

1 **Seismic scattering and absorption mapping from intermediate-depth**
2 **earthquakes reveals complex tectonic interactions acting in the Vrancea**
3 **region and surroundings (Romania)**

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7

8 **Abstract**

9 The Vrancea region, located at the southeastern edge of the Carpathians arc bend, is a
10 region of intense seismicity, whose major earthquakes produce hazard in southeastern
11 Europe. Despite the consequent focus of the geophysical and geological community on
12 providing accurate structural and dynamical models of Vrancea, these are still subject to
13 numerous controversies and debates. In the present study, we use intermediate-depth
14 seismicity recorded by the broadband stations of the Romanian Seismic Network between
15 2009 and 2011 to measure S-wave peak delay times and late-time coda quality factors. After
16 mapping these two quantities in space, a cluster analysis provides a quantitative structural
17 interpretation of the region in terms of different attenuation mechanisms affecting the seismic
18 wave field, i.e. seismic scattering and seismic absorption. The results show that scattering is
19 higher west and northwest of Vrancea, while absorption dominates in the Focsani Basin,
20 located in the forearc region. In general, we obtain higher absorption in stable regions, with
21 patterns emphasized at high-frequency affected by the presence of hydrocarbons and
22 natural gas reservoirs in the upper crustal layers. Regions characterized by active seismicity
23 and structural heterogeneity show higher scattering, spatially correlated with the highest
24 velocity contrasts and the lowest density. The high-frequency scattering/absorption contrasts
25 obtained using the cluster analysis depict a southwest-to-northeast lithospheric contrast,
26 following the epicentral trend of Vrancea earthquakes, and characteristic of either
27 lithospheric subduction or delamination. Low-frequency cluster analysis results, sampling
28 deeper Earth layers, mark a unique high-absorption trend perpendicular to the epicentral
29 trend, feasibly linked to Neogene volcanism, and induced by the back-arc mantle upwelling.
30 Its most recent expression is Ciomadul volcano, located at the northwestern limit of the
31 absorption trend.

32 **Key words:** Seismic attenuation, Vrancea region, intermediate depth earthquakes, peak
33 delay times, coda quality factor, cluster analysis

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37 1. INTRODUCTION

38 The Vrancea region, located at the southeastern edge of the Carpathians arc bend in
39 Romania (Figure 1a), represents one of the most seismically-active areas in Europe.
40 Crustal- and intermediate-depth earthquakes overlay within the area. The intermediate-depth
41 earthquakes are located in a small lithospheric volume going down in the mantle and cause
42 important seismic hazard over large distances. Up to 4 - 5 events per century with
43 magnitudes up to 7.9 (according to the Romplus catalog, Oncescu et al. 1999) are
44 generated here. The earthquakes occurred in the shallower crust are characterized by
45 moderate magnitudes (below 6) and spread over an extended area.

46 The competing effects of absorption, scattering, and geometrical spreading in 3-D structures
47 cause the loss of seismic wave energy while travelling through the Earth. The study and 2D
48 mapping of (1) anelastic absorption, related to temperature, chemical composition, melt or
49 fluid content and (2) scattering of seismic waves on heterogeneities affecting different
50 frequency ranges is an ideal complement to velocity tomography measurements, improving
51 hazard assessment for regions exposed to strong ground motion. Nevertheless, the complex
52 pattern of seismic radiation generated by an earthquake generally corrupts both the
53 estimation of total seismic attenuation and the separation of specific attenuation
54 mechanisms using direct waves (Del Pezzo et al. 2011). Subcrustal earthquakes in the
55 Vrancea region near the Carpathians Arc in Romania (Figure 1) exhibit such complex
56 ground motion patterns, with significant differences between the areas inside and outside of
57 the Carpathians Arc. These differences are mainly attributed to attenuation properties (Popa
58 et al. 2005; Russo et al. 2005; Oth et al. 2008) and the region is thus an ideal setting to
59 apply methodologies that separate and map different attenuation mechanisms, in particular
60 seismic scattering from seismic absorption (Takahashi et al. 2007; Calvet et al. 2013a).

61 Oancea et al. (1991) were the first to measure Q values of the order of 700-800 for the
62 region of maximum seismicity using Vrancea intermediate-depth earthquakes and coda
63 wave analysis. Spatial variations of the attenuation patterns have been obtained by the
64 comparison of waveforms produced by small- and moderate-magnitude Vrancea subcrustal
65 earthquakes (Popa et al. 2003; 2005). Seismic amplitudes decrease by a factor of 10 to 100
66 for events occurring at the back-side part with respect to those occurring at the fore-side
67 part. Sudhaus et al. (2005) used teleseismic waveforms from a seismic refraction experiment
68 (VRANCEA99) to study seismic attenuation, and found relatively high-attenuation anomalies
69 in the Carpathian Mountains as well as in the sedimentary basins. Russo et al. (2005)
70 estimated S-wave quality factors for intermediate-depth earthquakes; their results show low
71 attenuation east and north of Vrancea (Figure 1) and high attenuation in both the epicentral
72 area and the Transylvanian Basin. Similar results were obtained by Ivan (2007) from

73 teleseismic recordings of P and pP waves, while Radulian et al. (2006) show that attenuation
 74 is strongly frequency-dependent especially toward NW of Vrancea, at least with respect to
 75 SE. Oth et al. (2008) analysed the attenuation characteristics of S-wave spectra and found
 76 that attenuation is roughly homogeneous in the low frequency range (<4–5 Hz) for any
 77 propagation path, while at higher frequencies the attenuation in the Carpathian Mountains
 78 arc is over ten times stronger than that in the foreland area. The authors attribute this
 79 difference to the intrusion of hot asthenosphere beneath the Carpathians back-arc region.
 80 An overview of these studies is given in Table 1 and shown schematically in Figure 1b.
 81 While all these studies focus on seismic attenuation in the region, they do not distinguish
 82 between two different attenuation mechanisms, namely scattering attenuation and
 83 absorption.

84

85 **Table 1.** An overview of the attenuation effects for Vrancea subcrustal earthquakes within
 86 Romania according to various studies

Study	low-attenuation	high-attenuation
Oancea et al. (1991)	High Qc (700- 800)within the Carpathians bend	-
Sudhaus et al. (2005)		Revealed in the Carpathian Mountains as well as in the sedimentary basins
Russo et al. (2005)	Pointed out high Qs (low attenuation) in Platform regions and stable Precambrian craton areas	In tectonically active regions – especially where asthenosphere lies at shallow levels
Popa et al. (2003; 2005)	Shown in the foreland platform	Affects mostly high frequencies; the amplitudes are reduced by a factor of 20 in the Transylvanian Basin and the Eastern Carpathians
Radulian et al. (2006)	Low attenuation in the extra-Carpathians areas	Strong attenuation at high frequencies explains the low damage to structures in the intra-arc region
Ivan (2007)	The volume is limited to the East by the 26°30' meridian	Has been observed for stations located in the northwestern part of the Vrancea seismogenic volume; no clear spatial correlation exists between attenuation values and shallow geological settings
Oth et al. (2008)	Was shown in the foreland, variability strongly increases with increasing frequency	Characterizes the Vrancea area; and the inner side of the mountain arc in the Transylvanian Basin

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88 The goal of the present study is to measure and map these two mechanisms in the Vrancea
 89 region and adjacent areas, i.e., to interpret them in terms of crustal and mantle structures
 90 and tectonic processes. We apply a set of techniques, namely peak delay time and coda
 91 quality factor mapping and 2D K-means cluster analysis, which have been widely used to

92 image the heterogeneous crust in regions such as Japan (Sato, 1989; Obara and Sato,
93 1995; Petukhin and Gusev, 2003; Saito et al. 2002, 2005; Takahashi et al. 2007, 2009;
94 Tripathi et al. 2010), the Pyrenean range (Calvet et al. 2013a), and local volcanoes (De
95 Siena et al. 2011; Prudencio et al. 2013; De Siena et al. 2016). After presenting both the
96 data used in our analysis and the limitations of the methods in terms of effective sensitivity of
97 seismic waves to Earth structures, we discuss the results focusing on the novel insight they
98 provide on the main seismo-tectonics and geological structures in the region.

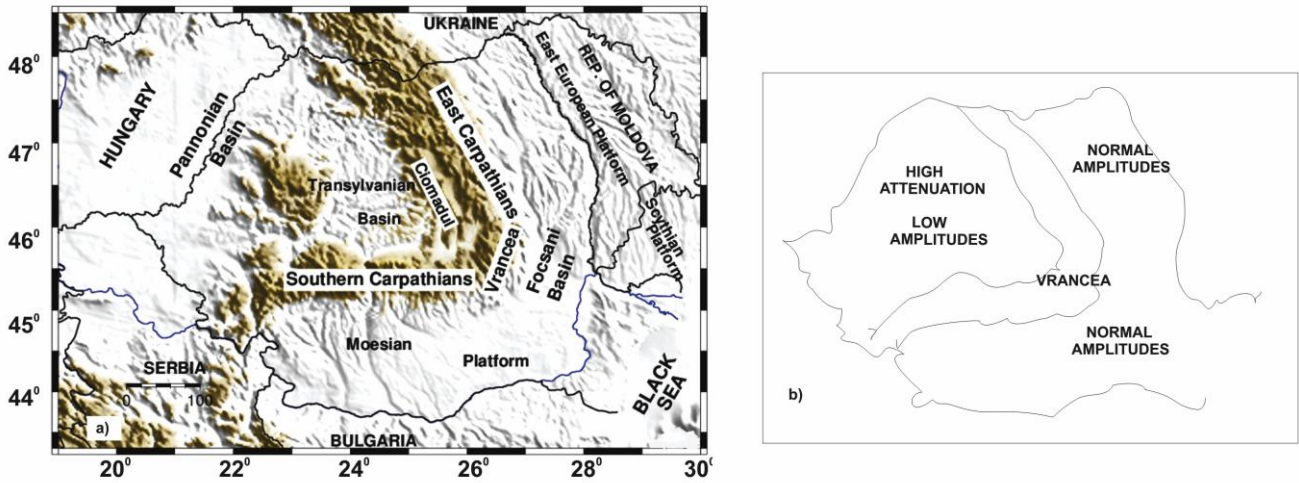
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100 **2. SEISMOTECTONIC OVERVIEW**

101 The SE Carpathian arc formation is related to the Alpine orogeny as a result of the collision
102 of the Tisza-Dacia microplate in the West, the stable cratonic East European Platform in the
103 East (Sandulescu, 1984, 1988) and Moesian Platform in the south east. Many studies
104 showed that the Carpathian unit collided with the W-SW part of Moesia in Mid-Cretaceous
105 times, rotated subsequently around its corner and since the Paleogene moved towards their
106 present position (Săndulescu, 1988; Schmid et al. 1998; Hippolyte et al. 1999; Mañenco and
107 Schmid, 1999). During the Tertiary, an oceanic or thinned continental lithosphere was
108 subducted below the East-Carpathians (e.g. Săndulescu, 1988; Wortel and Spakman, 2000).
109 The active shortening process stopped during the late Oligocene–Early Miocene periods
110 (about 20 MA) when all the oceanic-type basins were closed (Ellouz and Roca, 1994; Linzer
111 et al. 1998). Different studies explained the time difference between the end of the
112 shortening process and lithospheric subduction and the beginning of the volcanic activity in
113 the Eastern Transylvanian Basin characterized by calc-alkaline and alkali basaltic eruptions
114 of magmas (Szakacs, 1993; Seghedi and Szakacs, 1994; Downes et al. 1995; Seghedi et al.
115 1998) by various geodynamic processes like roll-back, detachment and/or break-off of the
116 subducted lithospheric slab (Csontos, 1995; Mason et al. 1998; Seghedi et al. 1998; Linzer
117 et al. 1998), or delamination of the lower part of the lithospheric mantle from the lower plate
118 (Gîrbacea, 1997; Gîrbacea and Frisch, 1998; Chalot-Prat and Gîrbacea, 2000).

119 In this setting the Vrancea region, located at the limit of the SE Carpathian arc (Figure 1) is a
120 region with notable crustal- and intermediate-depth seismicity with magnitudes up to 7.9,
121 which can be used to illuminate the entire area. Earthquakes are generally located beneath
122 the external thin-skinned thrust belt of the SE Carpathians at depths down to 220 km
123 (Oncescu and Bonjer, 1997; Bala et al. 2003). Martin et al. (2005) have shown that the SE
124 Carpathians area has a complex lithospheric structure because of its young and intense
125 tectonic evolution. At least three distinct lithospheric blocks are in contact and responsible
126 for the seismicity in Vrancea (Figure 1): (1) toward NE the East European Platform, (2) the

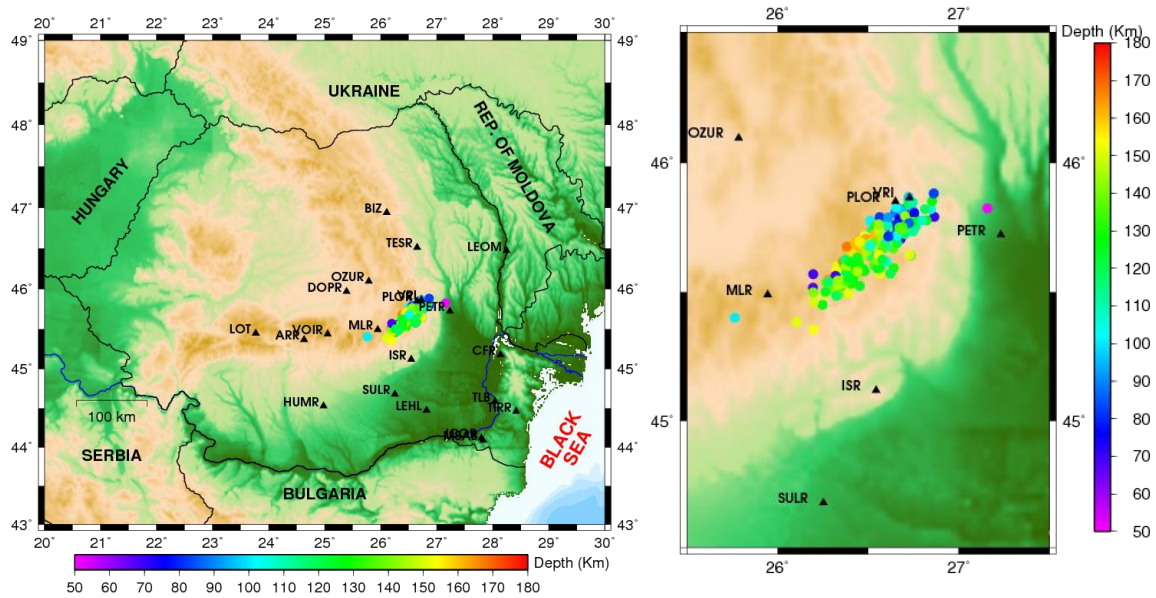
127 Transylvanian Basin, located on the Tisza–Dacia block toward NW, and the Moesian
 128 1981; Raileanu et al. 1994; Raileanu and Diaconescu, 1998; Radulian et al. 2000).
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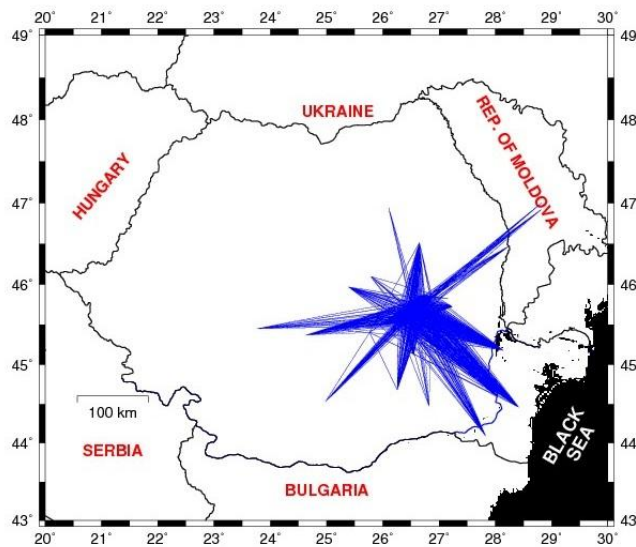
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 131 **Figure 1** Simplified tectonic map of Romania (a) and sketch representing the attenuation
 132 mechanism (b) for the study area according to researches given in Table 1.
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134
 135 **3. DATA**

136 Velocity waveforms of local earthquakes in the Vrancea region recorded by 3-component
 137 broadband stations belonging to Romanian Seismic Network (RSN) of the National Institute
 138 for Earth Physics (NIEP) were used in the present study. The hypocentral distance of the
 139 selected earthquakes is in the range of 100 to 250 km. The study region is characterised by
 140 good ray coverage due to the excellent aperture and station spacing of RSN, which has
 141 been constantly growing during the last decade (Neagoe and Ionescu, 2009, Popa et al.
 142 2015). A data set of 204 intermediate-depth earthquakes (depths between 50 and 168 km)
 143 occurring mostly between 2009 and 2011 with magnitudes ranging from 2.9 to 5.0, was
 144 selected for the analysis. The Romanian Data Centre (RONDC) of NIEP (Romplus
 145 catalogue, Oncescu et al. 1999, which is constantly updated) provided earthquake
 146 parameters as well as P- and S-wave travel times. The distribution of hypocentres, the
 147 seismic station coverage, and the ray density are shown in Figure 2.



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150 **Figure 2** Epicenters (colored dots show depth) and stations distribution (black triangles)
 151 used in this study (top-left); a zoom on the seismicity, located in a SSW-NNE-trending
 152 vertical volume (top-right); the seismic ray paths density (bottom).
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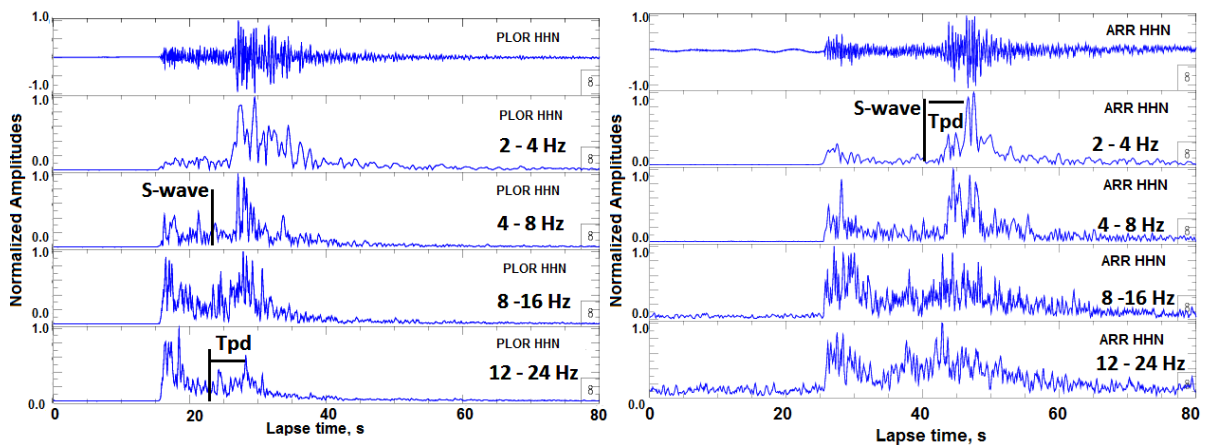
154 After the deconvolution of the instrument response, a fourth-order Butterworth bandpass
 155 filter was applied to each seismogram in forward and backward directions to obtain
 156 waveforms in 4 frequency bands (2-4 Hz, 4-8 Hz, 8-16 Hz and 12-24 Hz). Envelopes for
 157 each frequency band were then computed as the root-mean-square (RMS - a direct
 158 measurement of seismic intensity) of each horizontal velocity seismograms (Figure 3).
 159 Finally, we applied smoothing using a moving time window whose typical duration is twice
 160 the central period of each frequency band.

161

162 **4. METHODS**

163 **4.1 PEAK DELAY TIME (SCATTERING) MAPPING**

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165 The peak delay time (Tpd) is defined as the time-difference between the S-wave onset and
166 the maximum amplitude of the envelope, a well-known measurement of forward scattering
167 (Takahashi et al. 2007, 2009; Tripathy et al. 2010; Calvet et al. 2013a). For each frequency
168 band (central frequencies 3 Hz, 6 Hz, 12 Hz, and 18 Hz) the maximum was picked on the
169 EW and NS components at each station in a time-window of 30 s duration, starting at the S-
170 wave onset. After averaging the two component measurements, we obtain 1540 Tpd
171 measurements for each frequency band. Figure 3 shows the data processing for two station
172 recordings of the same earthquake (25/02/2010, 15:51:28, Mw = 4.3, depth h = 110km).
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175 **Figure 3** Examples of observed seismograms and their filtered envelopes at two stations:
176 (left) PLOR situated around 140 km hypocentral distance and (right) ARR situated around
177 250 km (west relative to Vrancea) hypocentral distance. Top to bottom: velocity
178 seismograms recorded by NS-components, filtered between 1 and 50 Hz after the
179 deconvolution of the recording system response, and root mean square (RMS) envelopes in
180 the 2-4 Hz, 4-8 Hz, 8-16 Hz, and 12-24 Hz frequency bands. The amplitudes were
181 normalized to the maximum amplitude of each trace. The arrival of the S-wave and
182 measurements of Tpd are shown in each panel.
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185 **Correction of travel distance and frequency dependence of peak delay times**

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187 We follow the selection criteria for distance of Sato (1989) and Takahashi et al. (2007) and
188 consider source-station hypocentral distances in the range of 100 to 250 km. This ensures
189 that envelope broadening due to scattering does dominate over the source duration time
190 (contrary to what happens at shorter distances), and that the travel distance dependence of
191 the envelope broadening has the same characteristics along the entire hypocentral range
192 (contrary to longer distances). The linear dependence of the logarithm of peak-delay times
193 against hypocentral distances is shown in Figure 4 (red lines) and is characteristic for the
194 selected frequency ranges at lithospheric scale (Takahashi et al. 2007; Tripathi et al. 2010).

195 The linear fit corresponds to the following equation and is used to correct for the hypocentral
 196 distance (R) dependence:

$$197 \log_{10} Tpd(f) = A_r(f) + B_r(f) \log_{10} R. \quad (1)$$

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 200 Both the regression coefficients (A_r and B_r) and the root mean square errors (RMSE) are
 201 given in Table 2.

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 203 **Table 2.** Estimated coefficients from peak delay time distance corrections, obtained by least-
 204 square regression for each frequency band. The right hand column shows the root mean
 205 square values (RMSE)
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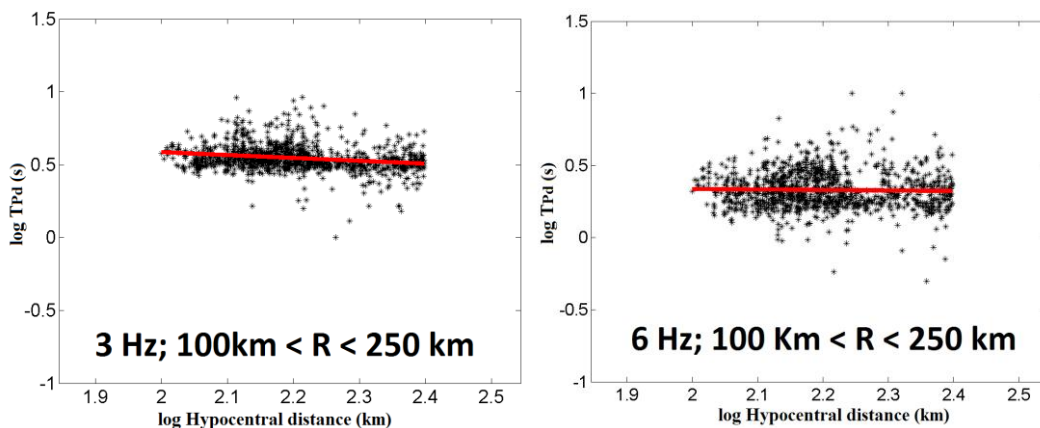
Frequency (HZ)	A_r	B_r	RMSE
2 - 4 Hz	0.9803	-0.1976	0.0875
4 - 8 Hz	0.4018	-0.0327	0.1250
8 - 16 Hz	-0.0835	0.1490	0.1577
12 - 24 Hz	-0.5725	0.3526	0.1747

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 209 Figure 4 reveals three important features of the regression trends with increasing frequency:
 210 (i) the slope of the regression trends increases, (ii) measurements are increasingly spread
 211 around the regression trend, and (iii) the slopes change from negative (3-6 Hz) to positive
 212 (12-18 Hz). Feature (i) is typical of upper-crustal measurements, especially at 3 Hz, while
 213 features (ii) and (iii) are different with respect to what is generally observed at lithospheric
 214 scale (Takahashi et al. 2007; Calvet et al. 2013a).

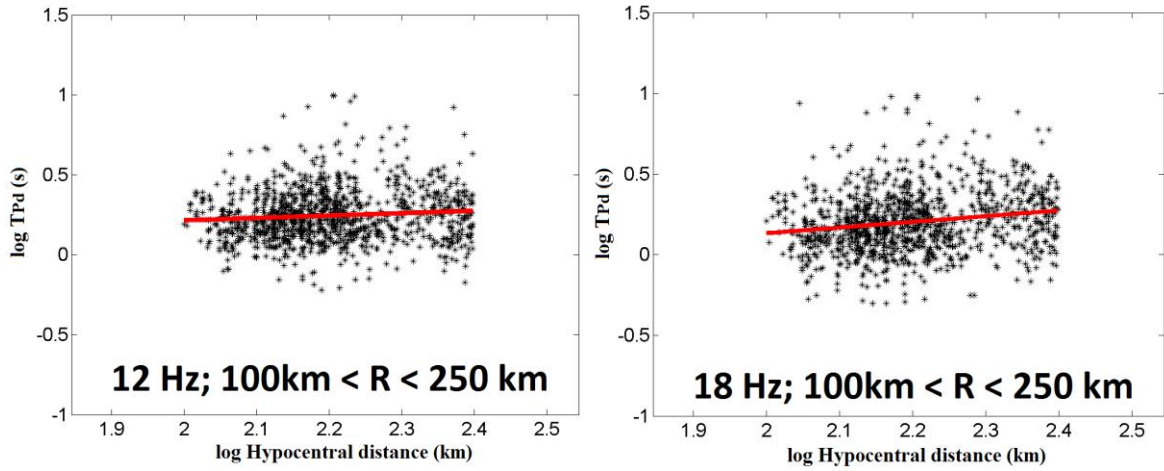
215 Several studies (Popa et al. 2005; Oth et al. 2008) have previously highlighted that
 216 attenuation is frequency-dependent, with attenuation variability strongly increasing with
 217 increasing frequency. In particular, Oth et al. (2008) have shown that, at high frequencies (>
 218 4-5 Hz), there is approximately one order of magnitude difference in attenuation between the
 219 recordings in the Carpathians and the foreland areas, whereas, at lower frequencies, the
 220 attenuation characteristics in both regions are similar. Possible physical explanations for this
 221 frequency-dependent behaviour of attenuation involve the degree of coupling between the
 222 slab and the overlying crust (Sperner et al. 2005), strong temperature effects (Zadeh et al.
 223 2005), as well as scattering phenomena within the subducted lithosphere (Furumura and
 224 Kennett, 2005). We rely on the results of Saito et al. (2002), who showed that envelope
 225 broadening strongly increases with frequency if the content of short-wavelength (strong
 226 velocity fluctuations) in random media increases. This and the aforementioned results all
 227 agree with higher variation of high-frequency peak-delay measurements and indicate a
 228 dominance of small-scale heterogeneities in Vrancea and surrounding areas (feature ii).

229 It has been shown by several authors that coda-waves at 3 Hz comprise surface waves
 230 (Obermann et al. 2013; Popelliers et al. 2014; Galluzzo et al. 2015; De Siena et al. 2015).
 231 Nevertheless, while surface-wave components could affect Qc measurements, this is no
 232 feasible explanation for the lowering of the peak-delay times with hypocentral distance at low
 233 frequencies (feature iii, Figure 4): if we would pick surface waves instead of S-waves we
 234 would observe a time dispersion, i.e., peak-delays increasing with hypocentral distance.
 235 Takahashi et al. (2007), who analysed data in a similar depth range similar but with a more
 236 extended lateral coverage, did not observe noticeable changes in the behaviour of the linear
 237 hypocentral-correction fit among different frequency ranges. Calvet et al. (2013a) noticed
 238 changes of the slope of the regression line for different frequencies using seismicity located
 239 in the upper crustal layers with less extended network coverage. However, they did not
 240 measure a negative slope. Our preferred explanation for feature (iii) is thus related (a) to the
 241 particular geometry of the hypocenters in our dataset and (b) to the different sensitivity of
 242 peak-delay measurements to depth in different frequencies. Seismic events are clustered
 243 inside a relatively-small lithospheric volume with respect to the extension of the seismic
 244 network. At larger hypocentral distances, 3 Hz and 6 Hz waves progressively sample
 245 deeper, more-compact/lower-scattering Earth layers, thus consistently showing a decrease
 246 in peak-delay time with distance. 12 Hz and 18 Hz waves sample instead shallower and
 247 more inhomogeneous layers, presenting stronger scattering that increases peak-delay times
 248 at larger hypocentral distances. This difference in sensitivity is the main cause for the low-
 249 frequency negative slopes in the peak-delay times. If our interpretation is correct, we can
 250 safely assume that (1) the linear dependence of peak delay times versus distance and (2)
 251 the increase of peak-delay slopes versus distance with increasing frequency (Figure 4) are
 252 sufficient to ensure the validity of the linear peak-delay time distance correction.

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Figure 4 The logarithm of peak delay times (T_{pd}) against the logarithm of the hypocentral distance. Central frequency and distance range (R) are shown in each panel.

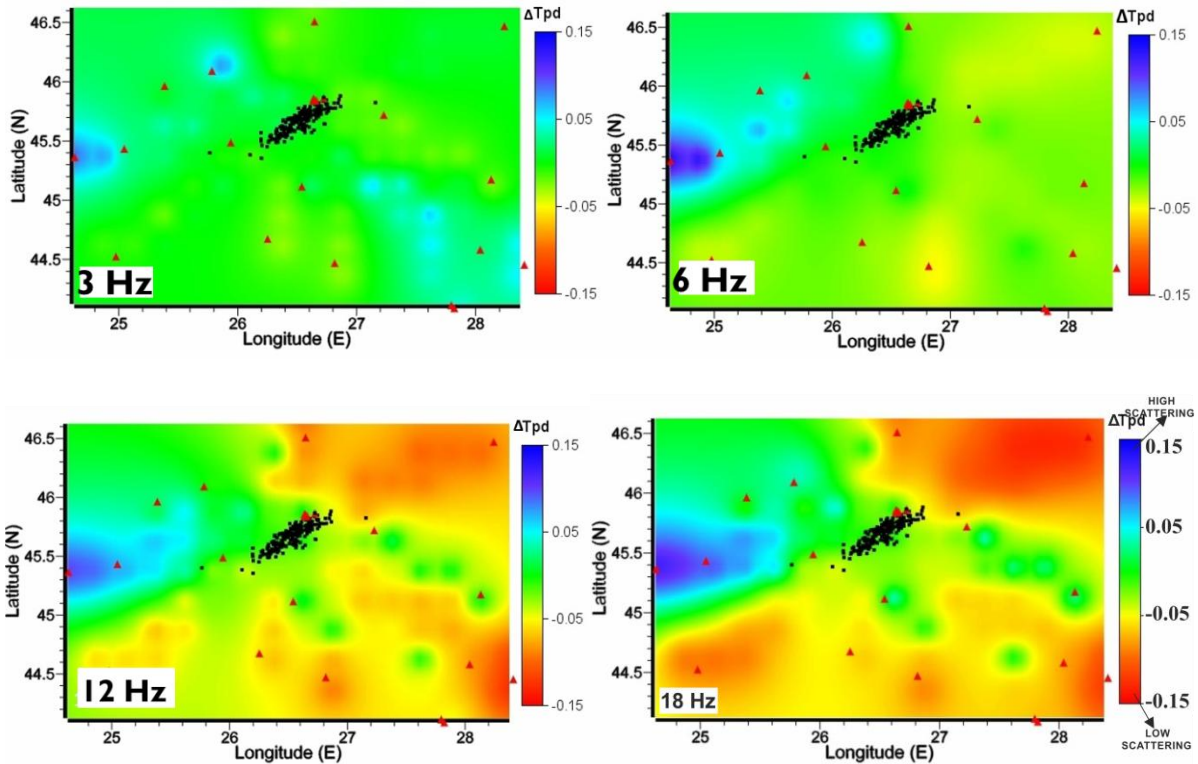
Spatial distribution of peak delay times in the Vrancea region and adjacent areas

The 2-D spatial distribution of peak delay times (T_{pd}) was obtained following the approach of Takahashi et al. (2007) and Calvet et al. (2013a). The hypocentral distance dependence was removed for each frequency range computing T_{pd} differences following the equation:

$$\Delta \log_{10} T_{pd} = \log_{10} T_{pd}(f) - (A_r(f) + B_r(f) \log_{10} R), \quad (2)$$

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where $\Delta \log_{10} T_{pd}$ are the mapped measurements and represent, in our interpretation, the strength of the scattering due to heterogeneities along the source-station path. The study region has been divided into squares of $0.25^\circ \times 0.25^\circ$. The average value of $\Delta \log_{10} T_{pd}$ from all rays crossing the square is allocated in space to the centre of the square and results are then interpolated. Figure 5 shows the $\Delta \log_{10} T_{pd}$ maps in the four frequency bands. We took into account only squares crossed by a minimum of 4 rays. Red regions are characterized by low $\Delta \log_{10} T_{pd}$ values (low scattering), while blue regions are characterized by high $\Delta \log_{10} T_{pd}$ values (strong scattering).

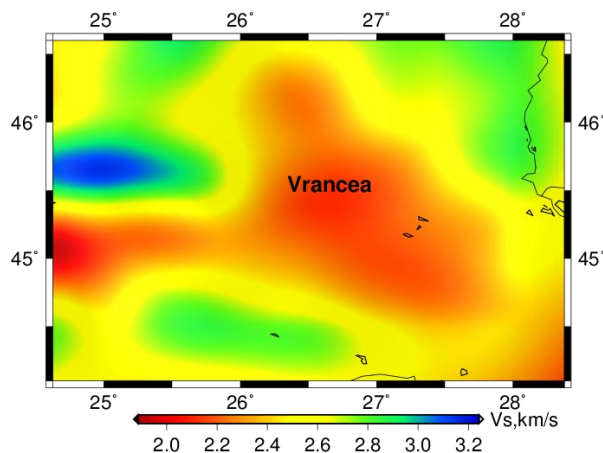


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Figure 5 Maps of logarithmic peak delay times differences for the selected frequency ranges. Red triangles represent seismic stations and black dots earthquake epicenters. Blue regions are characterized by strong scattering; red denotes areas of low scattering.

290 From a smooth spatial distribution of scattering heterogeneity at low frequencies (3Hz) we
 291 pass to strongly variable scattering strengths for higher frequencies (18 Hz). Anomalies in
 292 the high-frequency range show spatial correlation with the S-waves seismic velocity patterns
 293 obtained by Ren et al. (2013) from ambient noise tomography at a depth of 4 km (Figure 6).
 294 These are also associated with the three major tectonic units, intersecting in the Vrancea
 295 region.



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Figure 6 Horizontal section of S -waves velocity at a depth of 4 km according to Ren et al. 2013.

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300 In particular, the highest peak-delay time differences are distributed W and NW relative to
301 the Vrancea region, in the Transylvanian Basin, and are associated with the Carpathian
302 Mountains roots, while the transition to the East European Platform to the Moesian Platform,
303 east and northeast of Vrancea, produces low-/average-scattering anomalies (compare with
304 Figure 1).

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306 **4.2 CODA QUALITY FACTOR (ABSORPTION) MAPPING**

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308 The quality factor (Q) is a non-dimensional parameter (Knopoff, 1964) measuring the
309 decrease in amplitude of a seismic wave travelling through a heterogeneous medium, thus
310 used to quantify different media characteristics. The inverse coda quality factor (Q_c^{-1} , also
311 called the coda attenuation factor) represents a direct measurement of seismic attenuation.
312 According to Sato et al. (2012), Q_c^{-1} is a linear combination of the inverse intrinsic quality
313 factor Q_i^{-1} (measuring intrinsic absorption) and the inverse scattering quality factor Q_s^{-1}
314 (measuring the energy scattered by the medium that can be recovered in seismic coda).
315 Starting from Aki (1969), the Q_c^{-1} dependence from scattering and absorption as well as its
316 connection to tectonic stress has been the focus of several studies (e.g. Aki and Chouet
317 1975; Sato, 1977; Rautian and Khalturin, 1978; Hermann, 1980; Oancea et al. 1989b, 1991;
318 Calvet et al. 2013a, b). In the present study, the single backscattering approach proposed
319 first by Aki and Chouet (1975) is applied. It measures the coda attenuation factor from the
320 decay of the energy envelope as a function of time t , according to the following equation:

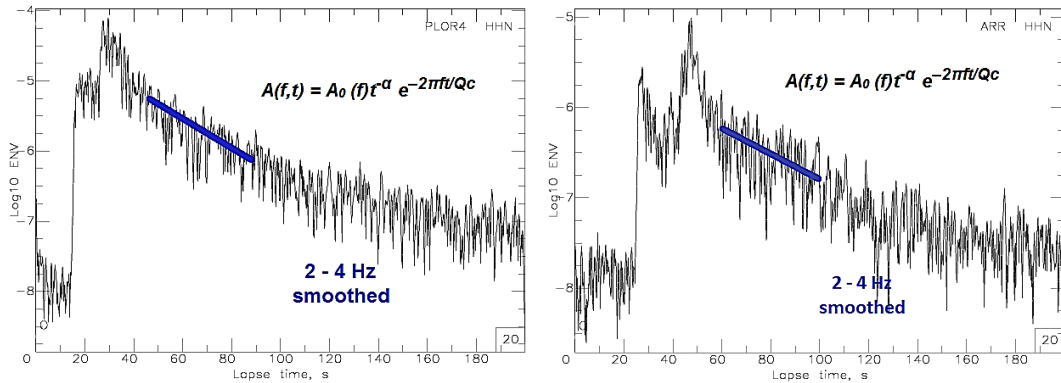
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$$322 \quad A(f,t) = A_0(f)t^{-\alpha} e^{-2\pi f t / Q_c}, \quad (3)$$

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324 where $A(f,t)$ represents the power spectral density, $A_0(f)$ is a source-dependent term, t is the
325 lapse time from the earthquake origin time, f is the frequency, and α is the positive exponent
326 (assumed equal to 1.5 following Calvet et al. 2013a). Q_c^{-1} for a single station component
327 was computed by a least-squares linear fit of $\log(A(f,t)t^{1.5})$ versus t in the four frequency
328 bands used to measure peak delay times. The average over the two components was then
329 taken as the source-station Q_c^{-1} . The envelope decay was measured (i) using a time window
330 starting at the highest possible lapse-time from the origin of the earthquake, (ii) selecting
331 those seismograms who had a signal-to-noise ratio higher than 1.5 and (iii) for which the
332 correlation coefficient of the linear regression was greater than 0.5. We obtain 1540
333 measurements for each frequency band. Figure 7 shows example-measurements for two
334 different source-station pairs, using the same earthquake described in Figure 3.

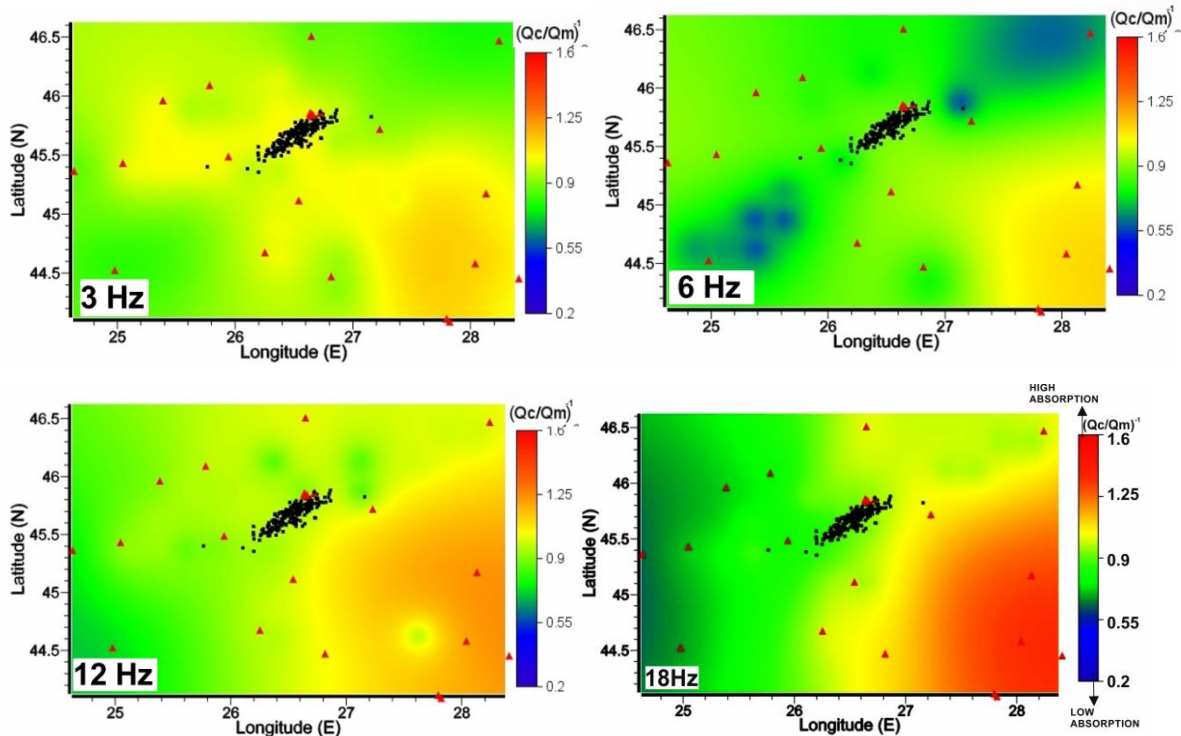
335 According to Calvet et al. (2013a, 2013b), the highest achievable lapse-time is selected (70
 336 s), so that the Q_c^{-1} dependence on anisotropy and scattering attenuation is low, and the
 337 quantity can be considered a direct measurement of absorption. This assumption is not valid
 338 for shorter lapse times and higher levels of heterogeneity, where topography and different
 339 coherent effects might still affect the coda waves (Saito, 2010; Calvet et al. 2013a; De Siena
 340 et al., 2014). The signal-to-noise ratio after 90 s always drops below 1.5 for most source-
 341 station recordings, thus the coda time window (t_w) is set at 20 s.
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343
 344 **Figure 7** Examples of observed envelopes obtained from the NS components filtered
 345 between 2 and 4 Hz for station PLOR (left) situated at 140 km hypocentral distance and
 346 (right) for station ARR with a 250 km hypocentral distance. The two stations show different
 347 slopes for the envelope decay.
 348

349 Spatial distribution of Q_c in the Vrancea region and adjacent areas.

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 351 We adopt the same mapping strategy for both peak-delay times and coda quality factors,
 352 dividing the region into squares of $0.25^0 \times 0.25^0$, allocating the average over ray values of
 353 Q_c^{-1} to the centre of each square, and keeping only those crossed by at least 4 rays. The
 354 ray-dependent Q_c^{-1} values were finally divided by the average over the entire dataset (Q_m^{-1}).
 355 In Figure 8, red regions are associated with low Q_c values (high absorption) whereas blue
 356 colors correspond to high Q_c values (low absorption).
 357 No clear correlation exists between the absorption maps and the three main tectonic units of
 358 the area. On the other hand, the absorption measurements are frequency dependent, with
 359 contrasts in absorption properties clearly enhanced at higher frequencies (Figure 8). At 3 Hz,
 360 we observe secondary high-absorption anomalies crossing Vrancea from west to southeast,
 361 while at higher frequencies we observe a south-to-northeast absorption contrast, located
 362 between the outer and inner Carpathians, dominates the maps.



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365 **Figure 8** Maps of inverse coda quality factor divided by the mean of all measurements
 366 $(Q_c/Q_m)^{-1}$ for the selected frequency ranges. Red triangles represent seismic stations and
 367 black dots earthquake epicenters. Red colours show high absorption, blue colours low
 368 absorption.

369

370 Although the exact frequency-dependent sensitivity of coda waves to depth is still debated
 371 (e.g. Aki and Chouet, 1975; Shearer and Earle, 2004), it has been theoretically and
 372 experimentally shown that 3 Hz coda measurements are consisting of surface waves,
 373 making them particularly sensitive to surface structures (Calvet et al 2013a, Obermann et al.
 374 2013; Mayor et al. 2014; Galluzzo et al. 2015; De Siena et al. 2016). Attenuation by
 375 absorption is more important in the upper crust than in the upper mantle (Sato et al. 2012).
 376 In a volcanic medium, the high heterogeneity and quick onset of the diffusion regime makes
 377 Q_c particularly sensitive to shallow volcanic structures, as debris flows (Popelliers et al.
 378 2015; De Siena et al. 2016). In a lithospheric setting however, different frequency-dependent
 379 behaviours are suggested by other studies (Calvet et al. 2013a, De Siena et al. 2014), with
 380 Q_c measurements sampling deeper structures at lower frequencies: the results of the
 381 mapping at Vrancea agrees with this last interpretation, as higher spatial correlation with
 382 superficial lithospheric structures is found at higher frequencies.

383

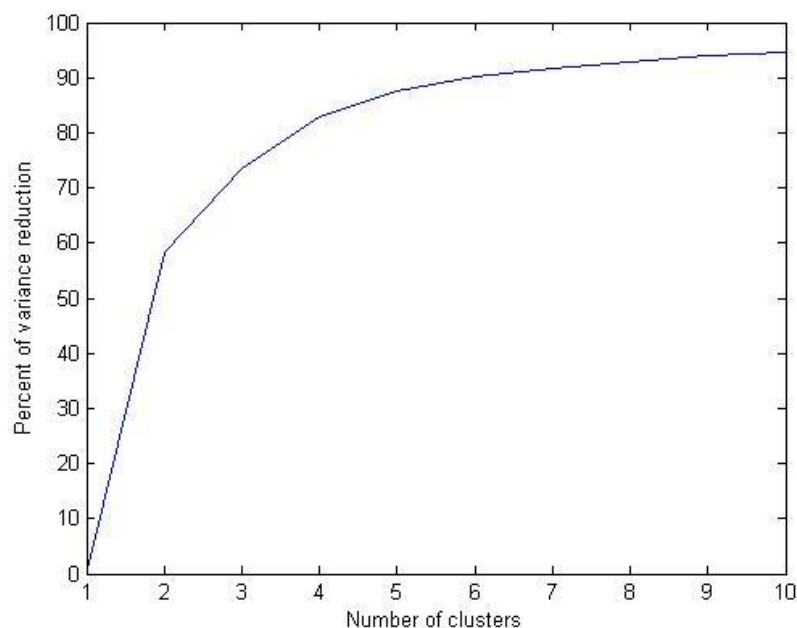
384 4.3 CLUSTER ANALYSIS

385

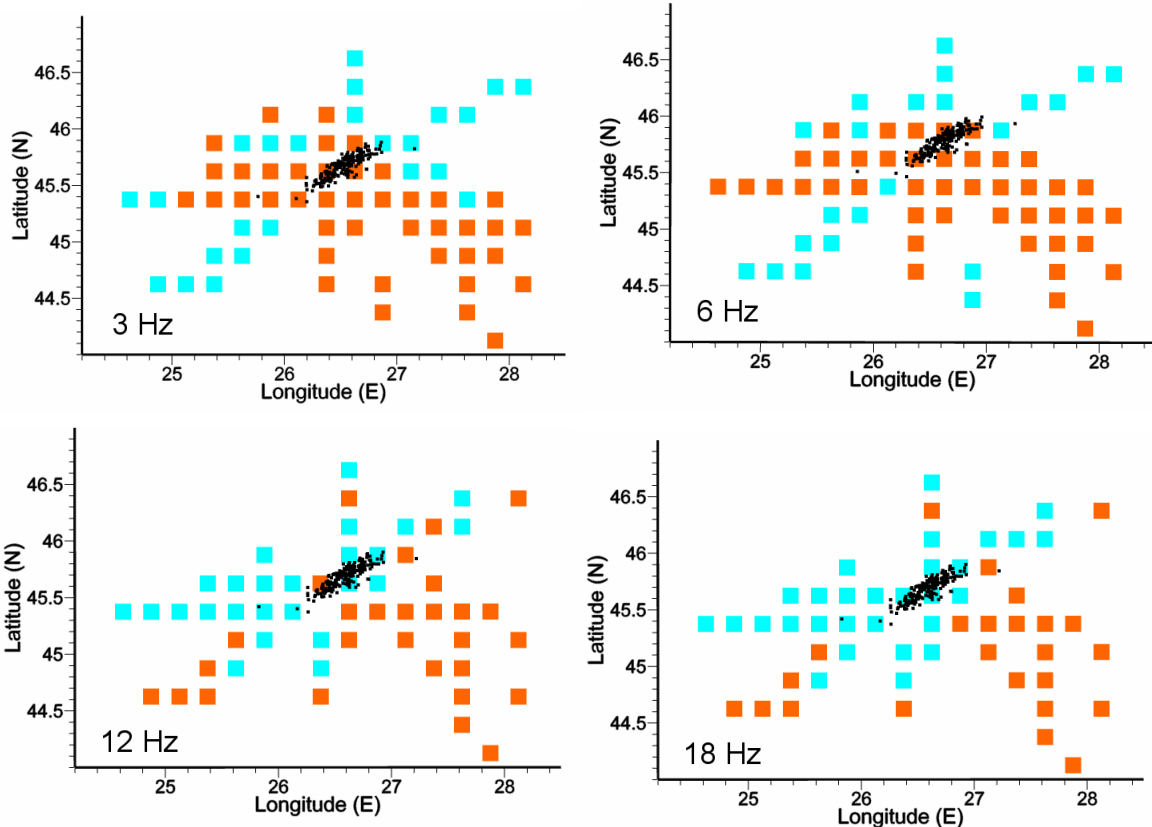
386 To separate scattering and absorption patterns quantitatively using the maps of $\Delta \log_{10} T_{pd}$
 387 (Figure 5) and $(Q_c/Q_m)^{-1}$ (Figure 8) obtained in previous sections we have applied a non-

388 hierarchical selection analysis known as K-means clustering (Hartigan, 1975) with Euclidean
389 distance. Cluster analysis is a widely used method to separate data into groups depending
390 on their physical characteristics. The main challenge this method presents is the estimation
391 of an optimal number of clusters (K), each cluster comprising objects with similar
392 characteristics. According to Cornish (2007), the main disadvantage of cluster analysis is
393 that there is no mechanism to differentiate between relevant and irrelevant variables when
394 choosing the number of clusters. Therefore, the choice of variables included in a cluster
395 analysis must be underpinned by conceptual considerations.

396 Our dataset comprises couples of peak-delay and $(Q_c/Q_m)^{-1}$ measurements, each couple
397 corresponding to the centre of a square in space (De Siena et al., 2011). To compute the
398 optimal number of clusters, we used the elbow method (Hartigan, 1975 – Figure 9 shows the
399 curve obtained at 3 Hz) and the Bayesian Information criterion (BIC - Schwartz, 1978). At all
400 frequencies the number of clusters selected is two (see De Siena et al. 2011 for a similar
401 analysis). A 2-mean cluster analysis was thus performed separately for all frequency ranges,
402 with results (Figure 10) showing areas of either stronger absorption (orange) or stronger
403 scattering (cyan). At all frequencies, absorption dominates south-east of Vrancea while
404 scattering attenuation is stronger north and north-west of Vrancea.



405
406 **Figure 9** The percentage of variance reduction versus the number of clusters at 3 Hz. The
407 number of cluster chosen is 2.
408



409

410

411 **Figure 10** Maps showing results of cluster analysis obtained using the $\Delta \log_{10} Tpd$ and the
 412 inverse of $(Q_c/Q_m)^{-1}$ spatial measurements. Black dots represent earthquake epicentres.
 413 Orange squares denote higher absorption while cyan squares represent higher scattering

414

415

416 **5. DISCUSSIONS**

417

418 The goals of the present study are (1) to investigate the two mechanisms producing seismic
 419 wave attenuation in the Vrancea region and adjacent areas and (2) to interpret the patterns
 420 in terms of seismotectonic structures. In this section, we take into account the
 421 interdisciplinary literature relative to the geophysical and geodynamical characteristics of the
 422 area, and discuss the limitations of the methodology. Scattering is dominant in the north,
 423 northwestern, and western parts of the maps, and is spatially correlated with the Southern
 424 Carpathian. Absorption dominates in the Focsani Basin, crosses the Moesian Platform, and
 425 reaches the Southern part of the Scythian Platform southeast of Vrancea (see Figures 1 and
 426 10).

427 The strong frequency-dependence of scattering attenuation for waves generated in the
 428 Vrancea subcrustal domain (Figures 4 and 5) correlates well with the results of Popa et al.
 429 (2005), who first remarked a difference in total attenuation depending on frequency content,
 430 and Oth et al. (2008), who found that attenuation variability strongly increases with
 431 increasing frequency. The last authors showed that, at high frequencies (> 4-5 Hz), there is

432 approximately one order of magnitude difference in total attenuation between the mountain
433 range and the foreland, whereas at lower frequencies the attenuation characteristics are
434 rather similar. The similarity between the attenuation characteristics above described and
435 the behaviour of peak delay times in frequency (Figure 4) and space (Figure 5) implies that
436 scattering is the main attenuation mechanism in the area, at least at the investigated
437 frequencies. Possible physical explanations for these results involve the degree of coupling
438 between the subducting slab and the overlying crust (Sperner et al., 2005), scattering
439 phenomena within a subducted (Furumura and Kennett, 2005) or delaminated (Koulakov et
440 al., 2010) lithospheric fragment. Strong temperature effects (Ismail-Zadeh et al., 2005)
441 should rather produce relevant patterns in the frequency-dependent absorption imaging.

442 The high-scattering Southern Carpathians represent the region characterized by the highest
443 velocity and density contrasts, coupled with strong time-dependent deformations (Schmid et
444 al. 1998, Matenco and Bertotti, 2000, Cloetingh et al. 2005). According to Bocin et al. (2005),
445 three main post-docking deformational stages were recognised in this region. During
446 Palaeogene-Early Miocene times, the clockwise rotation of the Tisza–Dacia block (Balla,
447 1986) has caused NNE–SSW to ENE–WSW shortening in the internal Moldavides napes of
448 the East Carpathians (Matenco and Bertotti, 2000), large scale transtension/extension to
449 core-complex formation in the South Carpathians (e.g., Schmid et al. 1998), and final
450 collision of the Balkans with Moesian Platform southwards (e.g., Doglioni et al. 1996).
451 Collision with the stable foreland has occurred during the Middle and Late Miocene
452 (Badenian–Sarmatian), leading to large-scale deformation characterised by EW shortening
453 in the East Carpathians (e.g., Sandulescu, 1988; Matenco and Bertotti, 2000) and
454 transpression/shortening in the South Carpathians (Matenco et al. 1997). The collision with
455 the foreland culminated during Late Miocene (Sarmatian) times. This region dominated by
456 scattering also corresponds to the contact between the South Carpathians and the
457 hinterland, the South Apuseni Mountains units, where potentially large scale thrusting and
458 transcurrent motions occurred during the late Alpine evolution (Cloetingh et al., 2005).

459 Another possible cause of high scattering is the presence of the TTZ (Tornquist-Teisseyre
460 Zone) in this region. Bocin et al. (2013) suggest that TTZ is situated in Romania beneath the
461 South-Eastern Carpathians, further to the Southeast than assumed by previous studies. As
462 such, the TTZ incorporates the Vrancea zone earthquake epicentres. In the Carpathians,
463 Weidle (2004) have also shown that approximately 75% of the observed attenuation of
464 teleseismic P-waves is induced by scattering, predominantly from complex boundaries and
465 heterogeneities in the crust.

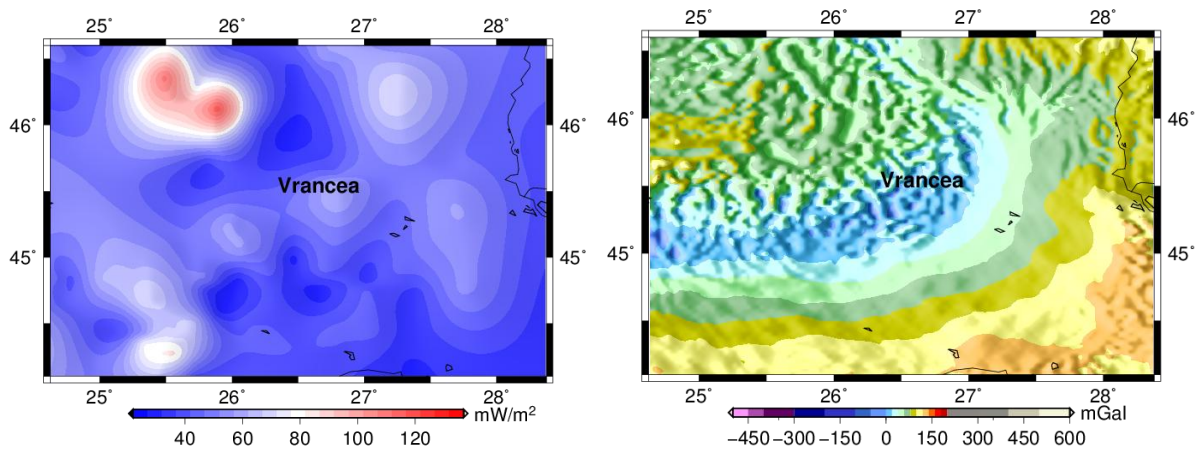
466 The area of highest geodynamical and seismotectonic complexity is thus the one marked by
467 highest scattering attenuation. Regions that produce high scattering are located near the
468 transition zone from low-velocities to high velocity ratios (Koulakov et al. 2010). This is in

469 agreement with Takahashi et al. (2007), who obtain similar results in the Japanese crust,
470 specifically under Quaternary volcanoes. The analogy suggests that the dimension of the
471 strong heterogeneities ranges from a few hundred metres to a few kilometres and scattering
472 in the area (including Ciomadul volcano) is influenced by volcanism.

473 At the lowest frequency (Figures 8 and 10, 3 Hz panel, in our assumption the frequency
474 band sampling deepest Earth layers) absorption increases northwest and southeast of the
475 epicentral area, crossing an area of average-to-strong scattering (Figure 5). This anomaly is
476 spatially correlated with the location of the hypothesised asthenospheric upwelling beneath
477 the Neogene volcanic arc (Figure 1), which migrated from NW to SE (Seghedi, 2005;
478 Koulakov et al. 2010; Popa et al. 2011; Panaiotu et al. 2012). Comparing the NW and SE
479 high-absorption features with the results of the tomography study of Koulakov et al. (2010),
480 high absorption correlates with strong S-waves velocities perturbations at depths of 110-130
481 km. The region characterized by high absorption (Figure 8) at higher frequencies (from 6 Hz
482 to 18 Hz) starts from the front of the Carpathian bend (Focsani Basin) and extends to the
483 South and South-Eastern Moesian Platform within the southwestern part of Scythian
484 Platform (compare Figures 1 and 8).. The results in the Focsani Basin confirm that
485 sedimentary basins represent a primary cause of high absorption (see e.g. Calvet et al,
486 2013a for Pyrenees). Bocin et al (2005) model the Focsani Basin as containing up to 13 km
487 of sediments, deposited in a foredeep type setting. This basin, according to Mocanu et al.
488 (1996), is predominantly characterized by Neogene sedimentary rocks derived from the
489 Carpathians, with significant contributions of detritus from the more external Moldavian and
490 Moesian Platform. We also note that important crustal movements characterize areas of
491 active subsidence (up to 3mm yr^{-1}) in this region (Popescu and Dragoescu, 1987). The
492 location of the high-absorption anomalies at higher frequencies (12 and 18 Hz) in such
493 stable regions (platforms), however, suggests that high-frequency absorption effects are
494 generated by even shallower structures. The anomalies are in fact spatially correlated with
495 zones of hydrocarbons, natural gas, and oil accumulation (Radulescu et al. 1976;
496 Stefanescu et al. 1988; Stanica and Stanica, 1998; Matenco and Bertotti, 2000; Tarapoanca
497 et al. 2003; Leever et al. 2006).

498 To better understand the meaning of the scattering/absorption contrasts at different
499 frequencies (Figures 5 and 8), they are compared with the heat flow map displayed using the
500 Global Heat Flow Database (<http://www.heatflow.und.edu>) (Figure 11, left). High scattering
501 (represented in blue in Figure 5) corresponds mostly to average/low heat-flow values, while
502 high absorption anomalies correlate spatially with high heat flow values. The highest heat
503 flow, corresponding to the location of the Ciomadul volcanic field, is at the northwestern limit
504 of the southeast-west trending absorption anomaly at 3 Hz (Figure 8, 3 Hz). A second
505 comparisons of our results with the Bouguer anomaly (Figure 11, right) shown using the grid

506 provided by Bureau Gravimetrique International (Bureau Gravimétrique International;
 507 <http://bgi.omp.obs-mip.fr>) reveals that the highest seismic scattering overlaps the lowest
 508 Bouguer anomalies (lower densities – compare with Figure 5), while the south and
 509 southeastern high-absorption structures correlate with positive Bouguer anomalies.
 510



511 **Figure 11** Maps showing heat flow distribution (left) and Bouguer anomaly (right). The high
 512 heat flow corresponds to the location of Ciomadul volcano.
 513
 514

515 The cluster analysis results (Figure 10) at low frequencies (3 Hz and 6 Hz) quantify and map
 516 the absorption and effects of deep seismic structures. High-scattering structures (cyan)
 517 characterize the regions southwest and northeast of Vrancea, spreading along the
 518 Carpathians, whose high topography possibly influences measurements. Orange anomalies
 519 (high absorption) are distributed along a trend that is almost perpendicular, and crosses, the
 520 epicentral trend (NW-SE). The limit of the high-absorption pattern spatially corresponds to
 521 the Ciomadul volcanic region, situated just NNW of the Vrancea region (Seghedi, 2005;
 522 Popa et al. 2011; Panaiotu et al. 2012). Such an high-absorption trend has been observed
 523 under the Cascadian volcanoes and related to the effect of a deep magmatic arc (De Siena
 524 et al., 2016). Cluster analysis results at low frequencies thus depict a unique high-absorption
 525 structure extending from southeast of Vrancea, at the location of the mantle upwelling
 526 modelled by several studies (e.g. Popa et al., 2011), to northwest of Vrancea,, feeding
 527 Ciomadul volcano (Koulakov et al., 2010).

528 At high frequencies (Figure 10, 12 Hz and 18 Hz), the NNE-SSW scattering/absorption
 529 contrast follows the epicentral trend. This observation is similar in space and nature to that of
 530 Takahashi et al. (2007) for the subducting Japanese crust, at least for the peak delay time,
 531 and consistent with the presence of a NNE-SSW-oriented sinking lithospheric fragment into
 532 the asthenosphere (Radulian et al. 2006). In this framework, considering the investigated
 533 frequencies and Bouguer anomalies, the high scattering following the epicentral trend and
 534 extending to the west (Figure 10, 12 Hz and 18 Hz) is feasibly caused by either coupling

535 between a subducting slab and the overlying crust (Sperner et al., 2005) or small-scale
536 scattering phenomena within the upper portion of a subducted (Furumura and Kennett,
537 2005) or detached (Seghedi, 2005; Koulakov et al. 2010; Popa et al. 2011; Panaiotu et al.
538 2012) lithospheric fragment, sinking into the asthenosphere.

539

540 **6. CONCLUSIONS**

541

542 We have mapped different seismic attenuation mechanisms in the Vrancea area and
543 adjacent regions quantitatively using two integrated measurements, peak delay times and ,
544 late lapse-time coda quality factors (Q_c), in four frequency bands. Using these techniques we
545 were able to identify structures of different dimension and depth (different
546 wavelength/frequency) responsible for seismic absorption and seismic scattering. The
547 approach complements other geophysical and geodynamical results and provides a
548 quantitative interpretation of deep Earth properties and tectonic structures. Peak-delay time
549 values, interpreted as a measurement of scattering attenuation, show considerable
550 variations at high frequencies, which suggests a strong effect of short-wavelength
551 heterogeneities in the upper crust and strong differences in sampling at different
552 frequencies. Once mapped, peak delay time differences grow (scattering increases) towards
553 the Carpathians (north, northwest, and west of Vrancea) with the highest values in the
554 southern Carpathians, the same region characterized by a minimum in Bouguer anomaly.
555 Q_c^{-1} is interpreted as a measurement of absorption, with patterns showing lower frequency
556 dependence, but still progressively sampling shallower regions with increasing frequencies.
557 High absorption is prevalent in the fore-arc region (SE of Vrancea): besides the Focsani
558 Basin, which shows the highest absorption, the area includes the southeastern side of the
559 Moesian Platform, in strong spatial correlation with hydrocarbon and natural gas reservoirs
560 consistently depicted by seismic exploration studies.

561 Cluster analysis has been used to obtain a quantitative interpretation of the structures
562 producing the scattering and absorption anomalies: the results are mainly influenced by
563 deep tectonics structures. At high frequencies, we observe clear correlation of high
564 scattering/absorption contrasts acting along the NW-SE trend crossing Vrancea, and feasibly
565 produced by coupling or small-scale scattering phenomena produced at the top of either a
566 subducting or detached slab sinking into the asthenosphere. While at all frequencies the
567 highest absorption still corresponds to the Focsani sedimentary basin. A unique low-
568 frequency high-absorption southeast-to-northwest trend marks areas of mantle upwelling,
569 feeding deep Neogene volcanism and reaching the Ciomadul volcanic area, NNW of
570 Vrancea.

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572
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578 2013) codes were used. We thank the College of Physical Sciences (University of
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1 **Seismic scattering and absorption mapping from intermediate-depth**
2 **earthquakes reveals complex tectonic interactions acting in the Vrancea**
3 **region and surroundings (Romania)**

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7

8 **Abstract**

9 The Vrancea region, located at the southeastern edge of the Carpathians arc bend, is a
10 region of intense seismicity, whose major earthquakes produce hazard in southeastern
11 Europe. Despite the consequent focus of the geophysical and geological community on
12 providing accurate structural and dynamical models of Vrancea, these are still subject to
13 numerous controversies and debates. In the present study, we use intermediate-depth
14 seismicity recorded by the broadband stations of the Romanian Seismic Network between
15 2009 and 2011 to measure S-wave peak delay times and late-time coda quality factors. After
16 mapping these two quantities in space, a cluster analysis provides a quantitative structural
17 interpretation of the region in terms of different attenuation mechanisms affecting the seismic
18 wave field, i.e. seismic scattering and seismic absorption. The results show that scattering is
19 higher west and northwest of Vrancea, while absorption dominates in the Focsani Basin,
20 located in the forearc region. In general, we obtain higher absorption in stable regions, with
21 patterns emphasized at high-frequency affected by the presence of hydrocarbons and
22 natural gas reservoirs in the upper crustal layers. Regions characterized by active seismicity
23 and structural heterogeneity show higher scattering, spatially correlated with the highest
24 velocity contrasts and the lowest density. The high-frequency scattering/absorption contrasts
25 obtained using the cluster analysis depict a southwest-to-northeast lithospheric contrast,
26 following the epicentral trend of Vrancea earthquakes, and characteristic of either
27 lithospheric subduction or delamination. Low-frequency cluster analysis results, sampling
28 deeper Earth layers, mark a unique high-absorption trend perpendicular to the epicentral
29 trend, feasibly linked to Neogene volcanism, and induced by the back-arc mantle upwelling.
30 Its most recent expression is Ciomadul volcano, located at the northwestern limit of the
31 absorption trend.

32 **Key words:** Seismic attenuation, Vrancea region, intermediate depth earthquakes, peak
33 delay times, coda quality factor, cluster analysis

34

35

36

37 1. INTRODUCTION

38 The Vrancea region, located at the southeastern edge of the Carpathians arc bend in
39 Romania (Figure 1a), represents one of the most seismically-active areas in Europe.
40 Crustal- and intermediate-depth earthquakes overlay within the area. The intermediate-depth
41 earthquakes are located in a small lithospheric volume going down in the mantle and cause
42 important seismic hazard over large distances. Up to 4 - 5 events per century with
43 magnitudes up to 7.9 (according to the Romplus catalog, Oncescu et al. 1999) are
44 generated here. The earthquakes occurred in the shallower crust are characterized by
45 moderate magnitudes (below 6) and spread over an extended area.

46 The competing effects of absorption, scattering, and geometrical spreading in 3-D structures
47 cause the loss of seismic wave energy while travelling through the Earth. The study and 2D
48 mapping of (1) anelastic absorption, related to temperature, chemical composition, melt or
49 fluid content and (2) scattering of seismic waves on heterogeneities affecting different
50 frequency ranges is an ideal complement to velocity tomography measurements, improving
51 hazard assessment for regions exposed to strong ground motion. Nevertheless, the complex
52 pattern of seismic radiation generated by an earthquake generally corrupts both the
53 estimation of total seismic attenuation and the separation of specific attenuation
54 mechanisms using direct waves (Del Pezzo et al. 2011). Subcrustal earthquakes in the
55 Vrancea region near the Carpathians Arc in Romania (Figure 1) exhibit such complex
56 ground motion patterns, with significant differences between the areas inside and outside of
57 the Carpathians Arc. These differences are mainly attributed to attenuation properties (Popa
58 et al. 2005; Russo et al. 2005; Oth et al. 2008) and the region is thus an ideal setting to
59 apply methodologies that separate and map different attenuation mechanisms, in particular
60 seismic scattering from seismic absorption (Takahashi et al. 2007; Calvet et al. 2013a).

61 Oancea et al. (1991) were the first to measure Q values of the order of 700-800 for the
62 region of maximum seismicity using Vrancea intermediate-depth earthquakes and coda
63 wave analysis. Spatial variations of the attenuation patterns have been obtained by the
64 comparison of waveforms produced by small- and moderate-magnitude Vrancea subcrustal
65 earthquakes (Popa et al. 2003; 2005). Seismic amplitudes decrease by a factor of 10 to 100
66 for events occurring at the back-side part with respect to those occurring at the fore-side
67 part. Sudhaus et al. (2005) used teleseismic waveforms from a seismic refraction experiment
68 (VRANCEA99) to study seismic attenuation, and found relatively high-attenuation anomalies
69 in the Carpathian Mountains as well as in the sedimentary basins. Russo et al. (2005)
70 estimated S-wave quality factors for intermediate-depth earthquakes; their results show low
71 attenuation east and north of Vrancea (Figure 1) and high attenuation in both the epicentral
72 area and the Transylvanian Basin. Similar results were obtained by Ivan (2007) from

73 teleseismic recordings of P and pP waves, while Radulian et al. (2006) show that attenuation
 74 is strongly frequency-dependent especially toward NW of Vrancea, at least with respect to
 75 SE. Oth et al. (2008) analysed the attenuation characteristics of S-wave spectra and found
 76 that attenuation is roughly homogeneous in the low frequency range (<4–5 Hz) for any
 77 propagation path, while at higher frequencies the attenuation in the Carpathian Mountains
 78 arc is over ten times stronger than that in the foreland area. The authors attribute this
 79 difference to the intrusion of hot asthenosphere beneath the Carpathians back-arc region.
 80 An overview of these studies is given in Table 1 and shown schematically in Figure 1b.
 81 While all these studies focus on seismic attenuation in the region, they do not distinguish
 82 between two different attenuation mechanisms, namely scattering attenuation and
 83 absorption.

84

85 **Table 1.** An overview of the attenuation effects for Vrancea subcrustal earthquakes within
 86 Romania according to various studies

Study	low-attenuation	high-attenuation
Oancea et al. (1991)	High Qc (700- 800)within the Carpathians bend	-
Sudhaus et al. (2005)		Revealed in the Carpathian Mountains as well as in the sedimentary basins
Russo et al. (2005)	Pointed out high Qs (low attenuation) in Platform regions and stable Precambrian craton areas	In tectonically active regions – especially where asthenosphere lies at shallow levels
Popa et al. (2003; 2005)	Shown in the foreland platform	Affects mostly high frequencies; the amplitudes are reduced by a factor of 20 in the Transylvanian Basin and the Eastern Carpathians
Radulian et al. (2006)	Low attenuation in the extra-Carpathians areas	Strong attenuation at high frequencies explains the low damage to structures in the intra-arc region
Ivan (2007)	The volume is limited to the East by the 26°30' meridian	Has been observed for stations located in the northwestern part of the Vrancea seismogenic volume; no clear spatial correlation exists between attenuation values and shallow geological settings
Oth et al. (2008)	Was shown in the foreland, variability strongly increases with increasing frequency	Characterizes the Vrancea area; and the inner side of the mountain arc in the Transylvanian Basin

87

88 The goal of the present study is to measure and map these two mechanisms in the Vrancea
 89 region and adjacent areas, i.e., to interpret them in terms of crustal and mantle structures
 90 and tectonic processes. We apply a set of techniques, namely peak delay time and coda
 91 quality factor mapping and 2D K-means cluster analysis, which have been widely used to

92 image the heterogeneous crust in regions such as Japan (Sato, 1989; Obara and Sato,
93 1995; Petukhin and Gusev, 2003; Saito et al. 2002, 2005; Takahashi et al. 2007, 2009;
94 Tripathi et al. 2010), the Pyrenean range (Calvet et al. 2013a), and local volcanoes (De
95 Siena et al. 2011; Prudencio et al. 2013; De Siena et al. 2016). After presenting both the
96 data used in our analysis and the limitations of the methods in terms of effective sensitivity of
97 seismic waves to Earth structures, we discuss the results focusing on the novel insight they
98 provide on the main seismo-tectonics and geological structures in the region.

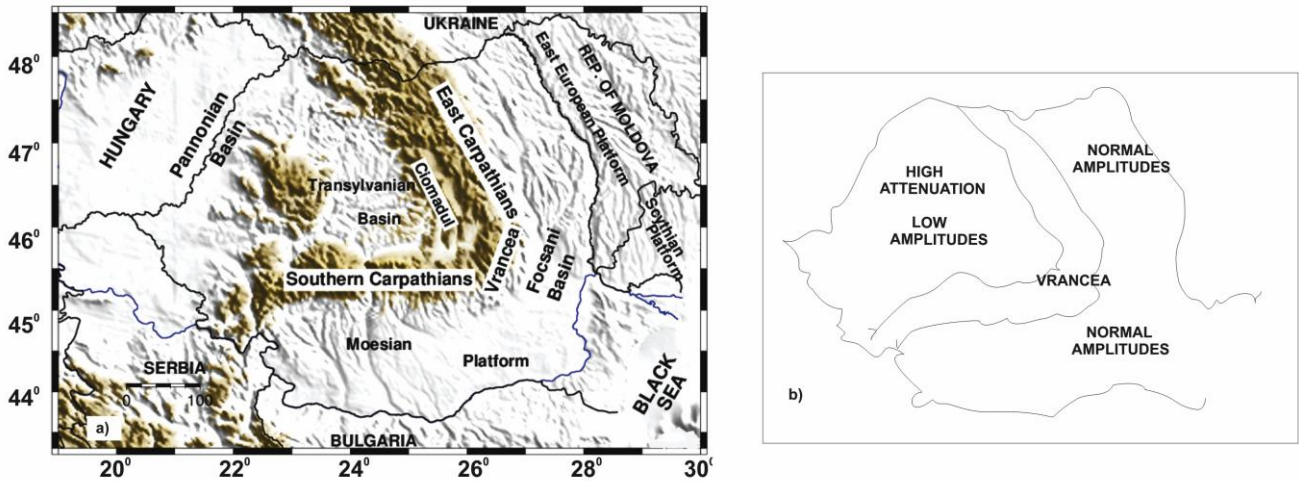
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100 **2. SEISMOTECTONIC OVERVIEW**

101 The SE Carpathian arc formation is related to the Alpine orogeny as a result of the collision
102 of the Tisza-Dacia microplate in the West, the stable cratonic East European Platform in the
103 East (Sandulescu, 1984, 1988) and Moesian Platform in the southeast. Many studies
104 showed that the Carpathian unit collided with the W-SW part of Moesia in Mid-Cretaceous
105 times, rotated subsequently around its corner and since the Paleogene moved towards their
106 present position (Săndulescu, 1988; Schmid et al. 1998; Hippolyte et al. 1999; Maţenco and
107 Schmid, 1999). During the Tertiary, an oceanic or thinned continental lithosphere was
108 subducted below the East-Carpathians (e.g. Săndulescu, 1988; Wortel and Spakman, 2000).
109 The active shortening process stopped during the late Oligocene–Early Miocene periods
110 (about 20 MA) when all the oceanic-type basins were closed (Ellouz and Roca, 1994; Linzer
111 et al. 1998). Different studies explained the time difference between the end of the
112 shortening process and lithospheric subduction and the beginning of the volcanic activity in
113 the Eastern Transylvanian Basin characterized by calc-alkaline and alkali basaltic eruptions
114 of magmas (Szakacs, 1993; Seghedi and Szakacs, 1994; Downes et al. 1995; Seghedi et al.
115 1998) by various geodynamic processes like roll-back, detachment and/or break-off of the
116 subducted lithospheric slab (Csontos, 1995; Mason et al. 1998; Seghedi et al. 1998; Linzer
117 et al. 1998), or delamination of the lower part of the lithospheric mantle from the lower plate
118 (Gîrbacea, 1997; Gîrbacea and Frisch, 1998; Chalot-Prat and Gîrbacea, 2000).

119 In this setting the Vrancea region, located at the limit of the SE Carpathian arc (Figure 1) is a
120 region with notable crustal- and intermediate-depth seismicity with magnitudes up to 7.9,
121 which can be used to illuminate the entire area. Earthquakes are generally located beneath
122 the external thin-skinned thrust belt of the SE Carpathians at depths down to 220 km
123 (Oncescu and Bonjer, 1997; Bala et al. 2003). Martin et al. (2005) have shown that the SE
124 Carpathians area has a complex lithospheric structure because of its young and intense
125 tectonic evolution. At least three distinct lithospheric blocks are in contact and responsible
126 for the seismicity in Vrancea (Figure 1): (1) toward NE the East European Platform, (2) the
127 Transylvanian Basin, located on the Tisza–Dacia block toward NW, and the Moesian

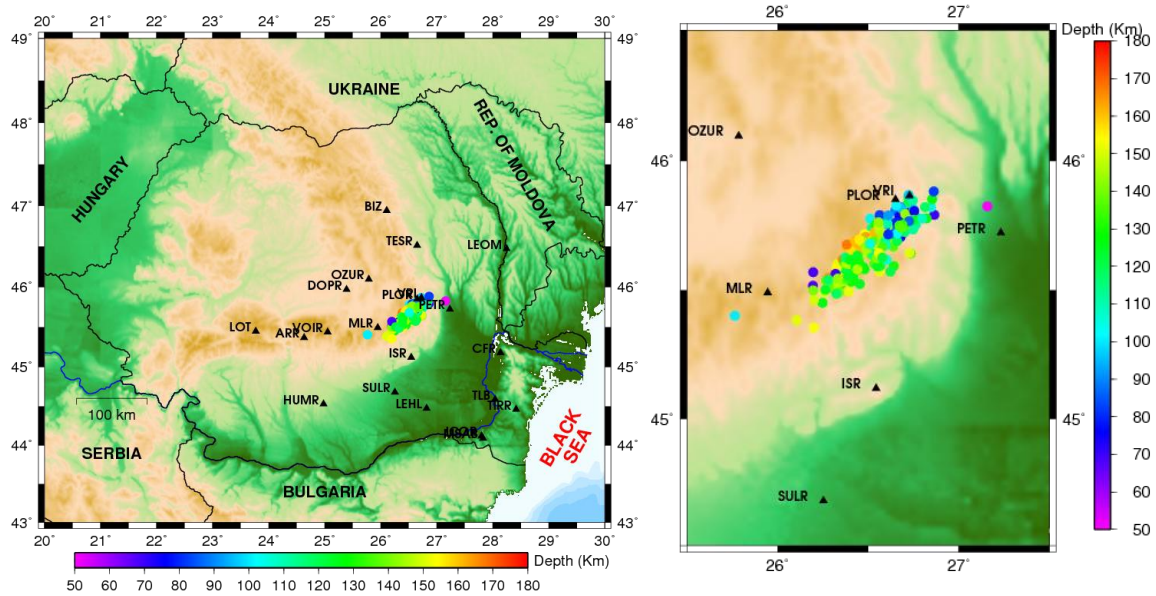
128 Platform toward S-SE. These units show different seismic velocities distributions (Cornea et
129 al. 1981; Raileanu et al. 1994; Raileanu and Diaconescu, 1998; Radulian et al. 2000).
130



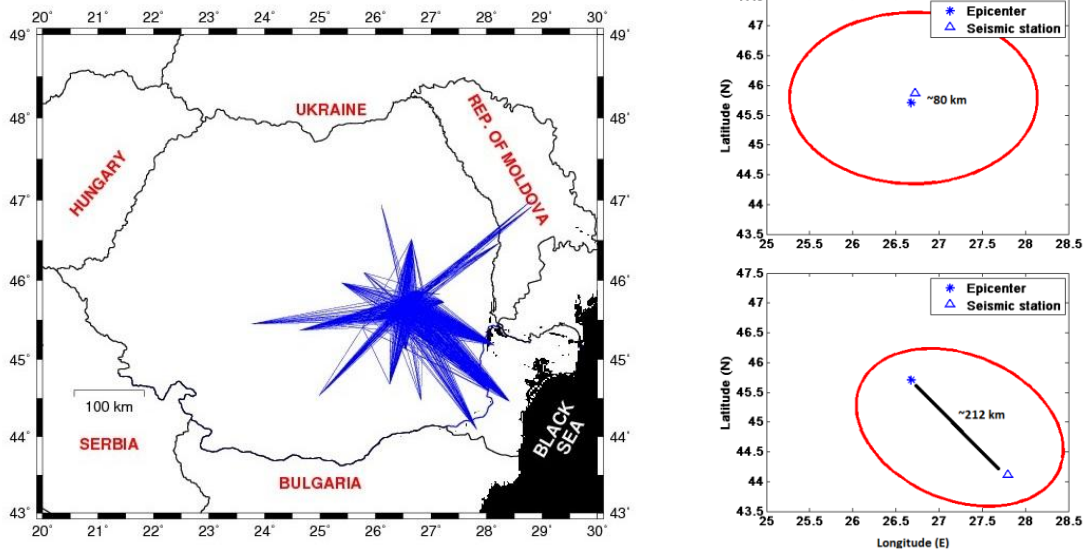
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132 **Figure 1** Simplified tectonic map of Romania (a) and sketch representing the attenuation
133 mechanism (b) for the study area according to researches given in Table 1.
134
135

136 3. DATA

137 Velocity waveforms of local earthquakes in the Vrancea region recorded by 3-component
138 broadband stations belonging to Romanian Seismic Network (RSN) of the National Institute
139 for Earth Physics (NIEP) were used in the present study. The hypocentral distance of the
140 selected earthquakes is in the range of 100 to 250 km. The study region is characterised by
141 good ray coverage due to the excellent aperture and station spacing of RSN, which has
142 been constantly growing during the last decade (Neagoe and Ionescu, 2009, Popa et al.
143 2015). A data set of 204 intermediate-depth earthquakes between 50 and 168 km ($50 \leq$
144 $h(\text{km}) < 100$ – 39 events; $100 \leq h(\text{km}) < 150$ - 125 events; $h(\text{km}) \geq 150$ - 40 events)
145 occurring mostly between 2009 and 2011 with magnitudes ranging from 2.8 to 5.0 ($M < 3.0$ -
146 67 events; $3 \leq M < 4$ -109 events $M \geq 4$ - 28 events) was selected for the analysis. The
147 Romanian Data Centre (RONDC) of NIEP (Romplus catalogue, Oncescu et al. 1999, which
148 is constantly updated) provided earthquake parameters as well as P- and S-wave travel
149 times. The distribution of hypocentres, the seismic station coverage, and the ray density are
150 shown in Figure 2.



151



152

153 **Figure 2** Epicenters (colored dots show depth) and stations distribution (black triangles)
 154 used in this study (top-left); a zoom on the seismicity, located in a SSW-NNE-trending
 155 vertical volume (top-right); the seismic ray paths density (bottom left); scattering ellipses for
 156 two source-station configurations, corresponding to ~80 km distance (bottom right – up) and
 157 ~212 -bottom km distance (bottom right-down).
 158

159

160 After the deconvolution of the instrument response, a fourth-order Butterworth bandpass
 161 filter was applied to each seismogram in forward and backward directions to obtain
 162 waveforms in 4 frequency bands (2-4 Hz, 4-8 Hz, 8-16 Hz and 12-24 Hz). Envelopes for
 163 each frequency band were then computed as the root-mean-square (RMS - a direct
 164 measurement of seismic intensity) of each horizontal velocity seismograms (Figure 3).
 165 Finally, we applied smoothing using a moving time window whose typical duration is twice
 the central period of each frequency band.

166

167 4. METHODS

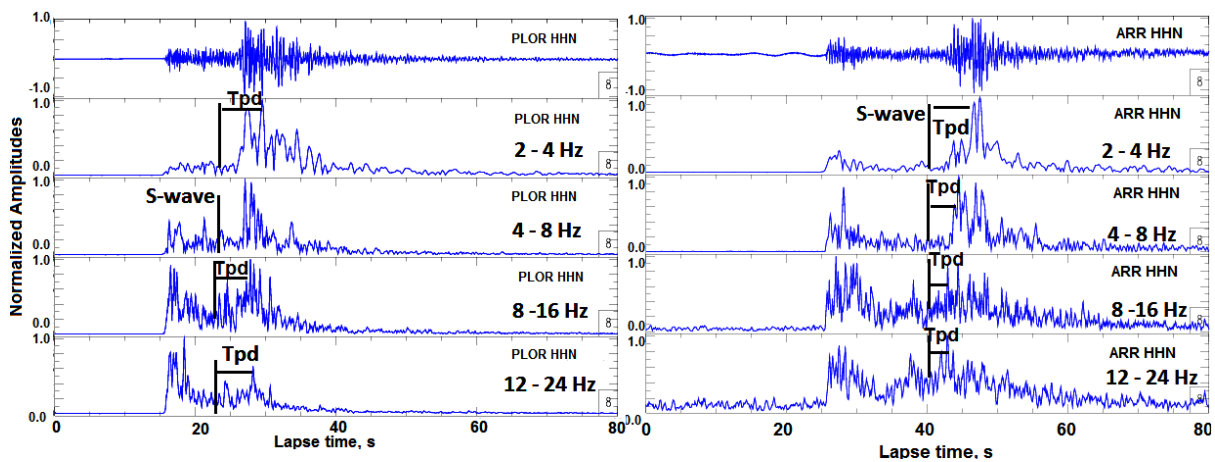
168 4.1 PEAK DELAY TIME (SCATTERING) MAPPING

169

170 The peak delay time (T_{pd}) is defined as the time-difference between the S-wave onset and
 171 the maximum amplitude of the envelope, a well-known measurement of forward scattering
 172 (Takahashi et al. 2007, 2009; Tripathy et al. 2010; Calvet et al. 2013a). For each frequency
 173 band (central frequencies 3 Hz, 6 Hz, 12 Hz, and 18 Hz) the maximum was picked on the
 174 EW and NS components at each station in a time-window of 30 s duration, starting at the S-
 175 wave onset. After averaging the two component measurements, we obtain 1540 T_{pd}
 176 measurements for each frequency band. Figure 3 shows the data processing for two station
 177 recordings of the same earthquake (25/02/2010, 15:51:28, $M_w = 4.3$, depth $h = 110$ km).

178

179



180

181 **Figure 3** Examples of observed seismograms and their filtered envelopes at two stations:
 182 (left) PLOR situated around 140 km hypocentral distance and (right) ARR situated around
 183 250 km (west relative to Vrancea) hypocentral distance. Top to bottom: velocity
 184 seismograms recorded by NS-components, filtered between 1 and 50 Hz after the
 185 deconvolution of the recording system response, and root mean square (RMS) envelopes in
 186 the 2-4 Hz, 4-8 Hz, 8-16 Hz, and 12-24 Hz frequency bands. The amplitudes were
 187 normalized to the maximum amplitude of each trace. The arrival of the S-wave and
 188 measurements of T_{pd} are shown in each panel.

189

190

191 Correction of travel distance and frequency dependence of peak delay times

192

193 We follow the selection criteria for distance of Sato (1989) and Takahashi et al. (2007) and
 194 consider source-station hypocentral distances in the range of 100 to 250 km. These criteria
 195 ensure that the dependence of the envelope broadening on distance has the same
 196 characteristics across the entire hypocentral range. The linear dependence of the logarithm
 197 of peak-delay times against hypocentral distances is shown in Figure 4 (red lines) and is

198 characteristic for the selected frequency ranges at lithospheric scale (Takahashi et al. 2007;
 199 Tripathi et al. 2010). The linear fit corresponds to the following equation and is used to
 200 correct for the hypocentral distance (R) dependence:

$$201 \log_{10} Tpd(f) = A_r(f) + B_r(f) \log_{10} R. \quad (1)$$

202
 203 Both the regression coefficients (A_r and B_r) and the root mean square errors (RMSE) are
 204 given in Table 2.
 205

206
 207 **Table 2.** Estimated coefficients from peak delay time distance corrections, obtained by least-
 208 square regression for each frequency band. The right hand column shows the root mean
 209 square values (RMSE)
 210

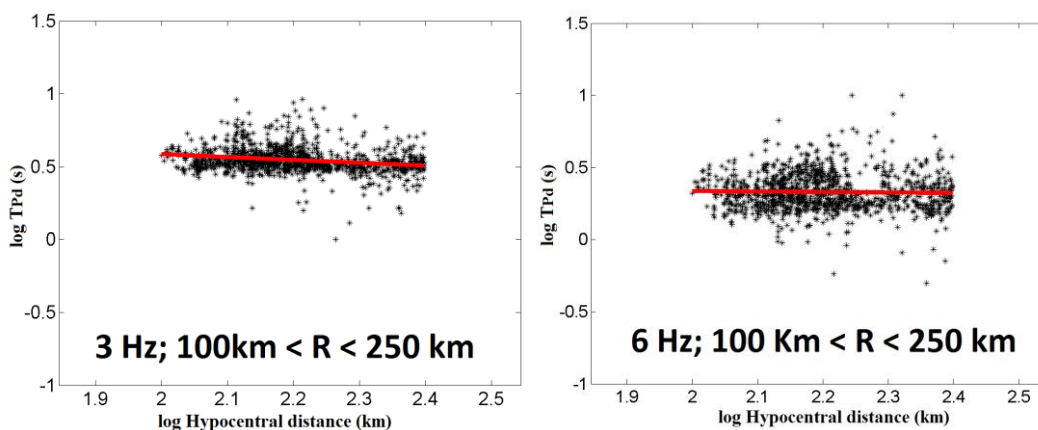
Frequency (HZ)	A_r	B_r	RMSE
2 - 4 Hz	0.9803	-0.1976	0.0875
4 - 8 Hz	0.4018	-0.0327	0.1250
8 - 16 Hz	-0.0835	0.1490	0.1577
12 - 24 Hz	-0.5725	0.3526	0.1747

211
 212
 213 Figure 4 reveals three important features of the regression trends with increasing frequency:
 214 (i) the slope of the regression trends increases, (ii) measurements are increasingly spread
 215 around the regression trend, and (iii) the slopes change from negative (3-6 Hz) to positive
 216 (12-18 Hz). Feature (i) is typical of upper-crustal measurements, especially at 3 Hz, while
 217 features (ii) and (iii) are different with respect to what is generally observed at lithospheric
 218 scale (Takahashi et al. 2007; Calvet et al. 2013a).

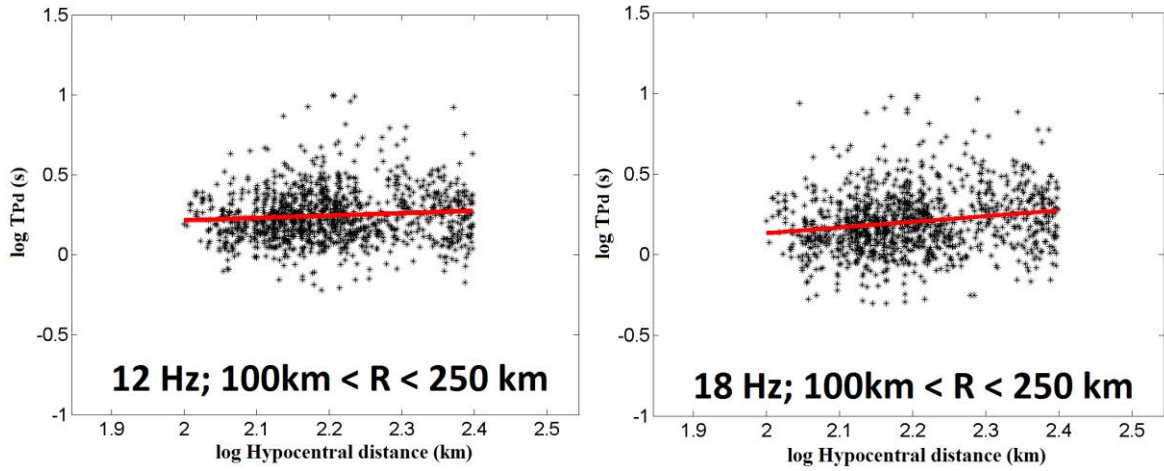
219 Several studies (Popa et al. 2005; Oth et al. 2008) have previously highlighted that
 220 attenuation is frequency-dependent, with attenuation variability strongly increasing with
 221 increasing frequency. In particular, Oth et al. (2008) have shown that, at high frequencies (>
 222 4-5 Hz), there is approximately one order of magnitude difference in attenuation between the
 223 recordings in the Carpathians and the foreland areas, whereas, at lower frequencies, the
 224 attenuation characteristics in both regions are similar. Possible physical explanations for this
 225 frequency-dependent behaviour of attenuation involve the degree of coupling between the
 226 slab and the overlying crust (Sperner et al. 2005), strong temperature effects (Zadeh et al.
 227 2005), as well as scattering phenomena within the subducted lithosphere (Furumura and
 228 Kennett, 2005). We rely on the results of Saito et al. (2002), who showed that envelope
 229 broadening strongly increases with frequency if the content of short-wavelength (strong
 230 velocity fluctuations) in random media increases. This and the aforementioned results all
 231 agree with higher variation of high-frequency peak-delay measurements and indicate a
 232 dominance of small-scale heterogeneities in Vrancea and surrounding areas (feature ii).

233 It has been shown by several authors that coda-waves at 3 Hz comprise surface waves
234 (Obermann et al. 2013; Popelliers et al. 2014; Galluzzo et al. 2015; De Siena et al. 2015).
235 Nevertheless, while surface-wave components could affect Qc measurements, this is no
236 feasible explanation for the lowering of the peak-delay times with hypocentral distance at low
237 frequencies (feature iii, Figure 4): if we would pick surface waves instead of S-waves we
238 would observe a time dispersion, i.e., peak-delays increasing with hypocentral distance.
239 Takahashi et al. (2007), who analysed data in a similar depth range but with a more
240 extended lateral coverage, did not observe noticeable changes in the behaviour of the linear
241 hypocentral-correction fit among different frequency ranges. Calvet et al. (2013a) noticed
242 changes of the slope of the regression line for different frequencies using seismicity located
243 in the upper crustal layers with less extended network coverage. However, they did not
244 measure a negative slope. Our preferred explanation for feature (iii) is thus related (a) to the
245 particular geometry of the hypocenters in our dataset and (b) to the different sensitivity of
246 peak-delay measurements to depth in different frequencies. Seismic events are clustered
247 inside a relatively-small lithospheric volume with respect to the extension of the seismic
248 network. At larger hypocentral distances, 3 Hz and 6 Hz waves progressively sample
249 deeper, more-compact/lower-scattering Earth layers, thus consistently showing a decrease
250 in peak-delay time with distance. 12 Hz and 18 Hz waves sample instead shallower and
251 more inhomogeneous layers, presenting stronger scattering that increases peak-delay times
252 at larger hypocentral distances. This difference in sensitivity is the main cause for the low-
253 frequency negative slopes in the peak-delay times. If our interpretation is correct, we can
254 safely assume that (1) the linear dependence of peak delay times versus distance and (2)
255 the increase of peak-delay slopes versus distance with increasing frequency (Figure 4) are
256 sufficient to ensure the validity of the linear peak-delay time distance correction.

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Figure 4 The logarithm of peak delay times (T_{pd}) against the logarithm of the hypocentral distance. Central frequency and distance range (R) are shown in each panel.

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Spatial distribution of peak delay times in the Vrancea region and adjacent areas

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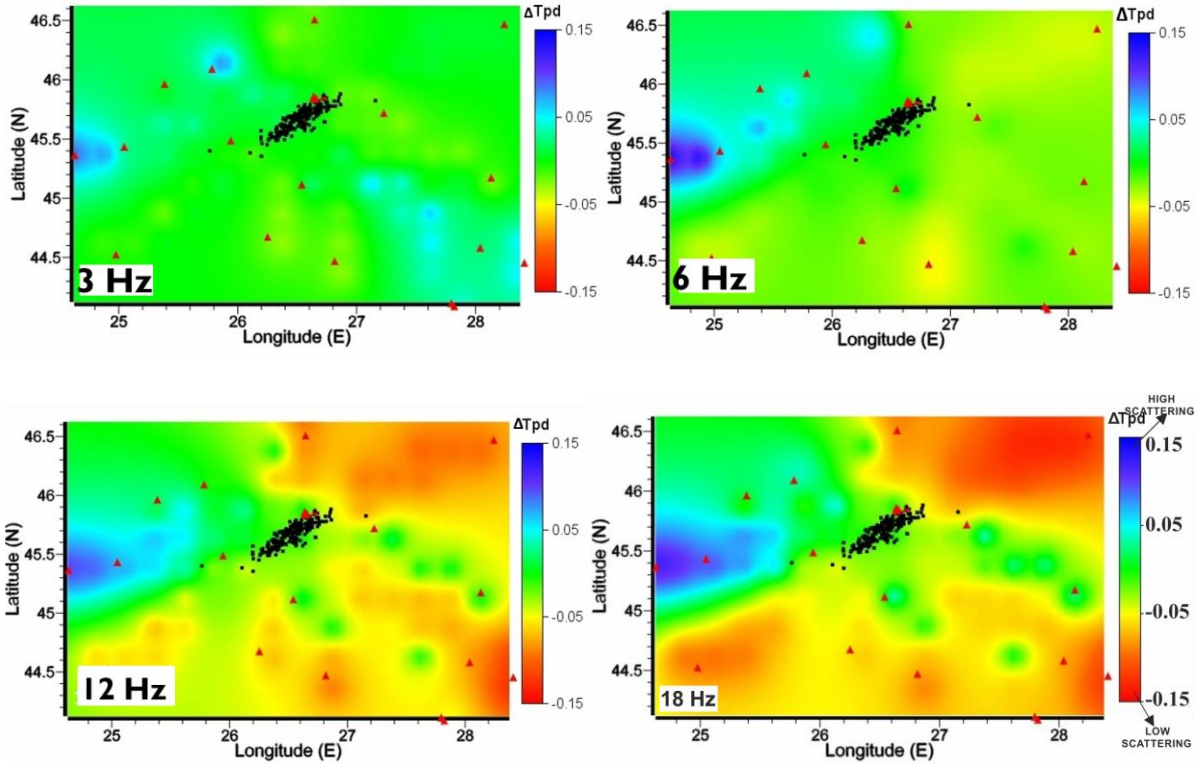
The 2-D spatial distribution of peak delay times (T_{pd}) was obtained following the approach of Takahashi et al. (2007) and Calvet et al. (2013a). The hypocentral distance dependence was removed for each frequency range computing T_{pd} differences following the equation:

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$$\Delta \log_{10} T_{pd} = \log_{10} T_{pd}(f) - (A_r(f) + B_r(f) \log_{10} R), \quad (2)$$

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where $\Delta \log_{10} T_{pd}$ are the mapped measurements and represent, in our interpretation, the strength of the scattering due to heterogeneities along the source-station path. The study region has been divided into squares of $0.25^\circ \times 0.25^\circ$. The average value of $\Delta \log_{10} T_{pd}$ from all rays crossing the square is allocated in space to the centre of the square and results are then interpolated. Figure 5 shows the $\Delta \log_{10} T_{pd}$ maps in the four frequency bands. We took into account only squares crossed by a minimum of 4 rays. Red regions are characterized by low $\Delta \log_{10} T_{pd}$ values (low scattering), while blue regions are characterized by high $\Delta \log_{10} T_{pd}$ values (strong scattering).



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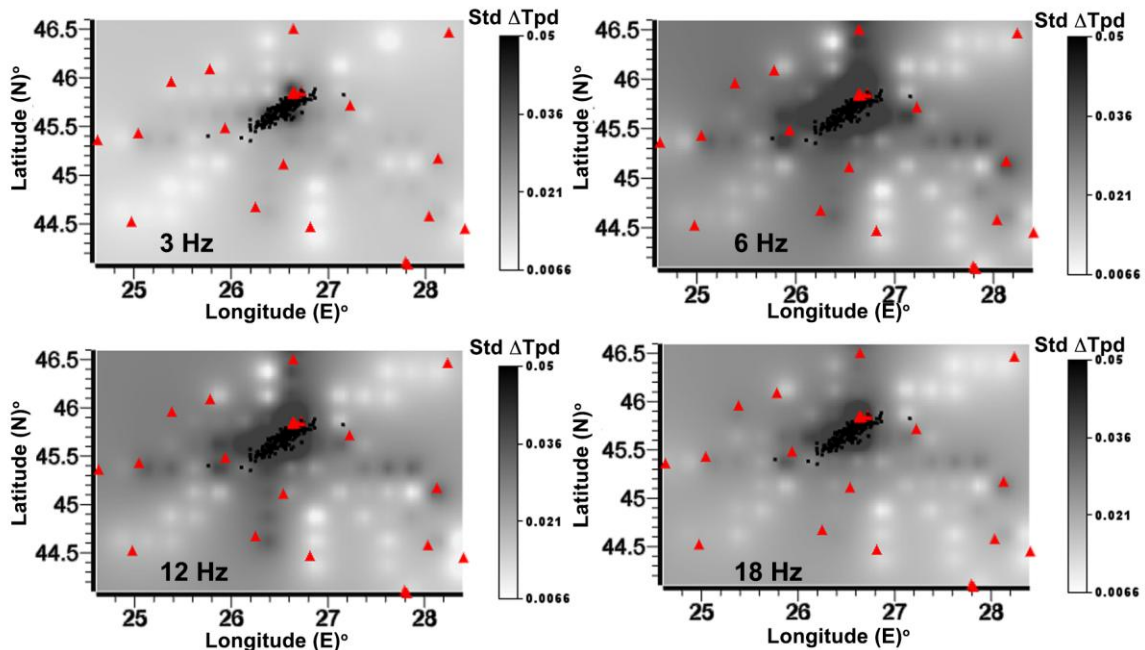
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Figure 5 Maps of logarithmic peak delay times differences for the selected frequency ranges. Red triangles represent seismic stations and black dots earthquake epicenters. Blue regions are characterized by strong scattering; red denotes areas of low scattering.

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Standard deviations maps for peak delay time differences for the selected frequency ranges are shown in Figure 6. Main variations are, as expected, in the source region and close to the lithospheric slab beneath the Vrancea region.

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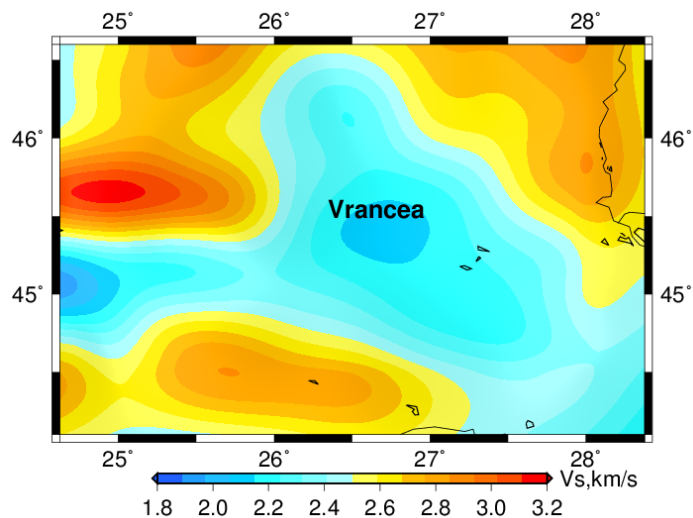
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Figure 6 Maps showing the standard deviation of peak delay time differences for the selected frequency ranges. Red triangles represent seismic stations and black dots earthquake epicenters.

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From a smooth spatial distribution of scattering heterogeneity at low frequencies (3Hz) we pass to strongly variable scattering strengths for higher frequencies (18 Hz). Anomalies in the high-frequency range show spatial correlation with the S-waves seismic velocity patterns obtained by Ren et al. (2013) from ambient noise tomography at a depth of 4 km (Figure 7). These are also associated with the three major tectonic units, intersecting in the Vrancea region.



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Figure 7 Horizontal section of S -waves velocity at a depth of 4 km according to Ren et al. 2013.

313 In particular, the highest peak-delay time differences are distributed W and NW relative to
314 the Vrancea region, in the Transylvanian Basin, and are associated with the Carpathian
315 Mountains roots, while the transition to the East European Platform to the Moesian Platform,
316 east and northeast of Vrancea, produces low-/average-scattering anomalies (compare with
317 Figure 1).

318

4.2 CODA QUALITY FACTOR (ABSORPTION) MAPPING

320

321 The quality factor (Q) is a non-dimensional parameter (Knopoff, 1964) measuring the
322 decrease in amplitude of a seismic wave travelling through a heterogeneous medium, thus
323 used to quantify different media characteristics. The inverse coda quality factor (Q_c^{-1} , also
324 called the coda attenuation factor) represents a direct measurement of seismic attenuation.
325 According to Sato et al. (2012), Q_c^{-1} is a linear combination of the inverse intrinsic quality
326 factor Q_i^{-1} (measuring intrinsic absorption) and the inverse scattering quality factor Q_s^{-1}
327 (measuring the energy scattered by the medium that can be recovered in seismic coda).
328 Starting from Aki (1969), the Q_c^{-1} dependence from scattering and absorption as well as its

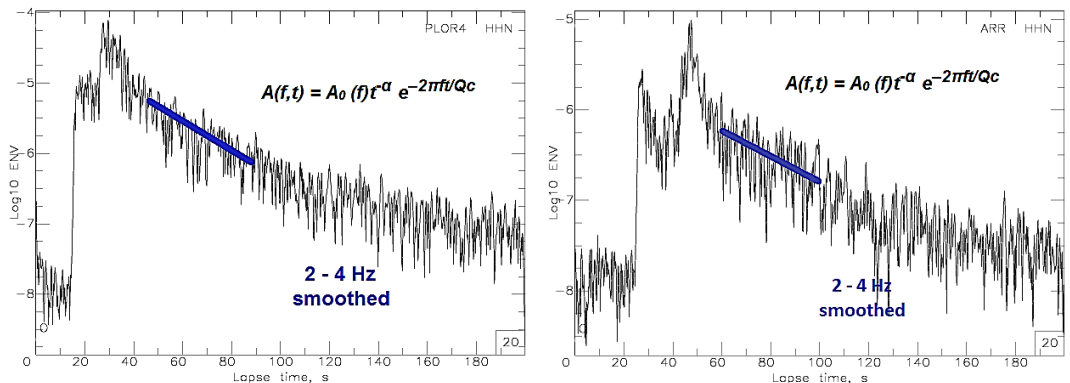
329 connection to tectonic stress has been the focus of several studies (e.g. Aki and Chouet
 330 1975; Sato, 1977; Rautian and Khalturin, 1978; Hermann, 1980; Oancea et al. 1989b, 1991;
 331 Calvet et al. 2013a, b). In the present study, the single backscattering approach proposed
 332 first by Aki and Chouet (1975) is applied. It measures the coda attenuation factor from the
 333 decay of the energy envelope as a function of time t , according to the following equation:

$$A(f,t) = A_0(f)t^{-\alpha} e^{-2\pi f t / Q_c}, \quad (3)$$

336
 337 where $A(f,t)$ represents the power spectral density, $A_0(f)$ is a source-dependent term, t is the
 338 lapse time from the earthquake origin time, f is the frequency, and α is the positive exponent
 339 (assumed equal to 1.5 following Calvet et al. 2013a). Q_c^{-1} for a single station component
 340 was computed by a least-squares linear fit of $\log(A(f,t)t^{1.5})$ versus t in the four frequency
 341 bands used to measure peak delay times. The average over the two components was then
 342 taken as the source-station Q_c^{-1} . The envelope decay was measured (i) using a time window
 343 starting at the highest possible lapse-time from the origin of the earthquake, (ii) selecting
 344 those seismograms who had a signal-to-noise ratio higher than 1.5 and (iii) for which the
 345 correlation coefficient (CC) of the linear regression was greater than 0.5. The CC value was
 346 chosen after several trial tests. Increasing the CC threshold, we lose data and,
 347 consequently, lower resolution. A CC of 0.5 is similar to that used by De Siena et al., 2014
 348 (0.6) and reduces uncertainties in the results while preserving a sufficient number of data for
 349 the chosen resolution. We obtain 1540 measurements for each frequency band. Figure 8
 350 shows example-measurements for two different source-station pairs, using the same
 351 earthquake described in Figure 3.

352 According to Calvet et al. (2013a, 2013b), the highest achievable lapse-time is selected (70
 353 s), so that the Q_c^{-1} dependence on anisotropy and scattering attenuation is low, and the
 354 quantity can be considered a direct measurement of absorption. This assumption is not valid
 355 for shorter lapse times and higher levels of heterogeneity, where topography and different
 356 coherent effects might still affect the coda waves (Saito, 2010; Calvet et al. 2013a; De Siena
 357 et al. 2014). The signal-to-noise ratio after 90 s always drops below 1.5 for most source-
 358 station recordings, thus the coda time window (t_w) is set at 20 s. Recent advances in coda
 359 wave imaging have shown a complex sensitivity of coda waves to Earth heterogeneities (e.g.
 360 Mayor et al. 2014). Despite this, coda wave lateral- and in-depth sensitivities can be
 361 estimated by computing the surface area and average depth of a scattering ellipsoid (Sato,
 362 1978), dependent on the average lapse-time (80 s), the average S-wave velocity (4 km) for
 363 either a short (100 km) and long (250 km) hypocentral distances. Figure 2 (bottom right
 364 panel) shows the two scattering ellipses for these two source-station configurations. The

365 average dimension of the volume producing the coda is 171 km, estimated by considering
 366 an average depth of the seismicity of 100 km.
 367

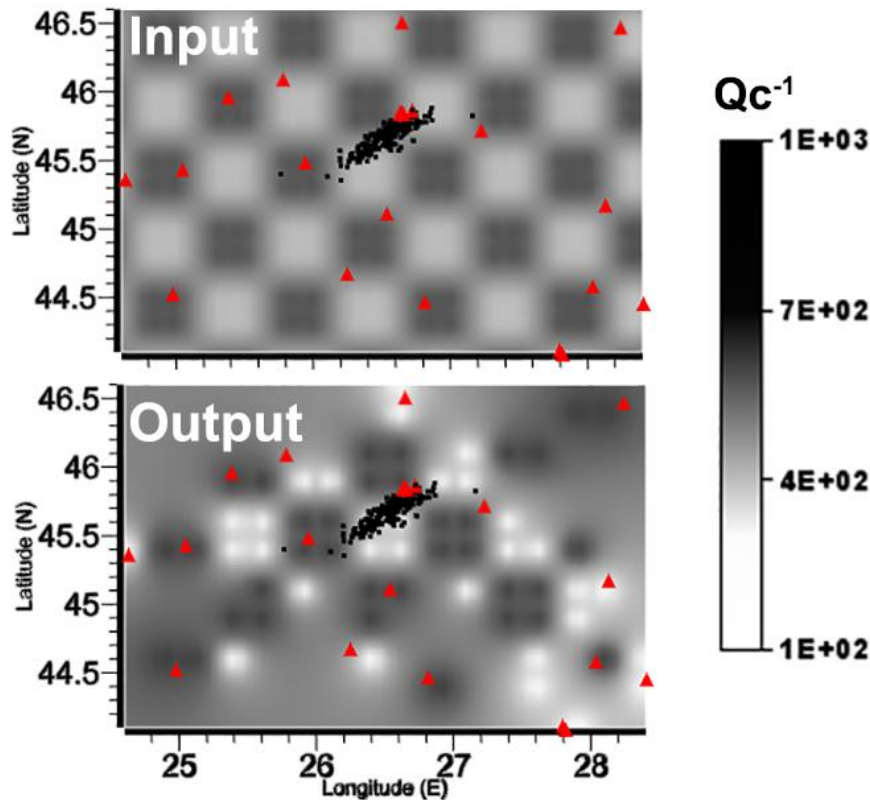


368
 369 **Figure 8** Examples of observed envelopes obtained from the NS components filtered
 370 between 2 and 4 Hz for station PLOR (left) situated at 140 km hypocentral distance and
 371 (right) for station ARR with a 250 km hypocentral distance. The two stations show different
 372 slopes for the envelope decay.

373
 374 **Spatial distribution of Qc in the Vrancea region and adjacent areas**

375
 376 We adopt the same mapping strategy for both peak-delay times and coda quality factors,
 377 dividing the region into squares of $0.25^0 \times 0.25^0$, allocating the average over ray values of
 378 Qc^{-1} to the centre of each square, keeping only those crossed by at least 4 rays and
 379 interpolate the results. The scattering and absorptions maps (Figures 5 and 10) were
 380 obtained in Voxler 2.0 © through the inverse distant weighting (IDW) interpolation method,
 381 with weighting power equal to 2. This assumes that distance weights each interpolated point.
 382 Data are weighted during interpolation such that the influence of one point relative to another
 383 declines with distance from the grid node. Weighting is assigned to data through the use of a
 384 weighting power that controls how the weighting factors drop off as distance from a grid
 385 node increases. The greater the weighting power, the less effect points far from the grid
 386 node has during interpolation. As the power increases, the grid node value approaches the
 387 value of the nearest point. For a smaller power, the weights are more evenly distributed
 388 among the neighboring data points.

389 In order to give a better insight on the quality results we represented in Figure 9 the
 390 chessboards maps for the Qc computed for 3Hz. This measurement is the one with the
 391 lowest number of blocks solved according to our 4-rays threshold. The chessboard test
 392 shows sufficient illumination in the areas interpreted.



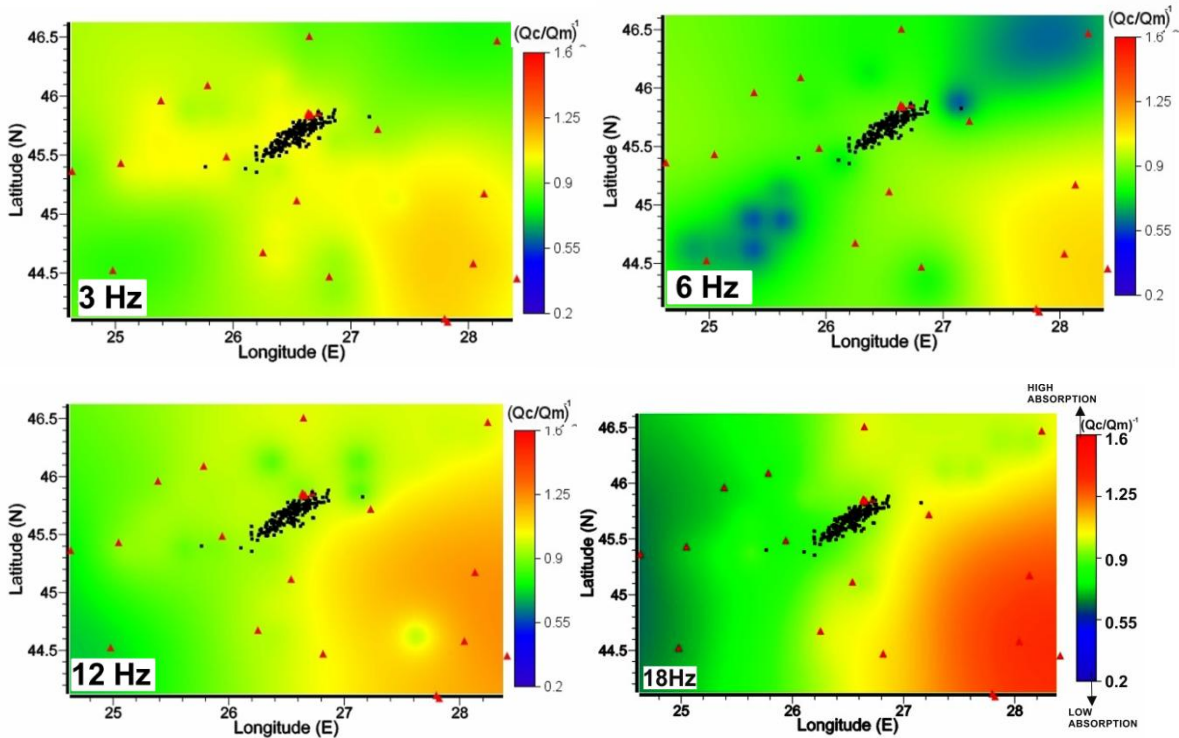
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394 **Figure 9** The chessboards maps computed for the Q_c at the central frequency of 3Hz

395

396 The ray-dependent Q_c^{-1} values were finally divided by the average Q_c^{-1} over the entire
 397 dataset (Q_m^{-1}), to show attenuation variations. Q_m^{-1} can be correlated to the tectonic setting
 398 of the area; it is thus computed at each frequency and with the corresponding uncertainties
 399 by using a least square approach: $(Q_m(3 \text{ Hz}))^{-1} = 0.0025 \pm 0.010$; $(Q_m(6 \text{ Hz}))^{-1} = 0.0014 \pm 0.007$;
 400 $(Q_m(12 \text{ Hz}))^{-1} = 0.0007 \pm 0.0004$; $(Q_m(18 \text{ Hz}))^{-1} = 0.0004 \pm 0.0003$. Uncertainties are estimated
 401 computing the 95% confidence interval, using the covariance matrix. Ideally, also the
 402 absolute values of Q_c after mapping could be related to tectonics; however, as evidenced by
 403 the chessboard test (Figure 9) ray geometries and selection criteria have important effect on
 404 absolute Q_c values, while contrasts are generally preserved. We thus prefer a more careful
 405 approach and divide Q_c^{-1} by Q_m^{-1} to show attenuation variations only.

406 In Figure 10, red regions are associated with low Q_c values (high absorption) whereas blue
 407 colors correspond to high Q_c values (low absorption). No clear correlation exists between
 408 the absorption maps and the three main tectonic units of the area. On the other hand, the
 409 absorption measurements are frequency dependent, with contrasts in absorption properties
 410 clearly enhanced at higher frequencies (Figure 10). At 3 Hz, we observe secondary high-
 411 absorption anomalies crossing Vrancea from west to southeast, while at higher frequencies
 412 we observe a south-to-northeast absorption contrast, located between the outer and inner
 413 Carpathians, dominates the maps.



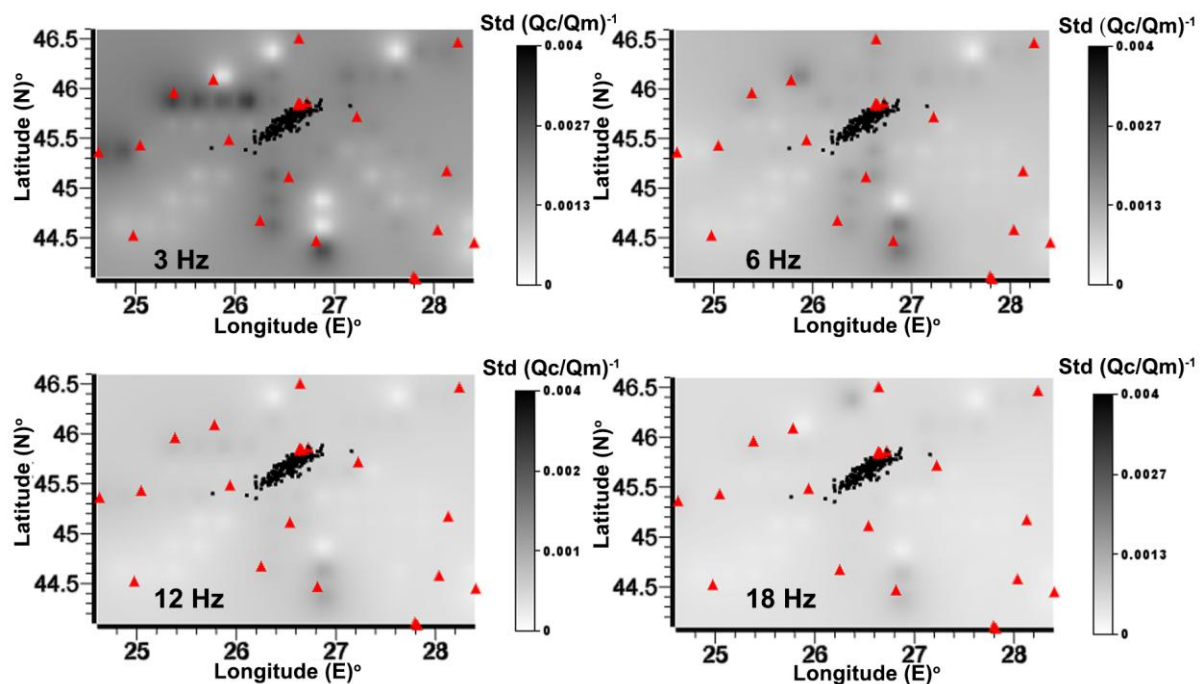
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416 **Figure 10** Maps of inverse coda quality factor divided by the mean of all measurements
 417 $(Q_c/Q_m)^{-1}$ for the selected frequency ranges. Red triangles represent seismic stations and
 418 black dots earthquake epicenters. Red colours show high absorption, blue colours low
 419 absorption.

420

421 Standard deviations maps of $(Q_c/Q_m)^{-1}$ for the selected frequency ranges are shown in
 422 Figure 11. The variations reduce with increasing frequency, as expected. The areas with the
 423 largest uncertainties are those NW and South of the source (Vrancea) area.
 424



425

426

427

Figure 11 Maps showing the standard deviation of $(Q_c/Q_m)^{-1}$ for the selected frequency
 ranges. Red triangles represent seismic stations and black dots earthquake epicenters.

428 Although the exact frequency-dependent sensitivity of coda waves to depth is still debated
429 (e.g. Aki and Chouet, 1975; Shearer and Earle, 2004), it has been theoretically and
430 experimentally shown that 3 Hz coda measurements are consisting of surface waves,
431 making them particularly sensitive to surface structures (Calvet et al 2013a, Obermann et al.
432 2013; Mayor et al. 2014; Galluzzo et al. 2015; De Siena et al. 2016). Attenuation by
433 absorption is more important in the upper crust than in the upper mantle (Sato et al. 2012).
434 In a volcanic medium, the high heterogeneity and quick onset of the diffusion regime makes
435 Q_c particularly sensitive to shallow volcanic structures, as debris flows (Popelliers et al.
436 2015; De Siena et al. 2016). In a lithospheric setting however, different frequency-dependent
437 behaviours are suggested by other studies (Calvet et al. 2013a, De Siena et al. 2014), with
438 Q_c measurements sampling deeper structures at lower frequencies: the results of the
439 mapping at Vrancea agrees with this last interpretation, as higher spatial correlation with
440 superficial lithospheric structures is found at higher frequencies.

441

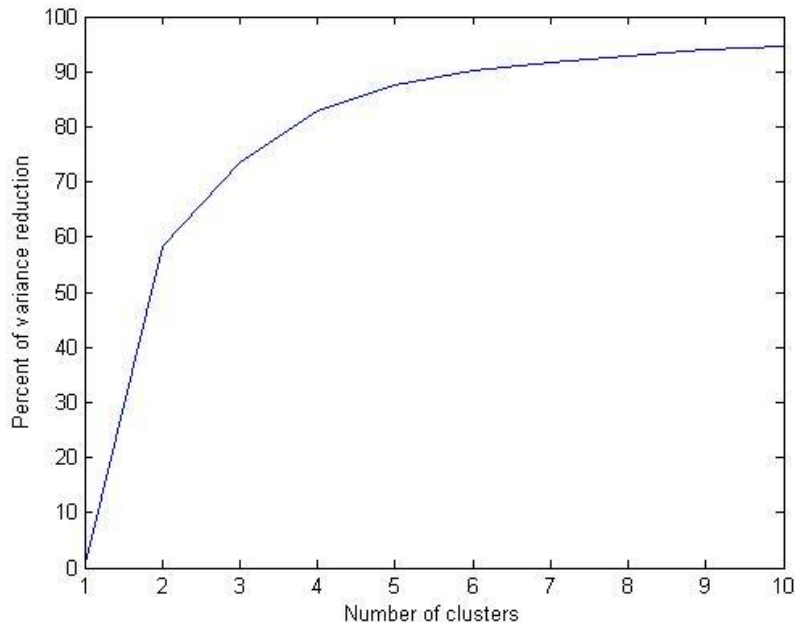
442 **4.3 CLUSTER ANALYSIS**

443

444 To separate scattering and absorption patterns quantitatively using the maps of $\Delta\log_{10}T_{pd}$
445 (Figure 5) and $(Q_c/Q_m)^{-1}$ (Figure 10) obtained in previous sections we have applied a non-
446 hierarchical selection analysis known as K-means clustering (Hartigan, 1975) with Euclidean
447 distance. This method associates measures to a number of clusters (K) independently
448 defined in order to maximize the clusters separation. The distance between clusters is
449 Euclidean. Cluster analysis is a widely used method to separate data into groups depending
450 on their physical characteristics. The main challenge this method presents is the estimation
451 of an optimal number of clusters (K), each cluster comprising objects with similar
452 characteristics. According to Cornish (2007), the main disadvantage of cluster analysis is
453 that there is no mechanism to differentiate between relevant and irrelevant variables when
454 choosing the number of clusters. Therefore, the choice of variables included in a cluster
455 analysis must be underpinned by conceptual considerations.

456 Our dataset comprises couples of peak-delay and $(Q_c/Q_m)^{-1}$ measurements, each couple
457 corresponding to the centre of a square in space (De Siena et al. 2011). To compute the
458 optimal number of clusters, we used the elbow method (Hartigan, 1975 – Figure 12 shows
459 the curve obtained at 3 Hz) and the Bayesian Information criterion (BIC - Schwartz, 1978).
460 The “elbow” method runs K-means clustering on the selected dataset for a range of integers
461 K, computing the percent of variance reduction due to separation in K clusters. The percent
462 of variance reduction on K values (Figure 12) is a qualitative way to define the best number
463 of clusters: when the line deviates from the linear increase (the “elbow) and following values

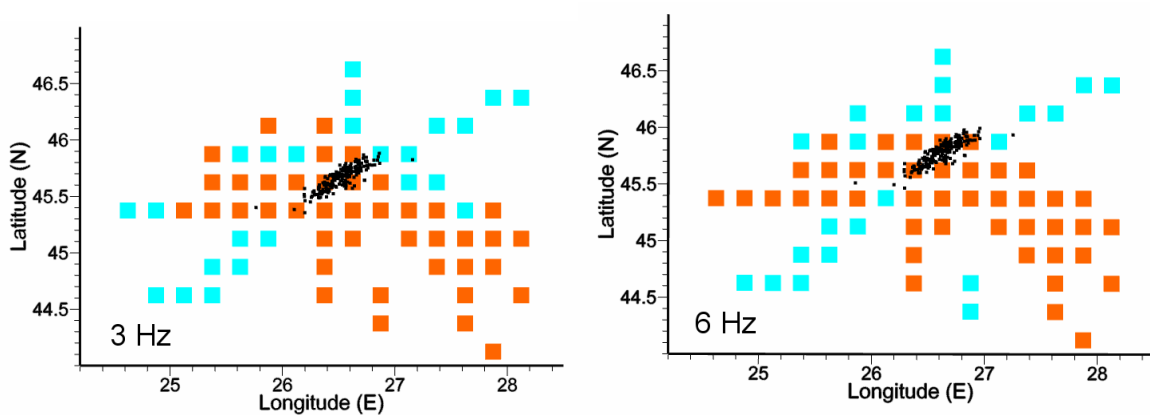
464 reach an approximate plateau, increasing the number of clusters only increase complexity
 465 without adding a relevant percent reduction. At all frequencies the number of clusters
 466 selected is two (see De Siena et al. 2011 for a similar analysis). A 2-mean cluster analysis
 467 was thus performed separately for all frequency ranges, with results (Figure 13) showing
 468 areas of either stronger absorption (orange) or stronger scattering (cyan). At all frequencies,
 469 absorption dominates southeast of Vrancea while scattering attenuation is stronger north
 470 and northwest of Vrancea.



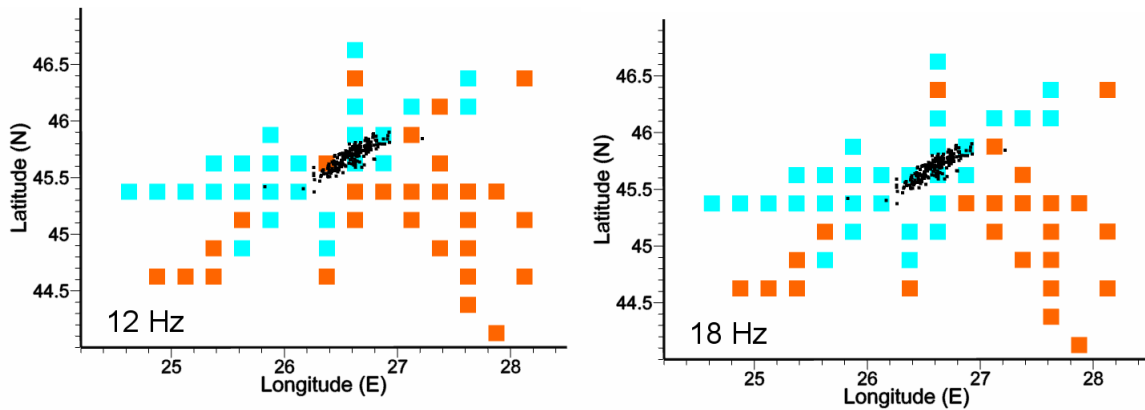
471

472 **Figure 12** The percentage of variance reduction versus the number of clusters at 3 Hz. The
 473 number of cluster chosen is 2.

474



475



476

477 **Figure 13** Maps showing results of cluster analysis obtained using the $\Delta \log_{10} Tpd$ and the
 478 inverse of $(Q_s/Q_m)^{-1}$ spatial measurements. Black dots represent earthquake epicentres.
 479 Orange squares denote higher absorption while cyan squares represent higher scattering

480

481

482 5. DISCUSSION

483

484 The goals of the present study are (1) to investigate the two mechanisms producing seismic
 485 wave attenuation in the Vrancea region and adjacent areas and (2) to interpret the patterns
 486 in terms of seismotectonic structures. In this section, we take into account the
 487 interdisciplinary literature relative to the geophysical and geodynamical characteristics of the
 488 area, and discuss the limitations of the methodology. Scattering is dominant in the north,
 489 northwestern, and western parts of the maps, and is spatially correlated with the Southern
 490 Carpathian. Absorption dominates in the Focsani Basin, crosses the Moesian Platform, and
 491 reaches the Southern part of the Scythian Platform southeast of Vrancea (see Figures 1 and
 492 13).

493 The strong frequency-dependence of scattering attenuation for waves generated in the
 494 Vrancea subcrustal domain (Figures 4 and 5) correlates well with the results of Popa et al.
 495 (2005), who first remarked a difference in total attenuation depending on frequency content,
 496 and Oth et al. (2008), who found that attenuation variability strongly increases with
 497 increasing frequency. The last authors showed that, at high frequencies (> 4-5 Hz), there is
 498 approximately one order of magnitude difference in total attenuation between the mountain
 499 range and the foreland, whereas at lower frequencies the attenuation characteristics are
 500 rather similar. The similarity between the attenuation characteristics above described and
 501 the behaviour of peak delay times in frequency (Figure 4) and space (Figure 5) implies that
 502 scattering is the main attenuation mechanism in the area, at least at the investigated
 503 frequencies. Possible physical explanations for these results involve the degree of coupling
 504 between the subducting slab and the overlying crust (Sperner et al. 2005), scattering
 505 phenomena within a subducted (Furumura and Kennett, 2005) or delaminated (Koulakov et

506 al. 2010) lithospheric fragment. Strong temperature effects (Ismail-Zadeh et al. 2005) should
507 rather produce relevant patterns in the frequency-dependent absorption imaging.

508 The high-scattering Southern Carpathians represent the region characterized by the highest
509 velocity and density contrasts, coupled with strong time-dependent deformations (Schmid et
510 al. 1998, Matenco and Bertotti, 2000, Cloetingh et al. 2005). According to Bocin et al. (2005),
511 three main post-docking deformational stages were recognised in this region. During
512 Palaeogene-Early Miocene times, the clockwise rotation of the Tisza–Dacia block (Balla,
513 1986) has caused NNE–SSW to ENE–WSW shortening in the internal Moldavides napes of
514 the East Carpathians (Matenco and Bertotti, 2000), large scale transtension/extension to
515 core-complex formation in the South Carpathians (e.g., Schmid et al. 1998), and final
516 collision of the Balkans with Moesian Platform southwards (e.g., Doglioni et al. 1996).
517 Collision with the stable foreland has occurred during the Middle and Late Miocene
518 (Badenian–Sarmatian), leading to large-scale deformation characterised by EW shortening
519 in the East Carpathians (e.g., Sandulescu, 1988; Matenco and Bertotti, 2000) and
520 transpression/shortening in the South Carpathians (Matenco et al. 1997). The collision with
521 the foreland culminated during Late Miocene (Sarmatian) times. This region dominated by
522 scattering also corresponds to the contact between the South Carpathians and the
523 hinterland, the South Apuseni Mountains units, where potentially large scale thrusting and
524 transcurrent motions occurred during the late Alpine evolution (Cloetingh et al. 2005).
525 Another possible cause of high scattering is the presence of the TTZ (Tornquist-Teisseyre
526 Zone) in this region. Bocin et al. (2013) suggest that TTZ is situated in Romania beneath the
527 South-Eastern Carpathians, further to the southeast than assumed by previous studies. As
528 such, the TTZ incorporates the Vrancea zone earthquake epicentres. In the Carpathians,
529 Weidle (2004) have also shown that approximately 75% of the observed attenuation of
530 teleseismic P-waves is induced by scattering, predominantly from complex boundaries and
531 heterogeneities in the crust.

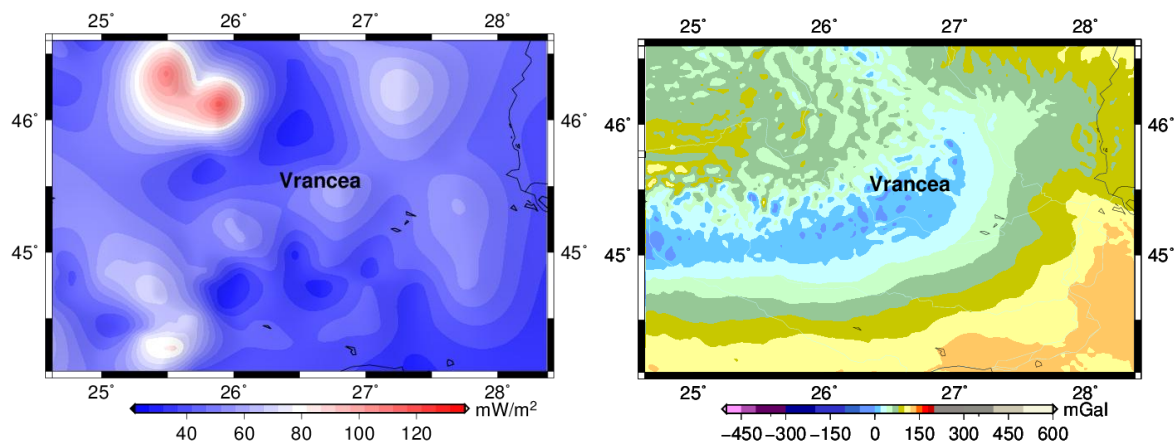
532 The area of highest geodynamical and seismotectonic complexity is thus the one marked by
533 highest scattering attenuation. Regions that produce high scattering are located near the
534 transition zone from low-velocities to high velocity ratios (Koulakov et al. 2010). This is in
535 agreement with Takahashi et al. (2007), who obtain similar results in the Japanese crust,
536 specifically under Quaternary volcanoes. The analogy suggests that the dimension of the
537 strong heterogeneities ranges from a few hundred metres to a few kilometres and scattering
538 in the area (including Ciomadul volcano) is influenced by volcanism.

539 At the lowest frequency (Figures 10 and 13, 3 Hz panel, in our assumption the frequency
540 band sampling deepest Earth layers) absorption increases northwest and southeast of the
541 epicentral area, crossing an area of average-to-strong scattering (Figure 5). This anomaly is
542 spatially correlated with the location of the hypothesised asthenospheric upwelling beneath

543 the Neogene volcanic arc (Figure 1), which migrated from NW to SE (Seghedi, 2005;
544 Koulakov et al. 2010; Popa et al. 2011; Panaiotu et al. 2012). Comparing the NW and SE
545 high-absorption features with the results of the tomography study of Koulakov et al. (2010),
546 high absorption correlates with strong S-waves velocities perturbations at depths of 110-130
547 km. The region characterized by high absorption (Figure 10) at higher frequencies (from 6 to
548 18 Hz) starts from the front of the Carpathian bend (Focsani Basin) and extends to the South
549 and South-Eastern Moesian Platform within the southwestern part of Scythian Platform
550 (compare Figures 1 and 10). The results in the Focsani Basin confirm that sedimentary
551 basins represent a primary cause of high absorption (see e.g. Calvet et al, 2013a for
552 Pyrenees). Bocin et al (2005) model the Focscani Basin as containing up to 13 km of
553 sediments, deposited in a foredeep type setting. This basin, according to Mocanu et al.
554 (1996), is predominantly characterized by Neogene sedimentary rocks derived from the
555 Carpathians, with significant contributions of detritus from the more external Moldavian and
556 Moesian Platform. We also note that important crustal movements characterize areas of
557 active subsidence (up to 3mm yr^{-1}) in this region (Popescu and Dragoescu, 1987). The
558 location of the high-absorption anomalies at higher frequencies (12 and 18 Hz) in such
559 stable regions (platforms), however, suggests that high-frequency absorption effects are
560 generated by even shallower structures. The anomalies are in fact spatially correlated with
561 zones of hydrocarbons, natural gas, and oil accumulation (Radulescu et al. 1976;
562 Stefanescu et al. 1988; Stanica and Stanica, 1998; Matenco and Bertotti, 2000; Tarapoanca
563 et al. 2003; Leever et al. 2006).

564 To better understand the meaning of the scattering/absorption contrasts at different
565 frequencies (Figures 5 and 10), they are compared with the heat flow map displayed using
566 the Global Heat Flow Database (<http://www.heatflow.und.edu>) (Figure 14, left). High
567 scattering (represented in blue in Figure 5) corresponds mostly to average/low heat-flow
568 values, while high absorption anomalies correlate spatially with high heat flow values. The
569 highest heat flow, corresponding to the location of the Ciomadul volcanic field, is at the
570 northwestern limit of the southeast-west trending absorption anomaly at 3 Hz (Figure 10, 3
571 Hz). A second comparisons of our results with the Bouguer anomaly (Figure 14, right) shown
572 using the grid provided by Bureau Gravimetrique International (Bureau Gravimétrique
573 International; <http://bgi.omp.obs-mip.fr>) reveals that the highest seismic scattering overlaps
574 the lowest Bouguer anomalies (lower densities – compare with Figure 5), while the south
575 and southeastern high-absorption structures correlate with positive Bouguer anomalies.

576



577
578 **Figure 14** Maps showing heat flow distribution (left) and Bouguer anomaly (right). The high
579 heat flow corresponds to the location of Ciomadul volcano.
580

581 The cluster analysis results (Figure 13) at low frequencies (3 Hz and 6 Hz) quantify and map
582 the absorption and effects of deep seismic structures. High-scattering structures (cyan)
583 characterize the regions southwest and northeast of Vrancea, spreading along the
584 Carpathians, whose high topography possibly influences measurements. Orange anomalies
585 (high absorption) are distributed along a trend that is almost perpendicular, and crosses, the
586 epicentral trend (NW-SE). The limit of the high-absorption pattern spatially corresponds to
587 the Ciomadul volcanic region, situated just NNW of the Vrancea region (Seghedi, 2005;
588 Popa et al. 2011; Panaiotu et al. 2012). Such a high-absorption trend has been observed
589 under the Cascadian volcanoes and related to the effect of a deep magmatic arc (De Siena
590 et al. 2016). Cluster analysis results at low frequencies thus depict a unique high-absorption
591 structure extending from southeast of Vrancea, at the location of the mantle upwelling
592 modelled by several studies (e.g. Popa et al. 2011), to northwest of Vrancea, feeding
593 Ciomadul volcano (Koulakov et al. 2010).

594 At high frequencies (Figure 13, 12 Hz and 18 Hz), the NNE-SSW scattering/absorption
595 contrast follows the epicentral trend. This observation is similar in space and nature to that of
596 Takahashi et al. (2007) for the subducting Japanese crust, at least for the peak delay time,
597 and consistent with the presence of a NNE-SSW-oriented sinking lithospheric fragment into
598 the asthenosphere (Radulian et al. 2006). In this framework, considering the investigated
599 frequencies and Bouguer anomalies, the high scattering following the epicentral trend and
600 extending to the west (Figure 13, 12 Hz and 18 Hz) is feasibly caused by either coupling
601 between a subducting slab and the overlying crust (Sperner et al. 2005) or small-scale
602 scattering phenomena within the upper portion of a subducted (Furumura and Kennett,
603 2005) or detached (Seghedi, 2005; Koulakov et al. 2010; Popa et al. 2011; Panaiotu et al.
604 2012) lithospheric fragment, sinking into the asthenosphere.

605

606 **6. CONCLUSIONS**

607

608 We have mapped different seismic attenuation mechanisms in the Vrancea area and
609 adjacent regions quantitatively using two integrated measurements, peak delay times and
610 late lapse-time coda quality factors (Q_c), in four frequency bands. Using these techniques we
611 were able to identify structures of different dimension and depth (different
612 wavelength/frequency) responsible for seismic absorption and seismic scattering. The
613 approach complements other geophysical and geodynamical results and provides a
614 quantitative interpretation of deep Earth properties and tectonic structures. Peak-delay time
615 values, interpreted as a measurement of scattering attenuation, show considerable
616 variations at high frequencies, which suggests a strong effect of short-wavelength
617 heterogeneities in the upper crust and strong differences in sampling at different
618 frequencies. Once mapped, peak delay time differences grow (scattering increases) towards
619 the Carpathians (north, northwest, and west of Vrancea) with the highest values in the
620 southern Carpathians, the same region characterized by a minimum in Bouguer anomaly.
621 Q_c^{-1} is interpreted as a measurement of absorption, with patterns showing lower frequency
622 dependence, but still progressively sampling shallower regions with increasing frequencies.
623 High absorption is prevalent in the fore-arc region (SE of Vrancea): besides the Focsani
624 Basin, which shows the highest absorption, the area includes the southeastern side of the
625 Moesian Platform, in strong spatial correlation with hydrocarbon and natural gas reservoirs
626 consistently depicted by seismic exploration studies.

627 Cluster analysis has been used to obtain a quantitative interpretation of the structures
628 producing the scattering and absorption anomalies: the results are mainly influenced by
629 deep tectonics structures. At high frequencies, we observe clear correlation of high
630 scattering/absorption contrasts acting along the NW-SE trend crossing Vrancea, and feasibly
631 produced by coupling or small-scale scattering phenomena produced at the top of either a
632 subducting or detached slab sinking into the asthenosphere. While at all frequencies the
633 highest absorption still corresponds to the Focsani sedimentary basin. A unique low-
634 frequency high-absorption southeast-to-northwest trend marks areas of mantle upwelling,
635 feeding deep Neogene volcanism and reaching the Ciomadul volcanic area, NNW of
636 Vrancea.

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638

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