

1 **TITLE**

2 ***Faecalibacterium prausnitzii*: from microbiology to diagnostics and**  
3 **prognostics**

4 **RUNNING TITLE**

5 *F. prausnitzii*: from microbiology to diagnostics

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23 **CONFLICTS OF INTEREST**

24 The authors declare no conflict of interest.

25

26 **ABSTRACT**

27         There is an increasing interest in *Faecalibacterium prausnitzii*, one of the most  
28 abundant bacterial species found in the gut, given its potentially important role in  
29 promoting gut health. Although some studies have phenotypically characterized strains  
30 of this species, it remains a challenge to determine which factors play a key role in  
31 maintaining the abundance of this bacterium in the gut. Besides, phylogenetic analysis  
32 has shown that at least two different *F. prausnitzii* phylogroups can be found within this  
33 species and their distribution is different between healthy subjects and patients with gut  
34 disorders. It also remains unknown whether or not there are other phylogroups within  
35 this species, and also if other *Faecalibacterium* species exist. Finally, many studies have  
36 shown that *F. prausnitzii* abundance is reduced in different intestinal disorders. It has  
37 been proposed that *F. prausnitzii* monitoring may therefore serve as biomarker to assist  
38 in gut diseases diagnostics. In this mini-review, we aim to give an overview of *F.*  
39 *prausnitzii* phylogeny, ecophysiology, and diversity. In addition, strategies to modulate  
40 the abundance of *F. prausnitzii* in the gut as well as its application as a biomarker for  
41 diagnostics and prognostics of gut diseases are discussed. This species may be a useful  
42 potential biomarker to assist in ulcerative colitis and Crohn's disease discrimination.

43

## 44 INTRODUCTION

45 *Faecalibacterium prausnitzii* has been consistently reported as one of the main  
46 butyrate producers found in the intestine (Barcenilla *et al.*, 2000, Duncan *et al.*, 2002).  
47 Butyrate plays a crucial role in gut physiology and host wellbeing. It is the main energy  
48 source for the colonocytes and it has protective properties against colorectal cancer and  
49 inflammatory bowel diseases (Archer *et al.*, 1998, Christl *et al.*, 1996). Butyrate can  
50 reduce intestinal mucosa inflammation through inhibiting NF- $\kappa$ B transcription factor  
51 activation (Inan *et al.*, 2000), upregulating PPAR $\gamma$  (Schwab *et al.*, 2007) and inhibiting  
52 interferon gamma (IFN- $\gamma$ ) (Klampfer *et al.*, 2003).

53 Additional anti-inflammatory properties have been attributed to this species  
54 through its capability to induce a tolerogenic cytokine profile (with very low secretion  
55 of pro-inflammatory cytokines like IL-12 and IFN- $\gamma$ , and an elevated secretion of the  
56 anti-inflammatory cytokine IL-10) (Qiu *et al.*, 2013, Sokol *et al.*, 2008b). In line with  
57 this findings, *F. prausnitzii* cells or their cell-free supernatant have been reported to  
58 reduce the severity of acute (Sokol *et al.*, 2008b), chronic (Martin *et al.*, 2014) and low  
59 grade (Martin *et al.*, 2015) chemical-induced inflammation in murine models. These  
60 anti-inflammatory effects were partly associated with secreted metabolites capable of  
61 blocking NF- $\kappa$ B activation, IL-8 production (Sokol *et al.*, 2008b) and upregulation of  
62 regulatory T cells production (Qiu *et al.*, 2013). Recently seven peptides that derive  
63 from a single microbial anti-inflammatory molecule, a 15 kDa protein, have been  
64 identified in *F. prausnitzii* cultures supernatant, and their capability to block NF- $\kappa$ B  
65 pathway has been demonstrated (Quevrain *et al.*, 2015).

66 *F. prausnitzii* supernatant has also been shown to attenuate the severity of  
67 inflammation through the release of metabolites that enhance the intestinal barrier  
68 function and that affect paracellular permeability (Carlsson *et al.*, 2013, Martin *et al.*,

69 2015). The mechanism by which *F. prausnitzii* ameliorates permeability seems to be  
70 related with expression of certain tight junction proteins, but not with an enhancement  
71 of claudin expression (Carlsson *et al.*, 2013). Besides, a recent study performed using a  
72 gnotobiotic model has shown that *F. prausnitzii* could also influence gut physiology  
73 through mucus pathway and the production of mucus O-glycans, and may help to  
74 maintain suitable proportions of different cell types of secretory lineage in the intestinal  
75 epithelium (Wrzosek *et al.*, 2013). Finally, a restoration of serotonin (a key  
76 neurotransmitter in the gastrointestinal tract that affects motility (Ohman and Simren  
77 2007)) level to normal has been evidenced in murine models treated with either *F.*  
78 *prausnitzii* or its supernatant (Martin *et al.*, 2015), and this species anti-nociceptive  
79 effect in non-inflammatory IBS-like murine models has been recently evidenced  
80 (Miquel *et al.*, 2016).

81 Besides, over the last few years an increasing number of studies have reported  
82 on *Faecalibacterium prausnitzii* depletion in gut diseases (Balamurugan *et al.*, 2008, de  
83 Goffau *et al.*, 2013, Frank *et al.*, 2007, Furet *et al.*, 2010, Hansen *et al.*, 2012, Jia *et al.*,  
84 2010, Kabeerdoss *et al.*, 2013, Karlsson *et al.*, 2013, Machiels *et al.*, 2013, Martinez-  
85 Medina *et al.*, 2006, McLaughlin *et al.*, 2010, Miquel *et al.*, 2013, Qin *et al.*, 2010,  
86 Rajilic-Stojanovic *et al.*, 2011, Sobhani *et al.*, 2011, Sokol *et al.*, 2008a, Sokol *et al.*,  
87 2009, Swidsinski *et al.*, 2005, Swidsinski *et al.*, 2008, Vermeiren *et al.*, 2012, Willing *et al.*,  
88 2009), which has prompted interest in considering this bacterium as a new  
89 generation probiotic.

90 Taken all together these findings indicate that *F. prausnitzii* plays a crucial role  
91 maintaining gut physiology and host well-being. It still remains elusive however which  
92 gut factors modulate *F. prausnitzii* presence in the gut, and the extent of their influence.

93  
94

95 **FACTORS SUPPORTING *F. PRAUSNITZII* PRESENCE IN THE GUT.**

96 **(i) Carbon sources used by *F. prausnitzii* for growth**

97 *F. prausnitzii* isolates can grow well using simple carbohydrates (Table 1), but  
98 some differences exist between strains in their capability to ferment more complex  
99 carbohydrates such as those that are either host or diet derived, as observed by the  
100 maximum OD<sub>650</sub> that cultures can reach (Duncan *et al.*, 2002, Lopez-Siles *et al.*, 2012).

101 Despite most *F. prausnitzii* strains are able to ferment inulin (Table 1), the  
102 findings show that only two of them can grow well on this substrate (final OD<sub>650</sub>~0.8).  
103 This supports the observed stimulation of this species in nutritional interventions with  
104 this prebiotic (Ramirez-Farias *et al.*, 2009), and suggests that only some members of *F.*  
105 *prausnitzii* population are selectively stimulated by inulin (Chung *et al.*, 2016). Strains  
106 of this species have a limited ability to utilize other polysaccharides found in the gut  
107 lumen such as arabinogalactan, xylan and soluble starch (Louis *et al.*, 2007). Most of  
108 the isolates can grow on apple pectin and are able to use some pectin derivatives  
109 (Lopez-Siles *et al.*, 2012). *In vitro* studies suggested that, under physiological  
110 conditions, *F. prausnitzii* can play a key role in fermentation of some types of pectin  
111 and that it can compete successfully with other gut bacteria for this substrate (Lopez-  
112 Siles *et al.*, 2012). These results are supported by the fact that pectinolytic enzymes  
113 have been found encoded in the *F. prausnitzii* reference genome (Heinken *et al.*, 2014).  
114 Besides, an *in vivo* study has shown that Firmicutes are promoted in apple pectin-fed  
115 rats (Licht *et al.*, 2010). Taken together this suggests that pectin or pectin derivatives  
116 could be used as a novel prebiotic approach to stimulate *F. prausnitzii* (Chung *et al.*,  
117 2016).

118 In addition, *F. prausnitzii* strains can also utilize *N*-acetylglucosamine (Lopez-  
119 Siles *et al.*, 2012), a constituent of the glycoproteins found in gut mucosa (Salvatore *et*

120 *al.*, 2000). Interestingly, it has been reported that treatment with this compound may  
121 improve Crohn's disease (CD) as it will serve as a healing factor in inflamed, damaged  
122 soft tissues of the gut (Salvatore *et al.*, 2000). Therefore, given the capability to ferment  
123 this carbohydrate by *F. prausnitzii*, it would be of interest to explore the effect of  
124 restoring this beneficial gut bacterium in CD patients undergoing this treatment.

125 Finally, *F. prausnitzii* isolates are unable to utilize mucin or  
126 mucopolysaccharides (Lopez-Siles *et al.*, 2012), although some controversy exists  
127 because it has been shown that mucin may stimulate growth of this species (Sadaghian  
128 Sadabad *et al.*, 2015). The mechanism by which *F. prausnitzii* would benefit from  
129 mucin metabolism remains unknown, and further studies to reveal its interaction with  
130 mucin-degraders would be of interest.

131 *F. prausnitzii* has the ability to switch between substrates derived from the diet  
132 or the host. This capability should be explored further to define novel strategies to  
133 restore *F. prausnitzii* populations in the diseased gut by using some of these  
134 carbohydrates alone or in combination as prebiotics. *In vivo* studies on healthy human  
135 volunteers revealed a clear stimulation of *F. prausnitzii* after various prebiotic  
136 treatments (Benus *et al.*, 2010, Hooda *et al.*, 2012, Ramirez-Farias *et al.*, 2009). It  
137 remains to be established which particular subtypes of *F. prausnitzii* populations change  
138 under prebiotic intakes. In addition, it would be interesting to conduct  
139 metatranscriptomic studies in order to determine if *F. prausnitzii* genes participate in  
140 breakdown of these substrates. Besides, this will also provide some clues on cross-  
141 feeding relationships between *F. prausnitzii* and other members of the gut microbiota.

142 **(ii) Effect of gut physicochemical conditions**

143 Tolerance to changes in gut physiological factors can play a role in determining  
144 the ability of an organism to survive in this environment, and they contribute to the  
145 temporal/spatial organization of different gut microbes (Parfrey and Knight 2012).

146 The optimal pH for *F. prausnitzii* growth ranges between 5.7 and 6.7 (Foditsch  
147 *et al.*, 2014, Lopez-Siles *et al.*, 2012), the range of pH found in the colon. While there  
148 are differences in tolerance between strains in the pH range of 5-5.7 (Lopez-Siles *et al.*,  
149 2012), no growth was observed at pH values between 3.5 and 4.5 (Foditsch *et al.*,  
150 2014). This suggests that pH influences *F. prausnitzii* distribution along the gut. This  
151 species has been detected also in duodenum (pH range 5.7-6.4) (Nadal *et al.*, 2007) and  
152 in the terminal ileum (Lopez-Siles *et al.*, 2014, Lopez-Siles *et al.*, 2016) in healthy  
153 subjects and patients with gut disorders. As it has been reported that ulcerative colitis  
154 (UC) and CD patients often have acidic stools (Barkas *et al.*, 2013, Nugent *et al.*, 2001),  
155 it remains to be demonstrated whether or not local pH in the gut is modulating *F.*  
156 *prausnitzii* abundance and composition in patients with gut disorders such as  
157 inflammatory bowel disease (IBD).

158 *F. prausnitzii* is also highly sensitive to a slight increase in physiological  
159 concentrations of bile salts because its growth is compromised by concentrations of  
160 0.5% (wt/vol). This provides a plausible explanation for the reduced abundance of *F.*  
161 *prausnitzii* exhibited by CD patients, as increased bilirubin concentrations have been  
162 reported in these patients, especially in those with ileal disease involvement, and who  
163 have undergone intestinal resection (Lapidus and Einarsson 1998, Pereira *et al.*, 2003).  
164 Besides, differences in tolerance among isolates have been reported, especially at a bile  
165 salt concentration of 0.1% (wt/vol) (Foditsch *et al.*, 2014, Lopez-Siles *et al.*, 2012),  
166 suggesting that alterations in bile salts concentrations may determine a variation in *F.*  
167 *prausnitzii* subtype composition. As CD patients also feature an altered bile salt

168 composition (Lapidus and Einarsson 1998, Pereira *et al.*, 2003), further studies need to  
169 be conducted to determine if *F. prausnitzii* features higher sensitivity to certain types of  
170 bile salt components, and to establish whether or not different bile salt profiles alter *F.*  
171 *prausnitzii* subtype composition.

172 *F. prausnitzii* is extremely oxygen-sensitive (Duncan *et al.*, 2002), but it is  
173 capable of withstanding low levels of oxygen found in the intestinal mucosa by using  
174 extracellular electron transfer in the presence of flavine and cysteine or glutathione  
175 (Khan *et al.*, 2012). Recently, it has been demonstrated that strain A2-165 can retain  
176 viability in ambient air for 24 h when formulated with these antioxidants and inulin as a  
177 cryoprotectant (Khan *et al.*, 2014). Because oxygen gradient plays an important role in  
178 defining the spatial organization of microbes in the colon (Parfrey and Knight 2012,  
179 Swidsinski *et al.*, 2005), it would be interesting to determine if there are differences in  
180 oxygen tolerance among *F. prausnitzii* subtypes, and if it correlates with inflamed state  
181 of the mucosa.

182 Finally, the availability of essential nutrients to support *F. prausnitzii* may  
183 influence the distribution of this species in the gut. A recent study based on a functional  
184 metabolic map of *F. prausnitzii* strain A2-165 has predicted its inability to synthesize  
185 the amino acids alanine, cysteine, methionine, serine, and tryptophan (Heinken *et al.*,  
186 2014). Auxotrophy for vitamins and cofactors as biotin, folate, niacin, panthothenate,  
187 pyridoxine and thiamine has been observed by further analysis of other *F. prausnitzii*  
188 strain genomes, and some discrepancy between strains seems to exist in relation to  
189 riboflavin production, which could be due to inter-strain differences (Heinken *et al.*,  
190 2014, Magnúsdóttir *et al.*, 2015). In contrast, this species has been predicted as a  
191 cobalamin producer (Magnúsdóttir *et al.*, 2015). Evidence that some IBD patients are  
192 predisposed to feature cobalamin deficiency has been reported (Battat *et al.*, 2014), but



193 the cause of this condition has not been established yet. As there is a lack of consistent  
194 clinical data that indicates predisposition of IBD patients to this deficiency (Battat *et al.*,  
195 2014), it would be interesting to establish if it is associated with depletion of cobalamin-  
196 producers in the gut.

197 Collectively, these findings provide a plausible explanation why *F. prausnitzii* is  
198 reduced in abundance in patients with gut disease. Besides, it points out crucial  
199 requirements in physicochemical conditions for survival of this species, which can be  
200 applied in the future to use this bacterium to treat intestinal disorders related to its  
201 depletion.

### 202 **(iii) *F. prausnitzii* in relation to other members of gut microbiota**

203 *F. prausnitzii* co-occurs with several members of the *C. coccoides* group and  
204 Bacteroidetes in the gut (Qin *et al.*, 2010). It has been suggested that *F. prausnitzii* may  
205 rely on other species like *Bacteroides* for cross-feeding. In co-culture experiments it has  
206 been observed that *F. prausnitzii* fermentative activity continues while *B.*  
207 *thetaitaomicron* is fermenting pectin (Chung *et al.*, 2016, Lopez-Siles *et al.*, 2012).  
208 This could partially be explained by the acetate produced by the latter, which enhances  
209 *F. prausnitzii* growth (Heinken *et al.*, 2014). Besides, initial fermentation of pectin by  
210 *B. thetaiotaomicron* can release pectin derivatives which can then be used by *F.*  
211 *prausnitzii*.

212 Recent studies in rat models have revealed that *F. prausnitzii* needs the prior  
213 presence of *B. thetaiotaomicron* to colonize the gut (Wrzosek *et al.*, 2013). The inability  
214 to maintain *F. prausnitzii* mono-associated animal models has been repeatedly observed  
215 (Hoffmann *et al.*, 2015, Wrzosek *et al.*, 2013) and a mouse model has also been  
216 described in which *F. prausnitzii* implantation in the gastrointestinal tract requires prior  
217 preparation with *E. coli* (Miquel *et al.*, 2015). Correlation between these two species has

218 been found in IBD patients (Lopez-Siles *et al.*, 2014). Positive or negative correlation  
219 was observed depending on the disease location. This suggests the effect of one  
220 population on the other although the influence of host factors cannot be ruled out.  
221 Depending on patients' condition, these correlations involved specifically one or the  
222 two phylogroups of *F. prausnitzii* (Lopez-Siles *et al.*, 2016), so future studies of co-  
223 culture experiments could further elucidate the interactions between *E. coli* and *F.*  
224 *prausnitzii*.

225

## 226 TAXONOMY AND PHYLOGENY OF *F. PRAUSNITZII*

227 Duncan and co-workers (Duncan *et al.*, 2002) established that the genus  
228 *Faecalibacterium* is related to members of *Clostridium* cluster IV (*Clostridium leptum*  
229 group), within the Firmicutes phylum, Clostridia class, and Ruminococcaceae family.  
230 Currently, *F. prausnitzii* is the only *Faecalibacterium* species which has been  
231 successfully isolated.

### 232 (i) *F. prausnitzii* intraspecies diversity

233 More recent phylogenetic characterization of isolates determined that this  
234 species includes two phylogroups, which share 97% 16S rRNA gene sequence  
235 similarity (Lopez-Siles *et al.*, 2012). Although genomic coherence remains to be  
236 explored, *in silico* analyses of sequenced genomes (Table 2) reveals that the average  
237 nucleotide identity (ANI) between isolates S3L/3 (phylogroup I) and L2/6 (phylogroup  
238 II) is below 94%, thus supporting the hypothesis that these would belong to two  
239 different genomospecies (i.e. species defined by genome comparisons, but without  
240 phenotypic properties defined yet (Rossello-Mora and Amann 2015, Schloter *et al.*,  
241 2000)). Besides, isolates S3L/3 and M21/2 (both from phylogroup I) share ANI values  
242 over 97% confirming that they belong to the same genomospecies. The accurate  
243 sequencing and annotation of several *F. prausnitzii* strains genomes is required to  
244 provide conclusive information to establish whether or not the two phylogroups belong  
245 to different genomospecies or genomovars (i.e. strains which are phylogenetically  
246 different but phenotypically indistinguishable (Rossello-Mora and Amann 2015,  
247 Schloter *et al.*, 2000)).

248 With regard to phenotypic coherence, no statistically significant differences have  
249 been found concerning carbohydrate fermentation or tolerance to changes in gut  
250 environmental conditions, although there are indicators that differences do exist

251 between the members of the two phylogroups (Table 3). For instance, *F. prausnitzii*  
252 S3L/3 has been shown to produce significantly higher amounts of metabolites derived  
253 from phenylalanine, tyrosine and tryptophan metabolism than strain M21/2, despite  
254 both belonging to phylogroup I (Russell *et al.*, 2013). The link of *F. prausnitzii* with  
255 tyrosine metabolism has been corroborated in fecal samples of healthy subjects (Jansson  
256 *et al.*, 2009). Because the release of different metabolites by gut bacteria can have direct  
257 effect on different host signalling pathways, it is possible that within *F. prausnitzii*  
258 populations there are members that interact in a different manner with the host.  
259 Supporting this hypothesis, it has been demonstrated that *F. prausnitzii* ATCC27768  
260 (phylogroup I) and *F. prausnitzii* A2-165 (phylogroup II) are associated with the  
261 modulation of host metabolites related to different pathways (Jansson *et al.*, 2009, Li *et*  
262 *al.*, 2008) (Table 3). Prevalence and/or abundance of both phylogroups varies among  
263 patients suffering gut disorders such as CD, UC and type 2 diabetes (Hippe *et al.*, 2016,  
264 Lopez-Siles *et al.*, 2015, Lopez-Siles *et al.*, 2016), and further metabolomic studies are  
265 needed to establish the effects of that in host wellbeing.

266 **(ii) Approaching the real diversity of the genus *Faecalibacterium***

267 Recent studies on species diversity and abundance in healthy and diseased gut  
268 samples however suggest that other *F. prausnitzii* phylotypes exist (Lopez-Siles *et al.*,  
269 2015, Lopez-Siles *et al.*, 2016) and the presence of other species within the  
270 *Faecalibacterium* genus cannot be ruled out. These have been estimated by molecular  
271 methods analyzing the overall bacterial community in fecal samples to represent around  
272 2% of *Faecalibacterium* sequences (Tap *et al.*, 2009, Walker *et al.*, 2011), and  
273 corroborated using species-specific primers (Lopez-Siles *et al.*, 2015). Interestingly,  
274 rare phylotypes have been mainly recovered from subjects with gut disease (Lopez-Siles  
275 *et al.*, 2016). Further studies based on next generation sequencing may help to

276 corroborate the presence of these rare phylotypes, and would provide an opportunity to

277 elucidate the taxonomy within the genus *Faecalibacterium*.

278

## 279 ***F. PRAUSNITZII* POPULATIONS IN HEALTHY AND DISEASED GUT**

### 280 **(i) *F. prausnitzii* population composition and richness**

281 Overall a decrease in gut microbiota diversity has been reported in the mucosa of  
282 IBD patients (Barnich and Darfeuille-Michaud 2007, Chassaing and Darfeuille-  
283 Michaud 2011, Ott *et al.*, 2008, Seksik *et al.*, 2006, Sokol *et al.*, 2008a, Tamboli *et al.*,  
284 2004). In particular, fewer types of Firmicutes, mostly from Ruminococcaceae, were  
285 observed in feces of CD patients (Scanlan *et al.*, 2006). Regarding *F. prausnitzii*  
286 population, subtypes richness is also lower in IBD patients, which frequently tend to  
287 only possess one of the two main phylogroups (Lopez-Siles *et al.*, 2015).

288 IBD, colorectal cancer (CRC), irritable bowel syndrome (IBS) and healthy  
289 subjects feature a different composition of *F. prausnitzii* subtypes (Lopez-Siles *et al.*,  
290 2015). Although some phylotypes have been specifically associated to each condition,  
291 the main members of the *F. prausnitzii* population (four phylotypes, two phylogroups)  
292 have been detected in all the subject groups but with a different distribution between  
293 conditions (Lopez-Siles *et al.*, 2015). As factors explaining these differences remain  
294 unknown, further studies of isolation and characterization of strains from patients  
295 suffering intestinal disorders are needed to test the effect of either host or gut  
296 physicochemical factors on different *F. prausnitzii* subtypes.

### 297 **(ii) *F. prausnitzii* load**

298 Several studies have reported *F. prausnitzii* depletion in adult CD (Frank *et al.*,  
299 2007, Fujimoto *et al.*, 2013, Martinez-Medina *et al.*, 2006, Miquel *et al.*, 2013, Sokol *et*  
300 *al.*, 2008b, Sokol *et al.*, 2009, Swidsinski *et al.*, 2008, Willing *et al.*, 2009), UC  
301 (Kabeerdoss *et al.*, 2013, Lopez-Siles *et al.*, 2014, Lopez-Siles *et al.*, 2016, Machiels *et*  
302 *al.*, 2013, McLaughlin *et al.*, 2010, Sokol *et al.*, 2009, Swidsinski *et al.*, 2005,  
303 Vermeiren *et al.*, 2012) and CRC (Balamurugan *et al.*, 2008, Lopez-Siles *et al.*, 2016)

304 subjects, and concur with the view that down-shifts in *F. prausnitzii* numbers occur  
305 under several pathological disorders. In contrast, other studies have reported no  
306 depletion in *F. prausnitzii* levels in CRC (Balamurugan *et al.*, 2008, Sobhani *et al.*,  
307 2011, Wang *et al.*, 2012), and even increased *F. prausnitzii* abundance in *de-novo*  
308 pediatric CD patients (Hansen *et al.*, 2012). Besides, a consensus on whether or not IBS  
309 patients feature a depletion of *F. prausnitzii* has not been reached since both studies  
310 reported normal counts (Duboc *et al.*, 2012, Jia *et al.*, 2010, Kassinen *et al.*, 2007,  
311 Lopez-Siles *et al.*, 2014, Lopez-Siles *et al.*, 2016, Malinen *et al.*, 2005, Rigsbee *et al.*,  
312 2012, Swidsinski *et al.*, 2005, Swidsinski *et al.*, 2008) and studies reporting lower  
313 numbers in IBS patients of alternating type (Rajilic-Stojanovic *et al.*, 2011) have also  
314 been published. The variety of symptoms featured by IBS patients makes IBS  
315 diagnostics complex, which in turn is likely to make it difficult to establish whether or  
316 not *F. prausnitzii* is affected in this intestinal condition. Altogether, the exact role that  
317 *F. prausnitzii* plays in the pathogenesis of these diseases cannot be established at this  
318 stage. On the one hand an external factor can cause a downshift in *F. prausnitzii*, but  
319 also this species depletion can be a contributing factor to disease aggravation. In this  
320 case, restoration of normal counts of this species should be explored as a way to achieve  
321 healing and/or attenuate disease progression.

322         Although the depletion of *F. prausnitzii* is not a specific phenomenon that occurs  
323 in a particular disease, the level of depletion as well as which components of the *F.*  
324 *prausnitzii* population are affected can be different between diseases. Depletion in  
325 phylogroup I abundance is a general feature in abnormal gut conditions, while  
326 phylogroup II reduction seems to be specific to CD patients, usually with ileal disease  
327 location (Lopez-Siles *et al.*, 2016). This could be the consequence of several factors  
328 (physicochemical, host-related or microbiome-related) that may vary between disorders

329 and can affect either some or all *F. prausnitzii* members. In turn, these different  
330 populations can have a direct effect in host wellbeing. For instance, a recent study has  
331 shown different *F. prausnitzii* profiles in obese subjects with and without developed  
332 type two diabetes (Hippe *et al.*, 2016), suggesting that differences in phylotypes may  
333 lead to differences in inflammatory status in the host, thus having an influence on  
334 disease development. Currently, studies on anti-inflammatory properties of *F.*  
335 *prausnitzii* have been performed with strain A2-165, from phylogroup II. Similar  
336 studies conducted with strains representative of phylogroup I (e.g. ATCC27768) are  
337 required in order to determine whether or not there are differences between phylogroups  
338 regarding anti-inflammatory activity.

339

340



341 **FUTURE PERSPECTIVES: POTENTIAL USE OF *F. PRAUSNITZII* AS A**  
342 **HEALTHY GUT MICROBIOTA BIOMARKER.**

343 **(i) *F. prausnitzii* load as diagnostic supporting tool**

344 The usefulness of gut microbiota assessment to support intestinal diseases  
345 diagnostics and or prognostics has gained interest during the last few years. Some  
346 studies have pointed out that the abundance of fecal or mucosa-associated *F. prausnitzii*  
347 is a potential biomarker to discriminate between gut disorders (Lopez-Siles *et al.*, 2014,  
348 Lopez-Siles *et al.*, 2016, Swidsinski *et al.*, 2008). In particular, *F. prausnitzii* is a good  
349 biomarker to discriminate CD and CRC from healthy subjects as well as CD from IBS  
350 (Figure 1). Of interest, *F. prausnitzii* phylogroup I is particularly good in discriminating  
351 healthy subjects from gut disease cohorts including IBS, IBD and CRC (Lopez-Siles *et*  
352 *al.*, 2016), while phylogroup II has a limited use as biomarker. This could be partially  
353 explained by the fact that phylogroup II load is less reduced in intestinal disease.

354 It is difficult however to establish the use of a single bacterial species as a  
355 general biomarker for all disease types. *F. prausnitzii* in conjunction with *E. coli*  
356 abundance as a complementary indicator (F-E index) has been proven to be a better  
357 biomarker than *F. prausnitzii* alone (Lopez-Siles *et al.*, 2014). This index allows good  
358 discrimination of CRC patients from other gut disorders, especially UC. The F-E index  
359 is also a good biomarker to differentiate UC and IBS patients from those with CD.  
360 However, the heterogeneity of disease subtypes is preventing discrimination between  
361 conditions.

362 **(ii) *F. prausnitzii* load as IBD subtype biomarker**

363 An accurate discrimination between UC and CD is of relevance due to  
364 differences in treatment and management between these two entities (Mowat *et al.*,  
365 2011). An unmet need in IBD diagnostics is to have a fast and reliable biomarker to

366 distinguish within IBD subtypes, particularly those with shared location of  
367 inflammation, but the number of studies that have explored this issue is limited (Lopez-  
368 Siles *et al.*, 2014, Lopez-Siles *et al.*, 2016).

369 We observed that F-E index is a suitable biomarker to discriminate ulcerative  
370 proctitis and left-sided UC from pancolitis (Lopez-Siles *et al.*, 2014), which is of  
371 interest for clinicians to monitor risk of extension of the inflamed area in UC (Figure 2).  
372 This index was shown also to distinguish between all UC patients regardless of their  
373 disease subtypes and those with C-CD with suitable accuracy (Figure 2). In contrast,  
374 *F. prausnitzii* alone or phylogroup quantification showed limited ability to discriminate  
375 between IBD subtypes. Whether or not *F. prausnitzii* phylogroup quantification in  
376 conjunction with *E. coli* counts are more accurate biomarkers remains to be explored.

377 As the discrimination power of F-E index is limited for some disease subtypes, it  
378 could be worth to include additional biomarker characteristics of UC dysbiosis such as  
379 *Roseburia hominis* (Machiels *et al.*, 2013), CD dysbiosis such as *Ruminococcus gnavus*,  
380 *R. torques*, *Dialister invisus* or *Bifidobacterium adolescentis* (Joossens *et al.*, 2011,  
381 Martinez-Medina *et al.*, 2006, Png *et al.*, 2010), as well as other bacterial indicators of  
382 gut health such as *Akkermansia muciniphila* (Png *et al.*, 2010). A combination of  
383 microbiological indicators with host serological data is also an approach to be further  
384 explored to improve diagnostics accuracy, since it has been reported that active CD and  
385 UC can be differentiated through monitoring fecal *F. prausnitzii* abundance in  
386 conjunction with leukocyte counts (Swidsinski *et al.*, 2008)

387 **(iii) *F. prausnitzii* load as a biomarker of disease progression and treatment**  
388 **success.**

389 Given the chronic behavior of IBD, it would be interesting to have a prognostic  
390 biomarker for flare-ups. High *F. prausnitzii* counts in feces have been associated with

391 lower Crohn's disease activity index (CDAI) and C-reactive protein levels (Fujimoto *et*  
392 *al.*, 2013). *F. prausnitzii* level recovery has been reported in feces during remission  
393 (Sokol *et al.*, 2009, Swidsinski *et al.*, 2008), while it has been observed that in mucosa,  
394 depletion of this species occurs regardless of patients disease activity status (Kabeerdoss  
395 *et al.*, 2013, Lopez-Siles *et al.*, 2014, Lopez-Siles *et al.*, 2016, Willing *et al.*, 2009), and  
396 particularly compromises phylogroup I (Lopez-Siles *et al.*, 2016). Differences in the  
397 methodology or the cohort engaged as well as the type of sample analyzed may be a  
398 confounding factor that is preventing an unanimous outcome about the usefulness of *F.*  
399 *prausnitzii* to predict flare-ups. Subsequent follow-up studies are needed to conclusively  
400 establish which clinical data of the patients correlate with the quantity of *F. prausnitzii*  
401 colonizing the gut.

402         Several studies have shown that *F. prausnitzii* numbers are reduced in resected  
403 CD patients in comparison to those without resection (Lopez-Siles *et al.*, 2014, Sokol *et*  
404 *al.*, 2008b). We observed that this phenomenon is replicated with phylogroup counts  
405 (Lopez-Siles *et al.*, 2016), with more evident depletion of phylogroup II. However,  
406 whether this shift is a consequence of these patients featuring a more acute disease, or if  
407 it is the outcome of the surgery is still unclear. It would be interesting to conduct  
408 follow-up studies to assess the usefulness of this biomarker to precisely predict when  
409 such interventions might be needed.

410         As far as therapies are concerned, treatments with infliximab and high-dose  
411 cortisol have been associated with an increase of *F. prausnitzii* levels (Swidsinski *et al.*,  
412 2008). Chemotherapy and interferon  $\alpha$ -2b reverse the depletion of *F. prausnitzii* in  
413 patients with neuroendocrine tumour of the midgut, whereas somatostatin analogues  
414 have no influence on this species (Dorffel *et al.*, 2012). These results suggest that  
415 restoration of the gut conditions due to medication can have an effect on

416 counterbalancing *F. prausnitzii* depletion in the diseased intestine. In contrast, other  
417 studies have not found a medication associated with the recovery of normal levels of  
418 this species in the mucosa, suggesting that *F. prausnitzii* would be a poor biomarker to  
419 monitor treatment efficacy (Busquets *et al.*, 2015, Lopez-Siles *et al.*, 2014, Lopez-Siles  
420 *et al.*, 2016). However, since these studies are retrospective, further prospective studies  
421 are required to establish the usefulness of these biomarkers to monitor long-term  
422 treatment efficacy, and to relate impact of medication in this species load in the gut.

#### 423 **(iv) Sample of choice to implementation in diagnostics**

424         When analyzing data by sample location, it was observed that colonic biopsies  
425 were the most suitable to distinguish disease phenotypes (Lopez-Siles *et al.*, 2014).  
426 Although statistical significance was not reached for rectal samples, similar results were  
427 obtained. To validate these results would be of interest since rectal sigmoidoscopy is a  
428 non-invasive method to collect tissue samples which will allow implementing mucosa-  
429 associated *F. prausnitzii* quantification in routine clinical practice. Alternatively, the  
430 validation in samples collected with rectal swabs, which have been reported to have a  
431 great similarity to biopsy specimens (Albenberg *et al.*, 2014) would also be of interest.  
432 Nevertheless, it would be of interest to determine if fecal total abundance of *F.*  
433 *prausnitzii* and of both phylogroups can be a suitable biomarker for the detection,  
434 follow up and/or classification of IBD phenotypes. The implementation of *F. prausnitzii*  
435 counts in feces seems a promising strategy as a biomarker, because it has been already  
436 proven to discriminate between active UC and CD patients (Swidsinski *et al.*, 2008) and  
437 thus would provide a straightforward method to assess IBD. However, further  
438 optimization to fine-tune this tool to achieve discrimination within IBD subtypes and  
439 also applicable in patients in remission phases is needed.

#### 440 **CONCLUDING REMARKS**

441 *F. prausnitzii* is a metabolically versatile microorganism, and this may explain its wide  
442 distribution and high load as part of the gut microbiota in humans. Two phylogroups  
443 have been described so far within this species, although the real diversity of the genus  
444 remains unknown. *F. prausnitzii* is an important bacterium for human health but,  
445 members of this species are very sensitive to changes in gut environment which can  
446 limit its distribution, particularly in a diseased gut. Changes in this species population  
447 richness and quantity have been observed in several intestinal disorders (Figure 3).  
448 There is a lot of information still missing on which phylogroup is important under  
449 which conditions in the gut. As the depletion of this species is not homogeneous in all  
450 gut diseases however, the use of *F. prausnitzii* as a gold standard measure of a healthy  
451 gut microbiota is limited. Nevertheless, it is a good biomarker of certain gut conditions.  
452 It has the potential to assist in discriminating between UC and CD subtypes, particularly  
453 those with colonic disease location. Besides, discrimination between UC and CRC  
454 could be a further application of particular interest for this biomarker, in order to  
455 monitor disease progression since chronic colonic inflammation can lead to tumour  
456 formation. As studies in this field are somewhat limited, and a consensus has not yet  
457 been established, there is a need to conduct more studies to fully implement *F.*  
458 *prausnitzii* as a biomarker by defining in which medical condition it could be of  
459 assistance. Preferably, these studies should be conducted in larger independent cohorts  
460 of patients that include individuals from different ethnicities.

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795 **FIGURE LEGENDS**

796 **Figure 1.** Biomarker of choice to discriminate between conditions. Selected pair wise  
797 comparisons of conditions are represented taking into account the difficulty of diagnosis  
798 or the risk of progression. The four options of biomarkers (*F. prausnitzii*, the two  
799 phylogroups or the *F. prausnitzii-E. coli* index calculated as (Lopez-Siles *et al.*, 2014)),  
800 have been ranked according to their discriminative power estimated as the sum of all the  
801 AUC values for all the pair wise comparisons taking into account all the conditions. For  
802 each comparison, the highest AUC value achieved is depicted.

803 H, healthy control group; UC, ulcerative colitis; CD, Crohn's disease; IBD,  
804 inflammatory bowel disease; IBS, irritable bowel syndrome; CRC, colorectal cancer; F,  
805 total *F. prausnitzii* load; PHG I, *F. prausnitzii* phylogroup I load; PHG II, *F. prausnitzii*  
806 phylogroup II load; F-E index, *F. prausnitzii-E. coli* index; AUC, area under the ROC  
807 curve; ROC, receiver operating characteristic curve.

808 **Figure 2.** Biomarker of choice to discriminate between IBD locations. Selected pair  
809 wise comparisons of conditions are represented taking into account the difficulty of  
810 diagnosis or the risk of progression. The four options of biomarkers (*F. prausnitzii*, the  
811 two phylogroups or *F. prausnitzii-E. coli* index calculated as (Lopez-Siles *et al.*, 2014)),  
812 have been ranked according to their discriminative power estimated as the sum of all the  
813 AUC values for all the pair wise comparisons taking into account all the conditions. For  
814 each comparison, the highest AUC value achieved is depicted.

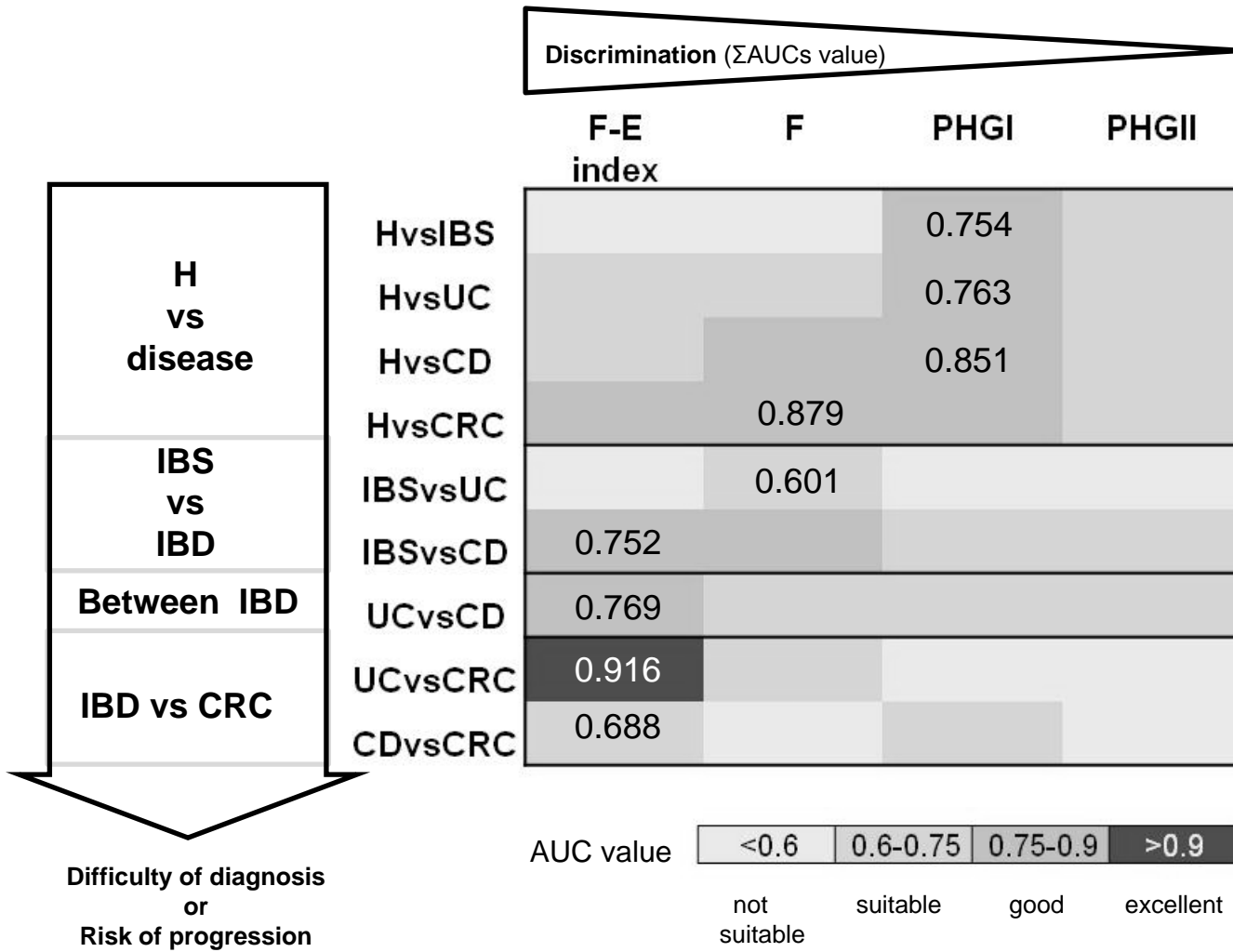
815 E1, Ulcerative proctitis, E2, Distal or left-sided ulcerative colitis; E3, pancolitis or  
816 universal colitis; I-CD, ileal Crohn's disease; IC-CD, ileocolonic Crohn's disease; C-  
817 CD, colonic Crohn's disease; F, total *F. prausnitzii* load; PHG I, *F. prausnitzii*  
818 phylogroup I load; PHG II, *F. prausnitzii* phylogroup II load; F-E index, *F. prausnitzii-*

819 *E. coli* index; AUC, area under the ROC curve; ROC, receiver operating characteristic  
820 curve.

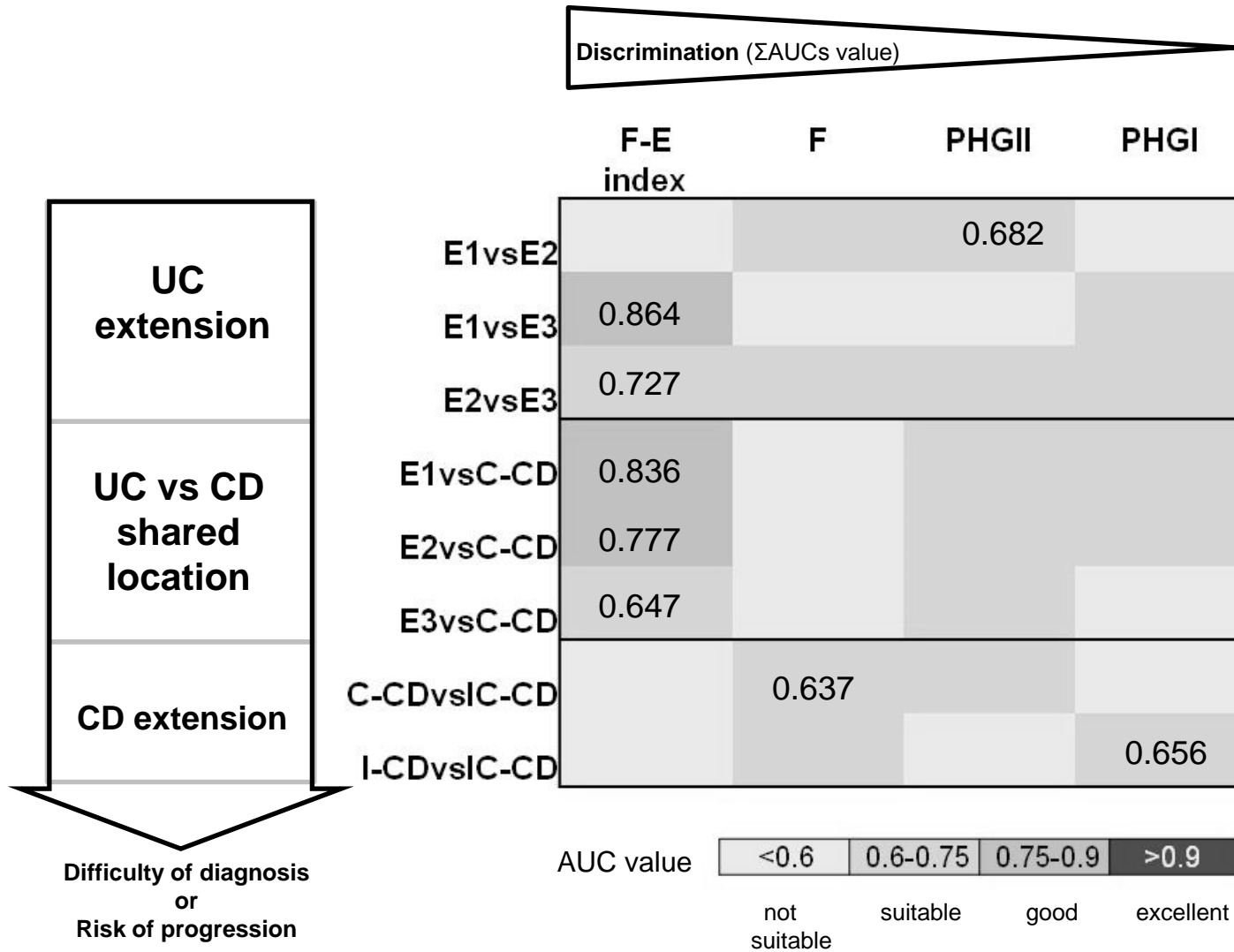
821 **Figure 3.** *F. prausnitzii* populations in healthy gut and in patients with inflammatory  
822 bowel disease (IBD). In IBD patients, alteration of gut environment may affect *F.*  
823 *prausnitzii* population composition and load. These differences can be monitored to  
824 discriminate within IBD subtypes.

825

**Figure 1**

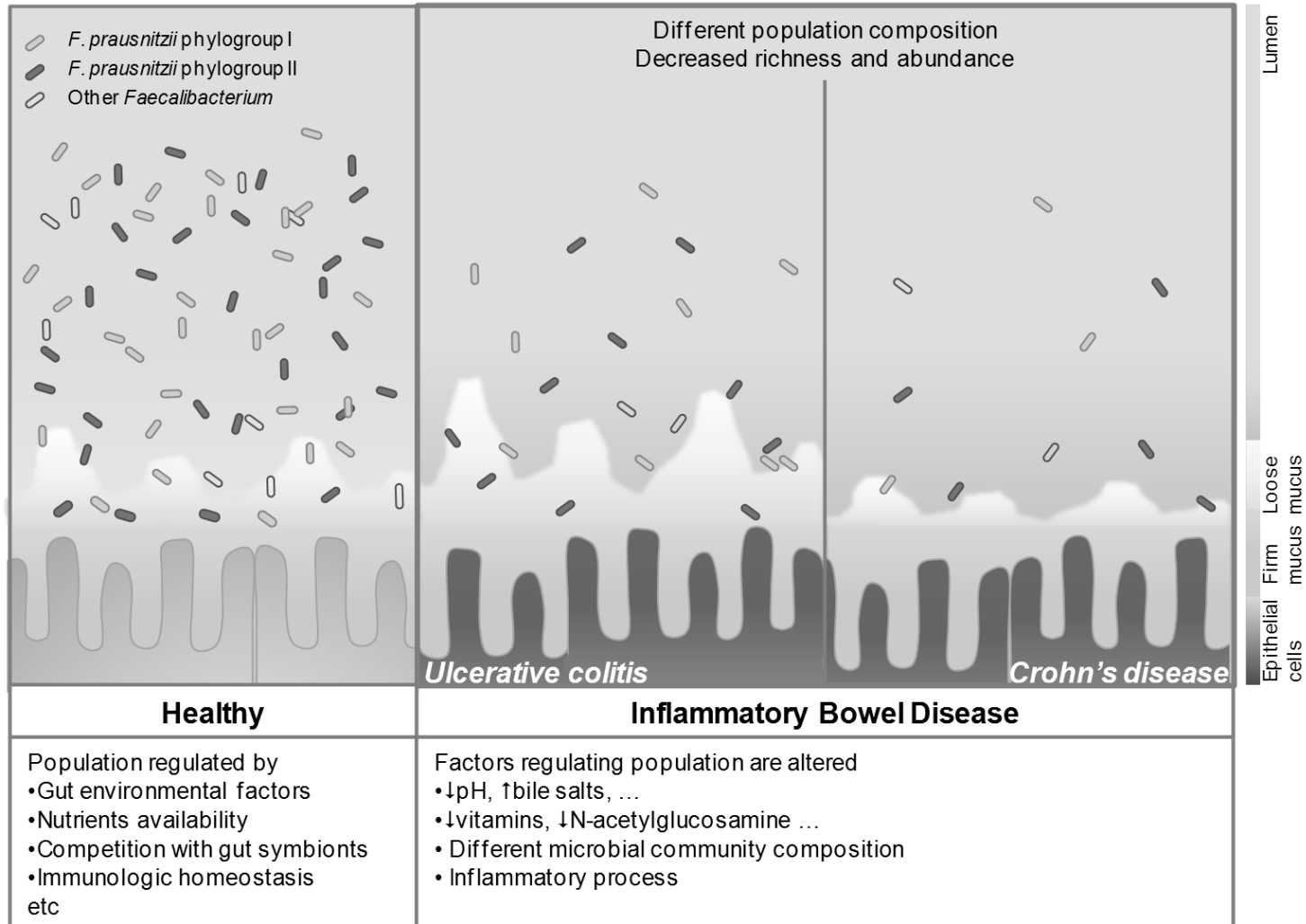


**Figure 2**





**Figure 3**



**Table 1.** Substrates of different origin metabolised by *Faecalibacterium prausnitzii* isolates *in vitro* (batch pure cultures) as reported by (Duncan, *et al.* 2002, Lopez-Siles, *et al.* 2012).

<b>Substrate</b>	<b>No. of utilizers</b>	<b>No. of strains tested</b>
<b>Simple carbohydrates<sup>a</sup></b>		
Glucose	11	11
Fructose	4	4
Cellobiose	10	11
Maltose	10	11
Galactose	9	10
Galacturonic acid	7	9
Sucrose	2	4
Melezitose	1	4
Trehalose	1	4
Rhamnose	1	11
<b>Amino acids<sup>b</sup></b>		
Arginine	4	4
Histidine arylamide	4	4
Glycine arylamide	2	4
<b>Diet-derived<sup>c</sup></b>		
Fructo-oligosacharides	4	4
Pectin (apple)	10	10
Inulin (chicory)	9	11
<b>Host-derived<sup>d</sup></b>		
Glucosamine HCl	10	10
N-acetylglucosamine	9	10
Glucuronic acid	6	10

<sup>a</sup> Other simple carbohydrates tested but non-metabolised are mannitol (0/3), melibiose (0/4), raffinose (0/4), ribose (0/4), fucose (0/10), arabinose (0/11) and xylose(0/11)

<sup>b</sup> Other amino acids tested but non-metabolised are alanine (0/4), glutamic acid (0/4), glutamyl (0/4), leucine (0/4), leucine-glycine (0/4), phenylalanine (0/4), proline (0/4), pyroglutamic acid (0/4), serine (0/4), tyrosine (0/4)

<sup>c</sup> Other diet-derived carbohydrates not metabolised are arabinogalactan (0/10), citrus pectin (0/10), polygalacturonic acid (0/10), xylan (0/10) and potato starch (8/11) which depends on the solubility of the starch as *F. prausnitzii* does not metabolise starch.

<sup>d</sup> Other host-derived carbohydrates not metabolised are choindrotin sulphate (0/10), heparin (0/10), hyaluronic acid (0/10), pig gastric mucin (0/10)

**Table 2.** Average nucleotide identity (ANI) values for paired comparisons between *F. prausnitzii* strains whose genome has been fully sequenced. Phylogroup for each strain is indicated in brackets. Values corresponding to the same genomospecies are indicated in boldface.

ANIB* values					ANIm** values				
<i>F. prausnitzii</i> isolate	KLE1255 (nd)	A2-165 (II)	L2/6(II)	SL3/3(I)	<i>F. prausnitzii</i> isolate	KLE1255 (nd)	A2-165 (II)	L2/6(II)	SL3/3(I)
M21/2 (I)	85.26	83.29	82.11	<b>96.70<sup>§</sup></b>	M21/2(I)	89.02	88.52	88.07	<b>97.34<sup>§</sup></b>
KLE1255 (nd)	●	82.79	82.46	84.70	KLE1255 (nd)	●	88.31	88.65	88.82
A2-165 (II)	82.77	●	82.60	82.74	A2-165(II)	88.31	●	88.23	88.28
L2/6(II)	82.33	82.87	●	81.61	L2/6(II)	88.65	88.23	●	87.99

nd, not determined

\* ANIB, average nucleotide identity based on BLAST searches of 1 kb genome fragments against a target genome.

\*\* ANIm, average nucleotide identity based on the MUMmer algorithm that does not require the artificial generation of 1kb fragments.

ANIB has better application for distant genomes comparison, while both algorithms give nearly identical values in the high identity range (80-100%).

<sup>§</sup> It has been shown that ANI values higher than 94% embraces organisms sharing DNA-DNA hybridization (DDH) values higher than 70% which are considered to be genomospecies.

**Table 3.** Summary of *F. prausnitzii* phylogroups I and II characteristics. No statistically significant differences have been found between the members of the two phylogroups for any of the characteristics analyzed.

	<b>Phylogroup I</b>	<b>Phylogroup II</b>
<b>Strains</b>	ATCC27768, M21/2, S3L/3, S4L/4	A2-165, L2-6, L2-15, L2-39, L2-61, HTF-A, HTF-B, HTF-C, HTF-E, HTF-F, HTF-I, HTF-75H, HTF-60C
<b>Gut distribution</b>	Feces and mucosa	Feces and mucosa
<b>Genome size (mean Mb±SD)*</b>	3.17±0.06	3.21±0.16
<b>GC content (mean %±SD)*</b>	55.85±0.49	56.45±0.21
<b>Genes content (mean±SD)*</b>	2881.5±92.6	2892.5±102.5
<b>Proteins content (mean±SD)*</b>	2778.5±46.0	2725.5±43.1
<b>Carbohydrate utilisation (mean OD<sub>650</sub>±SD) **</b>		
Glucose	0.750±0.311	0.428±0.228
Cellobiose	0.665±0.277	0.383±0.312
Maltose	0.685±0.247	0.603±0.273
Galacturonic acid	0.373±0.208	0.165±0.086
Galactose	0.435±0.369	0.630±0.183
Apple pectin	0.408±0.108	0.270±0.224
Inulin	0.115±0.065	0.510±0.440
Glucuronic acid	0.150±0.113	0.360±0.410
N-Acetylglucosamine	0.615±0.224	0.388±0.369
Glucosamine HCl	0.345±0.177	0.267±0.336
<b>Tolerance to pH (mean growth rate±SD)**</b>		
6.7	0.210±0.070	0.256±0.0151
6.2	0.192±0.050	0.245±0.159
5.75	0.081±0.039	0.108±0.042
<b>Tolerance to bile salts (mean maximum OD<sub>650</sub>±SD)**</b>		
0%	0.717±0.427	0.613±0.202
0.12%	0.174±0.223	0.071±0.150
0.25%	0.032±0.037	0.014±0.014
0.5%	0.026±0.033	0.002±0.005
<b>SCFA production (mM ±SD) §</b>		
Formate	3.508±2.730	15.190±11.856
Acetate	-8.917±11.288	-3.192±9.256
Butyrate	18.524±11.151	23.882±5.386
D-Lactate	2.014±1.992	2.435±0.865
<b>Association with host metabolites</b> (adapted from (Li, <i>et al.</i> 2008))	Decrease in dihydrothymine and an increase in 4-hydroxyphenylacetyl glycine	Decreased levels of 3-aminoisobutyrate, taurine, 3,5-hydroxybenzoate, dimethylamine, 2-hydroxyisobutyrate, glycolate and increased lactate and glycine
<b>Abundance in gut disorders</b> <sup>§§</sup> (adapted from (Hippe <i>et al.</i> , 2016, Lopez-Siles <i>et al.</i> , 2016))	Depletion in IBS, CRC and IBD patients, particularly in active CD	Depletion in CD patients, especially those with intestinal resection.

Associated to type 2  
diabetes.

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\* For these calculations phylogroup I included isolates M21/2 and S3L/3 and phylogroup II consisted of L2/6 and A2-165 isolates

\*\* For these calculations ATCC27768, M21/2, S3L/3 and S4L/4 (phylogroup I) and A2-165, L2-15, L2-39, L2/6, HTF-F and HTF-75H (phylogroup II) were used (Lopez-Siles, *et al.* 2012)

§ Short chain fatty acids produced by strains ATCC27768, M21/2, S3L/3 and S4L/4 (phylogroup I) and A2-165 and L2-6 (phylogroup II) on YCFA medium supplemented with 0.5% (wt/vol) glucose (Lopez-Siles *et al.*, 2012)

§§ IBS, irritable bowel syndrome; CRC, colorectal cancer; IBD, inflammatory bowel disease; CD, Crohn's disease