domains, broken down by randomised group.

	Early Surgery (	n = 6)	Initial Conserva (n = 10)	ative Treatment	Difference	of means
Costs (Int\$)	Resource use, mean (SD)	Costs, mean (SD)	Resource use, mean (SD)	Costs, mean (SD)	Raw difference	Adjusted difference, (95% CI)ª
Low-income	countries					
Cost surgery	-	142 (0)	-	14 (45)	20	205 (-48 to 459)
Cost ICU	0.83 (1.60)	203 (391)	1.20 (2.70)	293 (659)		
Cost HDU	3.83 (0.75)	468 (92)	3.5 (2.12)	427 (259)		
Cost ward	5.33 (1.03)	325 (63)	6.30 (6.43)	384 (392)		
Cost readmission	0.00 (0.00)	0 (0)	0.00 (0.00)	0 (0)		
Total cost	-	1139 (418)	-	1118 (614)		
			Initial Conserva	ative Treatment		
	Early Surgery (	n = 40)	<u>(n = 39)</u>		Difference	of means
Costs (Int\$)	Early Surgery ( Resource use, mean (SD)	n = 40) Costs, mean (SD)	(n = 39) Resource use, mean (SD)	Costs, mean (SD)	Difference Raw difference	of means Adjusted difference, (95% Cl)
	Resource use,	Costs, mean (SD)	Resource use,	Costs,	Raw	Adjusted difference,
	Resource use, mean (SD)	Costs, mean (SD)	Resource use,	Costs,	Raw	Adjusted difference,
Lower middle	Resource use, mean (SD) e-income countrie	Costs, mean (SD)	Resource use,	Costs, mean (SD)	Raw difference	Adjusted difference, (95% CI)
Lower middle Cost surgery	Resource use, mean (SD) e-income countrie	Costs, mean (SD) es 439 (511)	Resource use, mean (SD) –	Costs, mean (SD) 176 (369)	Raw difference	Adjusted difference, (95% CI)
<i>Lower middle</i> Cost surgery Cost ICU	Resource use, mean (SD) e-income countrie – 3.20 (3.78)	Costs, mean (SD) es 439 (511) 580 (1449)	Resource use, mean (SD) – 2.38 (3.39)	Costs, mean (SD) 176 (369) 227 (314)	Raw difference	Adjusted difference, (95% CI)
Lower middle Cost surgery Cost ICU Cost HDU	Resource use, mean (SD) e-income countrie - 3.20 (3.78) 1.93 (2.84)	Costs, mean (SD) es 439 (511) 580 (1449) 87 (128)	Resource use, mean (SD) - 2.38 (3.39) 1.97 (3.08)	Costs, mean (SD) 176 (369) 227 (314) 168 (513)	Raw difference	Adjusted difference, (95% CI)

#### TABLE 18 Costing subgroup analysis (by country income subgroup)

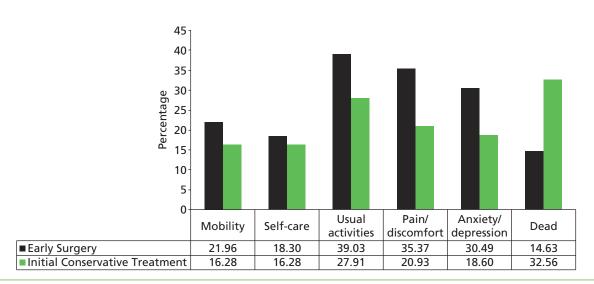
	Early Surgery (	n = 28)	Initial Conserva (n = 30)	tive Treatment	Difference	of means
Costs (Int\$)	Resource use, mean (SD)	Costs, mean (SD)	Resource Use, mean (SD)	Costs, mean (SD)	Raw difference	Adjusted difference, (95% CI)
Upper middle	-income countrie	25				
Cost surgery	-	1089 (1174)	-	822 (1031)	-936	-1798 (-8378 to 781)
Cost ICU	5.43 (3.79)	4272 (4134)	6.93 (4.49)	6010 (5588)		
Cost HDU	0.93 (1.84)	643 (1261)	1.17 (3.26)	821 (2295)		
Cost ward	16.86 (16.30)	3603 (4132)	14.27 (26.05)	3080 (6267)		
Cost readmission	8.39 (20.55)	997 (2986)	6.23 (15.48)	805 (1881)		
Total cost	-	10,603 (7517)	-	11,538 (10,149)		
			Initial Conserva	dive Treatment		
	Early Surgery (	n = 8)	(n=7)		Difference	of means
Costs (Int\$)	Early Surgery ( Resource use, mean (SD)	n = 8) Costs, mean (SD)		Costs, mean (SD)	Difference Raw difference	of means Adjusted difference, (95% Cl)
Costs (Int\$) High-income	Resource use, mean (SD)	Costs,	(n = 7) Resource use,	Costs,	Raw	Adjusted difference,
	Resource use, mean (SD)	Costs,	(n = 7) Resource use,	Costs,	Raw	Adjusted difference, (95% CI) -9679
High-income	Resource use, mean (SD) countries	Costs, mean (SD)	(n = 7) Resource use, mean (SD)	Costs, mean (SD)	Raw difference	Adjusted difference, (95% Cl)
High-income Cost surgery	Resource use, mean (SD) countries	Costs, mean (SD) 4927 (3,617)	(n = 7) Resource use, mean (SD)	Costs, mean (SD) 2020 (3542)	Raw difference	Adjusted difference, (95% CI) –9679
High-income Cost surgery Cost ICU	Resource use, mean (SD) countries - 7.25 (5.95)	Costs, mean (SD) 4927 (3,617) 13,432 (14,847)	(n = 7) Resource use, mean (SD) - 5.14 (6.72) 0.57 (0.98)	Costs, mean (SD) 2020 (3542) 10,310 (15,989)	Raw difference	Adjusted difference, (95% CI) -9679
High-income Cost surgery Cost ICU Cost HDU	Resource use, mean (SD) countries - 7.25 (5.95) 1.88 (3.18)	Costs, mean (SD) 4927 (3,617) 13,432 (14,847) 1089 (2668)	( <i>n</i> = 7) Resource use, mean (SD) - 5.14 (6.72) 0.57 (0.98) ) 69.71 (68.18)	Costs, mean (SD) 2020 (3542) 10,310 (15,989) 622 (964)	Raw difference	Adjusted difference, (95% CI) -9679

#### TABLE 18 Costing subgroup analysis (by country income subgroup) (continued)

HDU, high-dependency unit; ICU, intensive care unit.

a Mean difference (95% CI) based on GLM regressions with family (gamma), link (Identity).

b Total mean cost is not equal to the sum of the resource use. This is because of the use of Diagnosis Related Group costs per episode of care, applied to resource use in Germany.



### FIGURE 14 Responses to the EQ-5D-3L.

The results show that a greater proportion of respondents in the Early Surgery group experienced at least some problems in each of the five domains of the EQ-5D-3L, when compared with respondents in the Initial Conservative Treatment group. The most notable differences were in the Usual Activities, Pain/ Discomfort and Anxiety/Depression domains, in which at least 20% more respondents in the Early Surgery group had at least some problems. In contrast, the proportion of patients who had died over the course of the 6-month follow-up period was twice as high in the Initial Conservative Treatment group as in the Early Surgery group (33% vs. 15% respectively). These data suggest that as more people survive in the Early Surgery group, they continue to have some problems in their quality of life at 6 months' follow-up.

*Table 19* presents the descriptive statistics for the QALY analysis, based on the assumption of all patients commencing with a baseline utility score of –0.402, equivalent to being unconscious. Owing to the non-normal nature of the QALY data, means (SD) are presented together with median (intraquartile range) for information. Differences between groups are estimated using the bootstrapped regressions described in the *Methods* section.

Based on the regression analysis, incorporating date of death into the QALY calculation, and adjusting for patients' characteristics of age and sex, we find that on average, patients randomised to the Early Surgery group had an average gain of 0.019 QALYs over a 6-month period, 95% bootstrapped CI (-0.004 to 0.043), when compared with those randomised to the Initial Conservative Treatment. This is equivalent to an incremental QALY gain of 3.5 days over a 6-month period. The broad QALY gains are driven primarily by the increased chance of survival in the Early Surgery group.

	Early Surgery	(n = 82)	Initial Conserv (n = 86)	ative Treatment	Difference	of means
Utility	Mean (SD)	Median (IQR)	Mean (SD)	Median (IQR)	Raw difference	Adjusted difference <sup>ª</sup>
Baseline utility <sup>b</sup>	-0.402 (0.000)	-0.402 (-0.402 to -0.402)	-0.402 (0.000)	-0.402 (-0.402 to -0.402)		
6-month utility	0.663 (0.374)	0.796 (0.516 to 1.000)	0.530 (0.454)	0.710 (0.000 to 1.000)		
QALY (over 6 months' follow-up)	0.078 (0.074)	0.0985 (0.0285 to 0.1495)	0.06 (0.085)	0.077 (-0.004 to 0.1495)	0.018	0.019 (95% bootstrapped CI -0.004 to 0.043)

#### TABLE 19 Utility values based on EQ-5D-3L responses

HDU, high-dependency unit; ICU, intensive care unit; IQR, intraquartile range.

a Mean QALY gain adjusted for patient characteristics of age and sex with 95% bootstrapped CI to account for non-normality of the QALY data.

b Based on the assumption that all patients attending for care have a baseline utility of -0.402 (i.e. are in an unconscious state at baseline).

## Subgroup analysis of quality-adjusted life-years data

Again applying UK-specific population weights to the quality of life scores from the EQ-5D-3L questionnaire, *Table 20* presents the results by country income subgroup as classified by the World Bank country income subgroups described in the *Methods* section.

For all income subgroups, utility scores were, on average, higher in the Early Surgery group than in the Initial Conservative Treatment group at 6 months' follow-up. There were negligible differences in raw mean QALYs for the low- and high-income countries, although sample sizes were very small and the regression outputs should be interpreted with caution. However, lower middle- and upper middle-income countries tended to show average QALY gains for those in the Early Surgery group. The results indicate that, although not reaching statistically significant QALY gains, patients in these groups are likely to experience QALY gains from Early Surgery. The results are promising for Early Surgery and indicate broad generalisability across the largest recruiting countries. Owing to the very small sample sizes recruited, it is not possible to draw conclusions on QALY outcomes for the low- and high-income countries.

## **Cost-effectiveness**

The results of the base-case cost-effectiveness analysis are presented in Table 21.

For the base-case analysis, the incremental costs for the whole group together were Int\$1774, with average QALY gains of 0.019. Therefore, on this basis, the base-case ICER is Int\$1774/0.019 = Int\$93,368 per QALY gained for Early Surgery when compared with Initial Conservative Treatment.

However, the ICER in itself should be interpreted with caution, given the range of countries which contributed data to the costing process, and also relating to alternative thresholds of cost-effectiveness which may be used by decision-makers in different jurisdictions. This complicates interpretation of the ICER. It may thus be more informative to examine the incremental costs and QALYs for individual subgroups based on their country income classification. These data are presented in *Table 22*. Owing to uncertainty in incremental costs and effects, we have not presented ICERs in this table. Decision-makers are instead referred to the CEAC presented in *Figure 17*, which illustrates the uncertainty in cost-effectiveness at the subgroup level.

Country	Ear	ly Surgery			Init	ial Conserva	tive Treatme	nt	
income group		Baseline, mean (SD)	6-month, mean (SD)	QALY, mean (SD)		Baseline, mean (SD)	6-month, mean (SD)	QALY, mean (SD)	QALY difference (95% bootstrap Cl)ª
All countries	82	-0.402 (0)	0.663 (0.374)	0.078 (0.074)	86	-0.402 (0)	0.53 (0.454)	0.06 (0.085)	0.019 (–0.004 to 0.043)
Low income	6	-0.402 (0)	0.918 (0.094)	0.129 (0.023)	10	-0.402 (0)	0.876 (0.331)	0.129 (0.051)	–0.018 (–0.055 to 0.019)
Lower middle income	40	-0.402 (0)	0.658 (0.369)	0.082 (0.062)	39	-0.402 (0)	0.548 (0.453)	0.069 (0.075)	0.015 (-0.014 to 0.045)
Upper middle income	28	-0.402 (0)	0.630 (0.369)	0.07 (0.074)	30	-0.402 (0)	0.391 (0.460)	0.029 (0.094)	0.037 (-0.006 to 0.08)
High income	8	-0.402 (0)	0.606 (0.514)	0.051 (0.129)	7	-0.402 (0)	0.575 (0.378)	0.052 (0.081)	0.008 (–0.283 to 0.299)

#### TABLE 20 Quality-adjusted life-years and incremental QALYs by country income subgroup

a Mean differences between arms for QALY calculations based on OLS regression models adjusted for patient characteristics (age and sex) and presented alongside 95% bootstrapped CIs.

			Difference of mea	ns
Base case result	Early Surgery, mean (SD)	Initial Conservative Treatment, mean (SD)	Raw difference	Adjusted difference (95% CI)ª
Costs (Int\$)	8812 (18,032)	8336 (18,685)	476	1774 (–132 to 3679)
QALYs	0.078 (0.074)	0.060 (0.085)	0.018	0.019 (-0.004 to 0.043)
ICER (Int\$)	_	_	26,444	93,368
a Adjusted differend	ces based on the abov	e described GLM model for co	osts and OLS model for	QALYs.

#### TABLE 21 Base-case cost-effectiveness results

#### TABLE 22 Cost-effectiveness analysis by subgroup of the population

Country group	Early Surgery costs (Int\$)	Initial Conservative Treatment costs (Int\$)	Incremental costsª (Int\$)	Early Surgery QALYs (Int\$)	Initial Conservative Treatment QALYs (Int\$)	Incremental QALYsª (Int\$)
Base-case analysis	8812	8336	1774	0.078	0.06	0.019
Low income	1139	1118	205	0.129	0.129	-0.018
Lower middle income	1174	697	192	0.082	0.069	0.015
Upper middle income	10,603	11,538	-1798	0.070	0.029	0.037
High income	46,489	47,483	-9679	0.051	0.052	0.008

a Based on GLM and OLS regressions for costs and QALYs respectively, with adjustment for patient characteristics of age and sex.

As expected, there are substantial differences in costs across the subgroups. It appears that incremental costs of Early Surgery versus Initial Conservative Treatment could be decreasing for more developed countries. However, the results could equally be skewed by outliers in the data, which would have a large impact, given the small numbers. Despite substantial uncertainty in the presented results at a subgroup level, the data, on balance, suggest that favourable results could be achieved for Early Surgery in all subgroups with the exception of low-income countries.

#### Sensitivity analyses

A range of sensitivity analyses were undertaken focusing on the assumptions used to impute data from the site-specific questionnaire, QALY calculation methods and methods of analysis of the data. The impact of these analyses on cost-effectiveness results is outlined in *Table 23*. Sensitivity analyses on cost and QALY calculations are based on the assumptions outlined in the methods section and cross-referenced in the table below. Further analyses explore the impact of the model of analysis of cost data and the impact of crossovers within the study. Two ICERs are produced for each sensitivity analysis, the first based on a comparison of raw mean data across groups and the second based on the incremental costs and QALYs calculated using regression analyses described.

Owing to the small sample size in two of the income subgroups, sensitivity analyses are performed only on the whole sample of patients recruited into the trial.

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<b>TABLE 23</b>

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Sensitivity analysis	Early Surgery costs (Int\$)	Initial Conservative Treatment costs (Int\$)	Incremental costs (Int\$) <sup>ª</sup>	Early Surgery QALYs	Initial Conservative Treatment QALYs	Incremental QALYs <sup>b</sup>	ICER (unadjusted data) (Int\$/QALY)	ICER (modelled data) (Int\$/QALY)
Base-case analysis	8812	8336	1774	0.078	0.06	0.019	26,444	93,368
Assumptions regarding missing data from centre-specific questionnaires (see Table 14)	om centre-speci	fic questionnair	es (see Table 14	(†				
Assumption C1: Lowest resource use and cost data for individual centres applied to all centres in that country	5940.00	5207.32	289.32	0.078	0.06	0.019	40,704	15,227
Assumption C1: Highest resource use and cost data for individual centres applied to all centres in that country	10,404.77	9256.37	2692.75	0.078	0.06	0.019	63,800	141,724
Assumption C2: Lowest resource use and cost data for any country in a country income group, applied to all countries in that income group	5362.30	3613.50	517.30	0.078	0.06	0.019	97,156	27,226
Assumption C2: Highest resource use and cost data for any country in a country income group, applied to all countries in that income group	16,291.51	14,211.96	1780.15	0.078	0.06	0.019	115,531	93,692
Sensitivity analyses (see Table 15)								
Assumption Q3: Assume QALYs calculated with linear extrapolation from baseline directly to date of death, as opposed to current assumption of linear extrapolation to 6 months for those who died in the trial	8812	8336	1774	0.065	0.032	0.0351	14,303	50,541
Using a standard OLS regression method, with bootstrapped CI for costs <sup>c</sup>	8812	8336	314°	0.078	0.06	0.019	26,444	16,526
Interaction terms in model to account for crossovers	9316	5566	1021	0.087	0.078	0.011	416,667	92,818
a Incremental costs calculated on the basis of GLM regression models, gamma family, link identity, and adjusting for patient characteristics of age and sex. b Incremental QALYs calculated on the basis of OLS regression models, with 95% bootstrapped Cls and adjustment for patient characteristics of age and sex. c Analysis based on OLS regression with bootstrapped Cl, adjusted for age and sex characteristics.	s of GLM regressi sis of OLS regress ootstrapped Cl, a	on models, gamn ion models, with adjusted for age a	gamma family, link identity with 95% bootstrapped C age and sex characteristics.	gamma family, link identity, and adjusting for patient characteristics of age and sex. with 95% bootstrapped Cls and adjustment for patient characteristics of age and s age and sex characteristics.	g for patient char. Nent for patient ch	acteristics of age naracteristics of a	and sex. ige and sex.	

The results of the sensitivity analyses show some important impacts on cost-effectiveness calculations depending on the assumptions used in the analysis. For the costing assumptions, as expected, imputing higher cost estimates increased the ICERs, and lower cost estimates reduced the ICER. Based on the range of cost imputations tested in the sensitivity analyses, the ICER ranged from Int\$15,227 to Int\$141,724. This serves to illustrate the impact of within-country variation in reported unit costs and resource use from the centre-specific questionnaires, and the impact of assumptions around missing data on cost estimates used for the cost-effectiveness analysis. This variation is probably driven by the differing public/private mix of care in specific countries, and especially in relation to data provided for India. The results were less sensitive to cross-country variation, within World Bank country income groups. This adds some confidence to the reliability of cross-country comparisons within income subgroups and suggests results may to some extent be generalisable to other countries within income groups.

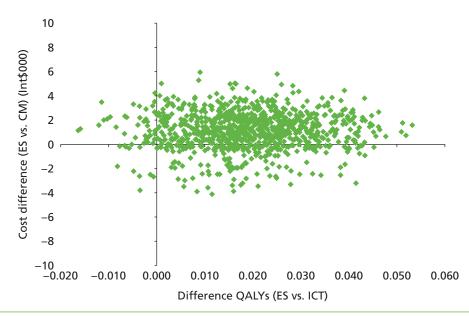
The sensitivity analysis with one of the greatest impacts on the ICER related to the method of QALY calculation. The base-case analysis makes use of all available information, imputing a utility score of '0' for those who have died, from the date of death to the end of follow-up. We chose to use the date of death for QALY estimation because, given the initial negative utility, patients could in theory attain a QALY advantage from dying earlier in the follow-up period. However, the use of date of death will create an asymmetry of information on the time point at which utility is accrued in the trial between those who have died and those who survived, as we have no comparable information for survivors, other than at their 6-month follow up. Therefore, in order to illustrate the impact of this asymmetry, we have conducted an analysis assuming a linear interpolation between time points when calculating QALYs. This effectively ignores the date of death in the calculations but addresses the asymmetry of information. Take, for example, a patient who died at 10 days after surgery. In the base-case analysis, they would have  $[(-0.402 + 0)/2] \times (10/365)] = -0.005507$  QALY, over the first 10 days + 0 QALYs between death and 6 months. For the sensitivity analysis, the equivalent calculation would be -0.1005 QALYs  $[(-0.402 + 0)/2] \times (182.5/365)]$ . These results show substantial differences in QALY calculation depending on whether or not date of death is accounted for.

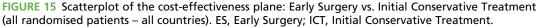
In addition to the base-case GLM model, we conducted exploratory analysis on the impact of model of analysis on trial outcomes. For example, running a bootstrapped OLS regression model to account for the skewed distribution of the cost data shows Early Surgery being Int\$314 more costly [95% bootstrapped CI (–Int\$5139 to Int\$5766)]. The resultant ICER falls to Int\$16,526 per QALY gained. However, this analysis should only be interpreted as exploratory and closer examination of the data confirms that the GLM model with gamma distribution is the most appropriate fit to the data. However, the analysis serves to illustrate the potential uncertainty and impact of analysis model on the costing results.

Running an alternative model as a sensitivity analysis, which includes interaction terms to address crossovers in the GLM model, suggests on average higher costs and lower quality of life for groups which have crossed over in both directions, therefore indicating that crossovers have an important impact on results. Increases in costs and deterioration in QALYs were significant at the 10% and 5% levels respectively for crossovers from initial conservative management to surgery. These results would be expected, given that crossovers to surgery were likely a result of emergency circumstances. In the model which adjusts for crossovers, being randomised to Early Surgery, and receiving the randomised allocation suggests incremental costs of Int\$1021 (95% CI –Int\$367 to Int\$2368) compared with being randomised to and receiving conservative management.

## Sampling uncertainty

In order to address sampling uncertainty in our estimates, we present the bootstrapped iterations of incremental costs and incremental outcomes on a scatterplot of the cost-effectiveness plane. These are also presented in the form of a CEAC, outlining the probability of Early Surgery intervention being a cost-effective use of scarce health-care resource use. *Figures 15* and *16* illustrate the probability of cost-effectiveness for the base-case analysis.





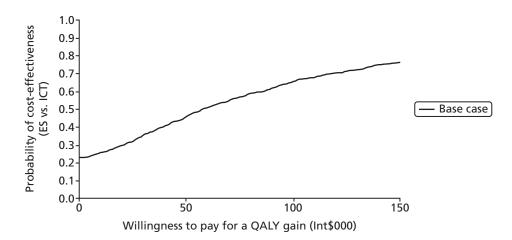
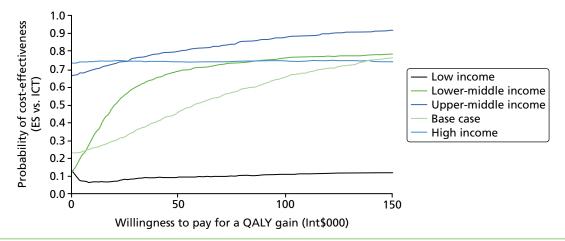


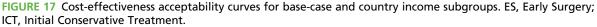
FIGURE 16 Cost-effectiveness acceptability curve: base-case analysis. ES, Early Surgery; ICT, Initial Conservative Treatment.

The graphical illustrations presented above indicate the probability of cost-effectiveness of Early Surgery compared with Initial Conservative Treatment and illustrate the sampling uncertainty surrounding the cost-effectiveness calculations. The scatterplot shows that there is a high probability of Early Surgery delivering improved QALYs; however, there is much uncertainty surrounding the incremental cost estimates. *Figure 16* shows the probability of Early Surgery being cost-effective at certain threshold values of WTP for a QALY gain. The probability of cost-effectiveness increases as WTP increases, indicating approximately 50% probability of cost-effectiveness at a threshold value of WTP for a QALY gain of Int \$50,000, increasing to approximately 65% when the threshold increases to Int\$100,000. However, this graph should be interpreted with caution. Conclusions on cost-effectiveness would depend on a number of issues, including (1) how reflective these costs, which are based on all recruiting countries, are of individual country circumstances and (2) what amount of money decision-makers are willing to pay in international dollars for a QALY gain in their country. In the light of these two issues, *Figure 17* presents the CEACs calculated for each of the individual income subgroups recruiting into the trial and may be more informative at a local decision-making level.

The data broken down by subgroup and presented above indicate wide variation in the probability of cost-effectiveness depending on the country income subgroup considered. The probability of cost-effectiveness appears to be lowest for the low-income country group. However, data for both low- and high-income groups are based on very small numbers recruited and are as such unreliable to draw firm conclusions. Higher-income (e.g. UK, Germany), upper middle-income (e.g. China) and lower middle-income (e.g. India) countries have a probability of cost-effectiveness between 70% and 80% at a Int\$50,000 threshold value of WTP for a QALY gain.

Interpretation of these country income subgroup CEACs is likely to depend on the wealth of individual countries and countries in income subgroups here should draw conclusions based on the data presented for their income subgroup but also in conjunction with normal threshold values of WTP for a QALY in their jurisdiction. The WHO Choosing Interventions that are Cost-Effective (CHOICE) project has issued guidance to assist with this process, suggesting that an ICER less than three times gross domestic product (GDP) per capita might be considered cost-effective and an ICER that is less than GDP per capita would be considered highly cost-effective.





## Discussion

Our analysis is undertaken from an international health services perspective, with costs and QALYs presented by country income subgroup, according to World Bank classifications. Our analysis follows a similar approach to one previously used to report international data in major surgery trials.<sup>28</sup> Our results suggest that an improvement in average QALY outcomes may be achievable for additional costs of Early Surgery, with many of the QALY analyses falling just short of statistical significance at the 5% level. However, in one sensitivity analysis which varied the QALY calculation method, incremental QALYs were significant, highlighting the importance of how the date of death is treated within the calculations. In terms of costs, there were no significant differences between groups. The results are promising for the potential cost-effectiveness of Early Surgery and further studies are warranted to confirm these findings. These results indicate that, had the trial recruited to its original target, there is a high probability that we would see significant improvements in QALYs associated with Early Surgery.

The results of the analyses and the probability of cost-effectiveness are probably best interpreted on the income subgroup level. Although the subgroup analyses lack any statistical power, they are perhaps more relevant to decision-makers locally. The probability of cost-effectiveness at alternative threshold values of WTP for a QALY gain should be interpreted in the light of guidelines for cost-effectiveness in individual countries. Not all countries will have formal cost-effectiveness criteria for deciding on best practice guidelines. However, some studies<sup>7</sup> have determined threshold values of WTP for a QALY gain. In addition, the WHO has suggested three levels of cost-effectiveness based on GDP per capita in that country. According to these guidelines, interventions could be considered cost-effective if their cost is < GDP per capita (very cost-effective); 1-3 times GDP per capita (cost-effective); > 3 times GDP per capita (not cost-effective).<sup>38</sup> The thresholds for 2013 could be calculated for any individual country in the trial, based on data from the World Bank, GDP per capita 2012 international dollars.<sup>28</sup> The threshold for each income country subgroup could be taken as the average of the GDP per capita in all of the trial participating countries in that country group. On this basis, the thresholds for low-income countries would be Int\$1457 (very cost-effective) and Int\$4371 (cost-effective); for lower middle-income countries Int\$4389 (very cost-effective) and Int\$13,167 (cost-effective); for upper middle-income countries Int\$14,763 (very cost-effective) and Int\$44,289 (cost-effective); and for high-income countries Int\$32,363 (very cost-effective) and Int\$97,089 (cost-effective).

This assessment of threshold values is designed to be a broad indicator of cost-effectiveness for individual countries and is based on the assumption that WTP for a QALY gain and WTP to avert a disability-adjusted life-year loss would be similar. This of course is an assumption which may not fall true in reality given the different make-up of the measures. However, while they are not identical, they have many similarities in their composition and could offer a broad estimate of the value placed on health in individual countries which may not have any formal measure of deciding on cost-effectiveness.

Based on the results of the study, and the WHO guidelines for cost-effectiveness,<sup>38</sup> one could interpret the Early Surgery intervention as offering a high probability of cost-effectiveness in both high- and upper middle-income countries. There may also be a high probability of cost-effectiveness in lower middle-income countries also; however, based on the CEAC analysis, this conclusion would be more sensitive to the threshold value of cost-effectiveness imposed by decision-makers.

The results are based on a number of assumptions which have the potential to greatly influence the final cost-effectiveness results, as is evident from our sensitivity analyses. The data should therefore be interpreted as a preliminary indication of cost-effectiveness, based on currently available evidence. A larger trial population would provide more robust evidence.

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## Conclusions

The cost-effectiveness analysis indicates that Early Surgery may be associated with additional QALYs and increases in health-care expenditures. However, differences in costs and QALYs do not reach statistical significance. The results of our analyses, especially in relation to costs, should be interpreted with caution, in light of the assumptions outlined in this chapter. Further research is required to determine more conclusively whether Early Surgery is more cost-effective than Initial Conservative Treatment.

# **Appendix 5** Supplementary Costing Questionnaire

#### SUPPLEMENTARY COSTING QUESTIONNAIRE

#### **Costing Questionnaire**

In the following questionnaire, we are interested in the resource use which would typically be required in the delivery of ICH evacuation surgery in the STITCH (Trauma) trial. We are interested in two main areas:

- (i) Which staff are involved in providing ICH evacuation surgery (e.g. surgeon, anaesthetist, Nurse) and the costs of their time;
- (ii) The cost of care in various departments throughout the hospital where the patient may be treated for traumatic brain injury (e.g. Intensive Treatment Units / High Dependency Units / Neurosurgical wards).

It is likely that the clinician responsible for performing surgery as part of the trial will need to fill in the staff requirement sections of this form (Blue colouring). Please consider the requirements for an average, typical procedure. If you are unsure, or if the procedure is dependent on individual circumstances, please give a range of requirements as you see fit. Please remember to include all staff which would be involved in the procedure.

For resource costing questions, it may be that your individual hospital's financing department will need to provide further information <u>(questions highlighted in Green)</u>. We are looking for as much detail as possible, so please append any additional information to this document which you feel may help us to develop an accurate measure of costing for the STITCH (Trauma) trial.

We are very grateful for your time and efforts in helping us deliver a robust and internationally valid health economic assessment of the STITCH (Trauma) trial.

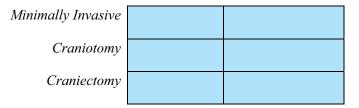
## Clinician to fill in Finance department to fill in (GREEN): (BLUE):

## **QUESTION 1:**

Please tell us about <u>ALL</u> members of staff involved in delivering a typical ICH evacuation surgery at your hospital (please also indicate how many staff would normally be present in the operating theatre, e.g. if 1 consultant surgeon present for 3.5 hours, please enter Surgeon, Consultant, 1, 3 hours in the boxes below):

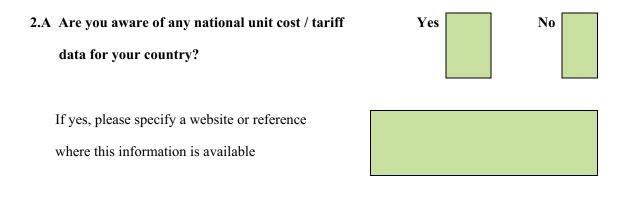
Staff	Staff	Number	Length of time	Pay rate	Period of time
member	Grade	of staff	(hrs: mins) of	(in your	pay scale refers
(e.g. surgeon)	(e.g. consultant)	<b>present?</b> (e.g. 1)	involvement? (e.g. 3 hours :	local	<b>to</b> (e.g. per hour /
Sur geony	constitutity		30mins)		week / year etc)

Please indicate how long the following ICH evacuation procedures would take (time in theatre):



## **QUESTION 2:**

This section relates to the cost of providing care in various departments throughout your hospital.



**2.B.** Please indicate the costs of the following types of care in your hospital. We are interested in cost per unit of time. (*E.g. cost per hour in a recovery room or cost per night on a neurosurgical ward*). If information per unit of time is not available, please indicate a cost per procedure of cost per patient and detail your unit in the table below.

	Cost in yo hospital (in loc currency)	
Theatre costs		
Recovery room costs		
Intensive care unit costs		
High Dependency Unit costs		

Neurosurgical ward costs	
General surgical ward costs	
General medical ward costs	
Other costs (please give details)	
General anesthesia	

Please give details about any other costs which you feel may be relevant, including sources where appropriate.

Thank you very much for your assistance.

Please return the completed form to:

STITCH (Trauma), Neurosurgical Trials Unit, 3-4 Claremont Terrace, Newcastle University, Newcastle upon Tyne, NE2 4AE, U.K. FAX: +44 (0)191 222 5762

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This report presents independent research funded by the National Institute for Health Research (NIHR). The views expressed are those of the author(s) and not necessarily those of the NHS, the NIHR or the Department of Health

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